The Commission appoints Kenneth R. Moeller to serve as Public Representative in these docket.

III. Ordering Paragraphs

It is ordered:


2. Pursuant to 39 U.S.C. 505, Kenneth R. Moeller is appointed to serve as an officer of the Commission to represent the interests of the general public in these proceedings (Public Representative).

3. Comments are due no later than July 1, 2015.

4. The Secretary shall arrange for publication of this order in the Federal Register.

By the Commission.

Shoshana M. Grove,
Secretary.

[FR Doc. 2015–15824 Filed 6–26–15; 8:45 am]
BILLING CODE 7710–FW–P

PRIVACY AND CIVIL LIBERTIES
OVERSIGHT BOARD


Closed Meeting on Executive Order 12333

AGENCY: Privacy and Civil Liberties Oversight Board.

ACTION: Notice of closed meeting.

SUMMARY: On April 8, 2015, during an open Sunshine Act meeting, the Board voted to select two counterterrorism-related activities governed by Executive Order 12333, and conduct focused in-depth examinations of those activities. During this closed meeting, the Board members will discuss proposed topics for this in-depth examination.

DATES: The closed meeting will be held on Wednesday, July 1, 2015 from 9:30 a.m. until 11:30 a.m. Eastern Standard Time (EST).

ADDRESS: 2100 K Street NW., Washington, DC 20427.

FOR FURTHER INFORMATION CONTACT: Sharon Bradford Franklin, Executive Director, 202–331–1986.

SUPPLEMENTARY INFORMATION:

Status

Closed. Pursuant to 5 U.S.C. 552b(c)(1), it has been determined that this meeting will be closed to the public as the Board will be reviewing and discussing matters properly classified in accordance with Executive Order 13526.

SECURITIES AND EXCHANGE COMMISSION

Submission for OMB Review;
Comment Request

Upon Written Request Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE., Washington, DC 20549–2736.

Extension:

Rules 17h–1T and 17h–2T.
SEC File No. 270–359, OMB Control No. 3235–0410.


Rule 17h–1T requires a covered broker-dealer to maintain and preserve records and other information concerning certain entities that are associated with the broker-dealer. This requirement extends to the financial and securities activities of the holding company, affiliates and subsidiaries of the broker-dealer that are reasonably likely to have a material impact on the financial or operational condition of the broker-dealer. Rule 17h–2T requires a covered broker-dealer to file with the Commission quarterly reports and a cumulative year-end report concerning the information required to be maintained and preserved under Rule 17h–1T.

The collection of information required by Rules 17h–1T and 17h–2T, collectively referred to as the “risk assessment rules”, is necessary to enable the Commission to monitor the activities of a broker-dealer affiliate whose business activities are reasonably likely to have a material impact on the financial or operational condition of the broker-dealer. Without this information, the Commission would be unable to assess the potentially damaging impact of the affiliate’s activities on the broker-dealer.

There are currently 306 respondents that must comply with Rules 17h–1T and 17h–2T. Each of these 306 respondents are estimated to require 10 hours per year to maintain the records required under Rule 17h–1T, for an aggregate estimated annual burden of 3,060 hours (306 respondents x 10 hours). In addition, each of these 306 respondents must make five annual responses under Rule 17h–2T. These five responses are estimated to require 14 hours per respondent per year for an aggregate estimated annual burden of 4,284 hours (306 respondents x 14 hours).

In addition, new respondents must draft an organizational chart required under Rule 17h–1T and establish a system for complying with the risk assessment rules. The staff estimates that drafting the required organizational chart requires one hour and establishing a system for complying with the risk assessment rules requires three hours. Based on the unchanged number of filers in recent years, the staff estimates there will be zero new respondents, and thus, a corresponding estimated burden of zero hours for new respondents. Thus, the total compliance burden per year is approximately 7,344 burden hours (3,060 hours + 4,284 hours).

The retention period for the recordkeeping requirement under Rule 17h–1T is not less than two years following the date the notice is submitted. There is no specific retention period or recordkeeping requirement for Rule 17h–2T. The collection of information is mandatory. All information obtained by the Commission pursuant to the provisions of Rules 17h–1T and 17h–2T from a broker or dealer concerning a material associated person is deemed confidential information for the purposes of section 24(b) of the Exchange Act.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number. The public may view background documentation for this information collection at the following Web site: www.reginfo.gov. Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: Shagufta Ahmed@omb.eop.gov; and (ii) Pamela Dixon, Director/Clerk/Reduction Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon,