This information collection is subject to the PRA. A Federal agency generally cannot conduct or sponsor a collection of information, and the public is generally not required to respond to an information collection, unless it is approved by the OMB under the PRA and displays a currently valid OMB Control Number. In addition, notwithstanding any other provisions of law, no person shall generally be subject to penalty for failing to comply with a collection of information that does not display a valid Control Number. See 5 CFR 1320.5(a) and 1320.6. The DOL obtains OMB approval for this information collection under Control Number 1218–0003.

OMB authorization for an ICR cannot be for more than three (3) years without renewal, and the current approval for this collection is scheduled to expire on July 31, 2017. The DOL seeks to extend PRA authorization for this information collection for three (3) more years, without any change to existing requirements. The DOL notes that existing information collection requirements submitted to the OMB receive a month-to-month extension while they undergo review. For additional substantive information about this ICR, see the related notice published in the Federal Register on December 22, 2016 (81 FR 93963).

Interested parties are encouraged to send comments to the OMB, Office of Information and Regulatory Affairs at 200–260 Washington, DC 20405. Written comments are due on July 31, 2017. Comments will be available at this address for public inspection and photocopying between 8 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: Janine Castorina, Chief Privacy and Records Management Office, Privacy and Records Management Office, 202–268–3069 or privacy@usps.gov.

SUPPLEMENTARY INFORMATION: This notice is in accordance with the Privacy Act requirement that agencies publish their systems of records in the Federal Register when there is a revision, change, or addition, or when the agency establishes a new system of records. The Postal Service™ has determined that one Customer Privacy Act Systems of Records should be revised to modify categories of records in the system, purposes, retention and disposal, and system managers and addresses.

I. Background

Bulk Proof of Delivery (BPOD) provides commercial customers or mailers with the ability to receive signature proof of delivery records for applicable mailpieces without requesting them individually or attaching PS Form 3811, Domestic Return Receipt, on each mailpiece (if applicable). The Postal Service provides records by the delivery tracking data and the delivery date, with recipient information, producing letter facsimiles of delivery records, and presents those to the mailer or the mailer’s approved third-party designee in Adobe PDF format electronically.

Proof of Delivery or Return Receipt Electronic (RRE) is a domestic special service that provides customers with an alternative to the PS Form 3811, Domestic Return Receipt. After purchasing a RRE, customers visit USPS.com to enter their item tracking number and the email address where they wish to receive their return receipt. After the item is delivered, the customer is sent a return receipt proof-of-delivery letter via email that includes the date and time of delivery, and recipient information.

II. Rationale for Changes to USPS Privacy Act Systems of Records

Privacy Act System of Records 820.200, System Name: Mail Management and Tracking Activity, is being revised to improve customer and mailer experience with shipping records that include Proof of Delivery information for mailpieces having a USPS Tracking and/or Special Services label and article numbers, by providing more accurate, complete and reliable delivery information.

III. Description of Changes to Systems of Records

Pursuant to 5 U.S.C. 552a(e)(11), interested persons are invited to submit written data, views, or arguments on this proposal. A report of the proposed revisions has been sent to Congress and to the Office of Management and Budget for their evaluations. The Postal Service does not expect these amended systems of records to have any adverse effect on individual privacy rights. The affected systems are as follows:
USPS 820.200

SYSTEM NAME:
Mail Management and Tracking Activity

CATEGORIES OF RECORDS IN THE SYSTEM

[CHANGETO READ OR RENUMBER]

2. Identification information:
Customer ID(s), last four digits of Social Security Number (SSN), mailer ID, advertiser name/ID, username, and password.

3. Recipient information: Name, address and signature of recipient or image of recipient signature.

4. Data on mailings: Paper and electronic data on mailings, including postage statement data (such as volume, class, rate, postage amount, date and time of delivery, mailpiece count), destination of mailing, delivery status, mailing problems, preset information, reply mailpiece information, container label numbers, package label, Special Services label article number or USPS Tracking number, and permit numbers.

5. Payment information: Credit and/or debit card number, type, and expiration date; ACH information.

6. Customer preference data: Hold Mail begin and end date, redelivery date, delivery options, shopping and pickup preferences, drop ship codes, comments and instructions, Bulk Proof of Delivery, Hold For Pickup requests or redirection of mailpieces with a USPS Tracking and/or Special Services label and article number, mailing frequency, preferred delivery dates, and preferred means of contact.

7. Product usage information: Special Services label and article number.

8. Mail images: Images of mailpieces captured during normal mail processing operations

PURPOSES

[CHANGETO READ]

1. To provide accurate and reliable delivery information.

2. To provide shipping records for mailpieces with a USPS Tracking and/or Special Service label and article number.

RETRIEVABILITY

[CHANGETO READ]

By customer name, customer ID(s), logon ID, mailing address(es), 11-digit ZIP Code, any Intelligent Mail barcode, USPS Tracking number or Special Service label and article number.

RETRIEVABILITY

[CHANGETO READ]

1. IMb Tracing records are retained for up to 7 days.

SYSTEM MANAGER(S) AND ADDRESS

[CHANGETO READ]

Chief Customer and Marketing Officer and Executive Vice President, United States Postal Service, 475 L’Enfant Plaza SW., Washington, DC 20260.

Stanley F. Mires,
Attorney, Federal Compliance.

BILLING CODE 7710–12–P

SEcurities AND EXCHANGE COMMISSION


Self-Regulatory Organizations; The NASDAQ Stock Market LLC; Notice of Filing of Proposed Rule Change To Eliminate Requirements That Will Be Duplicative of CAT


Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) 1 and Rule 19b–4 thereunder,2 notice is hereby given that on May 26, 2017, The NASDAQ Stock Market LLC (“ NASdaq” or “Exchange”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III, below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend the Rule 7000A series relating to the Order Audit Trail System (“OATS”), Rule 8211 and Chapter IX, Section IV relating to Electronic Blue Sheets (“EBS”), Chapter VII, Section VII relating to account identification, and Chapter V, Section VII relating to the Consolidated Options Audit Trail System (“COATS”) to reflect changes to these rules once members are effectively reporting to the CAT, and the CAT’s accuracy and reliability meets certain standards as described below.3

Background

The Exchange proposes to amend the Rule 7000A series relating to the Order Audit Trail System (“OATS”). Rule 8211 and Chapter IX, Section IV relating to Electronic Blue Sheets (“EBS”), Chapter VII, Section VII relating to account identification, and Chapter V, Section VII relating to the Consolidated Options Audit Trail System (“COATS”) to reflect changes to these rules once members are effectively reporting to the CAT, and the CAT’s accuracy and reliability meets certain standards as described below.3