e. Providing data and information on agency activity for incorporation into Departmental reports;

f. Designating facility energy supervisors in Department operated facilities and ensuring a sufficient number of trained energy managers throughout the Department to implement the provisions of law and regulation relating to energy and water conservation;

g. Where programs include a project or activity involving construction or leasing of property, ensuring that the responsible program manager conducts an environmental assessment; and, analyzes findings of environmental assessments and makes final decisions regarding the significance of environmental consequences;

7. Voluntary Health and Wellness Programs. Supporting the Department’s voluntary health and occupational wellness programs and drug-free workplace program.

8. Commercial Services Management (formerly Competitive Sourcing).

a. Establishing agency procedures necessary to carry out the provisions of the law, regulation and Departmental directives relating to commercial services management.

b. Designating an agency official as the central point of contact for commercial services management and ensuring the timely and appropriate completion of required activities and notices.

8. The Solicitor of Labor. The Solicitor of Labor is delegated authority and assigned responsibility for providing legal advice and assistance to all officers of the Department relating to the administration of all of the elements of this Order and the statutory provisions, regulations, and Executive Orders listed above. The Solicitor of Labor shall have responsibility for legal advice and assistance through rulings and interpretations of applicable laws and regulations and for drafting services. The bringing of legal proceedings under those authorities, the representation of the Secretary and other officials of the Department of Labor, and the determination of whether such proceedings or representations are appropriate in a given case, are delegated exclusively to the Solicitor.

9. Reservation of Secretary’s Authority and Responsibility.

A. The submission of reports and recommendations to the President and the Congress is reserved to the Secretary.

B. This Secretary’s Order does not affect the authorities and responsibilities of the Office of Inspector General under the Inspector General Act of 1978, as amended, or under Secretary’s Order 4–2006.

10. Re-delegation of Authority. Unless identified as non-delegable under this Order, authorities delegated within this Order may be re-delegated, provided, however, that re-delegation shall in no way diminish the delegating official’s responsibility.

11. Effective Date. This order is effective immediately.

Edward C. Hugler.
Acting Secretary of Labor.

[FR Doc. 2017–05189 Filed 3–14–17; 8:45 am]

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DEPARTMENT OF LABOR

[Secretary’s Order 02–2017]

Authority and Responsibilities for Implementation of the Chief Financial Officers Act of 1990 and Related Legislation

Date: January 18, 2017.

1. Purpose

To delegate authority and assign responsibilities for implementation of the Chief Financial Officers Act of 1990 and related legislation.

2. Authorities and Directives Affected

A. Authorities


B. Directives Affected

1. Secretary’s Order 04–2009 is superseded and canceled.

2. This Order does not affect Secretary’s Order 14–2006, Internal Control Program (June 20, 2006).

3. All references to the Office of the Comptroller, Office of the Assistant Secretary for Administration and Management (OASAM), in Secretary’s Orders, DLMS Chapters, and other Departmental issuances shall be reconsidered to refer to the Office of the Chief Financial Officer.

4. Directives inconsistent with this Order are rescinded to the extent of the inconsistency.

3. Background and Organization

The Chief Financial Officers Act of 1990, as part of overall Federal financial management reforms, mandated the establishment of a Chief Financial Officer (CFO) and Deputy Chief Financial Officer in all Cabinet-level Federal agencies, including the Department of Labor (DOL). The CFO is appointed by the President and confirmed by the Senate, and by statute reports directly to the Secretary. The Deputy CFO is a career-reserved position in the Senior Executive Service who reports directly to the CFO. The CFO heads the Office of the Chief Financial Officer (OCFO), which has such component organization units, staffing, and funding as are authorized.

4. Delegation of Authority

As specified in the CFO Act, at 31 U.S.C. 902, and as detailed in Paragraph 5 of this Order, the Chief Financial Officer is delegated authority to oversee the financial management functions of the Department.

5. Assignment of Responsibilities to the Chief Financial Officer

A. As required by the CFO Act, the CFO shall—

1. Report directly to the Secretary and Deputy Secretary regarding financial management matters;

2. Oversee all financial management activities relating to the programs and operations of the Department;

3. Develop and maintain an integrated Departmental accounting and financial
management system, including financial reporting and internal controls, which—
a. Complies with applicable accounting principles, standards, and requirements, and internal control standards;
b. Complies with such policies and requirements as may be prescribed by the Director of the Office of Management and Budget;
c. Complies with any other requirements applicable to such systems; and
d. Provides for—
   1. Complete, reliable, consistent, and timely information which is prepared on a uniform basis and which is responsive to the financial information needs of Departmental management;
   2. The development and reporting of cost information;
   3. The integration of accounting and budgeting information; and
   4. The systematic measurement of financial performance;
Make recommendations to the Secretary regarding the selection of the Deputy Chief Financial Officer of the Department, who will have the qualifications outlined in the CFO Act at 31 U.S.C. 903;
Direct, manage, and provide policy guidance and oversight of Departmental financial management personnel, activities, and operations, including—
a. The preparation and annual revision of a Departmental plan to—
   1. Implement the 5-year financial management plan prepared by the Director of the Office of Management and Budget under 31 U.S.C. 3512(a)(3); and
   2. Comply with the requirements for financial statements and audits established under 31 U.S.C. 3515, 3521(e), and 3521(f);
b. The development of Departmental financial management budgets;
c. The recruitment, selection, and training of personnel to carry out Departmental financial management functions;
d. The approval and management of Departmental financial management systems design or enhancement projects;
e. The implementation of Departmental asset management systems, including systems for cash management, credit management, debt collection, and property and inventory management and control;
f. Prepare and transmit an annual report to the Secretary and the Director of the Office of Management and Budget consistent with the requirements of OMB Circular No. A-136, which shall include—
1. A description and analysis of the status of financial management of the Department;
2. The annual financial statements prepared under 31 U.S.C. 3515;
3. The audit report transmitted to the Secretary under 31 U.S.C. 3521(f);
4. A summary of the reports on internal accounting and administrative control systems submitted to the President and the Congress under the amendments made by the Federal Managers’ Financial Integrity Act of 1982 (Pub. L. 97–255); and
5. Other information the Secretary considers appropriate to fully inform the President and the Congress concerning the financial management of the Department;
Monitor the financial execution of the budget of the Department in relation to actual expenditures and prepare and submit to the Secretary timely financial performance reports;
Review, on a biennial basis, the fees, royalties, rents, and other charges imposed by the Department for services and things of value it provides, and make recommendations on revising those charges to reflect costs incurred by it in providing those services and things of value.
B. The CFO will have the following additional responsibilities:

1. Budget
a. Issuing policy guidance and instructions to prepare the Department’s performance budgets for internal decision-making, for OMB, and for the Congress.
b. Reviewing and analyzing agency budget requests to the Department.
c. Reviewing, analyzing, consolidating and packaging all Departmental budget submissions to the OMB and Congress to ensure technical accuracy and conformance with established policy.
d. Coordinating all information developed in support of budget requests to OMB and to Congress.
e. Providing staff support in preparing lead Departmental representations for press briefings and Congressional hearings on the Department’s budget.
f. Assisting the Office of Congressional and Intergovernmental Affairs in coordinating the preparation of briefing materials for the Secretary and Agency heads.
g. Issuing policy guidance and instructions on the preparation of apportionments.
h. Monitor the financial execution of the budget of the Department in relation to actual expenditures, and prepare and submit to the Secretary timely financial performance reports.
i. Provide leadership, direction, coordination, and related services concerning budget execution for the Department and its component agencies.
j. Participate with Departmental Agency heads and other staff at a policy and decision-making level in the Departmental budget execution review process.
k. Review the budget requests for all Departmental and component agency financial management functions; recommend to the Secretary their modification as necessary to ensure that appropriate resources are requested to effectively and efficiently perform necessary financial and related functions.
l. Promote the development and reporting of cost information in support of the systematic measurement of performance in appropriate budget documents.
m. Manage and oversee the Department’s administrative control of funds from the time funds are allotted to the DOL agencies.

2. Policy Duties
a. Develop and promulgate accounting and financial management policies for DOL and its component agencies, and review and approve component agency financial policies, procedures, and structures for adherence to the policies of DOL and other Federal agencies.
b. Ensure compliance throughout DOL, and its component agencies, with applicable accounting standards and principles, and financial information and system functional standards, including the standards promulgated by the Federal Accounting Systems Advisory Board, the Federal Government’s Standard General Ledger, the core requirements for financial systems, and the financial statement form and content guidance issued by OMB.
c. Exercise overall responsibility for the Department’s compliance with FMFIA and for the Department’s fiscal integrity; serve on the Department’s Internal Control Board; report directly to the Secretary on internal control matters; carry out the responsibilities specified in Secretary’s Order 14–2006, Internal Control Program, for the CFO and the Internal Control Principal for financial systems and mixed systems that are significantly financial.
d. Ensure adequate controls are in place over asset management, including cash management operations, credit management and debt collection operations, and real property, equipment, and inventories.
e. Participate with Departmental Agency heads and other staff in the policy review of proposed legislative
and program initiatives from a financial management perspective.

f. Ensure that component agencies gather timely and accurate financial information to manage and oversee major procurements.

g. Develop policies and procedures for investigating potential violations of the Anti-Deficiency Act; working under policies established by the CFO, and in cooperation with the ASAM and the Solicitor of Labor, notify the Secretary of Anti-Deficiency Act violations, and transmit agency reports of Anti-Deficiency Act violations to the Secretary for transmittal to the President, Congress, OMB, and the Government Accountability Office, as applicable.

3. Financial Systems Accountability Duties

. Review and approve the design and operation of component agency financial, accounting, and asset systems, specifically including the financial aspects of grant management systems, debt collection systems, and other systems defined by FFMA.

a. Provide oversight of, and issue core requirements and standards related to, component agency financial systems, activities, and operations, including preparation and revision of agency financial management plans and financial performance reports.

b. In coordination with the ASAM, establish policies, procedures, and other guidelines to prescribe the form, content, and frequency of accounting information to be reported from component agency systems to meet DOL and central Federal agency information requirements.

c. Participate in the review and approval process of information systems that provide, at least in part, financial and/or program performance data.

d. In consultation with the Chief Information Officer (CIO), ensure that the accounting, financial, asset management, and other information systems of the Department are designed, developed, maintained, and used effectively to provide financial or program data for financial statements of the Department.

e. Ensure, in consultation with the CIO, that program information systems provide financial, budget, and programmatic data on a reliable, consistent and timely basis to agency financial management systems.

f. Recommend to the Secretary any information resource management and budget decisions affecting financial management processes, systems, and operations.

g. Consult with the CIO to ensure sufficient oversight and security exist to maintain the integrity of information systems that affect the preparation and presentation of the Department’s financial statements.

4. External Reporting Duties

. Prepare the financial management components of the annual Performance and Accountability Report (PAR) for transmittal to the Secretary and the Director of OMB. The PAR will meet the requirements of OMB Circular No. A–136, and shall include, in part—

1. A description and analysis of the status of financial management of the Department;

2. The Department’s annual financial statements and accounting reports, including, where appropriate, pertinent performance measures;

3. The audit report transmitted to the Secretary;

4. The annual report required to be submitted to the President and the Congress under the FMFIA;

5. The report required by the Improper Payments Information Act;

6. The Management Assurance Statement required by OMB Circular No. A–123;

7. The annual financial management report required by the Chief Financial Officers Act; and

8. Other information the Secretary considers appropriate to fully inform the President and the Congress concerning the financial management of the Department.

a. Provide policy advice and assistance to DOL executives, including component agency heads, on all personnel matters affecting financial management personnel throughout the DOL and its component agencies, and on budget and staffing levels for component agency financial functions.

b. Review all proposed personnel selections, skill requirements, performance standards, and position descriptions for financial management personnel at the GS–15 level and above throughout the DOL and its component agencies; discuss any problems with the component agency head and appeal any unresolved issue to the Secretary through the Deputy Secretary.

c. Manage a comprehensive training and development program for budget analysts, accountants, financial managers, and financial technicians; ensure that staff skills are commensurate with requirements; and implement a Continuing Professional Education (or similar) program.

5. Financial Management Personnel Duties

. Provide oversight of, and issue core requirements and standards related to, component agency financial management personnel.
2. Ensures, through the creation and regular convening of a Working Capital Fund Committee, the opportunities for meaningful and informed customer agency participation or representation in reviewing WCF activities, costs, and charges, and in recommending changes or improvements to the CFO.

3. Is operated on the basis of agency reimbursement agreements between customers and service providers and timely cost assessments and related adjustments for services provided or offered.

4. Provide technical reviews of finance offices in the DOL and its component agencies, and oversee component agency financial systems as defined in the FFMA.

5. Appraise centralized and decentralized operations and organizations to determine more effective and cost-efficient methods of performing required financial functions.

6. Serve as the Department’s Improper Payment Reduction Coordinator, with responsibilities including, but not limited to:

- Coordinating the establishment of policies and procedures for assessing Departmental, component agency, and program risks of improper payments;
- Coordinating Departmental, component agency, and program management actions to reduce improper payments. These duties include:
  - Assigning responsibility for specific areas of improper payment-related activities to appropriate component agency, program, or activity officials;
  - Coordinating the development of detailed action plans to determine the nature and extent of possible improper payments for all DOL programs and activities spending Federal funds;
  - Assisting component agency and program management in identifying cost-effective control activities to address identified risk areas;
  - Assisting component agency and program management in establishing improper payment reduction goals or targets and measuring performance against those goals to determine progress made and areas needing additional action;
  - Developing procedures for working with OMB and the Congress to address barriers encountered that inhibit actions to reduce improper payments; and
  - Coordinating periodic reporting, through publicly available documents, to the Secretary, OMB, and the Congress on the progress made in achieving improper payment reduction targets and future action plans for controlling improper payments; and
- Providing a quarterly status report to the Deputy Secretary on

Departmental activities to identify and reduce improper payments.

C. In addition to the authority otherwise provided in this Order, the CFO—

1. Shall have access to all records, reports, audits, reviews, documents, papers, recommendations, or other material which are the property of the Department or which are available to the Department, and which relate to programs and operations with respect to which the CFO has responsibilities;

2. May request such information or assistance as may be necessary for carrying out the duties and responsibilities provided by this Order from any Federal, State, or local governmental entity; and

3. To the extent and in such amounts as may be provided in advance by appropriations Acts, may—

- Enter into contracts and other arrangements with public agencies and with private persons for the preparation of financial statements, studies, analyses, and other services; and
- Make such payments as may be necessary to carry out the provisions of this Order.

6. Assignment of Responsibilities to Other Individuals

A. Unless modified by this Order, the heads of component Agencies retain previously delegated responsibilities and authority. In the context of the Department’s financial management program, they are specifically charged with the responsibility to:

1. In coordination with the CFO and the CIO, define program information needs and develop strategies, systems, and capabilities to meet those needs.

2. Perform transaction and operational level financial functions in accordance with policies, requirements, and procedures established by the CFO.

3. Direct financial staffs and functions in their respective component agencies consistent with those policies and procedures established by the CFO.

4. Facilitate the CFO’s oversight responsibilities with respect to financial operations and component agency program financial systems by providing and maintaining system documentation, audit trials, summary or detailed transaction data, and such other information as the CFO may require.

5. Fully solicit, consider, and cooperate with the CFO in the review of proposed appointment, promotion, and other personnel actions affecting financial management staff at the GS–15 level and above.

6. Facilitate the CFO’s oversight responsibilities with respect to financial operations and component agency program financial systems by providing and maintaining system documentation, audit trials, summary or detailed transaction data, and such other information as the CFO may require.

5. Fully solicit, consider, and cooperate with the CFO in the review of proposed appointment, promotion, and other personnel actions affecting financial management staff at the GS–15 level and above.

6. Facilitate the CFO’s oversight responsibilities with respect to financial operations and component agency program financial systems by providing and maintaining system documentation, audit trials, summary or detailed transaction data, and such other information as the CFO may require.

5. Fully solicit, consider, and cooperate with the CFO in the review of proposed appointment, promotion, and other personnel actions affecting financial management staff at the GS–15 level and above.

6. Facilitate the CFO’s oversight responsibilities with respect to financial operations and component agency program financial systems by providing and maintaining system documentation, audit trials, summary or detailed transaction data, and such other information as the CFO may require.
component agency financial staff. Similarly, component agencies shall keep the Chief Financial Officer apprised of directives and other communications affecting their financial staff.

8. Reservations of Authority
   A. Unless otherwise stated in this Order, the submission of reports and recommendations to the President and the Congress concerning the administration of statutory or administrative provisions is reserved to the Secretary.
   B. Except as provided in Paragraph (5)(D)(1), this Order does not provide to the CFO any access greater than permitted under any other law to records, reports, audits, reviews, documents, papers, recommendations, or other material of the Office of Inspector General.

9. Redellegations and Transfers of Authority
   Unless provided otherwise in this or another Secretary’s Order, the authority delegated in this Order may be redelegated or transferred, as permitted by law or regulation.

10. Effective Date
    This Order is effective immediately.

Edward C. Hugler,
Acting Secretary of Labor.
[FR Doc. 2017–05183 Filed 3–14–17; 8:45 am]
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DEPARTMENT OF LABOR

Occupational Safety and Health Administration
[Docket No. OSHA–2006–0040]

SGS North America, Inc.: Application for Expansion of Recognition

AGENCY: Occupational Safety and Health Administration (OSHA), Labor.

ACTION: Notice.

SUMMARY: In this notice, OSHA announces the application of SGS North America, Inc., for expansion of its recognition as a Nationally Recognized Testing Laboratory (NRTL) and presents the Agency’s preliminary finding to grant the application.

DATES: Submit comments, information, and documents in response to this notice, or requests for an extension of time to make a submission, on or before March 30, 2017.

ADDRESSES: Submit comments by any of the following methods:

1. Electronically: Submit comments and attachments electronically at http://www.regulations.gov, which is the Federal eRulemaking Portal. Follow the instructions online for making electronic submissions.
2. Facsimile: If submissions, including attachments, are not longer than 10 pages, commenters may fax them to the OSHA Docket Office at (202) 693–1648.
3. Regular or express mail, hand delivery, or messenger (courier) service: Submit comments, requests, and any attachments to the OSHA Docket Office, Docket No. OSHA–2006–0040, Technical Data Center, U.S. Department of Labor, 200 Constitution Avenue NW., Room N–3508, Washington, DC 20210; telephone: (202) 693–2350 (TTY number: (877) 889–5627). Note that security procedures may result in significant delays in receiving comments and other written materials by regular mail. Contact the OSHA Docket Office for information about security procedures concerning delivery of materials by express mail, hand delivery, or messenger service. The hours of operation for the OSHA Docket Office are 10:00 a.m.—2:30 p.m., e.t.
4. Instructions: All submissions must include the Agency name and the OSHA docket number (OSHA–2006–0040). OSHA places comments and other materials, including any personal information, in the public docket without revision, and these materials will be available online at http://www.regulations.gov. Therefore, the Agency cautions commenters about submitting statements they do not want made available to the public, or submitting comments that contain personal information (either about themselves or others) such as Social Security numbers, birth dates, and medical data.
5. Docket: To read or download submissions or other material in the docket, go to http://www.regulations.gov or the OSHA Docket Office at the address above. All documents in the docket are listed in the http://www.regulations.gov index; however, some information (e.g., copyrighted material) is not publicly available to read or download through the Web site. All submissions, including copyrighted material, are available for inspection at the OSHA Docket Office. Contact the OSHA Docket Office for assistance in locating docket submissions.
6. Extension of comment period: Submit requests for an extension of the comment period on or before March 30, 2017 to the Office of Technical Programs and Coordination Activities, Directorate of Technical Support and Emergency Management, Occupational Safety and Health Administration, U.S. Department of Labor, 200 Constitution Avenue NW., Room N–3655, Washington, DC 20210, or by fax to (202) 693–1644.

FOR FURTHER INFORMATION CONTACT: Information regarding this notice is available from the following sources:

Press inquiries: Contact Mr. Frank Meilinger, Director, OSHA Office of Communications, U.S. Department of Labor, 200 Constitution Avenue NW., Room N–3647, Washington, DC 20210; telephone: (202) 693–1644; email: meilinger.francis@dol.gov.

General and technical information: Contact Mr. Kevin Robinson, Director, Office of Technical Programs and Coordination Activities, Directorate of Technical Support and Emergency Management, Occupational Safety and Health Administration, U.S. Department of Labor, 200 Constitution Avenue NW., Room N–3655, Washington, DC 20210; phone: (202) 693–2110 or email: robinson.kevin@dol.gov.

SUPPLEMENTARY INFORMATION:

I. Notice of the Application for Expansion

The Occupational Safety and Health Administration is providing notice that SGS North America, Inc. (SGS), is applying for expansion of its current recognition as an NRTL. SGS requests the addition of two test standards to its NRTL scope of recognition. OSHA recognition of an NRTL signifies that the organization meets the requirements specified in 29 CFR 1910.7. Recognition is an acknowledgment that the organization can perform independent safety testing and certification of the specific products covered within its scope of recognition. Each NRTL’s scope of recognition includes (1) the type of products the NRTL may test, with each type specified by its applicable test standard; and (2) the recognized site(s) that has/have the technical capability to perform the product-testing and product-certification activities for test standards within the NRTL’s scope. Recognition is not a delegation or grant of government authority; however, recognition enables employers to use products approved by the NRTL to meet OSHA standards that require product testing and certification.

The Agency processes applications by an NRTL for initial recognition and for an expansion or renewal of this recognition, following requirements in Appendix A to 29 CFR 1910.7. This appendix requires that the Agency publish two notices in the Federal Register in processing an application. In the first notice, OSHA announces the application and provides its preliminary