

Form No.	Annual responses	Time (minutes)	Burden (hours)
AA-1d (without assistance) .....	5	85	7
G-204 .....	20	15	5
Total .....	15,345	.....	9,755

**2. Title and purpose of information collection:** Availability for Work; OMB 3220-0164.

Under Section 1(k) of the Railroad Unemployment Insurance Act, unemployment benefits are not payable for any day for which the claimant is not available for work.

Under Railroad Retirement Board (RRB) regulation 20 CFR 327.5, "available for work" is defined as being willing and ready for work. A claimant is "willing" to work if willing to accept and perform for hire such work as is reasonably appropriate to his or her employment circumstances. A claimant is "ready" for work if he or she (1) is in a position to receive notice of work and is willing to accept and perform such work, and (2) is prepared to be present with the customary equipment at the location of such work within the time usually allotted.

Under RRB regulation 20 CFR 327.15, a claimant may be requested at any time to show, as evidence of willingness to work, that reasonable efforts are being made to obtain work. In order to

determine whether a claimant is; (a) available for work, and (b) willing to work, the RRB utilizes Forms UI-38, UI Claimant's Report of Efforts to Find Work, and UI-38s, School Attendance and Availability Questionnaire, to obtain information from the claimant and Form ID-8k, Questionnaire—Reinstatement of Discharged or Suspended Employee, from the union representative. One response is completed by each respondent.

**Previous Requests for Comments:** The RRB has already published the initial 60-day notice (82 FR 42368 on September 7, 2017) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

**Title:** Availability for Work.  
**OMB Control Number:** 3220-0164.  
**Form(s) submitted:** UI-38, UI-38s, and ID-8k.

**Type of request:** Revision of a currently approved collection.

**Affected public:** Individuals or Households, Non-profit institutions.

**Abstract:** Under Section 1(k) of the Railroad Unemployment Insurance Act, unemployment benefits are not payable for any day in which the claimant is not available for work. The collection obtains information needed by the RRB to determine whether a claimant is willing and ready to work.

**Changes proposed:** The RRB proposes the following changes to Forms UI-38 and UI-38s and proposes no changes to Form ID-8k.

- Form UI-38.
  - We propose adding that the claimant can now use online options when searching for a job.
  - We propose to inform the claimant to register with the State Employment Service and provide proof of the registration to the RRB.
- Form UI-38s—We propose to add an online school selection for students who cannot provide their class hours because their courses are online.

*The burden estimate for the ICR is as follows:*

Form No.	Annual responses	Time (minutes)	Burden (hours)
UI-38s (in person) * .....	59	6	6
UI-38s (by mail) * .....	119	10	20
UI-38 .....	3,485	11.5	668
ID-8k .....	6,461	5	538
Total .....	10,124	.....	1,232

**Additional Information or Comments:** Copies of the forms and supporting documents can be obtained from Dana Hickman at (312) 751-4981 or [Dana.Hickman@RRB.GOV](mailto:Dana.Hickman@RRB.GOV).

Comments regarding the information collection should be addressed to Brian Foster, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-1275 or [Brian.Foster@rrb.gov](mailto:Brian.Foster@rrb.gov) and to the OMB Desk Officer for the RRB, Fax: 202-395-6974, Email address: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov).

**Brian D. Foster,**  
 Clearance Officer.

[FR Doc. 2017-25133 Filed 11-20-17; 8:45 am]

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**RAILROAD RETIREMENT BOARD**

**Proposed Collection; Comment Request**

**Summary:** In accordance with the requirement of Section 3506 (c)(2)(A) of the Paperwork Reduction Act of 1995 which provides opportunity for public comment on new or revised data collections, the Railroad Retirement Board (RRB) will publish periodic summaries of proposed data collections.

**Comments are invited on:** (a) Whether the proposed information collection is necessary for the proper performance of the functions of the agency, including whether the information has practical utility; (b) the accuracy of the RRB's estimate of the burden of the collection of the information; (c) ways to enhance

the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden related to the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

**Title and purpose of information collection:** Student Beneficiary Monitoring; OMB 3220-0123. Under provisions of the Railroad Retirement Act (RRA), there are two types of benefit payments that are based on the status of a child being in full-time elementary or secondary school attendance at age 18-19: (1) A survivor child's annuity benefit under Section 2(d)(1)(iii) and (2) an increase in the employee retirement annuity under the Special Guaranty

computation as prescribed in section 3(f)(2) and 20 CFR 229.

The survivor student annuity is usually paid by direct deposit to a financial institution either into the student's checking or savings account or into a joint bank account with a parent. The requirements for eligibility as a student are prescribed in 20 CFR 216.74, and include students in independent study and home schooling.

To help determine if a child is entitled to student benefits, the RRB

requires evidence of full-time school attendance. This evidence is acquired through the RRB's student monitoring program, which utilizes the following forms. Form G-315, Student Questionnaire, obtains certification of a student's full-time school attendance as well as information on the student's marital status, social security benefits, and employment, which are needed to determine entitlement or continued entitlement to benefits under the RRA.

Form G-315A, Statement of School Official, is used to obtain, from a school, verification of a student's full-time attendance when the student fails to return a monitoring Form G-315. Form G-315A.1, School Official's Notice of Cessation of Full-Time School Attendance, is used by a school to notify the RRB that a student has ceased full-time school attendance. The RRB proposes no changes to Forms G-315, G-315a, or G-315a.1.

#### ESTIMATE OF ANNUAL RESPONDENT BURDEN

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-315 .....	860	15	215
G-315a .....	20	3	1
G-315a.1 .....	20	2	1
Total .....	900	.....	217

*Additional Information or Comments:* To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material, contact Dana Hickman at (312) 751-4981 or [Dana.Hickman@RRB.GOV](mailto:Dana.Hickman@RRB.GOV). Comments regarding the information collection should be addressed to Brian Foster, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-1275 or emailed to [Brian.Foster@rrb.gov](mailto:Brian.Foster@rrb.gov). Written comments should be received within 60 days of this notice.

**Brian D. Foster,**  
Clearance Officer.

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-82090; File No. SR-FICC-2017-002]

### Self-Regulatory Organizations; Fixed Income Clearing Corporation; Order Approving a Proposed Rule Change To Implement the Capped Contingency Liquidity Facility in the Government Securities Division Rulebook

November 15, 2017.

#### I. Introduction

Fixed Income Clearing Corporation ("FICC") filed with the U.S. Securities and Exchange Commission ("Commission") on March 1, 2017 the proposed rule change SR-FICC-2017-002 ("Proposed Rule Change") pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Exchange

Act")<sup>1</sup> and Rule 19b-4 thereunder.<sup>2</sup> The Proposed Rule Change was published for comment in the **Federal Register** on March 20, 2017.<sup>3</sup> The Commission received five comment letters<sup>4</sup> to the

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4. FICC also filed the Proposed Rule Change as advance notice SR-FICC-2017-802 ("Advance Notice") pursuant to Section 806(e)(1) of the Payment, Clearing, and Settlement Supervision Act of 2010, 12 U.S.C. 5465(e)(1), and Rule 19b-4(n)(1)(i) under the Exchange Act, 17 CFR 240.19b-4(n)(1)(i). Notice of filing of the Advance Notice was published for comment in the **Federal Register** on March 15, 2017. Securities Exchange Act Release No. 80191 (March 9, 2017), 82 FR 13876 (March 15, 2017) (SR-FICC-2017-802). The Commission extended the deadline for its review period of the Advance Notice from April 30, 2017 to June 29, 2017. Securities Exchange Act Release No. 80520 (April 25, 2017), 82 FR 20404 (May 1, 2017) (SR-FICC-2017-802). The Commission issued a notice of no objection to the Advance Notice on June 29, 2017. Securities Exchange Act Release No. 81054 (June 29, 2017), 82 FR 31356 (July 6, 2017).

<sup>3</sup> Securities Exchange Act Release No. 80234 (March 14, 2017), 82 FR 14401 (March 20, 2017) (SR-FICC-2017-002) ("Notice").

<sup>4</sup> See letter from Robert E. Pooler Jr., Chief Financial Officer, Ronin Capital LLC ("Ronin"), dated April 10, 2017, to Robert W. Errett, Deputy Secretary, Commission ("Ronin Letter I"); letter from Timothy J. Cuddihy, Managing Director, FICC, dated April 25, 2017, to Robert W. Errett, Deputy Secretary, Commission ("FICC Letter I"); letter from Alan B. Levy, Managing Director, Industrial and Commercial Bank of China Financial Services LLC ("ICBC"), Philip Vandermause, Director, Aardvark Securities LLC ("Aardvark"), David Rutter, Chief Executive Officer, LiquidityEdge LLC, Robert Pooler, Chief Financial Officer, Ronin, Jason Manumaleuna, Chief Financial Officer and EVP, Rosenthal Collins Group LLC ("Rosenthal Collins"), and Scott Skyrn, Managing Director, Wedbush Securities Inc. ("Wedbush") dated May 24, 2017 ("ICBC Letter I"); letter from Robert E. Pooler Jr., Chief Financial Officer, Ronin, dated June 19, 2017, to Robert W. Errett, Deputy Secretary, Commission ("Ronin Letter II"); and letter from Alan B. Levy, Managing Director, ICBC, Philip Vandermause, Director, Aardvark, Robert Pooler, Chief Financial Officer, Ronin, and Scott Skyrn, Managing Director,

Proposed Rule Change. On April 25, 2017, the Commission designated a longer period within which to approve the Proposed Rule Change, disapprove the Proposed Rule Change, or institute proceedings to determine whether to approve or disapprove the Proposed Rule Change.<sup>5</sup> On May 30, 2017, the Commission issued an order instituting proceedings to determine whether to approve or disapprove the Proposed Rule Change.<sup>6</sup> On September 15, 2017, the Commission designated a longer period on the proceedings to determine whether to approve or disapprove the Proposed Rule Change.<sup>7</sup> The extension gave the Commission until November 15, 2017 to either approve or disapprove the Proposed Rule Change and re-opened the comment period until October 6, 2017 for initial comments and October 12, 2017 for rebuttal comments. The Commission received

Wedbush, dated June 27, 2017, to Robert W. Errett, Deputy Secretary, Commission ("ICBC Letter II") available at <https://www.sec.gov/comments/sr-ficc-2017-002/ficc2017002.htm>. Because the proposal contained in the Proposed Rule Change was also filed in the Advance Notice, see *supra* note 2, the Commission is considering all comments received on the proposal regardless of whether the comments are submitted to the Proposed Rule Change or the Advance Notice.

<sup>5</sup> See Securities Exchange Act Release No. 80524 (April 25, 2017), 82 FR 20685 (May 3, 2017) (SR-FICC-2017-002).

<sup>6</sup> See Securities Exchange Act Release No. 80812 (May 30, 2017), 82 FR 25642 (June 2, 2017) (SR-FICC-2017-002).

<sup>7</sup> See Securities Exchange Act Release No. 81638 (September 15, 2017), 82 FR 44234 (September 21, 2017) (SR-FICC-2017-002) ("OIP Extension").