LEGAL SERVICES CORPORATION

Sunshine Act Meeting

DATE AND TIME: The Legal Services Corporation’s Board of Directors will meet telephonically on Tuesday, May 23, 2017. Immediately following the Board of Directors telephonic meeting, the Operations and Regulations Committee will hold a telephonic meeting. The Board meeting will commence at 2:00 p.m., EDT, and the meetings will continue until the conclusion of the Committee’s agenda.


PUBLIC OBSERVATION: Members of the public who are unable to attend in person but wish to listen to the public proceedings may do so by following the telephone call-in directions provided below.

CALL-IN DIRECTIONS FOR OPEN SESSIONS:

• Call toll-free number: 1–866–451–4981;
• When prompted, enter the following numeric pass code: 59007707348;
• When connected to the call, please immediately “MUTE” your telephone. Members of the public are asked to keep their telephones muted to eliminate background noises. To avoid disrupting the meeting, please refrain from placing the call on hold if doing so will trigger recorded music or other sound. From time to time, the Chair may solicit comments from the public.

STATUS OF MEETINGS: Open.

MATTERS TO BE CONSIDERED:

Board of Directors

1. Approval of agenda.
3. Ron Flagg, General Counsel and Vice President for Legal Affairs.
4. Stefanie Davis, Assistant General Counsel.
5. Public comment.
6. Consider and act on other business.
7. Consider and act on adjournment of meeting.

CONTACT PERSON FOR INFORMATION:
Katherine Ward, Executive Assistant to the Vice President & General Counsel, at (202) 295–1500. Questions may be sent by electronic mail to FR_NOTICEQUESTIONS@lsc.gov.

ACCESSIBILITY: LSC complies with the Americans with Disabilities Act and Section 504 of the 1973 Rehabilitation Act. Upon request, meeting notices and materials will be made available in alternative formats to accommodate individuals with disabilities.

Individuals needing other accommodations due to disability in order to attend the meeting in person or telephonically should contact Katherine Ward, at (202) 295–1500 or FR_NOTICEQUESTIONS@lsc.gov, at least 2 business days in advance of the meeting. If a request is made without advance notice, LSC will make every effort to accommodate the request but cannot guarantee that all requests can be fulfilled.


Katherine Ward,
Executive Assistant to the Vice President for Legal Affairs and General Counsel.

U.S. Copyright Office

Pilot Program for Bulk Submission of Claims to Copyright

[DOCKET NO. 2017–5]

AGENCY: U.S. Copyright Office, Library of Congress.

ACTION: Public notice.

SUMMARY: The U.S. Copyright Office is announcing a pilot program that will allow for the bulk submission of claims to copyright in certain limited types of literary works. Specifically, at this time, the pilot program is limited to claims to single literary works that have a single author, where all content that appears in the work was created and is owned solely by that single author. Applicants that participate in the pilot will be required to provide author, title, and
other pertinent information for each work they submit. And they will be required to upload a copy of each work and pay the appropriate filing fee. But they will be able to bypass the Office’s online interface and transmit their claims directly into the electronic registration system, instead of filing them on an individual basis. To participate in the pilot, applicants would have to comply with certain technical requirements, which are discussed below. The Office is offering this pilot as part of its ongoing effort to increase the efficiency of the registration system for both applicants and the Office alike.

ADDRESS: Entities interested in participating in the pilot program should contact the Copyright Office by submitting their contact information, as well as a description of the type of works sought to be registered and anticipated volume, in the form located at https://www.copyright.gov/rulemaking/bulk-submission. The Copyright Office will contact interested parties after receiving this information.

FOR FURTHER INFORMATION CONTACT: Sarang V. Damle, General Counsel and Associate Register of Copyrights, by email at sdam@loc.gov or by telephone at 202-707-8350, or Robert J. Kasunic, Associate Register and Director of Registration Policy and Practice by telephone at 202-707-8040.

SUPPLEMENTARY INFORMATION: In 2013, the U.S. Copyright Office began to identify and evaluate potential improvements and technical enhancements to the information technology platforms that support its electronic registration system. As part of this effort, the Office sought public comment on how stakeholders use the Office’s online system, known as “eCO,” and whether the system meets or fails to meet user expectations. The Office also sought input on the types of online services that stakeholders would like to see in the future. See 78 FR 17722 (Mar. 22, 2013).

The Office received input from various stakeholders, including copyright owners, users of copyright records, technical experts, public interest organizations, professional associations, and small businesses. One recommendation that the Office frequently heard was the need for bulk data transfer between interested parties and the Office. Stakeholders predicted that “system-to-system” or “business-to-business” transfers would allow applicants to submit large quantities of electronic material and associated application data to the Office. They encouraged the Office to take an active role in facilitating this type of data exchange by adopting standards-based protocols, such as eBMS, SOAP, and AS4. 78 FR at 17722, 17723.

In February 2015, the Chief Information Officer for the Copyright Office issued a report that offered several recommendations for creating a better user interface and a better public record. See U.S. Copyright Office, Office of the Chief Information Officer, Report and Recommendations of the Technical Upgrades Special Project Team (Feb. 2015), available at http://www.copyright.gov/docs/technical_upgrades/usco-technicalupgrades.pdf (hereinafter “Technical Upgrades Report”). With respect to the electronic registration system, the report recommended that the Office establish a short-term pilot, using the Office’s existing registration system, allowing for the bulk submission of copyright claims from select parties, while maintaining the long-term goal of eventually allowing “open and easy bulk submission” from any interested party in a future registration system. Id. at 10, 72. The report predicted that bulk submission would reduce the amount of manual labor involved in submitting claims, and improve the quality of the data that the Office receives. The report encouraged the Office to initiate a pilot “sooner rather than later,” but acknowledged that “such a critical initiative should not be undertaken without proper planning.” Id. at 69–70.


This pilot program will be very limited in scope. It will be available only for claims to single literary works that have a single author, where all content that appears in the work was created and is owned solely by that single author.

Works Eligible for Bulk Submission

Applicants can register most types of works through the eCO system. But they must file their claims through the Office’s Web site, and each claim must be submitted on an individual basis. Many stakeholders complain that this system is cumbersome and difficult to use. Technical Upgrades Report at 18; 78 FR at 17723.

Applicants that have been accepted into the pilot program will be able to bypass the Office’s Web site and the eCO interface. Instead, they will transmit their claims directly into the electronic registration system through a separate portal. And they will be able to submit multiple batches of claims, instead of filing them one by one.

The pilot is intended for applicants that routinely submit large numbers of claims, either on their own behalf or on behalf of other parties. As with any other claim, applicants will be required to provide the information called for in the application, including the author, title, and other pertinent details about each work. But they will be able to send this information in a single transmission instead of preparing separate submissions for each work. Applicants will be required to pay the appropriate filing fee for each claim, but they will be able to pay these fees with a single deduction from their deposit account instead of making separate payments. Finally, applicants will be required to upload a complete electronic copy of each work. But they will be able to bundle these copies together and send them in a single package, instead of uploading each copy individually. Once these materials have been received, the electronic registration system will match each electronic copy with the corresponding application information for that work.

To be clear, the Office is not creating a new group registration option. The pilot is simply intended to facilitate the submission of large numbers of individual claims that would otherwise be submitted one by one. When the Office receives a bulk submission it will examine each work on an individual basis to determine if it meets the eligibility requirements established for the pilot, and if the other formal and legal requirements have been met. If so, the Office will issue a separate certificate of registration for each work.

As noted above, applicants may be eligible for the bulk submission option only if their claims satisfy certain requirements. Specifically, each claim must be limited to one literary work. Each work must be created by one

1 As the Office noted in a recent rulemaking, applicants currently must file a paper application to seek a supplementary registration, a renewal registration, or a basic registration for a mask work, a vessel design, a foreign work restored to copyright protection under the Uruguay Round Agreements Act, and certain types of databases. See 81 FR 86656, 86657–58 (Dec. 1, 2016).
individual, all the content that appears in the work must be created by that individual, and that individual must be the sole owner of all rights in the work. In this respect, the pilot program will mirror the eligibility requirements that apply when a literary work is submitted with the Single Application. Likewise, the filing fee for claims submitted through the pilot will match the fee that currently applies to the Single Application, namely $35 for each work. The deposit for each work must be uploaded as one PDF file.

If the Office determines that a particular work does not satisfy these eligibility requirements, it will refuse to register the claim. In particular, works made for hire will not be eligible for the pilot. A work created by two or more individuals will not be eligible. A work will not be eligible if the deposit contains material created by two or more authors (even if the applicant only intends to register material created by one of those individuals). For the same reason, a derivative work will not be eligible if it is based on a preexisting work by a different author. A work created by one individual will not be eligible if the author transferred his or her rights to another party or if the work is co-owned by two or more parties. Claims involving collective works, an unpublished collection, a unit or publication, a Web site, a database, or other multiple works also will not be eligible for the pilot.

The Office is limiting the pilot to literary works at this time, because they account for the largest number of claims submitted through the eCO system in any given year. For example, in Fiscal Year 2016, the Office received more than 180,000 literary claims, which represent roughly 36% of the electronic claims submitted during that period. At the same time, the Office must balance the administrative burden that bulk submission may impose on the Office. The Office decided to limit the pilot to the portal and will interact directly with the claims submitted through the eCO system. Briefly stated, participants will transmit streams of data through the gateway in an XML format using the HTTPS secure transport protocol. Participants will use the HTTP “POST” method to submit an electronic copy of each work in a PDF format. The Office does not plan to limit the number of claims that may be included within each submission, but each batch must include a header that identifies the total number of claims contained therein.

Once the XML stream and the electronic copies have been sent through the gateway, the participant will receive an email notification acknowledging the receipt of the transmission. Before the transmission is entered into the eCO system, the Office will scan it for potential security issues, such as the presence of malicious code. Next, the transmission will be scanned to determine if (i) there is a sufficient amount of money in the participant’s deposit account to cover the filing fees, (ii) a copy of each work has been submitted, and (iii) the electronic copies can be linked to the corresponding data file for each work. If not, the system will reject the entire batch and send an email notification to the participant. Finally, the system will scan each transmission to determine if any information appears to be missing from the claims, such as the author or title of a particular work. If so, the system will send an email notification to the participant regarding that claim. In such cases, the participant will need to correct the issue and resubmit the claim in another bulk submission.

If the transmission is accepted, the XML streams will auto-populate the corresponding fields within the electronic registration system. The tracking number for each work will be used to connect the electronic copies with the corresponding data file for that work. The eCO system will assign a service request number to each claim, and eventually they will be distributed to an examiner—just like any other claim that is submitted through the Office’s Web site. If the claims are approved, the Office will send a certificate of registration to the person named in the correspondent field.

Parties that are interested in participating in this pilot program should contact the Copyright Office using the contact information in the ADDRESSES section above.


Sarang V. Damle,
General Counsel and Associate Register of Copyrights

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NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

National Endowment for the Arts

Submission for OMB Review; Comment Request

SUMMARY: The National Endowment for the Arts, on behalf of the Federal Council on the Arts and the Humanities, will submit the following public information collection request (ICR) to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995 (Pub. L. 104–13, 44 U.S.C. Chapter 35): Application for International Indemnification. Copies of this ICR, with applicable supporting