FORM 1523 and once-per-pay-period for Contributions for Health Benefits, Life Insurance and Retirement (Standard Form 2812–A); (3) Supplemental Semiannual Enrollment Code (Standard Form 2812–A). The current Standard Form 2812, Standard Form 2812–A, and OPM Form 1523, have been changed to reflect this additional category.

Reinstatement will allow continued use of the collection and an additional 180 days to complete the full Paperwork Reduction Act approval process. The Office of Personnel Management (OPM) is particularly interested in comments that:

1. Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
2. Evaluate the accuracy of the agency’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
3. Enhance the quality, utility, and clarity of the information to be collected; and
4. Minimize the burden of the collection of information on those who are to respond, including through the use of automated collection techniques or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

Analysis


Title: (1) Report of Withholdings and Contributions for Health Benefits, Life Insurance and Retirement (Standard Form 2812); (2) Report of Withholdings and Contributions for Health Benefits by Enrollment Code (Standard Form 2812–A); (3) Supplemental Semiannual Headcount Report (OPM Form 1523).

OMB Number: 3206–0262.

Frequency: Semiannually for OPM Form 1523 and once-per-pay-period for Standard Form 2812 and Standard Form 2812–A.

Affected Public: Public Entities with Federal Employees and Retirees.

Number of Respondents: 100.

Estimated Time per Respondent: 30 Minutes.

Total Burden Hours: 2,700.


Kathleen M. McGgettigan, Acting Director.

[FR Doc. 2017–19642 Filed 9–14–17; 8:45 am]

BILLING CODE 6325–38–P
The RRB proposes to add the following two new items—“Are you expecting a newborn?” and its possible “Yes” response—“Expected Date” to Form AA–1. A comparable revision will be made to the electronic equivalent forms (AA–1, AA–1cert and AA–1sum). This information will help determine if the applicant can potentially receive an additional benefit amount. The RRB also proposes the implementation of an Internet equivalent version of Form AA–1 that can be completed by the applicant and submitted through the RRB’s Web site at www.rrb.gov. The RRB proposes no changes to Forms AA–1d or G–204.

One response is requested of each respondent. Completion of the forms is required to obtain/retain a benefit.

### ESTIMATE OF ANNUAL RESPONDENT BURDEN

<table>
<thead>
<tr>
<th>Form No.</th>
<th>Annual responses</th>
<th>Time (minutes)</th>
<th>Burden (hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AA–1 (without assistance)</td>
<td>35</td>
<td>62</td>
<td>36</td>
</tr>
<tr>
<td>AA–1cert (with assistance)</td>
<td>7,050</td>
<td>30</td>
<td>3,525</td>
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<tr>
<td>AA–1sum (without assistance)</td>
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<td>1,167</td>
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<tr>
<td>AA–1d (without assistance)</td>
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<td>2,415</td>
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<tr>
<td>AA–1d (with assistance)</td>
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<td>2,600</td>
</tr>
<tr>
<td>G–204 (without assistance)</td>
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<td>85</td>
<td>7</td>
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<td>Total</td>
<td>15,345</td>
<td></td>
<td>9,755</td>
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</table>

**Additional Information or Comments:**
To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material, contact Dana Hickman at (312) 751–4981 or Dana.Hickman@RRB.GOV. Comments regarding the information collection should be addressed to Brian Foster, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611–1275 or emailed to Brian.Foster@rrb.gov. Written comments should be received within 60 days of this notice.

**Brian D. Foster,**
*Clearance Officer.*

**BILLING CODE 7905–01–P**

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**SECURITIES AND EXCHANGE COMMISSION**

[SEC File No. 270–325, OMB Control No. 3235–0385]

**Submission for OMB Review; Comment Request**

Upon Written Request, Copies Available From: U.S. Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE., Washington, DC 20549–2736

**Extension:**

Rule 15g–9

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension of the previously approved collection of information discussed below.

Section 15(c)(2) of the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.) (the “Exchange Act”) authorizes the Commission to promulgate rules that prescribe means reasonably designed to prevent fraudulent, deceptive, or manipulative practices in connection with over-the-counter (“OTC”) securities transactions. Pursuant to this authority, the Commission in 1989 adopted Rule 15a–6, which was subsequently redesignated as Rule 15g–9, 17 CFR 240.15g–9 (the “Rule”). The Rule requires broker-dealers to produce a written suitability determination for, and to obtain a written customer agreement to, certain recommended transactions in penny stocks that are not registered on a national securities exchange, and whose issuers do not meet certain minimum financial standards. The Rule is intended to prevent the indiscriminate use by broker-dealers of fraudulent, high pressure telephone sales campaigns to sell penny stocks to unsophisticated customers.

The Commission staff estimates that there are approximately 198 broker-dealers subject to the Rule. The burden of the Rule on a respondent varies widely depending on the frequency with which new customers are solicited. On the average for all respondents, the staff has estimated that respondents process three new customers per week, or approximately 156 new customer suitability determinations per year. We also estimate that a broker-dealer would expend approximately one-half hour per new customer in obtaining, reviewing, and processing (including transmitting to the customer) the information required by Rule 15g–9, and each respondent would consequently spend 78 hours annually (156 customers ×.5 hours) obtaining the information required in the rule. We determined, based on the estimate of 198 broker-dealer respondents, that the current annual burden of Rule 15g–9 is 15,444 hours (198 respondents × 78 hours).