inspection required by paragraphs (g)(2) and (g)(3) of this AD: Within 6 months after the inspection required by paragraph (g)(2) of this AD, replace the LH shock mount pick-up fitting P/N S5421094200, unless it is serviceable and has been determined to have an S/N equal to or higher than 2451, in accordance with the requirements of paragraph (g)(1) of this AD.

(j) Reporting Requirement

Submit a report of the findings (both positive and negative) of the inspections required by paragraphs (g)(1), (g)(2), and (g)(3) of this AD to ATR at techdesk@atr.fr and continued.airworthiness@atr.fr at the applicable time specified in paragraph (j)(1) or (j)(2) of this AD. The report must include the airplane serial number, registration, inspection date, inspection results, and engine pick-up serial numbers.

(1) If the inspection was done on or after the effective date of this AD: Submit the report within 30 days after the inspection.

(2) If the inspection was done before the effective date of this AD: Submit the report within 30 days after the effective date of this AD.

(k) Other FAA AD Provisions

The following provisions also apply to this AD:

(1) Alternative Methods of Compliance (AMOCs): The Manager, International Branch, ANM–116, FAA, has the authority to approve AMOCs for this AD, if requested using the procedures found in 14 CFR 39.19. In accordance with 14 CFR 39.19, send your request to your principal inspector or local Flight Standards District Office, as appropriate. If sending information directly to the International Branch, send it to ATTN: Tom Rodriguez, Aerospace Engineer, International Branch, ANM–116, Transport Airplane Directorate, FAA, 1601 Lind Avenue SW., Renton, WA 98057–3356; telephone 425–227–1137; fax 425–227–1149. Information may be emailed to: 9-ANM-116-AMOC-REQUESTS@faa.gov. Before using any approved AMOC, notify your appropriate principal inspector, or lacking a principal inspector, the manager of the local Flight Standards District Office/certificate holding district office. The AMOC approval letter must specifically reference this AD.

(2) Contacting the Manufacturer: For any requirement in this AD to obtain corrective action from the manufacturer, the action must be accomplished using a method approved by the Manager, International Branch, ANM–116, Transport Airplane Directorate, FAA; or EASA; or ATR–GIE Avions de Transport Régional’s EASA DOA. If approved by the DOA, the approval must include the DOA–authorized signature.

(3) Reporting Requirements: A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current validOMB Control Number. The OMB Control Number for this information collection is 2120–0056. Public reporting for this collection of information is estimated to be approximately 5 minutes per response, including the time for reviewing instructions, completing and reviewing the collection of information. All responses to this collection of information are mandatory. Comments concerning the accuracy of this burden and suggestions for reducing the burden should be directed to the FAA at: 800 Independence Ave. SW., Washington, DC 20591, Attn: Information Collection Clearance Officer, AES–200.

(l) Related Information


(2) Service information identified in this AD that is not incorporated by reference is available at the addresses specified in paragraphs (m)(3) and (m)(4) of this AD.

(m) Material Incorporated by Reference

(1) The Director of the Federal Register approved the incorporation by reference (IBR) of the service information listed in this paragraph under 5 U.S.C. 552(a) and 1 CFR part 51.

(2) You must use this service information as applicable to do the actions required by this AD, unless this AD specifies otherwise.


(3) For service information identified in this AD, contact ATR–GIE Avions de Transport Régional, 1, Allée Pierre Nadot, 31712 Blagnac Cedex, France; telephone +33 (0) 5 62 21 62 21; fax +33 (0) 5 62 21 67 18; email continued.airworthiness@atr.fr; Internet http://www.aerochain.com.

(4) You may view this service information at the FAA, Transport Airplane Directorate, 1601 Lind Avenue SW., Kenton, WA. For information on the availability of this material at the FAA, call 425–227–1221.

(5) You may view this service information that is incorporated by reference at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202–741–6030, or go to: http://www.archives.gov/federal-register/cfr/ibr-locations.html.

Issued in Renton, Washington, on March 19, 2015.

Michael Kaszycki, Acting Manager, Transport Airplane Directorate, Aircraft Certification Service.

[FR Doc. 2015–07162 Filed 4–17–15; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 39


RIN 2120–AA64

Airworthiness Directives; The Boeing Company Airplanes

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: We are adopting a new airworthiness directive (AD) for certain The Boeing Company Model 757–200, –200PF, –200CB, and –300 series airplanes. This AD was prompted by numerous reports of unintended lateral oscillations during final approach, just before landing. This AD requires, depending on airplane configuration, installing new relays and bracket assemblies, inspecting to ensure that the new relays do not contact adjacent wire bundles, torquing the bracket assembly installation nuts and ground stud nuts, doing bond resistance tests between the bracket assemblies and the terminal lugs on the ground studs, and related investigative and corrective actions if necessary. We are issuing this AD to reduce the chance of unintended lateral oscillations near touchdown, which could result in loss of lateral control of the airplane, and consequent airplane damage or injury to flightcrew and passengers.

DATES: This AD is effective May 26, 2015.

The Director of the Federal Register approved the incorporation by reference of certain publications listed in this AD as of May 26, 2015.

ADDRESSES: For service information identified in this AD, contact Boeing Commercial Airplanes, Attention: Data & Services Management, P. O. Box 3707, MC 2H–65, Seattle, WA 98124–2207; telephone 206–544–5000, extension 1; fax 206–766–5680; Internet https://www.myboeingfleet.com. You may view this referenced service information at the FAA, Transport Airplane Directorate, 1601 Lind Avenue SW.,
Renton, WA. For information on the availability of this material at the FAA, call 425–227–1221. It is also available on the Internet at http://www.regulations.gov by searching for and locating Docket No. FAA 2011–0475.

Examining the AD Docket

You may examine the AD docket on the Internet at http://www.regulations.gov by searching for and locating Docket No. FAA–2011–0475; or in person at the Docket Management Facility between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this AD, the regulatory evaluation, any comments received, and other information. The address for the Docket Office (phone: 800–647–5527) is Docket Management Facility, U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue SE., Washington, DC 20590.

FOR FURTHER INFORMATION CONTACT:


SUPPLEMENTARY INFORMATION:

Discussion

We issued a supplemental notice of proposed rulemaking (SNPRM) to amend 14 CFR part 39 by adding an AD that would apply to certain The Boeing Company Model 757–200, –200PF, –200CB, and –300 series airplanes. The SNPRM published in the Federal Register on July 1, 2014 (79 FR 37239). We preceded the SNPRM with a notice of proposed rulemaking (NPRM) that published in the Federal Register on May 24, 2011 (76 FR 30043). The NPRM proposed to require, for certain airplanes, installing new relays adjacent to two of the spoiler control modules. For certain other airplanes, the NPRM proposed to require torquing the bracket assembly installation nuts and ground stud nuts, and doing bond resistance tests between the bracket assemblies and the terminal lugs on the ground studs. The NPRM was prompted by numerous reports of unintended lateral oscillations during final approach, just before landing. In addition to the actions proposed in the NPRM, the SNPRM proposed to require installing three new relays on the opposite side of the same relay bracket assembly; and for certain airplanes, doing an additional inspection to ensure that the three new relays do not contact adjacent wire bundles, and related investigative and corrective actions if necessary. We are issuing this AD to reduce the chance of unintended lateral oscillations near touchdown, which could result in loss of lateral control of the airplane, and consequent airplane damage or injury to flightcrew and passengers.

Comments

We gave the public the opportunity to participate in developing this AD. The following presents the comments received on the SNPRM (79 FR 37239, July 1, 2014) and the FAA’s response to each comment.

Support for the SNPRM (79 FR 37239, July 1, 2014)

American Airlines (AAL) stated that it agrees with the intent of the SNPRM (79 FR 37239, July 1, 2014). Boeing stated that it agrees with the NPRM (76 FR 30043, May 24, 2011). We infer that Boeing’s comment refers to the SNPRM.

Request To Withdraw the SNPRM (79 FR 37239, July 1, 2014)

United Airlines (United Engineering) requested data to justify the release of a new AD. United Engineering stated that it has not received any reports of pilot-induced oscillations since implementation of AD 2006–23–15, Amendment 39–14827 (71 FR 66657, November 16, 2006). United Engineering stated that AD 2006–23–15 requires, among other actions, installing a control wheel damper assembly and vortex generators (vortilons) on the leading edge of the outboard main flap. United Engineering also stated that the required work is extensive and that the impact to operations and the cost of this modification is considerable.

From these statements, we infer that United Engineering requested we withdraw the SNPRM (79 FR 37239, July 1, 2014). We do not agree with the commenter’s request to withdraw the SNPRM. AD 2006–23–15, Amendment 39–14827 (71 FR 66657, November 16, 2006), was considered interim action. To effectively manage the risk, the FAA determined an interim action needed to be mandated to reduce the risk, while a solution that fully addresses the unsafe condition was identified and could be implemented.

The manufacturer has identified an additional modification that is needed to correct the unsafe condition identified in AD 2006–23–15. We have determined that this design change not only corrects the unsafe condition by removing excessive airplane roll authority during landing, but it will also improve safety by making the Model 757 handling characteristics more consistent with the other Boeing airplane models. Also, even though there have only been 12 reports of unintended lateral oscillations near touchdown, the FAA considers it likely that there may have been other events that have been unrecognized and/or unreported.

Finally, in developing the compliance time for this AD, we did consider not only the safety implications of the identified unsafe condition, but also the practical aspects of an orderly modification of the fleet including the work required and the impact on operations. We have determined that it is necessary to proceed with this AD action.

Request To Delay Final Rule Pending Revised Service Information

AAL requested that we delay this final rule until Boeing releases Boeing Service Bulletin 757–27A0152, Revision 4. AAL noted that Boeing intended to release Boeing Service Bulletin 757–27A0152, Revision 4, which would address its concerns regarding certain procedures and figures in Boeing Service Bulletin 757–27A0152, Revision 1, Dated June 30, 2010.

Since the issuance of the SNPRM (79 FR 37239, July 1, 2014), Boeing has issued Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014. We have revised this AD to incorporate Boeing Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014, as an appropriate source of service information for accomplishing the actions required by this AD. This service bulletin includes a change to a footnote listed in Figures 15, 16, 17, 19, and 21; this footnote addresses AAL’s concerns regarding certain procedures and figures in Boeing Service Bulletin 757–27A0152, Revision 1, dated June 30, 2010. Boeing Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014, states that no more work is necessary on airplanes changed in accordance with Boeing Service Bulletin 757–27A0152, Revision 4, dated May 25, 2012; or Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013.

We have changed paragraphs (c) and (g) of this AD to reference Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014.

Effect of Winglets on AD

Aviation Partners Boeing stated that the installation of winglets per Supplemental Type Certificate (STC)...
We reviewed Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, which describes procedures for installing new relays; inspecting to ensure that the new relays do not contact adjacent wire bundles, and related investigative and corrective actions if necessary; torquing the ground stud nuts; and doing bond resistance tests between the bracket assemblies and the terminal lugs on the ground studs.

We have also reviewed Boeing Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014, which provides some revised text in footnotes of certain figures.

This service information is reasonably available because the interested parties have access to it through their normal course of business or by the means identified in the ADDRESSES section of this AD.

**Conclusion**

We reviewed the relevant data, considered the comments received, and determined that air safety and the public interest require adopting this AD with the changes described and minor editorial changes. We have determined that these minor changes:

- Are consistent with the intent that was proposed in the SNPRM (79 FR 37239, July 1, 2014) for correcting the unsafe condition; and
- Do not add any additional burden upon the public than was already proposed in the SNPRM (79 FR 37239, July 1, 2014).

We also determined that these changes will not increase the economic burden on any operator or increase the scope of this AD.

**Costs of Compliance**

We estimate that this AD affects 676 airplanes of U.S. registry. We estimate the following costs to comply with this AD:

<table>
<thead>
<tr>
<th>Action</th>
<th>Labor cost</th>
<th>Parts cost</th>
<th>Cost per product</th>
<th>Cost on U.S. operators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Installation Group 1, Configuration 1 (48 airplanes)</td>
<td>36 work-hours $85 per hour = $3,060 .......</td>
<td>$4,691</td>
<td>$7,751</td>
<td>$372,048.</td>
</tr>
<tr>
<td>Installation Group 2, Configuration 1 (588 airplanes).</td>
<td>33 work-hours $85 per hour = $2,605 .......</td>
<td>4,619</td>
<td>7,419</td>
<td>49,602.</td>
</tr>
<tr>
<td>Installation Group 3, Configuration 1 (12 airplanes).</td>
<td>33 work-hours $85 per hour = $2,605 .......</td>
<td>4,619</td>
<td>7,424</td>
<td>89,088.</td>
</tr>
<tr>
<td>Installation Group 4, Configuration 1 (24 airplanes).</td>
<td>33 work-hours $85 per hour = $2,605 .......</td>
<td>4,610</td>
<td>7,415</td>
<td>177,960.</td>
</tr>
<tr>
<td>Installation Group 5, Configuration 1 (4 airplanes).</td>
<td>36 work-hours $85 per hour = $3,060 .......</td>
<td>4,701</td>
<td>7,761</td>
<td>31,044.</td>
</tr>
<tr>
<td>Torque Bracket Assembly and Bond Tests Groups 1–5, Configuration 2 (Up to 676 airplanes).</td>
<td>12 work-hours $85 per hour = $1,020 .......</td>
<td>0</td>
<td>Up to $1,020</td>
<td>Up to $689,520.</td>
</tr>
<tr>
<td>General Visual Inspection Groups 1–5, Configuration 3 (Up to 676 airplanes).</td>
<td>7 work-hours $85 per hour = $595 ...........</td>
<td>0</td>
<td>Up to $595</td>
<td>Up to $402,220.</td>
</tr>
</tbody>
</table>

We estimate the following costs to do any necessary repairs that would be required based on the results of the inspection. We have no way of determining the number of aircraft that might need these repairs:

<table>
<thead>
<tr>
<th>Action</th>
<th>Labor cost</th>
<th>Parts cost</th>
<th>Cost per product</th>
<th>Cost on U.S. operators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adjust Wire Bundle and Install Sleeve, Group 1–5, Config 1</td>
<td>1 work-hour $85 per hour = $85 ...........</td>
<td>0</td>
<td>85</td>
<td>0</td>
</tr>
<tr>
<td>Inspection, Repair, and Installation Change, Group 1–5, Config 2</td>
<td>1 work-hour $85 per hour = $85 ...........</td>
<td>0</td>
<td>85</td>
<td>0</td>
</tr>
<tr>
<td>Inspection, Repair, Installation Change, and Test, Group 1–5, Config 3</td>
<td>5 work-hours $85 per hour = $425 ...........</td>
<td>0</td>
<td>425</td>
<td>0</td>
</tr>
</tbody>
</table>

We have received no definitive data that would enable us to provide cost estimates for the parts needed for the on-condition actions specified in this AD.

**Authority for This Rulemaking**

Title 49 of the United States Code specifies the FAA’s authority to issue rules on aviation safety, Subtitle I, section 106, describes the authority of the FAA Administrator. Subtitle VII: Aviation Programs, describes in more detail the scope of the Agency’s authority.

We are issuing this rulemaking under the authority described in Subtitle VII, Part A, Subpart III, Section 44701: “General requirements.” Under that section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

**Regulatory Findings**

This AD will not have federalism implications under Executive Order 13132. This AD will not have a substantial direct effect on the States, on the relationship between the national government and the States, or on the distribution of power and...
responsibilities among the various levels of government.

For the reasons discussed above, I certify that this AD:
(1) Is not a “significant regulatory action” under Executive Order 12866,
(2) Is not a “significant rule” under DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979),
(3) Will not affect intrastate aviation in Alaska, and
(4) Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

List of Subjects in 14 CFR Part 39
Air transportation, Aircraft, Aviation safety, Incorporation by reference, Safety.

Adoption of the Amendment
Accordingly, under the authority delegated to me by the Administrator, the FAA amends 14 CFR part 39 as follows:

PART 39—AIRWORTHINESS DIRECTIVES

■ 1. The authority citation for part 39 continues to read as follows:
Authority: 49 U.S.C. 106(g), 40113, 44701.

§39.13 [Amended]
■ 2. The FAA amends §39.13 by adding the following new airworthiness directive (AD):

2015–08–01 The Boeing Company:

(a) Effective Date
This AD is effective May 26, 2015.

(b) Affected ADs
None.

(c) Applicability

(d) Subject
Air Transport Association (ATA) of America Code 27, Flight Controls.

(e) Unsafe Condition
This AD was prompted by numerous reports of unintended lateral oscillations during the final approach, just before landing. We are issuing this AD to reduce the chance of unintended lateral oscillations near touchdown, which could result in loss of lateral control of the airplane, and consequent airplane damage or injury to flightcrew and passengers.

(f) Compliance
Comply with this AD within the compliance times specified, unless already done.

(g) Installation and Inspection
Within 60 months after the effective date of this AD, do the applicable actions specified in paragraph (g)(1), (g)(2), or (g)(3) of this AD.

(1) For Configuration 1 airplanes defined in Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014: Install three bracket assemblies and three new relays, and make changes to the wire bundles, in accordance with the Accomplishment Instructions of Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014: Torque the bracket assembly nuts and ground stud nuts, do bond resistance tests to verify that bonding requirements are met, do a general visual inspection to ensure that the three new relays do not touch the adjacent wire bundles, and do all applicable related investigative and corrective actions, in accordance with the Accomplishment Instructions of Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014. Do all applicable related investigative and corrective actions before further flight.

(2) For Configuration 2 airplanes defined in Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, dated August 26, 2014: Torque the bracket assembly nuts and ground stud nuts, do bond resistance tests to verify that bonding requirements are met, do a general visual inspection to ensure that the three new relays do not touch the adjacent wire bundles, and do all applicable related investigative and corrective actions, in accordance with the Accomplishment Instructions of Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014. Do all applicable related investigative and corrective actions before further flight.

(3) For Configuration 3 airplanes defined in Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014: Do a general visual inspection to ensure that the three new relays do not touch the adjacent wire bundles, and do all applicable related investigative and corrective actions, in accordance with the Accomplishment Instructions of Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013, as revised by Boeing Service Bulletin 757–27A0152, Revision 4, August 26, 2014. Do all applicable related investigative and corrective actions before further flight.

(h) Credit for Previous Actions
This paragraph provides credit for actions required by paragraph (g) of this AD, if those actions were performed before the effective date of this AD using Boeing Service Bulletin 757–27A0152, Revision 2, dated May 25, 2012 (which is not incorporated by reference in this AD); or Boeing Service Bulletin 757–27A0152, Revision 3, dated October 28, 2013.

(i) Alternative Methods of Compliance (AMOCs)
(1) The Manager, Los Angeles Aircraft Certification Office (ACO), FAA, has the authority to approve AMOCs for this AD, if requested using the procedures outlined in 14 CFR 39.19. In accordance with 14 CFR 39.19, send your request to your principal inspector or local Flight Standards District Office, as appropriate. If sending information directly to the manager of the ACO, send it to the attention of the person identified in paragraph (j)(1) of this AD. Information may be emailed to: 9-AMN-LAACO-AMOC-Requests@faa.gov.

(2) Before using any approved AMOC, notify your appropriate principal inspector, or lacking a principal inspector, the manager of the local flight standards district office/certificate holding district office.

(3) An AMOC that provides an acceptable level of safety may be used for any repair required by this AD if it is approved by the Boeing Commercial Airplanes Organization Designation Authorization (ODA) that has been authorized by the Manager, Los Angeles ACO, to make those findings. For a repair method to be approved, the repair must meet the certification basis of the airplane and the approval must specifically refer to this AD.

(j) Related Information

(2) Service information identified in this AD that is not incorporated by reference is available at the addresses specified in paragraphs (k)(3) and (k)(4) of this AD.

(k) Material Incorporated by Reference
(1) The Director of the Federal Register approved the incorporation by reference (IBR) of the service information listed in this paragraph under 5 U.S.C. 552(a) and 1 CFR part 51.

(2) You must use this service information as applicable to do the actions required by this AD, unless the AD specifies otherwise.


(3) For Boeing service information identified in this AD, contact Boeing Commercial Airplanes, Attention: Data & Services Management, P. O. Box 3707, MC 2H–65, Seattle, WA 98124–2207; telephone 206–544–5000, extension 1; fax 206–766–5680; Internet https://www.myboeingfleet.com.

(4) You may view this service information at FAA, Transport Airplane Directorate, 1601 Lind Avenue SW., Renton, WA. For information on the availability of this material at the FAA, call 425–227–1221.

(5) You may view this service information that is incorporated by reference at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202–741–6000, or go to: http://www.archives.gov/federal-register/ibr-locations.html.
SECURITIES AND EXCHANGE COMMISSION

17 CFR Part 232

[Release Nos. 33–9746; 34–74714; 39–2502; IC–31551]

Adoption of Updated EDGAR Filer Manual

AGENCY: Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: The Securities and Exchange Commission (the Commission) is adopting revisions to the Electronic Data Gathering, Analysis, and Retrieval System (EDGAR) Filer Manual and related rules to reflect updates to the EDGAR system. The updates are being made primarily to support the 2015 US GAAP financial reporting and 2015 EXCH taxonomies; add new form types for registration of Security-based swap data repositories (SDR); revise the Form ID Application Confirmation screen; remove references to the Paper Form ID; and revise Item 1 on submission form type MA–A. The EDGAR system was upgraded to support the new 2015 taxonomies and revised MA–A form functionalities on March 9, 2015. The EDGAR system is scheduled to be upgraded to support the other functionalities on April 13, 2015.


FOR FURTHER INFORMATION CONTACT: In the Division of Trading and Markets, for questions concerning Form SDR and the revisions for Form MA–A, contact Kathy Bateman at (202) 551–4345, and in the Office of Information Technology, contact Tammy Borkowski at (202) 551–7208.

SUPPLEMENTAL INFORMATION: We are adopting an updated EDGAR Filer Manual, Volume I and Volume II. The Filer Manual describes the technical formatting requirements for the preparation and submission of electronic filings through the EDGAR system. It also describes the requirements for filing using EDGARLink Online and the Online Forms/XML Web site.


The EDGAR system will be upgraded to Release 15.1 on April 13, 2015 and will introduce the following changes:

EDGAR will be updated to add new submission form types SDR, SDR/A, SDR–A, and SDR–W. These submission form types can be accessed by selecting the “File SDR” link on the EDGAR Filing Web site. Additionally, applicants may construct XML submissions for these submission types following the “EDGAR SDR XML Technical Specification” document available on the SEC’s Public Web site (http://www.sec.gov/info/edgar.shtml). Submission form types SDR, SDR/A, SDR–A, and SDR–W will include the “Request Confidentiality” check box to allow applicants to select which information to request confidential treatment. After a Form SDR is submitted, SEC staff will review the submission and make a determination of whether the information for which confidential treatment is requested should be made public. EDGAR will disseminate only the content and attached exhibits of the submission that the SEC staff has determined to be public.

The “Form ID Application Confirmation” screen will display four additional labels: “Signature of Authorized Person,” “Printed Name of Authorizer,” “Title of Person Signing,” and “Notary Signature & Seal to be Placed Here.” This screen will also be updated to include a “Print Window” button to print the completed online Form ID application. The printed application can be signed and notarized by the filer to serve as the authentication document when applying for EDGAR access.

All references to the Paper Form ID have been removed from the Filer Manual. Filers can print the electronic Form ID and use this as the authentication document as explained above.

EDGAR was updated to support the 2015 US GAAP financial reporting taxonomy and the 2015 EXCH taxonomy. A complete listing of supported standard taxonomies is available on http://www.sec.gov/info/edgar/edgartaxonomies.shtml.

Item 1 “Identifying Information” on submission type MA–A was updated for the following question: “Changes: Are there any changes in this annual update to information provided in the municipal advisor’s most recent Form MA, other than the updated Execution Page?” If filers select “No” as a response to the question, then all fields will be disabled on submission type MA–A with the exception of “Execution” and “Filer Information” tabs and the “Fiscal Year End Information” field on Item 1. Alternatively, if filers select “Yes” to the question, then they must update applicable items on submission type MA–A.

Along with the adoption of the Filer Manual, we are amending Rule 301 of Regulation S–T to provide for the incorporation by reference into the Code of Federal Regulations of today’s revisions. This incorporation by reference was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51.

The updated EDGAR Filer Manual will be available for Web site viewing and printing; the address for the Filer Manual is http://www.sec.gov/info/edgar.shtml. You may also obtain paper copies of the EDGAR Filer Manual from the following address: Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m.

Since the Filer Manual and the corresponding rule changes relate solely to agency procedures or practice, publication for notice and comment is not required under the Administrative...