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The Code of Federal Regulations is sold by the Superintendent of Documents.

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### 14 CFR Part 25

[Docket No. FAA-2017-0240; Special Conditions No. 25-691-SC]

#### Special Conditions: Gulfstream Aerospace Corporation GVII-G500; Airbag Systems on Multiple-Place and Single-Place Side-Facing Seats

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Final special conditions; request for comments.

**SUMMARY:** These special conditions are issued for the Gulfstream Aerospace Corporation (Gulfstream) GVII-G500 airplane. This airplane will have a novel or unusual design feature when compared to the state of technology envisioned in the airworthiness standards for transport-category airplanes. This design feature is airbag systems on multiple-place and single-place side-facing seats. The applicable airworthiness regulations do not contain adequate or appropriate safety standards for this design feature. These special conditions contain the additional safety standards that the Administrator considers necessary to establish a level of safety equivalent to that established by the existing airworthiness standards.

**DATES:** This action is effective on Gulfstream on June 19, 2017. We must receive your comments by August 3, 2017.

**ADDRESSES:** Send comments identified by docket number FAA-2017-0240 using any of the following methods:

- *Federal eRegulations Portal:* Go to <http://www.regulations.gov> and follow the online instructions for sending your comments electronically.

- *Mail:* Send comments to Docket Operations, M-30, U.S. Department of Transportation (DOT), 1200 New Jersey Avenue SE., Room W12-140, West

Building Ground Floor, Washington, DC 20590-0001.

- *Hand Delivery or Courier:* Take comments to Docket Operations in Room W12-140 of the West Building Ground Floor at 1200 New Jersey Avenue SE., Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

- *Fax:* Fax comments to Docket Operations at 202-493-2251.

*Privacy:* The FAA will post all comments it receives, without change, to <http://www.regulations.gov>, including any personal information the commenter provides. Using the search function of the docket Web site, anyone can find and read the electronic form of all comments received into any FAA docket, including the name of the individual sending the comment (or signing the comment for an association, business, labor union, etc.). DOT's complete Privacy Act Statement can be found in the **Federal Register** published on April 11, 2000 (65 FR 19477-19478), as well as at <http://DocketsInfo.dot.gov/>.

*Docket:* Background documents or comments received may be read at <http://www.regulations.gov> at any time. Follow the online instructions for accessing the docket or go to Docket Operations in Room W12-140 of the West Building Ground Floor at 1200 New Jersey Avenue SE., Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:** Alan Sinclair, FAA, Airframe and Cabin Safety, ANM-115, Transport Airplane Directorate, Aircraft Certification Service, 1601 Lind Avenue SW., Renton, Washington 98057-3356; telephone 425-227-2195; facsimile 425-227-1320.

**SUPPLEMENTARY INFORMATION:** The FAA has determined that notice of, and opportunity for prior public comment on, these special conditions is impracticable because the substance of these special conditions has been subject to the public comment process in several prior instances with no substantive comments received. The FAA therefore finds that good cause exists for making these special conditions effective upon publication in the **Federal Register**.

### Comments Invited

We invite interested people to take part in this rulemaking by sending written comments, data, or views. The most helpful comments reference a specific portion of the special conditions, explain the reason for any recommended change, and include supporting data.

We will consider all comments we receive by the closing date for comments. We may change these special conditions based on the comments we receive.

### Background

On March 29, 2012, Gulfstream Aerospace Corporation applied for a type certificate for their new Model GVII-G500 airplane. The Model GVII-G500 airplane will be a twin-engine, transport-category business jet capable of accommodating up to 19 passengers. The Model GVII-G500 airplane will have a maximum takeoff weight of 76,850 lbs.

### Type Certification Basis

Under Title 14, Code of Federal Regulations (14 CFR) 21.17, Gulfstream must show that the Model GVII-G500 airplane meets the applicable provisions of 14 CFR part 25, as amended by Amendments 25-1 through 25-129.

If the Administrator finds that the applicable airworthiness regulations (*i.e.*, part 25) do not contain adequate or appropriate safety standards for the Model GVII-G500 airplane because of a novel or unusual design feature, special conditions are prescribed under the provisions of § 21.16.

Special conditions are initially applicable to the model for which they are issued. Should the type certificate for that model be amended later to include any other model that incorporates the same or similar novel or unusual design feature, the special conditions would also apply to the other model under § 21.101.

In addition to the applicable airworthiness regulations and special conditions, Model GVII-G500 airplanes must comply with the fuel-vent and exhaust-emission requirements of 14 CFR part 34, and the noise-certification requirements of 14 CFR part 36. The FAA must issue a finding of regulatory adequacy under § 611 of Public Law 92-574, the "Noise Control Act of 1972."



The FAA issues special conditions, as defined in 14 CFR 11.19, in accordance with § 11.38, and they become part of the type certification basis under § 21.17(a)(2).

### Novel or Unusual Design Feature

The Model GVII-G500 airplane will incorporate the following novel or unusual design feature:

Airbag systems on multiple-place and single-place side-facing seats.

### Discussion

Side facing seats are considered a novel design for transport-category airplanes that include 14 CFR part 25, Amendment 25-64, in their certification bases, because this feature was not anticipated when those airworthiness standards were issued. Therefore, the existing regulations do not provide adequate or appropriate safety standards for occupants of side-facing seats. For the Model GVII-G500 airplane, FAA Special Conditions No. 25-618-SC, "Technical Criteria for Approving Side-Facing Seats," proposed special conditions to address the certification of single- and multiple-place side-facing seats. Those proposed special conditions include condition 2(e), which requires the axial rotation of the upper leg (femur) to be limited to 35 degrees in either direction from the nominal seat position. To accommodate that requirement, Gulfstream has developed a new airbag system that will be installed close to the floor, and which is designed to limit the axial rotation of the occupant's upper legs.

These special conditions contain the additional safety standards that the Administrator considers necessary to establish a level of safety equivalent to that established by the existing airworthiness standards.

### Applicability

As discussed above, these special conditions are applicable to the Gulfstream Model GVII-G500 airplane. Should Gulfstream apply at a later date for a change to the type certificate to include another model incorporating the same novel or unusual design feature, these special conditions would apply to that model as well.

### Conclusion

This action affects only certain novel or unusual design features on one model of airplane. It is not a rule of general applicability.

The substance of these special conditions has been subjected to the notice and comment period in several prior instances and has been derived without substantive change from those

previously issued. It is unlikely that prior public comment would result in a significant change from the substance contained herein. Therefore, the FAA has determined that prior public notice and comment are unnecessary and impracticable, and good cause exists for adopting these special conditions upon publication in the **Federal Register**. The FAA is requesting comments to allow interested persons to submit views that may not have been submitted in response to the prior opportunities for comment described above.

### List of Subjects in 14 CFR Part 25

Aircraft, Aviation safety, Reporting and recordkeeping requirements.

The authority citation for these special conditions is as follows:

**Authority:** 49 U.S.C. 106(g), 40113, 44701, 44702, 44704.

### The Special Conditions

Accordingly, pursuant to the authority delegated to me by the Administrator, the following special conditions are issued as part of the type certification basis for Gulfstream Aerospace Corporation Model GVII-G500 airplanes.

In addition to the requirements of §§ 25.562 and 25.785, and Special Conditions No. 25-495-SC, the following special conditions are part of the type certification basis for the Gulfstream Model GVII-G500 airplane with leg-flail airbags installed on side-facing seats.

1. For seats with a leg-flail airbag system, the system must deploy and provide protection under crash conditions where it is necessary to prevent serious injury. The means of protection must take into consideration a range of stature from a 2-year-old child to a 95th-percentile male. At some buttock popliteal length and effective seat-bottom depth, the lower legs will not be able to form a 90-degree angle relative to the upper leg; at this point, the lower leg flail would not occur. The leg-flail airbag system must provide a consistent approach to prevention of leg flail throughout that range of occupants whose lower legs can form a 90-degree angle relative to the upper legs when seated upright in the seat. Items that need to be considered include, but are not limited to, the range of occupants' popliteal height, the range of occupants' buttock popliteal length, the design of the seat effective height above the floor, and the effective depth of the seat-bottom cushion.

2. The leg-flail airbag system must provide adequate protection for each occupant regardless of the number of

occupants of the seat assembly, considering that unoccupied seats may have an active leg-flail airbag system.

3. The leg-flail airbag system must not be susceptible to inadvertent deployment as a result of wear and tear, or inertial loads resulting from in-flight or ground maneuvers (including gusts and hard landings), and other operating and environmental conditions (vibrations, moisture, etc.) likely to occur in service.

4. Deployment of the leg-flail airbag system must not introduce injury mechanisms to the seated occupant, nor result in injuries that could impede rapid egress.

5. Inadvertent deployment of the leg-flail airbag system, during the most critical part of the flight, must either meet the requirement of § 25.1309(b), or not cause a hazard to the airplane or its occupants.

6. The leg-flail airbag system must not impede rapid egress of occupants from the airplane 10 seconds after airbag deployment.

7. The leg-flail airbag system must be protected from lightning and high-intensity radiated fields (HIRF). The threats to the airplane specified in existing regulations regarding lightning (§ 25.1316) and HIRF (§ 25.1317) are incorporated by reference for the purpose of measuring lightning and HIRF protection.

8. The leg-flail airbag system must function properly after loss of normal airplane electrical power, and after a transverse separation of the fuselage at the most critical location. A separation at the location of the leg-flail airbag system does not have to be considered.

9. The leg-flail airbag system must not release hazardous quantities of gas or particulate matter into the cabin.

10. The leg-flail airbag system installation must be protected from the effects of fire such that no hazard to occupants will result.

11. A means must be available to verify the integrity of the leg-flail airbag system's activation system prior to each flight, or the leg-flail airbag system's activation system must reliably operate between inspection intervals. The FAA considers that the loss of the leg-flail airbag system's deployment function alone (*i.e.*, independent of the conditional event that requires the leg-flail airbag system's deployment) is a major-failure condition.

12. The airbag inflatable material may not have an average burn rate of greater than 2.5 inches per minute when tested using the horizontal flammability test defined in part 25, appendix F, part I, paragraph (b)(5).

13. The leg-flail airbag system, once deployed, must not adversely affect the emergency-lighting system (*i.e.*, must not block floor-proximity lights to the extent that the lights no longer meet their intended function).

Issued in Renton, Washington, on June 8, 2017.

**Michael Kaszycki,**

*Assistant Manager, Transport Airplane Directorate, Aircraft Certification Service.*

[FR Doc. 2017-12617 Filed 6-16-17; 8:45 am]

BILLING CODE 4910-13-P

## DEPARTMENT OF HOMELAND SECURITY

### Coast Guard

#### 33 CFR Part 110

[Docket Number USCG-2015-0729]

RIN 1625-AA01

#### Port of Miami Anchorage Area; Atlantic Ocean, Miami Beach, FL

**AGENCY:** Coast Guard, DHS.

**ACTION:** Final rule.

**SUMMARY:** The Coast Guard is dividing its Miami anchorage ground into two separate anchorage areas. This action is necessary to reduce potential damage to threatened coral posed by anchoring vessels. The area for vessels to anchor will be reduced by approximately 3 square nautical miles, but this rule still leaves vessels with approximately 1.5 square miles of anchorage areas.

**DATES:** This rule is effective from July 19, 2017.

**ADDRESSES:** To view documents mentioned in this preamble as being available in the docket, go to <http://www.regulations.gov>, type USCG-2015-0729 in the "SEARCH" box and click "SEARCH." Click on Open Docket Folder on the line associated with this rule.

**FOR FURTHER INFORMATION CONTACT:** If you have questions on this rule, call or email Mr. Paul Lehmann, Coast Guard Seventh District Prevention Waterways Management Division, U.S. Coast Guard; telephone (305) 415-6796, email [Paul.D.Lehmann@uscg.mil](mailto:Paul.D.Lehmann@uscg.mil).

#### SUPPLEMENTARY INFORMATION:

##### I. Table of Abbreviations

CFR Code of Federal Regulations  
 DHS Department of Homeland Security  
 FR Federal Register  
 NPRM Notice of proposed rulemaking  
 § Section  
 U.S.C. United States Code

##### II. Background Information and Regulatory History

On December 1, 2015, the Coast Guard published a notice of study that indicated we were evaluating amending the Miami Anchorage, based on the location of coral reefs off the coast of Florida. The Coast Guard received four comments in response to the notice of study during the period that ended on February 1, 2016. In coordination with several interested parties, the Coast Guard published a Notice of Proposed Rulemaking (NPRM) on May 10, 2016 (81 FR 28788). Four additional comments were received in response to the NPRM. The comment period for the NPRM closed on July 11, 2016.

Through continued cooperation and research with stakeholders, the Coast Guard amended the original locations and requirements stated in the NPRM, and published these changes in a Supplemental Notice of Proposed Rulemaking (SNPRM), on February 22, 2017 (82 FR 11329). We received five written submissions on the SNPRM during the comment period that ended on March 24, 2017. We did not receive any oral comments.

##### III. Legal Authority and Need for Rule

The Coast Guard is issuing this rule under authority in 33 U.S.C. 471. The Seventh District Commander has determined that the new locations of the anchorage provide both a safe anchorage ground for vessels, as well as provide for protection of the coral reef and uphold the environmental protection mission of the Coast Guard.

##### IV. Discussion of Comments, Changes, and the Rule

On December 1, 2015, the Coast Guard published a notice of study that indicated we were evaluating amending the Miami Anchorage to divide the anchorage into two smaller anchorage areas. The proposed amendment was designed in coordination with a variety of local stakeholders, including the South East Florida Coral Reef Initiative (SEFCRI). Comment provided by these stakeholders, academic research, and environmental reports have raised concerns with the Coast Guard about the potential for damage to the Florida Reef in the Miami Anchorage. Examples of the body of work that influenced the Coast Guard in proposing this amendment may be found in the docket.

In response to the notice of study, the Coast Guard received four comments. These comments were addressed in an NPRM published on May 10, 2016. In response to the NPRM, we received four additional comments. Two of the

comments, one by the local non-profit Miami Waterkeeper and the other by a private citizen, supported our planned modification of the Miami Anchorage. The third and fourth comments were submitted by the Biscayne Bay Pilots Association.

The Biscayne Bay Pilots Association (pilots) submitted a comment, through Becky Hope of the Port of Miami, on May 17, 2016. This comment requested the Coast Guard evaluate changes in the proposed anchorage, including shifting the outer anchorage west and shifting the southern boundary of the outer anchorage north. In response to these comments, the Coast Guard met with the Pilots to discuss the requests and the basis at which we arrived at the current anchorage configuration. During the meeting the Coast Guard agreed to shift the western boundary of the outer anchorage approximately 300 feet to the west to provide more room for large anchoring vessels. This change does not have any effect on coral or hard bottom as the sea floor in that area is sand.

On June 11, 2016, the Pilots submitted a follow up comment to the public docket expressing concern that the outer anchorage would expose vessels to increased current and waves and, they claim, could increase the chance a vessel would drag anchor. In order to properly assess environmental conditions and risk of an anchor drag, the Coast Guard consulted with the National Weather Service and Maersk training center. The National Weather Service conducted a study, analyzing the previous year's current in the vicinity of the anchorage. The Weather Service found that the average current in the area of the outer anchorage over the previous year was approximately 1.2 knots, with currents ranging plus or minus half a knot from the mean current seventy percent of the time. This information was provided to the Maersk training center in Svendborg, Denmark. Subject matter experts at the training school indicated that the conditions posed no significant hazard and that captains would have the training and experience to set an anchor in the deeper waters of the outer anchorage.

Due to the additional changes requested by the various parties involved, we published a Supplemental Notice to Proposed Rulemaking on February 22, 2017. The Coast Guard received five comments in response to this SNPRM. The Florida Department of Environmental Protection supports this project as a means to reduce coral reef and hardbottom impacts. The additional comments were in support of the rule, citing the desire to protect natural resources while acknowledging

perceived minimal impact to industry and commerce.

The District Commander is amending the Miami Anchorage by dividing the anchorage into two smaller anchorage areas. The coordinates will establish two anchorage areas with a combined area of approximately 1.5 square miles and reduce the total anchorage area by approximately 3 square nautical miles. The anchorage areas will be established with the following coordinates:

**SMALL INNER WESTERN ANCHORAGE**  
[Approximate water depths: 45 ft]

	Latitude	Longitude
NW Corner	25°47'57.687" N.	080°05'37.225" W.
NE Corner	25°47'57.341" N.	080°05'26.466" W.
SE Corner	25°46'31.443" N.	080°05'27.069" W.
SW Corner	25°46'31.557" N.	080°05'37.868" W.

**LARGE OUTER EASTERN ANCHORAGE**  
[Approximate water depths: 120 ft]

	Latitude	Longitude
NW Corner	25°48'13.841" N.	080°04'59.155" W.
NE Corner	25°48'04.617" N.	080°04'04.582" W.
SE Corner	25°46'32.712" N.	080°04'28.387" W.
SW Corner	25°46'43.770" N.	080°05'02.360" W.

We made minor changes to the anchorage regulations in response to comments received from the Biscayne Bay Pilots Association and others during the NPRM stage. Those changes were incorporated into the language of the SNPRM. For example, vessels anchored in the Miami Anchorage are required to maintain a 24-hour bridge watch with a licensed or credentialed deck officer proficient in English, vessels are prohibited from anchoring with engines off or in a "dead ship" status, and vessels are required to seek permission of the Captain of the Port Miami prior to anchoring for longer than 72 hours. Also, we amended the language that utilized the Biscayne Bay Pilots via VHF-FM channel 12 or 16 to contact the Captain of the Port Miami in order to simplify the process and improve consistency. Now mariners may contact the Captain of the Port Miami directly via VHF-FM channel 16. In addition to the above changes, the anchoring regulations have been reordered and reworded. Finally, the Coast Guard will be submitting amendments to the local Coast Pilot that provides improved guidance to vessels planning to anchor in the outer anchorage.

## V. Regulatory Analyses

We developed this rule after considering numerous statutes and Executive orders related to rulemaking. Below we summarize our analyses

based on a number of these statutes and Executive orders and we discuss First Amendment rights of protestors.

### A. Regulatory Planning and Review

Executive Orders 12866 (Regulatory Planning and Review) and 13563 (Improving Regulation and Regulatory Review) direct agencies to assess the costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety effects, distributive impacts, and equity). Executive Order 13563 emphasizes the importance of quantifying costs and benefits, reducing costs, harmonizing rules, and promoting flexibility. Executive Order 13771 (Reducing Regulation and Controlling Regulatory Costs) directs agencies to reduce regulation and control regulatory costs and provides that "for every one new regulation issued, at least two prior regulations be identified for elimination, and that the cost of planned regulations be prudently managed and controlled through a budgeting process."

The Office of Management and Budget (OMB) has not designated this rule a significant regulatory action under section 3(f) of Executive Order 12866. Accordingly, OMB has not reviewed it. As this rule is not a significant regulatory action, this rule is exempt from the requirements of Executive Order 13771. See the OMB Memorandum titled "Interim Guidance Implementing Section 2 of the Executive Order of January 30, 2017 titled 'Reducing Regulation and Controlling Regulatory Costs'" (February 2, 2017).

This regulatory action determination is based on the relatively minor change being made to the regulation. This regulatory action determination is based on the relatively minor changes being proposed to the regulation such as notice and watch keeping requirements.

The regulation will however ensure 1.5 square miles of anchorage areas continue to exist. Some other changes are that vessels will be prohibited from anchoring with engines off or in a "dead ship" status and vessels will be required to seek permission of the Captain of the Port Miami prior to anchoring for longer than 72 hours.

### B. Impact on Small Entities

The Regulatory Flexibility Act of 1980, 5 U.S.C. 601–612, as amended, requires Federal agencies to consider the potential impact of regulations on small entities during rulemaking. The term "small entities" comprises small businesses, not-for-profit organizations

that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000. The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities.

While some owners or operators of vessels intending to use the anchorage may be small entities, for the reasons stated in section IV.A above, this rule will not have a significant economic impact on any vessel owner or operator.

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we want to assist small entities in understanding this rule. If the rule would affect your small business, organization, or governmental jurisdiction and you have questions concerning its provisions or options for compliance, please contact the person listed in the **FOR FURTHER INFORMATION CONTACT** section. The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1–888–REG–FAIR (1–888–734–3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

### C. Collection of Information

This rule will not call for a new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

### D. Federalism and Indian Tribal Governments

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on the States, on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government. We have analyzed this rule under that Order and have determined that it is consistent with the fundamental federalism principles and preemption requirements described in Executive Order 13132.

Also, this rule does not have tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes. If you believe this rule has implications for federalism or Indian tribes, please contact the person listed in the **FOR FURTHER INFORMATION CONTACT** section.

#### E. Unfunded Mandates Reform Act

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of \$100,000,000 (adjusted for inflation) or more in any one year. Though this rule would not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

#### F. Environment

We have analyzed this rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.ID, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (42 U.S.C. 4321–4370f), and have determined that it is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule reduces the size of an existing anchorage area. It is categorically excluded under section 2.B.2, figure 2–1, paragraph 34(f) of the Instruction, which pertains to minor regulatory changes that are editorial or procedural in nature. A Record of Environmental Consideration (REC) supporting this determination is available in the docket where indicated in the **ADDRESSES** section of this preamble.

#### G. Protest Activities

The Coast Guard respects the First Amendment rights of protesters. Protesters are asked to contact the person listed in the **FOR FURTHER INFORMATION CONTACT** section to coordinate protest activities so that your message can be received without jeopardizing the safety or security of people, places, or vessels.

#### List of Subjects in 33 CFR Part 110

Anchorage grounds.

For the reasons discussed in the preamble, the Coast Guard amends 33 CFR part 110 as follows:

### PART 110—ANCHORAGE REGULATIONS

■ 1. The authority citation for part 110 continues to read as follows:

**Authority:** 33 U.S.C. 471, 1221 through 1236, 2071; 33 CFR 1.05–1; Department of Homeland Security Delegation No. 0170.1.

■ 2. Revise § 110.188 to read as follows:

#### § 110.188 Atlantic Ocean off Miami and Miami Beach, Fla.

(a) *The anchorage areas.* (1) *Anchorage A.* All area of the Atlantic Ocean, encompassed by a line connecting the points of the following North America Datum 83 coordinates:

Latitude	Longitude
25°47'57.687" N.	080°05'37.225" W.
25°47'57.341" N.	080°05'26.466" W.
25°46'31.443" N.	080°05'27.069" W.
25°46'31.557" N.	080°05'37.868" W.

(2) *Anchorage B.* All area of the Atlantic Ocean, encompassed by a line connecting the points of the following North America Datum 83 coordinates:

Latitude	Longitude
25°48'13.841" N.	080°04'59.155" W.
25°48'04.617" N.	080°04'04.582" W.
25°46'32.712" N.	080°04'28.387" W.
25°46'43.770" N.	080°05'02.360" W.

(b) *The regulations.* (1) Vessels in the Atlantic Ocean in the vicinity of Port of Miami must anchor only within the anchorage areas hereby defined and established, except in cases of emergency.

(2) Prior to entering the anchorage areas, all vessels must notify the Coast Guard Captain of the Port via VHF–FM channel 16.

(3) All vessels within the designated anchorages must maintain a 24-hour bridge watch by a licensed or credentialed deck officer proficient in English, monitoring VHF–FM channel 16. This individual must confirm that the ship's crew performs frequent checks of the vessel's position to ensure the vessel is not dragging anchor.

(4) Vessels may anchor anywhere within the designated anchorage areas provided that: Such anchoring does not interfere with the operations of any other vessels currently at anchorage; and all anchor and chain or cable is positioned in such a manner to preclude dragging over reefs.

(5) No vessel may anchor in a "dead ship" status (that is, propulsion or

control unavailable for normal operations) without the prior approval of the Captain of the Port. Vessels experiencing casualties, such as main propulsion, main steering, or anchoring equipment malfunction, or which are planning to perform main propulsion engine repairs or maintenance, must immediately notify the Coast Guard Captain of the Port via Coast Guard Sector Miami on VHF–FM channel 16.

(6) No vessel may anchor within the designated anchorages for more than 72 hours without the prior approval of the Captain of the Port. To obtain this approval, contact the Coast Guard Captain of the Port via VHF–FM channel 16.

(7) The Coast Guard Captain of the Port may close the anchorage areas and direct vessels to depart the anchorage during periods of adverse weather or at other times as deemed necessary in the interest of port safety or security.

(8) Commercial vessels anchoring under emergency circumstances outside the anchorage areas must shift to new positions within the anchorage areas immediately after the emergency ceases.

(9) Whenever the maritime or commercial interests of the United States so require, the Captain of the Port, U.S. Coast Guard, Miami, Florida, may direct relocation of any vessel anchored within the anchorage areas. Once directed, such vessel must get underway at once or signal for a tug, and must change position as directed.

Dated: June 12, 2017.

**S.A. Buschman,**

*Rear Admiral, U.S. Coast Guard, Commander, Seventh Coast Guard District.*

[FR Doc. 2017–12573 Filed 6–16–17; 8:45 am]

**BILLING CODE 9110–04–P**

## DEPARTMENT OF HOMELAND SECURITY

### Coast Guard

#### 33 CFR Part 165

[Docket No. USCG–2012–1036]

### Safety Zones, Recurring Marine Events in Captain of the Port Long Island Sound Zone

**AGENCY:** Coast Guard, DHS.

**ACTION:** Notice of enforcement of regulation.

**SUMMARY:** The Coast Guard will enforce five safety zones for fireworks displays in the Sector Long Island Sound area of responsibility on the date and time listed in the table below. This action is necessary to provide for the safety of life on navigable waterways during the

events. During the enforcement periods, no person or vessel may enter the safety zones without permission of the Captain of the Port (COTP) Sector Long Island Sound or designated representative.

**DATES:** The regulations in 33 CFR 165.151 Table 1 will be enforced during the following dates and times listed in the table in **SUPPLEMENTARY INFORMATION**.

**FOR FURTHER INFORMATION CONTACT:** If you have questions on this notice, call or email Petty Officer Katherine Linnick, Waterways Management Division, U.S. Coast Guard Sector Long

Island Sound; telephone 203-468-4565, email *Katherine.E.Linnick@uscg.mil*.

**SUPPLEMENTARY INFORMATION:** The Coast Guard will enforce the safety zones listed in 33 CFR 165.151 Table 1 on the specified dates and times as indicated.

Under the provisions of 33 CFR 165.151, the fireworks displays listed below are established as safety zones. During the enforcement period, persons and vessels are prohibited from entering into, transiting through, mooring, or anchoring within these safety zones unless they receive permission from the COTP or designated representative.

This notice is issued under authority of 33 CFR 165 and 5 U.S.C. 552(a). In addition to this notice in the **Federal Register**, the Coast Guard will provide the maritime community with advance notification of this enforcement period via the Local Notice to Mariners or marine information broadcasts. If the COTP determines that these safety zones need not be enforced for the full duration stated in this notice, a Broadcast Notice to Mariners may be used to grant general permission to enter the regulated area.

7.1 Point O'Woods Fire Company Summer Fireworks .....	<ul style="list-style-type: none"> <li>• Date: July 3, 2017.</li> <li>• Rain Date: July 5, 2017.</li> <li>• Time: 08:45 p.m. to 10:30 p.m.</li> <li>• Location: Waters of the Great South Bay, Point O'Woods, NY in approximate position 40°39'18.57" N., 073°08'5.73" W. (NAD 83).</li> </ul>
7.6 Sag Harbor Fireworks .....	<ul style="list-style-type: none"> <li>• Date: July 1, 2017.</li> <li>• Rain Date: July 2, 2017.</li> <li>• Time: 8:30 p.m. to 10:45 p.m.</li> <li>• Location: Waters of Sag Harbor Bay off Havens Beach, Sag Harbor, NY in approximate position 41°00'26" N., 072°17'9" W. (NAD 83).</li> </ul>
7.29 Mashantucket Pequot Fireworks .....	<ul style="list-style-type: none"> <li>• Date: July 8, 2017.</li> <li>• Rain Date: July 9, 2017.</li> <li>• Time: 8:45 p.m. to 10:45 p.m.</li> <li>• Location: Waters of the Thames River, New London, CT in approximate positions Barge 1, 41°21'03.03" N., 072°5'24.5" W. Barge 2, 41°20'51.75" N., 072°5'18.90" W. (NAD 83).</li> </ul>
7.33 Groton Long Point Yacht Club Fireworks .....	<ul style="list-style-type: none"> <li>• Date: July 15, 2017.</li> <li>• Rain Date: July 17, 2017.</li> <li>• Time: 9:00 p.m. to 10:30 p.m.</li> <li>• Location: Waters of Long Island Sound, Groton, CT in approximate position 41°18'05" N., 072°02'08" W. (NAD 83).</li> </ul>
7.40 Rowayton Fireworks .....	<ul style="list-style-type: none"> <li>• Date: July 4, 2017.</li> <li>• Rain Date: July 5, 2017.</li> <li>• Time: 9:00 p.m. to 11:00 p.m.</li> <li>• Location: Waters of Long Island Sound south of Bayley Beach Park, Rowayton, CT in approximate position 41°03'11" N., 073°26'41" W. (NAD 83).</li> </ul>

Dated: June 5, 2017.  
**A.E. Tucci**,  
*Captain, U.S. Coast Guard, Captain of the Port Sector Long Island Sound.*  
 [FR Doc. 2017-12743 Filed 6-16-17; 8:45 am]  
**BILLING CODE 9110-04-P**

**DEPARTMENT OF HOMELAND SECURITY**

**Coast Guard**

**33 CFR Part 165**

[Docket No. USCG-2017-0506]

**Safety Zones; Recurring Annual Events Held in Coast Guard Sector Boston Captain of the Port Zone**

**AGENCY:** Coast Guard, DHS.

**ACTION:** Notice of enforcement of regulation.

**SUMMARY:** The Coast Guard will enforce eleven safety zones within the Captain of the Port Boston zone on the specified dates and times. This action is necessary

to ensure the safety of vessels, spectators and participants from hazards associated with fireworks displays and swim events. During the enforcement period, no person or vessel, except for the safety vessels assisting with the events, may enter the safety zones without permission of the Captain of the Port (COTP) or his designated on-scene representative.

**DATES:** The regulations in 33 CFR 165.118 and 33 CFR 165.119 will be enforced for the safety zones identified in the **SUPPLEMENTARY INFORMATION** section below for the dates and times specified.

**FOR FURTHER INFORMATION CONTACT:** If you have questions about this notice of enforcement, call or email Mark Cutter,

Sector Boston Waterways Management Division, U.S. Coast Guard; telephone 617-223-4000, email *Mark.E.Cutter@uscg.mil*.

**SUPPLEMENTARY INFORMATION:** The Coast Guard will enforce the safety zones listed in Table 1 from 33 CFR 165.118

and in 33 CFR 165.119(a)(2) and (3) on the specified dates and times specified:

TABLE 1 FROM 33 CFR 165.118

Name	Location	Date	Time
6.5 Hull Youth Football Carnival Fireworks.	All waters within a 450-foot radius of the fireworks barge located approximately 500 feet off Nantasket Beach, Hull MA located at position 42°16.6' N., 070°51.7' W. (NAD 83).	June 24, 2017 .....	10 p.m. to 10:30 p.m.
6.3 Surfside Fireworks .....	All waters of the Atlantic Ocean near Salisbury Beach, MA, within a 350-yard radius of the fireworks barge located at position 42°50.6' N., 070°48.4' W. (NAD 83).	Every Saturday between June 24 to September 9.	9:30 p.m. to 10:30 p.m.
7.1 City of Lynn 4th of July Celebration Fireworks.	All waters of Nahant Bay, within a 350-yard radius of the fireworks barge located at position 42°27.62' N., 070°55.58' W. (NAD 83).	July 3, 2017 .....	7 p.m.–10 p.m.
7.4 Weymouth 4th of July Celebration Fireworks.	All waters of Weymouth Fore River, within a 350-yard radius of the fireworks launch site located at position 42°15.5' N., 070°56.1' W. (NAD 83).	July 3, 2017 .....	8:30 p.m. to 11:30 p.m.
7.5 Beverly 4th of July Celebration Fireworks.	All waters of Beverly Harbor within a 350-yard radius of the fireworks launch barge located at position 42°32.62' N., 070°52.15' W. (NAD 83).	July 4, 2017 .....	9 p.m. to 11 p.m.
7.9 Marblehead 4th of July Fireworks.	All waters of Marblehead Harbor within a 350-yard radius of the fireworks launch site located at position 42°30.34' N., 070°50.13' W. (NAD 83).	July 4, 2017 .....	9:30–10:30 p.m.
7.10 Plymouth 4th of July Fireworks.	All waters of Plymouth Harbor within a 350-yard radius of the fireworks launch site located at position 42°57.3' N., 070°38.3' W. (NAD 83).	July 4, 2017 .....	9:30 p.m. to 10 p.m.
7.8 City of Salem Fireworks .....	All waters of Salem Harbor, within a 350-yard radius of the fireworks launch site located on Derby Wharf at position 42°31.15' N., 070°53.13' W. (NAD 83).	July 4, 2017 .....	9 p.m. to 10 p.m.
7.19 Swim Across America Boston.	All waters of Boston Harbor between Rowes Warf and Little Brewster Island within the following points (NAD 83): 42°21.4' N., 071°03.0' W. 42°21.5' N., 071°02.9' W. 42°19.8' N., 070°53.6' W. 42°19.6' N., 070°53.4' W.	July 7, 2017 .....	6 a.m. to 4 p.m.
<b>33 CFR 165.119</b>			
Fan Pier Safety Zone .....	All U.S. navigable waters of Boston Inner Harbor within a 700-foot radius of the fireworks barge in the approximate position 42°21'23.2" N., 071°02'26" W. (NAD 1983), located off of the Fan Pier, South Boston, MA.	June 12, 2017 .....	10 p.m. to 10:30 p.m.
<b>33 CFR 165.119(a)(2)</b>			
Long Wharf Safety Zone .....	All U.S. navigable waters of Boston Inner Harbor within a 700-foot radius of the fireworks barge in approximate position 42°21'41.2" N., 071°02'36.5" W. (NAD 1983), located off of Long Wharf, Boston MA.	July 1, 2017 .....	9 p.m. to 10 p.m.

This notice of enforcement is issued under authority of 33 CFR 165.118, 33 CFR 165.119, and 5 U.S.C. 552(a). In addition to this notice of enforcement in the **Federal Register**, the Coast Guard plans to provide mariners with advanced notification of this enforcement period via the Local Notice to Mariners.

Dated: June 9, 2017.

**C.C. Gelzer,**

*Captain, U.S. Coast Guard, Captain of the Port Boston.*

[FR Doc. 2017-12581 Filed 6-16-17; 8:45 am]

**BILLING CODE 9110-04-P**

**DEPARTMENT OF COMMERCE**

**National Oceanic and Atmospheric Administration**

**50 CFR Part 622**

[Docket No. 140818679-5356-02]

**RIN 0648-XF499**

**Fisheries of the Caribbean, Gulf of Mexico, and South Atlantic; Reef Fish Fishery of the Gulf of Mexico; Revised 2017 Recreational Fishing Season for Red Snapper Private Angling Component in the Gulf of Mexico**

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Temporary rule; re-opening.

**SUMMARY:** NMFS is re-opening the private angling component for red snapper in the exclusive economic zone (EEZ) of the Gulf of Mexico (Gulf) through this temporary rule. The Federal recreational season for red snapper in the Gulf EEZ re-opens at 12:01 a.m., local time, on June 16, 2017. For recreational harvest by the private angling component, from June 16, 2017, through Labor Day, September 4, 2017, the season will be closed Monday through Thursday with the exception of July 3, July 4, and September 4. After September 4, 2017, the private angling component will be closed through the end of the current fishing year. For recreational harvest by the Federal for-hire component, the season is unchanged and closes at 12:01 a.m., local time, on July 20, 2017. This temporary rule supersedes the

previously announced Gulf red snapper 2017 private angling component season.

**DATES:** The reopening is effective each weekend, from 12:01 a.m., local time, Fridays, through 12:01 a.m., local time, Mondays, beginning June 16, 2017, until 12:01 a.m., local time, September 5, 2017. The reopening is also effective from 12:01 a.m., local time, July 3, 2017, until 12:01 a.m., local time, July 5, 2017; and from 12:01 a.m., local time, September 4, 2017, until 12:01 a.m., local time, September 5, 2017. The recreational fishing season will then be closed until it reopens on June 1, 2018.

**FOR FURTHER INFORMATION CONTACT:** Samuel D. Rauch, III, NMFS Office of the Assistant Administrator, email: [nmfs.redsnappercomments@noaa.gov](mailto:nmfs.redsnappercomments@noaa.gov).

**SUPPLEMENTARY INFORMATION:** The Gulf reef fish fishery, which includes red snapper, is managed under the Fishery Management Plan for the Reef Fish Resources of the Gulf of Mexico (FMP). The FMP was prepared by the Gulf of Mexico Fishery Management Council and is implemented by NMFS under the authority of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) by regulations at 50 CFR part 622.

The commercial and recreational sectors are managed by separate quotas. Amendment 26 to the FMP established an individual fishing quota program for commercial red snapper fishermen (71 FR 67447, November 22, 2006). Amendment 27 to the FMP established an annual June 1 start date for the recreational season that currently applies to both recreational components of the sector (73 FR 5117, January 29, 2008). The final rule implementing Amendment 40 to the FMP established two components within the recreational sector fishing for Gulf red snapper: the private angling component and the Federal for-hire component (80 FR 22422, April 22, 2015).

Currently, the Gulf of Mexico stock of red snapper is overfished. In 2005 (Amendment 22), NMFS adopted a rebuilding plan enacted by the Gulf of Mexico Fishery Management Council (GMFMC) that was designed to rebuild the stock by 2032. Since implementation of the rebuilding plan, red snapper are larger and more abundant and are also expanding their range to areas of Florida where they have not been prevalent for some time.

This has increased economic opportunity for the commercial red snapper industry. That industry contains a limited number of tightly regulated vessels that are able to closely monitor their catches and stay within their allotted quota. As the quotas have

increased to reflect improved stock health, the commercial catches have gone up and the commercial fishery has been able to reap the economic benefit of improved stock status. The ex-vessel value of commercial red snapper landings has increased from \$10 million dollars in 2007 to nearly \$30 million dollars in 2015.

The same cannot be said of private recreational fishermen. Red snapper is harvested recreationally throughout the Gulf, with proportionally larger landings in the eastern Gulf. The desire for recreational fishing generates economic activity as consumers spend their income on various goods and services needed for recreational fishing. This spurs significant economic activity in the region where recreational red snapper fishing from charter vessels and private anglers generates at least \$47 million dollars annually (output/sales in 2014 dollars) from West Florida through Texas.

A decade ago, recreational fishermen could fish for red snapper for more than 6 months. As the stock has grown, anglers are catching more and larger fish across a broader geographic range. Catch per day has increased because of abundance and fish size, but also more concentrated fishing effort as the season has become compressed. Further, angler access in Federal waters has declined as the Federal recreational season has shrunk. On the commercial side, more fish has resulted in higher catch rates and higher profits. On the private recreational side, abundance has meant fewer and fewer days to fish in Federal waters, which is at the heart of the recreational fishing experience. While explicable, this situation is untenable. The decreasing number of days allowed for the private angler component in Federal waters has resulted in derby style fishing that forces anglers to take increased risks to fish in bad weather and concentrates fishing effort in a narrow time window. States have responded by setting State seasons for the private angling component that are far longer than the Federal season, greatly complicating fishery management and further reducing the available days in Federal waters. The current situation has undermined the Federal-State partnership on management of this transboundary stock and threatens to undermine the very fabric of Federal fisheries management in the Gulf and elsewhere.

Red snapper is primarily a deeper water species, although it does occur patchily in deeper parts of state waters. Given that it occurs and is caught within the jurisdiction of the 5 Gulf states and in Federal waters, a unified

approach to management is critical to preserve the sustainability of the stock while maximizing the economic and recreational value of the stock. The increasingly short Federal recreational season has undermined that needed cohesiveness. As the federal seasons have become shorter, the states have allowed for longer and longer state water seasons. Since state catches “come off the top”, the long state seasons have made the Federal season even shorter, further exacerbating the problem. So while the amount of red snapper that can be caught by private anglers is near an all-time high, more than 81 percent of those fish will be caught during state seasons under status quo management.

This incongruous management has a number of direct and indirect negative effects on the fishery. Managing the private recreational fishery is far more difficult than managing the commercial fishery. The commercial fishery is comprised of relatively few boats that fill out regular reports and land their fish in a limited number of places. Their landings can be cross-checked with dealer reports at the limited number of licensed fish dealers and it is feasible to know where the vessel was when it caught the fish. In comparison, there are hundreds of thousands of private anglers who can decide to put a fishing line in the water from shore anywhere on the coast or get in a private boat to go virtually anywhere off shore from a public boat ramp or a dock on private property, making it difficult to reliably track angler catches and fishing effort.

As a result, understanding what is happening in the vast recreational fishery and then appropriately managing it is not something the Federal government can do alone. We rely on the states as integral partners in the co-management effort. The States license fishermen and collect significant amounts of independent science data that goes into the stock assessment process. If the states are not partners in a cohesive management scheme, the management system will not work for anyone.

The lack of a unified approach can also significantly increase the burden on the taxpayer from duplicative or overlapping management structures. Historically, the states and Federal government have cooperated in a unified management, survey and data collection program to estimate fishing effort and overall stock abundance of red snapper and other Gulf stocks. The effect of the non-uniform management approach existent today is essentially the creation of six individual management and science regimes for a

single species of fish. Each of the five states is creating or has created a unique way of collecting data on and managing red snapper, which is somewhat independent of the Federal system. This has not always been the case and the state and Federal managers are trying to ensure that the various systems are compatible. Nevertheless, the disparate approaches do increase the overall cost to the taxpayer and create inconsistent data results, further undermining the integrity of the system.

Against this backdrop, the agreement reached today between the Secretary of Commerce and the five states is extraordinary. For the first time since 2007, the five States have agreed amongst themselves on a singular private recreational summer fishing season of 39 essentially weekend days. In addition, Florida and Alabama have committed to forgoing fall seasons, eliminating the vast majority of private angler catch that has occurred in the fall. Mississippi and Louisiana have also committed to reviewing their fall seasons in light of the catch from the combined summer season, and may decide not to allow fall fishing for red snapper. Texas, which accounts for less than half a percent of private angler catch of red snapper in its fall season, expects to remain open. While slightly disparate, the emergency actions by all five States to bring their State water seasons into alignment with the Federal water season for the rest of the summer, when the bulk of private recreational angling occurs, is a significant step forward in building a new Federal-State partnership in managing this transboundary fish stock. The Secretary believes this increased Federal-State cooperation will benefit the long term recovery of the red snapper stock while maximizing the economic benefits from recreational fishing in the Gulf region.

The States have now recommitted themselves to cohesive and unified management. If Federal waters will stay open for the same amount of time, they will modify their various individual seasons and adopt a singular uniform season Gulf-wide through September 4. There will no longer be any incentive to fish in closed Federal waters when State waters are open. State and Federal managers and data collectors can once again work as partners trying to achieve the same management objective.

This is extraordinary and the States are sacrificing substantial near shore fishing opportunities to allow this to happen. Many States will forgo weeks or months of fishing in State waters in exchange for better fishing opportunities and larger fish in Federal waters. This represents a significant commitment

from the States to restore a shared vision of uniform management.

Both the States and the Federal government understand what is at risk with this approach. The stock is still overfished. While the stock is ahead of its rebuilding target, if employed for a short period of time, this approach may delay the ultimate rebuilding of the stock by as many as 6 years. This approach likely could not be continued through time without significantly delaying the rebuilding timeline. Similarly, the approach will necessarily mean that the private recreational sector will substantially exceed its annual catch limit, which was designed to prevent overfishing the stock. Nevertheless, NMFS calculates that the stock will continue to grow, although at substantially more modest pace if this approach is adopted for one year. Given the precipitous drop in Federal red snapper fishing days for private anglers notwithstanding the growth of the stock, the increasing harm to the coastal economies of Gulf States, and that the current disparate approaches to management are undermining the very integrity of the management structure, creating ever-increasing uncertainty in the future of the system, the Secretary of Commerce has determined that a more modest rebuilding pace for the stock is a risk worth taking.

As such, in coordination with the five Gulf States, the Secretary of Commerce has determined to re-open the Federal private recreational season. The 2017 Federal recreational season was previously closed at 12:01 a.m., local time, on June 4, 2017, for the private angling component. The Federal for-hire component will close at 12:01 a.m. local time, on July 20, 2017 (86 FR 21140, May 5, 2017). All five Gulf States have indicated they will adopt State recreational fishing seasons through September 4, 2017, compatible with the Federal season announced through this temporary rule. The 2017 Federal recreational season for the private angling component is revised through this temporary rule and will be open an additional 39 days for a total of 42 days. In 2017, the private angling component will be open from June 1 through 4, June 16 through 18, June 23 through 25, June 30 through July 4, July 7 through 9, July 14 through 16, July 21 through 23, July 28 through 30, August 4 through 6, August 11 through 13, August 18 through 20, August 25 through 27, and September 1 through 4. The Federal season for the Federal for-hire component will remain the same and close at 12:01 a.m., local time, July 20, 2017. The commercial individual fishing quota program and the 2017

commercial quota remain unchanged through this temporary rule. The 2018 Federal recreational fishing seasons for the respective components will begin on June 1, 2018.

When the recreational component is closed, the bag and possession limits for red snapper in the respective component are zero. Additionally, when the Federal charter vessel/headboat component or entire recreational sector is closed, these bag and possession limits apply in the Gulf on board a vessel for which a valid Federal charter vessel/headboat permit for Gulf reef fish has been issued, without regard to where such species were harvested, *i.e.*, in State or Federal waters.

### Classification

This action is taken under 50 CFR part 622 and is exempt from review under Executive Order 12866.

These measures are exempt from the procedures of the Regulatory Flexibility Act because the temporary rule is issued without opportunity for prior notice and comment.

The Assistant Administrator for NOAA Fisheries (AA), finds that the need to immediately implement this action to provide additional recreational private angling fishing season days constitutes good cause to waive the requirements to provide prior notice and opportunity for public comment on this temporary rule pursuant to the authority set forth in 5 U.S.C. 553(b)(B), because such procedures are unnecessary and contrary to the public interest. Such procedures are unnecessary because the rule implementing the requirement to close the recreational components have already been subject to notice and comment, and all that remains is to notify the public of the closures. Providing prior notice and opportunity for public comment are contrary to the public interest because of the need for timely re-opening of the Federal private angling component season. In addition, prior notice and opportunity for public comment would require time and many of those affected by the length of the recreational fishing season, particularly vacationing private anglers and associated businesses that are dependent on private anglers, need as much advance notice as NMFS is able to provide to adjust their personal and business plans to account for the recreational fishing season.

For the aforementioned reasons, the AA also finds good cause to waive the 30-day delay in the effectiveness of this action under 5 U.S.C. 553(d)(3).

**Authority:** 16 U.S.C. 1801 *et seq.*



Dated: June 14, 2017.

**Samuel D. Rauch III,**

*Acting Assistant Administrator, National  
Marine Fisheries Service.*

[FR Doc. 2017-12735 Filed 6-14-17; 4:15 pm]

**BILLING CODE 3510-22-P**

# Proposed Rules

Federal Register

Vol. 82, No. 116

Monday, June 19, 2017

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

## POSTAL REGULATORY COMMISSION

### 39 CFR Part 3050

[Docket No. RM2017–5; Order No. 3959]

#### Periodic Reporting

**AGENCY:** Postal Regulatory Commission.

**ACTION:** Notice of proposed rulemaking.

**SUMMARY:** The Commission is noticing a recent filing requesting that the Commission initiate an informal rulemaking proceeding to consider changes to an analytical method for use in periodic reporting (Proposal One). This notice informs the public of the filing, invites public comment, and takes other administrative steps.

**DATES:** *Comments are due:* July 19, 2017.

**ADDRESSES:** Submit comments electronically via the Commission's Filing Online system at <http://www.prc.gov>. Those who cannot submit comments electronically should contact the person identified in the **FOR FURTHER INFORMATION CONTACT** section by telephone for advice on filing alternatives.

**FOR FURTHER INFORMATION CONTACT:** David A. Trissell, General Counsel, at 202–789–6820.

#### SUPPLEMENTARY INFORMATION:

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- I. Introduction
- II. Proposal One
- III. Notice and Comment
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#### I. Introduction

On June 7, 2017, the Postal Service filed a petition, pursuant to 39 CFR

3050.11, requesting that the Commission initiate a rulemaking proceeding to consider a change to an analytical method used in periodic reporting.<sup>1</sup> The Petition labels the proposed analytical method change filed in this docket as Proposal One.

#### II. Proposal One

The Postal Service currently utilizes statistical sampling estimates from the Origin Destination Information System—Revenue, Pieces, and Weight (ODIS–RPW) to measure national revenue and pieces for insured, collect on delivery (COD), and registered extra services on domestic mailpieces bearing PC Postage indicia. Proposal One at 3. The Postal Service proposes to replace the ODIS–RPW estimates with census transactional data from the Retail Data Mart reporting system. *Id.* at 5.

The Postal Service summarizes the effect that Proposal One would have had in fiscal year 2016 (FY 2016) in Table A of the Petition. *Id.* at 7. Reported revenues for insured mail and registered mailpieces with PC Postage indicia would have increased by 126 percent and 67 percent, respectively. COD revenues for PC Postage mailpieces would have been calculated to be 1,967 percent higher, resulting in almost double the total COD revenue reported in the FY 2016 RPW and Cost and Revenue Analysis Report.<sup>2</sup> The Postal Service notes that this increase in COD revenue would have substantially improved the FY 2016 cost coverage of COD, which was discussed in the Commission's FY 2016 ACD.<sup>3</sup>

<sup>1</sup> Petition of the United States Postal Service Requesting Initiation of a Proceeding to Consider a Proposed Change in Analytical Principles (Proposal One), June 7, 2017 (Petition).

<sup>2</sup> FY 2016 COD revenue was reported to be \$2,029,090. See Docket No. ACR2016, Annual Compliance Determination, March 28, 2017, at 61 (FY 2016 ACD). The Postal Service calculates that Proposal One would have added an additional \$2,004,000 in revenue for the same period. Proposal One at 6.

<sup>3</sup> FY 2016 ACD at 61–62.

The Postal Service states that this change will improve accuracy by eliminating sampling errors. Proposal One at 5. For this reason, the Postal Service avers that Proposal One will provide “equal or improved data quality.” *Id.*

#### III. Notice and Comment

The Commission establishes Docket No. RM2017–5 for consideration of matters raised by the Petition. More information on the Petition may be accessed via the Commission's Web site at <http://www.prc.gov>. Interested persons may submit comments on the Petition and Proposal One no later than July 19, 2017. Pursuant to 39 U.S.C. 505, Lyudmila Bzhilyanskaya is designated as the Public Representative in this proceeding.

#### IV. Ordering Paragraphs

*It is ordered:*

1. The Commission establishes Docket No. RM2017–5 for consideration of the matters raised by the Petition of the United States Postal Service Requesting Initiation of a Proceeding to Consider a Proposed Change in Analytical Principles (Proposal One), filed June 7, 2017.

2. Comments by interested persons in this proceeding are due no later than July 19, 2017.

3. Pursuant to 39 U.S.C. 505, the Commission appoints Lyudmila Bzhilyanskaya to serve as the Public Representative in this docket.

4. The Secretary shall arrange for publication of this order in the **Federal Register**.

By the Commission.

**Stacy L. Ruble,**  
*Secretary.*

[FR Doc. 2017–12652 Filed 6–16–17; 8:45 am]

**BILLING CODE 7710–FW–P**

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

## DEPARTMENT OF AGRICULTURE

### Agricultural Marketing Service

[Doc. No. AMS-LPS-16-0060-0001]

#### United States Standards for Grades of Carcass Beef

**AGENCY:** Agricultural Marketing Service, USDA.

**ACTION:** Notice; request for comments.

**SUMMARY:** The Agricultural Marketing Service (AMS) of the U.S. Department of Agriculture (USDA) is proposing amendments to the United States Standards for Grades of Carcass Beef (beef standards). Specifically, AMS is proposing amendments to the beef standards that would allow dentition and documentation of actual age as additional methods of classifying maturity of carcasses presented to USDA for official quality grading. Currently, the standards include only skeletal and muscular evidence as a determination of classifying maturity of carcasses for the purposes of official USDA quality grading. Official USDA quality grading is used as an indication of meat palatability and is a major determining factor in live cattle and beef value.

**DATES:** Submit comments on or before August 18, 2017.

**ADDRESSES:** Interested persons are invited to submit comments electronically at <https://www.regulations.gov>. Written comments may be sent to: Beef Carcass Revisions, Standardization Branch, Quality Assessment Division (QAD); Livestock, Poultry, and Seed Program (LPS), AMS, USDA; 1400 Independence Avenue SW., Room 3932-S, STOP 0258, Washington, DC 20250-0258. Comments may also be emailed to [beefcarcassrevisions@ams.usda.gov](mailto:beefcarcassrevisions@ams.usda.gov). Submitted comments will be available for public inspection at <https://www.regulations.gov>, or during regular

business hours at the above address. Please be advised that the identity of the individuals or entities submitting the comments will be made public on the Internet at the address provided above.

**FOR FURTHER INFORMATION CONTACT:**

Bucky Gwartney, International Marketing Specialist, Standardization Branch, QAD, LPS, AMS, USDA, 1400 Independence Avenue SW., Room 3932-S, STOP 0258, Washington, DC 20250-0258, phone (202) 720-1424, or via email at [Bucky.Gwartney@ams.usda.gov](mailto:Bucky.Gwartney@ams.usda.gov).

**SUPPLEMENTARY INFORMATION:** Section 203(c) of the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621 *et seq.*), directs and authorizes the Secretary of Agriculture “to develop and improve standards of quality, condition, quantity, grade, and packaging and recommend and demonstrate such standards in order to encourage uniformity and consistency in commercial practices.” AMS is committed to carrying out this authority in a manner that facilitates the marketing of agricultural commodities. While the beef standards do not appear in the Code of Federal Regulations, they—along with other official standards—are maintained by USDA at <https://www.ams.usda.gov/grades-standards>. Copies of official standards are also available upon request. To propose changes to the beef standards, AMS utilizes the procedures it published in the August 13, 1997, **Federal Register**, and that appear in 7 CFR part 36.

#### Background

The beef standards and associated voluntary, fee-for-service beef grading service program are authorized under the Agricultural Marketing Act of 1946, as amended. The primary purpose of official USDA grade standards is to divide the population of a commodity into uniform groups (of similar quality, yield, value, etc.) to facilitate marketing. The USDA’s voluntary, fee-for-service grading programs are designed to provide an independent, objective determination as to whether a given product is in conformance with the applicable official standard. When beef is voluntarily graded to the beef standards under the grading service, the official grade consists of a quality grade and/or a yield grade.

The quality grades are intended to identify differences in the palatability or eating satisfaction of cooked beef principally through the characteristics of marbling and physiological maturity groupings. As noted in the standards referenced above, the principal official USDA quality grades for young (maturity groups “A” and “B”) cattle and carcasses are Prime, Choice, and Select, in descending order in terms of historic market value. USDA recognizes that the beef standards must be relevant in order to be of greatest value to stakeholders and, therefore, recommendations for changes in the standards may be initiated by USDA or by interested parties at any time to achieve that goal.

For beef, USDA quality grades provide a simple, effective means of describing product that is easily understood by both buyers and sellers. By identifying separate and distinct segments of beef, grades enable buyers to obtain the particular kind of beef that meets their individual needs. For example, certain restaurants may choose to only sell officially graded USDA Prime beef so as to provide their customers with a product that meets a very consistent level of overall palatability. At the same time, grades are important in transmitting information to cattle producers to help ensure informed production, feeding, and marketing decisions are made. For example, the market preference and price paid for a particular grade of beef is communicated to cattle producers so they can adjust their production accordingly. In such a case, if the price premium being paid for a grade, such as USDA Prime beef, merits producers making the investments required in cattle genetics and feeding to produce more USDA Prime beef, such marketing decisions can be made with justification.

#### Current Process for Determining Maturity

Since its inclusion in the beef standards, physiological maturity based on skeletal and muscular evidence has been the means for establishing age of animals in both marketing standards and in research. USDA graders examine signs of physiological maturity (*e.g.*, size, shape, and ossification of the bones and cartilages—especially the split chine bones—and color, texture, and

firmness of the lean flesh) in order to assign a maturity grouping. Although never intended to be a definitive method to determine the chronological age of cattle at the time of slaughter and instead utilized to predict beef palatability, the maturity groupings have historically been roughly correlated to different age ranges and categories: Maturity grouping A was correlated with beef from cattle between 9 and 30 months of age (MOA) at time of slaughter, maturity grouping B was correlated with beef from cattle between 30 and 42 MOA at time of slaughter, maturity grouping C was correlated with beef from cattle between 42 and 72 MOA at time of slaughter, maturity grouping D was correlated with beef from cattle between 72 and 96 MOA at time of slaughter, and maturity grouping E was correlated with beef from cattle more than 96 MOA at time of slaughter. However, these are rough approximations that are influenced by other factors including sex, nutrition, growth promotant administration, reproductive status, breed, and a variety of other environmental factors. Therefore, cattle that are younger than 30 MOA may have a physiological maturity grouping of B or greater due to the factors listed above.

Generally, A-maturity carcasses are eligible for Prime, Choice, Select, and Standard quality grades; B-maturity carcasses are eligible for Prime, Choice, or Standard; and C-, D-, or E-maturity carcasses are eligible for Commercial, Utility, Cutter, or Canner. In most fed beef plants, carcasses that fit the C-, D-, or E-maturity categories (often referred to as "hard bones") are not presented for USDA grading.

The beef standards have had past revisions made to the maturity grouping requirements, and these revisions resulted in classifications that were designed to reduce the variability of eating quality within the grades. The most recent such change occurred in 1997 when certain carcasses from the B-maturity grouping were no longer eligible for the USDA Select quality grade. The official standards have never relied upon any other indicator besides physiological maturity to determine maturity grouping or the resulting USDA quality grade. This was primarily because the use of physiological maturity was not intended to be used to predict the age of an animal at time of slaughter but rather the resulting palatability of the meat.

Many years of research have demonstrated a correlation between physiological maturity and beef palatability, and the factors affecting the physiological maturity of a beef animal

are numerous. It is well-documented that elevated levels of estrogen, found in heifers and heiferettes (females that have calved once), result in advanced skeletal ossification. Estrogen is also higher in those animals being administered growth implants containing estrogen and estrogen-like compounds and possibly those animals fed and exposed to naturally occurring estrogens in their diet. Animals having an elevated exposure to estrogen are much more likely to result in B- or C-maturity carcasses, and this advanced skeletal maturity is more prevalent the closer the animal is to 30 MOA.

The scientific literature also indicates that the meat in younger cattle contains immature and soluble collagen that when cooked does not negatively impact the tenderness of the product. As an animal matures, the collagen will become more mature and have more thermally stable cross-links, resulting in a tougher product. However, when grain-finished cattle are evaluated at various ages (12 to 35 months) and skeletal maturities (A to C), the resulting differences in tenderness are minimal. Scientific studies support this phenomenon, explained by the faster turnover of both the muscle fibers and the connective tissue within the animal due to faster growth and higher concentrate diets. An overview of many of these factors is discussed by Tatum, 2011.<sup>1</sup>

#### Dentition

Although not used as part of the voluntary grading process, dentition has been used in the U.S. since 2004 by the USDA's Food Safety and Inspection Service (FSIS) in all federally inspected plants to determine whether an animal is less than or older than 30 MOA. FSIS Directive 6100.4 explains that "[i]nspection program personnel are to consider cattle to be 30 months and older when the examination of the dentition of the animal shows that at least one of the second set of permanent incisors (I2) has erupted above the gum line." Cattle older than 30 MOA must have certain specified risk materials, such as the vertebral column, removed from their carcasses before the sale of the resulting beef cuts. In addition to the visual inspection of permanent incisors, FSIS personnel will accept documentation showing the actual age of the animal. Age verification involves providing the proper paperwork or other proof of an animal's actual age (e.g., less

<sup>1</sup>J.D. Tatum, 2011. Animal Age, physiological maturity, and associated effects on beef tenderness. White Paper funded by the Cattlemen's Beef Promotion and Research Board.

than 30 MOA) and is also used for a variety of purposes, including meeting foreign market requirements for U.S. beef from cattle under a certain age.

Current research has indicated that carcasses from grain-fed steers and heifers that are identified as less than 30 MOA based on dentition are similar in palatability to A-maturity carcasses determined via physiological maturity and thus could be classified A-maturity for grading purposes even though the physiological maturity characteristics of B- or older maturity groupings may be present. When comparisons involve grain-finished steers and heifers that are less than 30 MOA, the age of the animal has been shown to have little effect on beef tenderness. In addition, numerous studies have evaluated the relationship between the skeletal maturity of an animal and its dentition pattern. In two experiments, described by Lawrence *et al.*, 2001, 1,464 cattle were evaluated for physiological maturity and dentition characteristics.<sup>2</sup> These studies showed that 97.5 percent of cattle with 2 permanent incisors (the cutoff point for less than 30 MOA) were classified as A-maturity carcasses. In that study, the authors suggest that dentition is a more accurate determinant of carcass maturity, although they have no evidence that dentition is better able to predict palatability. This is supported by other research showing that dentition is more closely related to actual chronological age than is physiological maturity.

Two recent studies funded by the Cattlemen's Beef Promotion and Research Board evaluated the relationship between eating quality and the skeletal maturity of carcasses that were classified by dentition as either less than 30 MOA or greater than 30 MOA. The first study<sup>3</sup> (Acheson *et al.*, 2014) sampled 450 grain-finished steer and heifer carcasses classified as less than 30 MOA through dentition, with varying skeletal maturity and marbling scores. Trained sensory panels and slice shear force (SSF) testing were conducted and neither analysis determined a difference between steaks from the A-maturity versus the B-through C-maturity carcasses. Marbling categories were effective in stratifying carcasses according to differences in

<sup>2</sup>Lawrence, T.E., J.D. Whatley, T.H. Montgomery and L.J. Perino. 2001. A comparison of the USDA ossification based maturity system to a system based on dentition. *Journal of Animal Science*, 79:1683-1690.

<sup>3</sup>Acheson, R.J., Woerner, D.R., and Tatum, J.D. 2014. Effects of USDA carcass maturity on sensory attributes of beef produced by grain-finished steers and heifers classified as less than 30 months old using dentition. *Journal of Animal Science*, 92:1792-1799.

tenderness and juiciness. Results from that study suggest A–C-maturity carcasses have similar sensory and SSF scores when they originate from grain-finished cattle classified as less than 30 MOA by dentition.

The second study<sup>4</sup> (Semler et. al., 2016) evaluated the tenderness of steaks from 600 steer and heifer carcasses that varied in marbling, skeletal maturity, and age by dentition. Tenderness was also evaluated by trained sensory panels and SSF testing. The results were consistent with those from the first study and showed that the tenderness between USDA maturity classifications (A versus B–D) was not different within dental age (less than 30 MOA or greater than 30 MOA). Steaks from carcasses greater than 30 MOA did have more intense grassy and bloody/serum flavors and decreased tenderness within the slight degree of marbling group. As in the first study, the degree of marbling was effective in stratifying carcasses according to differences in tenderness and juiciness.

#### Request for a Change to the Beef Standards

On April 13, 2016, representatives from the National Cattlemen's Beef Association, the National Association of State Departments of Agriculture, the U.S. Meat Export Federation, and the American Farm Bureau Federation petitioned USDA to amend the beef standards. The petition to amend the beef standards (the petition) seeks to amend them by allowing age verification or dentition-based assessment to determine carcass maturity in fed steers and heifers. Both the petition and associated research are available at <https://www.ams.usda.gov/grades-standards/beef-request-for-comments>.

In consideration of the body of research, the petition requested that USDA revise the beef standards by adding the following language to section 54.104(k) of the beef standards that describes the skeletal maturity:

Carcasses of grain-fed steers and heifers determined to be less than 30 months old either by dentition (assessed at the time of slaughter under the supervision of USDA–FSIS) or by documentation of actual age (verified through a USDA Process Verified Program or USDA Quality System Assessment) are included in the youngest maturity group for carcasses recognized as “beef” (A and B maturity) regardless of skeletal evidences of maturity.

The petition stated that approximately 7.2 percent of cattle classified as less than 30 months of age exhibit premature skeletal ossification, and so rather than qualifying as A-maturity (the youngest maturity classification in the beef standards), they qualify as B-maturity or older and are subject to discounts that reduce the overall value of the carcass.

AMS was also provided a large data set from a recent study of beef packing plant slaughter and performed a statistical and economic analysis on the data to determine the possible impact should the proposed change to the beef standards be adopted. The results of this review were published in a May 19, 2016, document, “Economic Assessment of the Request to Modernize the U.S. Standards for Grades of Carcass Beef”, and is available at the aforementioned Web site. The study period ranged from the beginning of May 2014 through the end of April 2015. Extrapolating the study data across the total population of cattle graded each year by AMS—approximately 21 million—resulted in the following:

- Seventy-two percent were slaughtered in facilities participating in the study;
- Ninety-seven percent were found to be less than 30 MOA using dentition;
- Less than 3 percent (2.8) were found to be equal to or greater than 30 MOA;
- Less than 2 percent (1.68) were deemed to be age-discounted when using skeletal ossification as the measure of maturity grouping; and
- Less than one-half of 1 percent of the total cattle graded were age-verified.

According to the study, had there been an allowance to use dentition as a means to override physiological characteristics of advanced maturity grouping, as was proposed, roughly an additional 1 percent of those cattle would have been eligible for grading. Of these cattle, 4.5 percent would have been graded Prime, 63.6 percent Choice, and 31.9 percent Select. Within the Choice category, 24.4 percent of all newly graded carcasses would have been placed in the top two-thirds Choice category (branded Choice programs), and 39.2 percent of all added carcasses would have been placed in the bottom of the Choice category. In addition, lean and skeletal maturity requirements are referenced throughout many of the current USDA Certified Beef Programs and the General Schedules. Upon request, USDA provides certification of meat carcasses for a number of marketing programs that make claims concerning breed and carcass characteristics. If the proposed

changes to the beef standards are made, users of these certified programs should evaluate their specifications closely and recommend any needed changes to USDA.

The grade composition of the carcasses being added by using dentition as a measure of age was not much different than the grade composition of carcasses graded using physiological maturity, and overall, these data show an increase of 1.05 percent for Prime beef, 0.91 percent for Choice, and 1.29 percent for Select. According to calculations made from wholesale beef elasticity, wholesale beef prices could decline between 1 to 1.5 percent for each of the grade categories as a result of the increased supply of graded beef. Using this data, AMS found a net gain to producers of nearly \$55 million, primarily due to reduced hard bone discounts for quality grade maturity grouping done by the current physiological maturity approach alone.

#### Previous Solicitation for Comments

This information was published by USDA in a Notice in the **Federal Register** (81 FR 57877) on August 24, 2016, which sought public comment on whether or not to amend the beef standards. AMS received 236 total comments. Of those comments, 179 commenters favored revising the beef standards to include dentition and documented age as additional methods for maturity classification. There were 53 commenters who did not support making the changes. Two comments were submitted in duplicate and one comment was submitted in triplicate; each of these respective submissions was counted only once. It is noteworthy that 160 of the 179 favorable comments were the same form letter and were from producers. Comments can be viewed at <https://www.regulations.gov/document?D=AMS-LPS-16-0060-0001>.

The vast majority of comments were received from the producer segment of the industry. Commenters who supported the changes cited an anticipated increase in the number of carcasses that would qualify for USDA grades of Prime, Choice, and Select without a significant reduction in palatability for those grades; the anticipated profitability producers would gain by having carcasses grade or grade higher; and support for the science-based Cattlemen's Beef Promotion and Research Board-funded research. Many agricultural associations, which represent a majority of cattle producers, provided favorable comments in support of the changes. In addition, most major packing companies provided positive comments in support

<sup>4</sup> Semler, M.L., D.R. Woerner, K.E. Belk, K.J. Enns, and J.D. Tatum. 2016. Journal of Animal Science, 94:2207–2217. Effects of United States Department of Agriculture carcass maturity on sensory attributes of steaks produced by cattle representing two dental age classes.

of the changes. The potential increase in Prime and Choice carcasses, along with premiums to the producers, were the primary factors cited for their support.

Commenters opposed to changing the beef standard identified various issues of concern, and these are further discussed below. Although there were 53 individual comments that did not support a revision to the beef standards, many responses raised multiple issues. Therefore, as we examine each category of concern, the total figures mentioned will exceed a sum of 53. Seventeen commenters believed the populations in the referenced studies were too small. In response, AMS has determined that all studies referenced herein—including those that found that carcasses exhibiting advanced skeletal maturity when determined by dentition to be under 30 MOA produced meat that was as palatable in taste tests as meat produced from carcasses that did not exhibit signs of advanced skeletal maturity—were peer-reviewed and adequately designed to answer the study objectives and hypotheses. Statistical significance and statistical power of the test will in fact increase with an increased sample size, in small increments, but add significant costs.

There were 24 commenters who questioned the value of dentition in predicting age, and 1 commenter pointed out that the beef standards are not designed to predict age, but instead palatability. In response, AMS notes that recent research suggests that dentition is a more accurate determinant of carcass maturity and is more closely related to actual chronological age than is USDA physiological maturity. As briefly discussed above, studies by Lawrence showed that 97.5 percent of cattle with 2 permanent incisors (the cutoff point for less than 30 MOA) were classified as A-maturity carcasses.

One commenter suggested that a change to the beef standards was not warranted given the relatively small percentage of cattle (and subsequent carcasses) affected by the change. While the economic study performed by USDA shows an approximate potential increase of 1 percent in the Choice and Prime categories, AMS believes this is a significant value proposition for both the beef production and processing sectors. USDA is not proposing this change because of the number of cattle that will be affected or the economic benefit. Instead, USDA is proposing to revise the beef standards because current scientific research has presented another acceptable means for determining the maturity of a beef carcass.

Thirteen commenters expressed concern about the dentition process overseen by FSIS and the perceived lack of training for the employees responsible for this procedure. FSIS has clear guidelines and procedures for the evaluation of dentition on cattle, and this procedure has been ongoing for many years with little to no concerns being raised by domestic or international users of U.S. beef products. Several of these commenters also suggested that, while they believe FSIS is properly overseeing the dentition process through trained plant personnel, they believe AMS must have involvement in the process if that dentition determination will ultimately become a factor in the application of a voluntary USDA grade. In response to this concern, AMS would require that plants provide their procedures for marking and identification of cattle greater than 30 MOA. AMS would also verify these procedures are being adhered to through a Quality Systems Assessment audit or other means. AMS is also proposing a procedure and change to the standard that would allow the AMS grader to refrain from grading an under-30-MOA carcass that exhibits advanced skeletal maturity (e.g., D- and E-skeletal maturity). While this may occur infrequently, providing a procedure for AMS graders to evaluate advanced skeletal carcasses that are identified as under 30 MOA protects the grading system and ensures that carcasses exhibiting advanced skeletal maturity never qualify for Prime, Choice, Select, or Standard.

Twenty commenters suggested that these changes would cheapen U.S. beef. It is important to note that the majority of grain-finished cattle are harvested at 12 to 24 MOA and usually produce A-maturity beef. In other words, the vast majority of cattle offered for grading will not be affected at all by this proposed change. That said, a percentage of carcasses that today are evaluated as B- or C-maturity but are produced from cattle under 30 MOA would be eligible for grading under the proposed system. Based on AMS's estimates outlined in "Economic Assessment of the Request to Modernize the U.S. Standards for Grades of Carcass Beef," roughly an additional 1 percent of cattle would be eligible for grading. The research outlined here does not show any trends towards an inferior product being produced if dentition is implemented.

Lastly, 15 commenters raised concerns over how the proposed changes would be implemented and differ from current practices. Implementing the use of dentition in plants for the determination of beef

quality grades would require minimal changes to an AMS grader's day-to-day activities. There may be plant-specific requirements and changes needed regarding the identification procedures for carcasses less than 30 MOA and greater than 30 MOA, but these procedures are currently being carried out in-plant. Carcasses deemed less than 30 MOA would be sorted and the grader would then perform his or her normal marbling assessment to apply the final quality grade. Consistent with the current practices, any carcasses deemed greater than 30 MOA would be marked by the plant and graded by an AMS grader using skeletal and lean characteristics to determine maturity and then marbling.

### Summary of Proposed Changes to the Beef Standards

In consideration of the approximately three-fourths of commenters who supported revising the beef standards, as well as the research supporting their modernization, USDA is issuing this Notice outlining proposed changes. These changes would allow dentition and documentation of actual age to be used to classify beef carcasses as A-maturity and determine eligibility for all quality grade classifications, with the exception of those carcasses exhibiting advanced skeletal maturity traits (as described for D- and E-maturity).

USDA proposes to provide additional oversight of the dentition process used to classify carcasses as either less than 30 MOA or greater than 30 MOA. FSIS approves plant personnel to examine the dentition and FSIS inspectors to monitor the process to ensure carcasses greater than 30 MOA have been correctly identified. However, because this process would now be instrumental to the subsequent application of a USDA quality grade, AMS personnel must have knowledge of the process including marking and identification techniques for cattle greater than 30 MOA. AMS would review this process on a regular basis through an existing Quality System Assessment audit or other means. In many beef packing plants, AMS already reviews the dentition process as part of an export verification audit and the applicant makes these procedures available to the USDA grader.

USDA proposes to allow carcasses identified as less than 30 MOA through dentition or actual documented age (through an approved USDA Process Verified Program or Quality System Assessment Program) to qualify for the USDA Prime, Choice, Select and Standard grades, regardless of skeletal and lean characteristics. This proposal

means that for carcasses deemed less than 30 MOA, the amount and distribution of marbling will become the primary characteristics for determining the final USDA quality grade. Carcasses identified as greater than 30 MOA through dentition are eligible for all USDA grades, with application of skeletal and lean characteristics factored in the determination, as currently described in the beef standards.

USDA is not proposing any changes to the requirements for carcasses exhibiting dark cutting lean, regardless of age verification method. Carcasses exhibiting dark cutting lean will be graded as currently described in the beef standards.

Proposed amendments to the beef standards are described below:

### United States Standards for Grades of Carcass Beef

#### 54.104—Application of Standards for Grades of Carcass Beef

1. Amend 54.104 by revising paragraph (k) to read as follows:

(k) For steer, heifer, and cow beef, quality of the lean is evaluated by considering its marbling, color, and firmness as observed in a cut surface, in relation to carcass evidences of maturity. The maturity of the carcass is determined through one of three methods:

(1) Dentition as monitored by the Food Safety and Inspection Service (FSIS). Carcasses determined to be less than 30 months of age (MOA) will be classified as A-maturity, and with the exception of dark cutting lean characteristics, the final quality grade will be determined by the degree of marbling. Any carcasses under 30 MOA exhibiting advanced skeletal maturity traits (as described for D- and E-maturity) will not be eligible for the Prime, Choice, Select, or Standard grades and will be graded according to their skeletal, lean, and marbling traits accordingly;

(2) Documentation of age as verified through USDA-approved programs and by FSIS at the slaughter facility. Carcasses determined to be less than 30 MOA by age verification will be classified as A-maturity and, with the exception of dark cutting lean characteristics, the final quality grade will be determined by the degree of marbling. Any carcasses under 30 MOA exhibiting advanced skeletal maturity traits (as described for D- and E-maturity) will not be eligible for the Prime, Choice, Select, or Standard grades and will be graded according to their skeletal, lean, and marbling traits accordingly; or

(3) Through evaluation of the size, shape, and ossification of the bones and cartilages, especially the split chine bones, and the color and texture of the lean flesh. Carcasses determined to be greater than 30 MOA will be eligible for all quality grade classifications with the final quality grade being determined by the evaluation of the degree of marbling and any adjustment factors based on advanced skeletal maturity characteristics. In the split chine bones, ossification changes occur at an earlier stage of maturity in the posterior portion of the vertebral column (sacral vertebrae) and at progressively later stages of maturity in the lumbar and thoracic vertebrae. The ossification changes that occur in the cartilages on the ends of the split thoracic vertebrae are especially useful in evaluating maturity and these vertebrae are referred to frequently in the standards. Unless otherwise specified in the standards, whenever reference is made to the ossification of cartilages on the thoracic vertebrae, this shall be construed to refer to the cartilages attached to the thoracic vertebrae at the posterior end of the forequarter. The size and shape of the rib bones are also important considerations in evaluating differences in maturity. In the very youngest carcasses considered as “beef,” the cartilages on the ends of the chine bones show no ossification, cartilage is evident on all of the vertebrae of the spinal column, and the sacral vertebrae show distinct separation. In addition, the split vertebrae usually are soft and porous and very red in color. In such carcasses, the rib bones have only a slight tendency toward flatness. In progressively more mature carcasses, ossification changes become evident first in the bones and cartilages of the sacral vertebrae, then in the lumbar vertebrae, and still later in the thoracic vertebrae. In beef that is very advanced in maturity, all the split vertebrae will be devoid of red color and very hard and flinty, and the cartilages on the ends of all the vertebrae will be entirely ossified. Likewise, with advancing maturity, the rib bones will become progressively wider and flatter, which is shown in very mature beef whose ribs will be very wide and flat.

\* \* \* \* \*

**Authority:** 7 U.S.C. 1621–1627.

Dated: June 14, 2017.

**Bruce Summers,**

*Acting Administrator, Agricultural Marketing Service.*

[FR Doc. 2017–12647 Filed 6–16–17; 8:45 am]

**BILLING CODE 3410–02–P**

## DEPARTMENT OF AGRICULTURE

### Animal and Plant Health Inspection Service

[Docket No. APHIS–2012–0076]

#### Plants for Planting Whose Importation Is Not Authorized Pending Pest Risk Analysis; Notice of Addition of Taxa of Plants for Planting to List of Taxa Whose Importation Is Not Authorized Pending Pest Risk Analysis

**AGENCY:** Animal and Plant Health Inspection Service, USDA.

**ACTION:** Notice.

**SUMMARY:** We are advising the public that we are adding 22 taxa of plants for planting that are quarantine pests and 34 taxa of plants for planting that are hosts of 8 quarantine pests to our lists of taxa of plants for planting whose importation is not authorized pending pest risk analysis. A previous notice made datasheets that detailed the scientific evidence we evaluated in making the determination that the taxa are quarantine pests or hosts of quarantine pests available to the public for review and comment. This notice responds to the comments we received and makes available final versions of the datasheets, with changes in response to comments.

**DATES:** Effective June 19, 2017.

**FOR FURTHER INFORMATION CONTACT:** Dr. Indira Singh, Botanist, Plants for Planting Policy, IRM, PPQ, APHIS, 4700 River Road Unit 133, Riverdale, MD 20737–1236; (301) 851–2020 or Ms. Lydia Colon, Senior Regulatory Specialist, Plants for Planting Policy, IRM, PPQ, APHIS, 4700 River Road Unit 133, Riverdale, MD 20737–1236; (301) 851–2302.

#### SUPPLEMENTARY INFORMATION:

##### Background

Under the regulations in “Subpart—Plants for Planting” (7 CFR 319.37 through 319.37–14, referred to below as the regulations), the Animal and Plant Health Inspection Service (APHIS) of the U.S. Department of Agriculture (USDA) prohibits or restricts the importation of plants for planting (including living plants, plant parts, seeds, and plant cuttings) to prevent the introduction of quarantine pests into the United States. *Quarantine pest* is defined in § 319.37–1 as a plant pest or noxious weed that is of potential economic importance to the United States and not yet present in the United States, or present but not widely distributed and being officially controlled.

The regulations in § 319.37–2a provide for the listing of plants for planting whose importation is not authorized pending pest risk analysis (NAPPRA) in order to prevent the introduction of quarantine pests into the United States. Those regulations establish two lists of taxa whose importation is NAPPRA: A list of taxa of plants for planting that are quarantine pests, and a list of taxa of plants for planting that are hosts of quarantine pests. For taxa of plants for planting that have been determined to be quarantine pests, the list includes the names of the taxa, which will be NAPPRA from all countries and regions. For taxa of plants for planting that are hosts of quarantine pests, the list includes the names of the taxa, the foreign places from which the taxa's importation is not authorized, and the quarantine pests of concern.

Paragraph (b) of § 319.37–2a describes the process for adding taxa to the NAPPRA lists. In accordance with that process, we published a notice<sup>1</sup> in the **Federal Register** on May 6, 2013 (78 FR 26316–26317, Docket No. APHIS–2012–0076) that announced our determination that 22 taxa of plants for planting are quarantine pests and 37 taxa of plants for planting are hosts of 9 quarantine pests. That notice also made available datasheets that detail the scientific evidence we evaluated in making the determination that the taxa are quarantine pests or hosts of a quarantine pest.

We solicited comments concerning the notice and the datasheets for 60 days ending July 5, 2013. We reopened and extended the deadline for comments until August 12, 2013, in a document published in the **Federal Register** on July 12, 2013 (78 FR 41908). We received 26 comments by that date. They were from producers, importers, industry groups, representatives of State and foreign governments, and private citizens. They are discussed below by topic.

### General Comments

#### Sound Science

One commenter expressed concern regarding the quality of scientific literature used to justify the listing of taxa to the NAPPRA category, citing a perceived lack of original evidence and data. The commenter further stated that the Center for Plant Health Science Technology (CPHST) of APHIS' Plant Protection and Quarantine (PPQ) program must be involved in literature

reviews and the process to remove taxa from the NAPPRA list.

The literature searches used to develop the NAPPRA datasheets are designed to determine whether the pest of concern qualifies as a quarantine pest, that damage to U.S. agriculture and/or the environment is likely from introduction of the quarantine pest, and that the hosts of the listed quarantine pest are natural hosts and not artificially or laboratory induced. The types of references used were defined in the original NAPPRA rule, and included such review articles as those produced by the European and Mediterranean Plant Protection Organization and the Weed Science Society of America, both well-respected pest description and management organizations. Review articles provide stakeholders with information to determine the damage potential of the pest, nomenclature, and its quarantine status. These reviews provide references to scientific articles used to justify a taxon's inclusion on the NAPPRA list. All datasheets for NAPPRA listing are reviewed by qualified PPQ staff, including CPHST staff. CPHST staff have also been involved in the review of NAPPRA datasheets and will be involved in the event of removal of plant taxa from the NAPPRA category. Within CPHST, the science and technology division is responsible for conducting pest risk assessments (PRA). The purpose of the PRA is to determine the risk of quarantine pests following the pathway and to develop appropriate phytosanitary measures that reduce the pest risk to an acceptable level.

#### Harmonization With Canada

Several commenters stated that the United States should seek greater harmonization with Canada in terms of regulated taxa and countries of origin for regulated taxa. One commenter stated this is especially important due to the possibility of transshipment when a taxon is prohibited from all places except Canada.

To the greatest extent possible, we are working towards harmonizing our NAPPRA listings with those of Canada. For example, APHIS exempts particular plant taxa from Canada from NAPPRA if Canada is free of the quarantine pest for which the plants are hosts and when Canada's import regulations are harmonized with those of the United States or when Canada has significant trade history with the United States in a particular taxa. However, some differences will probably always exist due to differences in national priorities and acceptable levels of protection with respect to certain pests. While

transshipment remains a concern when an exporter is not truthful about the origin of the plant material being moved, third country plants that have entered Canada that are on the NAPPRA list of the United States are prohibited from ever being exported to the United States and vice versa. APHIS relies on the national plant protection organization (NPPO) of Canada as well as other NPPOs to prevent unauthorized transshipments just as we rely on exporters to truthfully state the origin of shipments.

One commenter stated that, for many of the taxa listed in the May 2013 notice, the taxa originate in the United States and are grown in Canada. Therefore, the commenter stated that these plants should be eligible for re-export to the United States without the burden of a required PRA.

While taxa may have been exported only from the United States, there is the possibility that they may have been exposed to pests of concern by being commingled with other taxa of either Canadian origin or third country origin that have NAPPRA status for the United States. Therefore, we believe a PRA is necessary for such taxa before being re-exported to the United States.

#### Federal Orders

One commenter stated that a Federal order should not be used to list taxa on the NAPPRA list without first conducting a formal PRA.

When we find evidence that the importation of a taxon of plants for planting that is currently being imported poses a risk of introducing a quarantine pest, we restrict or prohibit its importation through the issuance of a Federal import quarantine order, also referred to as a Federal order. The information and restrictions in the Federal order for plants for planting are based on a technical evaluation document that contains the same information found in the NAPPRA datasheet. The Federal order is used to rapidly take action to prevent the introduction of a quarantine pest, and is generally followed by notice and an opportunity for public comment. If comments present information that leads us to determine that the importation of the taxon does not pose a risk of introducing a quarantine pest into the United States, APHIS will rescind the Federal order and not add the taxon to the NAPPRA list.

#### Significant Trade

Certain taxa that are hosts of quarantine pests are exempt from NAPPRA listing when there is "significant trade" between the

<sup>1</sup> To view the notice, the datasheets, and the comments we received, go to <http://www.regulations.gov/#!docketDetail:D=APHIS-2012-0076>.



exporting country and the United States. We defined significant trade as the importation of 10 or more plants of a taxon in each of the previous 3 fiscal years. However, one commenter suggested that, due to ebbs and flows in importation, significant trade should instead be defined as the importation of 10 or more plants for 3 of the last 5 or 10 years. The commenter also suggested that plant taxa imported under a current Departmental permit or a controlled import permit (CIP) be either exempt from NAPPRA listing or count toward the 10 or more threshold for determining significant trade.

We are open to reconsidering how we define significant trade. However, if we were to consider the commenter's suggestion for redefining significant trade as the importation of 10 or more plants for 3 out of 5 years, we would most likely also consider raising the base number of plants from 10 to a higher level to differentiate trade from random imports. Imports under a Departmental permit or CIP are not counted toward the 10 or more threshold for determining significant trade because these imports are generally prohibited taxa and are not available for general import. While these imports are likely to continue, they must adhere to additional conditions or mitigations to reduce pest risk.

One commenter stated that banning plants from a country with no scientific evidence that it harbors the quarantine pest of concern does not satisfy the APHIS requirement of "necessity" and that the datasheets used to place a taxon on the NAPPRA list must provide scientific evidence that the excluded countries are likely to harbor the pest. Several commenters stated that certain taxa from specific countries should be exempted from NAPPRA listing because the pest of concern is not present in that country and/or the host plant has not been a source of pest introductions. Some commenters requested that, if exemption could not be accomplished, a more thorough review of the literature used to justify listing the taxa be undertaken.

Our policy in implementing the NAPPRA category is to prevent the importation of hosts from any country, regardless of current pest status, with the following exceptions: (1) Taxa of hosts of quarantine pests whose importation we proposed to allow to continue under a Federal order; (2) hosts of quarantine pests currently being imported from a country in which the pest is not present; and (3) taxa from countries with significant trade in those taxa with the United States. If a country has significant trade in a taxon that is

a host of a quarantine pest, we undertake measures other than addition to the NAPPRA category to address the risk associated with that taxon when such measures are available. In general, it is appropriate to add hosts of quarantine pests from all countries to the NAPPRA category because pests can spread quickly from country to country through the movement of plants for planting, and the importation of plants for planting is a high-risk pathway for the introduction of quarantine pests. For taxa that have not previously been imported, we are following International Plant Protection Convention guidelines by requiring a PRA prior to the importation of a plant taxon from a new country or region. As mentioned previously, the datasheets used to justify adding a taxon to the NAPPRA category already include a literature review that establishes the scientific evidence that the taxon is either a quarantine pest or a host of a quarantine pest. The datasheets also take into account available import history as evidence of significant trade in the taxon between the exporting country and the United States in order to make NAPPRA policy decisions. A country may submit copies of issued phytosanitary certificates as evidence of significant import history to demonstrate that a pest of concern is not present in that country and/or a taxon has not been a source of pest introductions.

Several commenters asked that certain taxa from specific countries be exempted from NAPPRA listing due to significant trade in those taxa between the exporting country and the United States or because the taxa are currently being imported under a Departmental permit or CIP.

If sufficient data can be provided for APHIS to verify that significant trade exists, we will consider amending the datasheet and publishing a **Federal Register** notice indicating the host plant may be imported from a particular country without being subject to a PRA. For example, based on additional information presented after the publication of the NAPPRA final notice published on April 18, 2013, we have determined that the import history for *Hibiscus* spp. from Denmark meets the threshold for significant trade. Based on comments received on the May 2013 notice, we have determined that *Annona*, *Camellia*, *Cercidiphyllum*, and *Pennisetum* spp. from Canada also meet the threshold for significant trade. Therefore, we are exempting *Hibiscus* spp. from Denmark and *Annona*, *Camellia*, *Cercidiphyllum*, and *Pennisetum* spp. from Canada from

NAPPRA listing. The importation of taxa under a Departmental permit or CIP is not considered to be trade because the taxa are not subject to the same restrictions as commercial shipments of taxa.

One commenter stated that many of the listed taxa are produced under controlled conditions, including clean stock programs and rigorous phytosanitary conditions, and that it is in the interest of the producer/distributor to ensure that plants and seed are free of pests and diseases prior to export. Two commenters asked if there could be some way to continue shipments of host taxa with the added assurance of a survey or testing regime to determine freedom from specific quarantine pests.

If an exporting country does not have enough of an import history with the United States to qualify for the significant trade exemption, they can request that a PRA be conducted that would identify possible pest and disease mitigations. Such mitigations may include clean stock programs or a rigorous surveillance regime.

#### Removal of Taxa

One commenter stated that data collection must be improved and that if a taxon is placed on the NAPPRA list as a result of faulty data, the error must be quickly and transparently corrected to prevent disruption to trade. The commenter further stated that a plant taxon must be removed from the NAPPRA category if a mitigation is presented that addresses the quarantine pest that justified the taxon's inclusion on the NAPPRA list. The commenter also asked for clarification on the process by which stakeholders may contact APHIS to remove a taxon erroneously added to the NAPPRA list.

The identification of trade that was not recorded in our import databases is one of the purposes of publishing proposed NAPPRA candidates in the **Federal Register** for public comment. This information is utilized to make adjustments to host/country combinations placed on NAPPRA. If a taxon has been determined to have been added to the NAPPRA list erroneously, stakeholders may submit evidence in support of that conclusion during the NAPPRA notice's comment period. They may also submit that information to the program contact(s) listed in the **Federal Register** notice. As stated previously, a PRA may be conducted to identify possible pest and disease mitigations for a taxon that has been determined to be the host of a quarantine pest. Under these

mitigations, a taxon may be imported into the United States.

#### Precautionary Principle

One commenter stated that APHIS should avoid the “precautionary principle,” which the commenter described as prohibiting the broad importation of taxa until proof of no or low risk is determined. The commenter cites the prohibition of all species of a plant genus when only a subset or a single species of that genus has been found to be associated with a pathogen.

When a plant is added to the NAPPRA list, a datasheet is prepared containing scientific evidence that the plant is a host of a plant pest or pathogen of quarantine significance, or that the plant itself is a pest of quarantine significance. It has been APHIS’ policy to regulate hosts of quarantine pests at the genus level for decades. When a new species is identified as a host, additional scientific studies will often identify other host species within that genus. Therefore, regulating all species within the genus is the preferred course of action until a PRA is conducted. As noted previously, we are not prohibiting the importation of taxa on the NAPPRA list indefinitely. NAPPRA listing only requires that a PRA be conducted to remove host plants from NAPPRA listing and to ensure that all quarantine pests that may follow that pathway are appropriately mitigated prior to importation.

#### Partnership With Industry

One commenter stated that APHIS must include industry in the NAPPRA process in order for the process to be successful. However, the commenter also stated that industry does not have the capacity to review the literature sources used to justify a taxon’s inclusion on the NAPPRA list and should not be required to do so. One commenter stated that they would like the opportunity to work on joint pest risk assessments with APHIS to increase the ability to respond to pest threats.

APHIS has always welcomed industry cooperation in its programs and would especially welcome the expertise, knowledge, and overseas experience of industry members in identifying quarantine pests, their distribution, natural hosts, and potential mitigations that would allow for the continued importation of hosts from established trading partners. APHIS does not require stakeholders to review literature sources. However, if contradictory scientific information is known but not considered in the data sheet, then this information should be presented as a public comment. If a stakeholder does

not have access to the sources cited in the literature review, copies can be made available upon request. We release draft PRAs on the APHIS Web site for stakeholder consultation prior to their publication.

#### Timeline of PRAs

Two commenters expressed concern about the amount of time it takes to complete a PRA, stating that this results in taxa being prohibited unnecessarily and that APHIS should look for better and faster ways of conducting PRAs. One commenter stated that requiring a PRA is likely to be expensive to the exporting industry as well as causing a significant time delay.

We strive to complete all PRAs in a timely manner. However, the length of time it takes to complete a PRA is dependent on several factors, some of which are not in APHIS’ control:

- The availability of data on the taxon;
- The timeliness with which the foreign NPPO responds to our requests for information; and
- The prioritization of APHIS’ limited resources available for developing PRAs.

If a foreign country wishes to be able to conduct trade in a taxon with the United States, we would expect that its NPPO would provide information to APHIS in a timely manner, thus helping to reduce the time necessary to complete the PRA and any expenses resulting from a delay. Industry could help foreign NPPOs by working with them to assemble and provide the necessary information. We do not anticipate that requiring a PRA would result in significant expense to the exporting industry, as we do not require the importer to pay money to complete a PRA. In addition, importers that have established a history of significant trade in a taxon will be able to continue importing that taxon without interruption.

#### Plants for Planting Regulations Overhaul

One commenter asked why we took public comment on the taxa listed in the May 2013 notice because these taxa will be included in a future comprehensive revision to the plants for planting regulations (§§ 319.37 through 319.37–14) where public comment will also be solicited.

The revision to the plants for planting regulations is merely a restructuring of the current regulations by moving specific restrictions on the importation of taxa to the Plants for Planting Manual. It also adds a framework for integrated pest management measures.

However, that revision does not change any specific restrictions on the movement of taxa on the NAPPRA list. Therefore, it is more appropriate to address public comments regarding the May 2013 NAPPRA notice in this document.

#### Potential Economic Effects

Several commenters expressed concern that the addition of taxa to the NAPPRA lists could have a negative impact on the U.S. industry by making it difficult to access new plant varieties.

The fundamental underlying principle of NAPPRA is to safeguard U.S. agriculture with the least possible effect on trade. While there is the possibility that the addition of taxa to the NAPPRA lists may make it more difficult to access new plant varieties, the negative impact that it could have on U.S. industry is outweighed by the devastating effect the introduction of quarantine pests into the United States could have on U.S. agriculture. Taxa added to the NAPPRA list are only prohibited entry to the United States if they are determined to be quarantine pests or until a PRA is conducted that has identified appropriate mitigation measures to prevent the introduction of quarantine pests for which they are hosts. In addition, an importer may apply for a CIP to import small quantities of a prohibited or restricted taxon for developmental purposes.

#### Specific Comments

We made available datasheets detailing the scientific evidence we considered in making the determination that 22 taxa of plants for planting are quarantine pests and 37 are hosts of 9 quarantine pests. The comments are discussed below by taxon.

*Abies*, *Larix*, *Picea*, and *Pinus*. One commenter asked why the importation of *Abies*, *Larix*, *Picea*, and *Pinus* is restricted only for those plants imported from Europe and Japan when these genera, which are hosts of *Dendroctonus micans*, are being imported from other countries where *D. micans* is known to occur.

While the commenter is correct that *Abies*, *Larix*, *Picea*, and *Pinus* spp. were not included on the NAPPRA list in the May 2013 notice, this is because those genera were already prohibited entry in either the April 2013 NAPPRA notice or in previous rulemaking. The regulations currently prohibit the importation of *Abies* spp. from all countries except Canada, while *Larix*, *Picea*, and *Pinus* spp. were added to the NAPPRA list in the April 2013 NAPPRA notice. Therefore, it was not necessary to relist

*Abies*, *Larix*, *Picea*, and *Pinus* spp. in the May 2013 NAPPRA notice.

*Callistephus*. One commenter stated that chrysanthemum stem necrosis virus (CSNV) is not likely to enter the United States from Canada on *Callistephus* plants because Canada is free of the pathogen; imports of *Callistephus* plants to Canada are only from the United States, which is free of the pathogen; and propagation is via seed, which is not known to carry the pathogen.

In the May 2013 NAPPRA notice, we added *Callistephus*, *Chrysanthemum*, and *Eustoma* spp. to the NAPPRA list because they have been proven to be hosts for CSNV. Due to additional information received since publication of the previous notice, we have decided to remove all three genera from the NAPPRA list while we conduct a commodity import evaluation document (CIED) for *Chrysanthemum*. We will address CSNV in that CIED and release the results of the analysis when it is complete.

*Camellia*. One commenter stated that the pest datasheets supporting the listing of *Camellia* under NAPPRA are problematic because they base that rationale on one paper and a British PRA, both of which do not provide adequate scientific justification that *Camellia* is a host of *Phytophthora kernoviae*.

The paper referred to by the commenter was written by Dr. Clive Brasier, a well-known and respected authority on the genus *Phytophthora* who also discovered and named the new taxon *P. kernoviae*. Based on this expertise, we consider this reference scientifically adequate. The datasheet does not cite the PRA mentioned by the commenter as a reference documenting *Camellia* as a host for *P. kernoviae*. *Camellia* is already listed as NAPPRA from all countries, except Canada, for citrus longhorned beetle (*Anoplophora chinensis*, CLB) and is also regulated for *P. ramorum*. Therefore, removing *Camellia* from the NAPPRA list as a host of *P. kernoviae* would not remove this taxon from the NAPPRA list.

*Cercidiphyllum*. One commenter asked why importations of *Cercidiphyllum* from the Netherlands are not listed as NAPPRA. The commenter stated that Asian longhorned beetle (*Anoplophora glabripennis*, ALB) has been discovered there and that plants from the Netherlands are high risk due to that country's practices of importing large plants in soil and consolidating plants.

Based upon significant import history, *Cercidiphyllum* from the Netherlands is excluded from the NAPPRA list.

However, a Federal order published on

May 9, 2013, and effective on May 20, 2013 (DA–2013–18) established mitigations for countries, including the Netherlands, where ALB and CLB are present. *Cercidiphyllum* from the Netherlands is enterable into the United States only under the conditions of the CLB/ALB Federal order.

*Chrysanthemum*. Several commenters objected to the temporary hold on importations of *Chrysanthemum* plants for planting from all countries except Canada. In particular, the commenters objected to the hold on importations of *Chrysanthemum* from the Netherlands due to the presence in that country of CSNV. One commenter stated that a hold on imports of *Chrysanthemum* should not be applied to countries where the distribution of CSNV is unknown. Two commenters stated that the screening and certification process for CSNV in the Netherlands is sufficient to detect the pathogen and that CSNV has either not been found within mother plants from production areas within the country or that CSNV is not present within the European Union, of which the Netherlands is a part. Therefore, the commenters state that the risk of introducing CSNV to the United States via *Chrysanthemum* breeding stock from the Netherlands is minimal and that *Chrysanthemum* growers within the United States will be harmed by not having access to new cultivars. One commenter stated that free trade and competition will be harmed, leading to a monopoly that will eventually harm the flower industry.

We agree with many of the commenters on the need to look at the *Chrysanthemum* regulations in general. As stated previously, we are therefore removing *Chrysanthemum* from the NAPPRA list and conducting a CIED for *Chrysanthemum*. CSNV disease will be addressed in that evaluation. We will release the results of that analysis when it is completed.

On August 3, 2012, APHIS published an advanced notice of proposed rulemaking<sup>2</sup> in the **Federal Register** to solicit public comment on whether and how we should amend our process for responding to domestic chrysanthemum white rust (CWR) outbreaks and the importation of plant material that is a host of CWR. One commenter stated that we should let this process continue before taking further regulatory action. The commenter also stated that, if this is not possible, the NAPPRA provisions should only be applied to chrysanthemum imports from Brazil, Iran, and Japan for the immediate

future. The commenter further stated that excluding cut flowers from the NAPPRA restrictions is not based on sound science because cut flowers can also be hosts for CSNV.

The CIED we are conducting for chrysanthemum will also address CWR.

One commenter asked that the genus *Chrysanthemum* be included on the NAPPRA list and a PRA conducted to assess the risk of introducing CSNV on chrysanthemum cuttings.

As mentioned above, we are removing *Chrysanthemum* from the NAPPRA list while we conduct a CIED. The CIED will address CSNV.

One commenter asked that APHIS provide advance notice to industry when new regulations are approved in order to minimize trade disruptions for chrysanthemum growers.

Any changes to our regulations regarding *Chrysanthemum* as a result of the CIED will be communicated to the industry prior to going into effect.

*Eucalyptus*. One commenter asked that the ban on eucalyptus plants from Australia be lifted, but did not present any evidence for why the ban is unfounded.

We are not making any changes based on this comment.

*Fagus* and *Ilex*. In the datasheets accompanying the May 2013 NAPPRA notice, we inadvertently omitted the Netherlands from the list of countries authorized to export *Fagus* and *Ilex* species. Those omissions have been corrected.

*Hedera*. One commenter asked for a more thorough review of the literature justifying the NAPPRA listing of the genus *Hedera*. The commenter stated that there appears to be no scientific justification for listing *Hedera* as a natural host of *P. kernoviae* other than a statement that stem necrosis has been observed. Two commenters stated that *Hedera* spp. have been imported from Denmark and the Netherlands without pest problems and that this should preclude NAPPRA listing of *Hedera* due to its presence in trade.

We would be happy to review any additional literature sources or other scientific information presented by the commenters to support their objection to listing *Hedera*. However, *Hedera* was added to the NAPPRA list via the NAPPRA notice published in April 2013 and is currently regulated under NAPPRA as a host of CLB. It is only authorized for importation into the United States from certain countries. We inadvertently omitted one of those countries, Kenya, from the list of countries authorized for importation in the datasheets made available with the

<sup>2</sup> <http://www.regulations.gov/#/docketDetail;D=APHIS-2012-0001>.

May 2013 NAPPRA notice. We are correcting that omission in this notice.

*Pennisetum*. One commenter stated that exports of *Pennisetum* spp. from Canada should be exempt from NAPPRA restrictions for Indian peanut clump and peanut clump viruses because Canada is free from these pathogens of concern, all propagative material imported from Canada originates either in Canada or the United States, and there has been ongoing trade of *Pennisetum* spp. between the United States and Canada for several years.

Based upon significant trade history documented by the NPPO of Canada since publication of the May 2013 NAPPRA notice, we have determined *Pennisetum* from Canada meets the threshold to be considered exempt from NAPPRA listing. As with *Pennisetum*, additional documentation from the NPPO of Canada has also confirmed significant trade history in *Annona*, *Camellia*, and *Cercidiphyllum* spp. between Canada and the United States. Therefore, these genera from Canada will also be exempt from NAPPRA listing.

*Vaccinium*. Several commenters expressed concern regarding the addition of the genus *Vaccinium* to the NAPPRA list. One commenter stated that the NAPPRA listing of *Vaccinium* from all countries except Canada and Australia would create a competitive disadvantage for U.S. growers who would be unable to access the latest *Vaccinium* varieties. One commenter stated that, since *Vaccinium* spp. are already subject to a quarantine period of two growing seasons following importation, imports of *Vaccinium* spp. should only be excluded from countries where *P. kernoviae* is known to occur. The commenter requested that, if *Vaccinium* cannot be excluded from the NAPPRA listing, small quantities be allowed to be imported for evaluation and plant breeding purposes under a CIP stating the plants will be maintained under quarantine and tested for the presence of *P. kernoviae* in cooperation with USDA inspectors.

*Vaccinium* spp. are not consistently being exported from any country except Canada and Australia. Therefore, we do not believe adding *Vaccinium* to the NAPPRA list for all countries except Canada and Australia would negatively impact U.S. growers. However, we are not indefinitely prohibiting *Vaccinium* spp. or any other host taxon from importation through NAPPRA. Host taxa (genus or species) listed as NAPPRA only require a PRA before trade in those taxa can be initiated to ensure that all quarantine pests of the host that may

follow this pathway are appropriately mitigated. An importer may also apply for a CIP to import small quantities of a prohibited or restricted taxon for experimental or developmental purposes provided that adequate pest mitigation measures can be identified and implemented.

Two commenters stated that APHIS should remove *Vaccinium* from the NAPPRA list as a host of *P. kernoviae* because the data sheet used to add *Vaccinium* to the NAPPRA list does not provide evidence that the entire genus is a host of the pathogen. The commenters stated that the pathogen justifying the prohibition of *Vaccinium* spp., *P. kernoviae*, has only been associated with a single *Vaccinium* species, *V. myrtillus* (bilberry), and that the pathogen has only been found in the United Kingdom, Ireland, and New Zealand. Therefore, only bilberry from those countries should be added to the NAPPRA list.

As stated previously, APHIS' policy is to regulate hosts of quarantine pests at the genus level. This is because many pests or pathogens are not specific to one particular species within a taxon. When a new host species is identified as a host, additional scientific studies will often identify other host species within that genus. Therefore, regulating all species within the genus is the preferred course of action until a PRA is conducted. Only countries where significant trade with the United States in *Vaccinium* spp. has been established will be exempt from NAPPRA listing.

#### Quarantine Pests

One commenter asked for clarification of a statement made in the datasheet for *Moniliophthora perniciosa* that "geographical variations within the pathogen impact resistance." The commenter asked whether this means there are geographical variations in the virulence of the pathogen.

Evidence does seem to suggest that the pathogen may be more virulent in some regions than in others. A PRA conducted for a host taxon from a country where *M. perniciosa* is present would provide more information regarding virulence as well as any possible mitigations related to that information.

One commenter stated that *Monochamus alternatus* is also present in Korea, Vietnam, Laos, Taiwan, and Hong Kong and asked why host taxa from those countries, specifically *Acer* and *Cryptomeria*, were not included on the NAPPRA list.

*Acer* is already listed on the NAPPRA list for all countries except Canada, the Netherlands, and New Zealand, and

*Cryptomeria* is already listed on the NAPPRA list for all countries except Canada. These additions were made in the April 2013 NAPPRA notice.

*Phytophthora kernoviae*. One commenter asked that exemption from NAPPRA listing be considered for tissue culture when testing is conducted that shows freedom from specific pests. The commenter cited a study suggesting that it is possible to test tissue cultures for the presence of *P. kernoviae*.

While properly tissue-cultured plants are pest-free, plants that are infected with disease prior to tissue culture are likely to be infected when the plant comes out of tissue culture as well. Plants that are added to the NAPPRA list may be hosts of quarantine plant pests for which tissue culturing is not an adequate mitigation, or for which there may be special requirements for tissue culturing. In order to fully consider whether tissue culture is an adequate mitigation for all the pests associated with a taxon of plants for planting, we would need to conduct a PRA. Therefore, we cannot exempt the importation of tissue cultures of plant taxa listed as NAPPRA.

One commenter stated that restricting the importation of host plant taxa based on the occurrence of *P. kernoviae* in only one location in England does not warrant restrictions on the importation of host taxa from all countries.

As mentioned in the datasheet made available with the May 6, 2013, NAPPRA notice, *P. kernoviae* has been found in Ireland and New Zealand as well as in England. This may be evidence of the spread of the pest through the global movement of plants. This, coupled with the number of confirmed hosts and the lack of specific control measures available for the disease, led us to add host taxa from all countries without significant trade in those host taxa to the NAPPRA list. When requested, a PRA will help determine the risk of this pest on host material from a country without a history of significant trade.

#### ALB and CLB

Two commenters stated that host taxa of ALB and CLB should be exempted from NAPPRA listing when host plants and cuttings are less than 10 mm in diameter, a size that is not susceptible to ALB and CLB infestation. One commenter stated that this exemption should also apply to host plants and cuttings when imported from countries where ALB and CLB are not present.

We have used the biology of the pest to institute sufficient phytosanitary measures to mitigate the risk for taxa that are being traded in significant

amounts from countries where we have import history to determine the presence of other quarantine pests. We are not, however, exempting any plant material less than 10mm in diameter from an ALB or CLB host taxon from the NAPPRA category, as NAPPRA listing does not address mitigation measures for pests. In order to authorize the importation of plant material from a new source, we would need to conduct a PRA to analyze all the relevant risks associated with their importation. A PRA is required to determine all quarantine pests that would follow that host pathway and to determine appropriate phytosanitary measures, including size exemptions, for all pests of concern.

#### Summary of Changes

Therefore, in accordance with the regulations in § 319.37–2a(b)(2), we are adding 22 taxa of plants for planting that are quarantine pests and 34 taxa of plants for planting that are hosts of 8 quarantine pests to the list of taxa whose importation is NAPPRA. These taxa include all taxa listed in the May 2013 notice except for *Callistephus*, *Chrysanthemum*, and *Eustoma* spp., which we are removing from the NAPPRA list. A complete list of taxa added to the NAPPRA list and the restrictions placed on their importation can be found at the address in footnote 1 of this document or on the PPQ Web site at [http://www.aphis.usda.gov/import\\_export/plants/plant\\_imports/Q37/nappra/index.shtml](http://www.aphis.usda.gov/import_export/plants/plant_imports/Q37/nappra/index.shtml). We are also exempting *Hibiscus* spp. from Denmark and *Annona*, *Camellia*, *Cercidiphyllum*, and *Pennisetum* spp. from Canada from NAPPRA listing.

**Authority:** 7 U.S.C. 450, 7701–7772, and 7781–7786; 21 U.S.C. 136 and 136a; 7 CFR 2.22, 2.80, and 371.3.

Done in Washington, DC, this 13th day of June 2017.

**Michael C. Gregoire,**

*Acting Administrator, Animal and Plant Health Inspection Service.*

[FR Doc. 2017–12646 Filed 6–16–17; 8:45 am]

**BILLING CODE 3410–34–P**

## DEPARTMENT OF AGRICULTURE

### Animal and Plant Health Inspection Service

[Docket No. APHIS–2017–0045]

#### Notice of Request for Revision to and Extension of Approval of an Information Collection; Johne's Disease in Domestic Animals

**AGENCY:** Animal and Plant Health Inspection Service, USDA.

**ACTION:** Revision to and extension of approval of an information collection; comment request.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Animal and Plant Health Inspection Service's intention to request a revision to and extension of approval of an information collection associated with its efforts to control Johne's disease in the United States.

**DATES:** We will consider all comments that we receive on or August 18, 2017.

**ADDRESSES:** You may submit comments by either of the following methods:

- *Federal eRulemaking Portal:* Go to <http://www.regulations.gov/#!docketDetail;D=APHIS-2017-0045>.

- *Postal Mail/Commercial Delivery:* Send your comment to Docket No. APHIS–2017–0045, Regulatory Analysis and Development, PPD, APHIS, Station 3A–03.8, 4700 River Road Unit 118, Riverdale, MD 20737–1238.

Supporting documents and any comments we receive on this docket may be viewed at <http://www.regulations.gov/#!docketDetail;D=APHIS-2017-0045> or in our reading room, which is located in room 1141 of the USDA South Building, 14th Street and Independence Avenue SW., Washington, DC. Normal reading room hours are 8 a.m. to 4:30 p.m., Monday through Friday, except holidays. To be sure someone is there to help you, please call (202) 799–7039 before coming.

**FOR FURTHER INFORMATION CONTACT:** For information on Johne's disease, contact Dr. Michael Carter, Assistant Director, Cattle Health Center, VS, APHIS, 4700 River Road, Unit 43, Riverdale, MD 20737; (301) 851–3510. For copies of more detailed information on the information collection, contact Ms. Kimberly Hardy, APHIS' Information Collection Coordinator, at (301) 851–2483.

#### SUPPLEMENTARY INFORMATION:

*Title:* Johne's Disease in Domestic Animals.

*OMB Control Number:* 0579–0338.

*Type of Request:* Revision to and extension of approval of an information collection.

*Abstract:* Under the authority of the Animal Health Protection Act (7 U.S.C. 8301 *et seq.*), the Animal and Plant Health Inspection Service (APHIS) of the United States Department of Agriculture is authorized, among other things, to prohibit or restrict the importation and interstate movement of animals and animal products to prevent the introduction into and dissemination within the United States of livestock diseases and pests.

Disease prevention is the most effective method for maintaining a healthy animal population and for enhancing APHIS' ability to compete in the world market of animal and animal product trade. Johne's disease affects cattle, sheep, goats, and other ruminants. It is an incurable and contagious disease that results in progressive wasting and eventual death. The disease is nearly always introduced into a healthy herd by an infected animal that is not showing symptoms of the disease.

The regulations in 9 CFR part 80 pertain specifically to the interstate movement of domestic animals that are positive to an official test for Johne's disease. These regulations provide that cattle, sheep, goats, and other domestic animals that are positive to an official test for Johne's disease may generally be moved interstate only to a recognized slaughtering establishment or to an approved livestock facility for sale to such an establishment. However, they may also be moved for purposes other than slaughter under certain conditions. Moving Johne's-positive livestock interstate for slaughter or for other purposes without increasing the risk of disease spread requires a movement permit or an owner-shipper statement, official ear tags, and a permission to move request. Permission may also be sought, in writing, for movement of animals that do not have a permit, owner-shipper statement, or ear tags.

To more accurately reflect the current activities, APHIS has revised the title of this information collection from "Voluntary Bovine Johne's Disease Control Program" to "Johne's Disease in Domestic Animals."

We are asking the Office of Management and Budget (OMB) to approve our use of these information collection activities, as described, for an additional 3 years.

The purpose of this notice is to solicit comments from the public (as well as affected agencies) concerning our information collection. These comments will help us:

(1) Evaluate whether the collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility;

(2) Evaluate the accuracy of our estimate of the burden of the collection of information, including the validity of the methodology and assumptions used;

(3) Enhance the quality, utility, and clarity of the information to be collected; and

(4) Minimize the burden of the collection of information on those who are to respond, through use, as

appropriate, of automated, electronic, mechanical, and other collection technologies; *e.g.*, permitting electronic submission of responses.

*Estimate of burden:* The public reporting burden for this collection of information is estimated to average 0.69 hours per response.

*Respondents:* Accredited veterinarians, herd owners, and livestock shippers.

*Estimated annual number of respondents:* 7.

*Estimated annual number of responses per respondent:* 2.

*Estimated annual number of responses:* 13.

*Estimated total annual burden on respondents:* 9 hours. (Due to averaging, the total annual burden hours may not equal the product of the annual number of responses multiplied by the reporting burden per response.)

All responses to this notice will be summarized and included in the request for OMB approval. All comments will also become a matter of public record.

Done in Washington, DC, this 13th day of June 2017.

**Michael C. Gregoire,**

*Acting Administrator, Animal and Plant Health Inspection Service.*

[FR Doc. 2017-12643 Filed 6-16-17; 8:45 am]

BILLING CODE 3410-34-P

## DEPARTMENT OF AGRICULTURE

### Animal and Plant Health Inspection Service

[Docket No. APHIS-2017-0008]

#### Notice of Request for Reinstatement of an Information Collection; National Animal Health Monitoring System; Equine Herpesvirus Study

**AGENCY:** Animal and Plant Health Inspection Service, USDA.

**ACTION:** Reinstatement of an information collection; comment request.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Animal and Plant Health Inspection Service's intention to request the reinstatement of an information collection for a National Animal Health Monitoring System Equine Herpesvirus Study to support the equine industry in the United States.

**DATES:** We will consider all comments that we receive on or before August 18, 2017.

**ADDRESSES:** You may submit comments by either of the following methods:

- *Federal eRulemaking Portal:* Go to <http://www.regulations.gov/> #!docketDetail;D=APHIS-2017-0008.

- *Postal Mail/Commercial Delivery:* Send your comment to Docket No. APHIS-2017-0008, Regulatory Analysis and Development, PPD, APHIS, Station 3A-03.8, 4700 River Road, Unit 118, Riverdale, MD 20737-1238.

Supporting documents and any comments we receive on this docket may be viewed at <http://www.regulations.gov/> #!docketDetail;D=APHIS-2017-0008 or in our reading room, which is located in Room 1141 of the USDA South Building, 14th Street and Independence Avenue SW., Washington, DC. Normal reading room hours are 8 a.m. to 4:30 p.m., Monday through Friday, except holidays. To be sure someone is there to help you, please call (202) 799-7039 before coming.

**FOR FURTHER INFORMATION CONTACT:** For information on the Equine Herpesvirus Study, contact Mr. Bill Kelley, Supervisory Analyst, Centers for Epidemiology and Animal Health, VS, APHIS, 2150 Centre Avenue, Building B MS 2E6, Fort Collins, CO 80526; (970) 494-7270. For copies of more detailed information on the information collection, contact Ms. Kimberly Hardy, APHIS' Information Collection Coordinator, at (301) 851-2483.

**SUPPLEMENTARY INFORMATION:**

*Title:* National Animal Health Monitoring System; Equine Herpesvirus Study.

*OMB Control Number:* 0579-0399.

*Type of Request:* Reinstatement of an approved information collection.

*Abstract:* Under the Animal Health Protection Act (7 U.S.C. 8301 *et seq.*), the Animal and Plant Health Inspection Service (APHIS) of the U.S. Department of Agriculture (USDA) is authorized, among other things, to protect the health of U.S. livestock and poultry populations by preventing the introduction and interstate spread of serious diseases and pests of livestock and for eradicating such diseases from the United States when feasible. In connection with this mission, APHIS operates the National Animal Health Monitoring System (NAHMS), which collects nationally representative, statistically valid, and scientifically sound data on the prevalence and economic importance of livestock diseases and associated risk factors.

NAHMS' epidemiologic investigations are a collaborative industry and government initiative to help determine the most effective means of preventing and controlling livestock disease outbreaks. APHIS is the only agency responsible for collecting data on livestock health. Participation in any NAHMS study is voluntary, and all data are confidential.

APHIS conducts an equine herpesvirus myeloencephalopathy (EHM) study as part of an ongoing series of NAHMS studies on the U.S. livestock population. The purpose of this study is to collect information using questionnaires to identify risk factors for EHM, the neurologic form of equine herpesvirus (EHV-1) in horses. EHV-1 is an infection of horses that can cause respiratory disease, abortion in mares, neonatal foal death, and/or neurologic disease. The virus can spread through direct horse-to-horse contact, through the air in equine environments, and on contaminated equipment, clothing, and hands. EHM is endemic to the United States and outbreaks are usually handled by the States affected; USDA becomes involved in cases involving multiple States or interstate movement of horses.

In person or by telephone interview, APHIS-designated data collectors will administer questionnaires to horse owners and trainers of horses infected with EHV-1 during outbreaks that include cases of EHM and horses that are noninfected to serve as case controls. The information collected is used to understand the risk factors for EHM, make recommendations for disease control, and provide guidance on the best ways to avoid future outbreaks based on a thorough analysis of the data.

We are asking the Office of Management and Budget (OMB) to approve our use of these information collection activities for 3 years.

The purpose of this notice is to solicit comments from the public (as well as affected agencies) concerning our information collection. These comments will help us:

(1) Evaluate whether the collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility;

(2) Evaluate the accuracy of our estimate of the burden of the collection of information, including the validity of the methodology and assumptions used;

(3) Enhance the quality, utility, and clarity of the information to be collected; and

(4) Minimize the burden of the collection of information on those who are to respond, through use, as appropriate, of automated, electronic, mechanical, and other collection technologies; *e.g.*, permitting electronic submission of responses.

*Estimate of burden:* The public reporting burden for this collection of information is estimated to average 0.79 hours per response.

*Respondents:* Horse owners and horse trainers.

*Estimated annual number of respondents:* 626.

*Estimated annual number of responses per respondent:* 1.57.

*Estimated annual number of responses:* 982.

*Estimated total annual burden on respondents:* 778 hours. (Due to averaging, the total annual burden hours may not equal the product of the annual number of responses multiplied by the reporting burden per response.)

All responses to this notice will be summarized and included in the request for OMB approval. All comments will also become a matter of public record.

Done in Washington, DC, this 13th day of June 2017.

**Michael C. Gregoire,**

*Acting Administrator, Animal and Plant Health Inspection Service.*

[FR Doc. 2017-12644 Filed 6-16-17; 8:45 am]

**BILLING CODE 3410-34-P**

## DEPARTMENT OF AGRICULTURE

### Commodity Credit Corporation

#### Notice of Request for a Renewal of a Currently Approved Information Collection

**AGENCY:** Commodity Credit Corporation, USDA.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Commodity Credit Corporation's (CCC) intention to request a revision for a currently approved information collection in support of the CCC Export Credit Guarantee (GSM-102) Program based on current program levels and participants.

**DATES:** Comments on this notice must be received by August 18, 2017 to be assured consideration.

**ADDRESSES:** We invite you to submit comments as requested in this document. In your comment, include the volume, date, and page number of this issue of the **Federal Register**. You may submit comments by any of the following methods:

- *Federal eRulemaking Portal:* Go to <http://www.regulations.gov>. Follow the online instructions for submitting comments.

- *Mail, hand delivery, or courier:* Jonathan Doster, Branch Chief, Credit Program Division, Office of Trade Programs, Foreign Agricultural Service, 1400 Independence Avenue SW., Washington, DC 20250-1025, STOP

1025; or by email at [Jonathan.Doster@fas.usda.gov](mailto:Jonathan.Doster@fas.usda.gov); or by telephone at (202) 720-2074.

Comments will be available for inspection online at <http://www.regulations.gov> and at the mail address listed above between 8:00 a.m. and 4:30 p.m., Monday through Friday, except holidays.

Persons with disabilities who require an alternative means for communication of information (Braille, large print, audiotape, etc.) should contact USDA's Target Center at (202) 720-2600 (voice and TDD).

**FOR FURTHER INFORMATION CONTACT:** Jonathan Doster, Branch Chief, Credit Program Division, Office of Trade Programs, Foreign Agricultural Service, U.S. Department of Agriculture, AgStop 1025, Washington, DC 20250-1025, telephone (202) 720-2074.

**SUPPLEMENTARY INFORMATION:**

*Title:* CCC Export Credit Guarantee (GSM-102) Program.

*OMB Number:* 0551-0004.

*Expiration Date of Approval:* November 30, 2017.

*Type of Request:* Revision of a currently approved information collection.

*Abstract:* The primary objective of the GSM-102 program is to expand U.S. agricultural exports by making available export credit guarantees to encourage U.S. private sector financing of foreign purchases of U.S. agricultural commodities on credit terms. The CCC currently has programs operating in at least 144 countries and regions with 167 exporters eligible to participate. Under 7 CFR part 1493, exporters, foreign banks, and U.S. banks are required to submit the following: (1) Information about the exporter, foreign banks, and U.S. banks for program participation; (2) applications for payment guarantees; (3) notices of assignment; (4) repurchase agreements; (5) information regarding the actual export of the commodity (evidence of export report); (6) notice of default and claims for loss; and (7) appeals. In addition, each exporter and exporter's assignee (U.S. financial institution) must maintain records on all information submitted to CCC and in connection with sales made under the GSM-102 program. The information collected is used by CCC to manage, plan, evaluate, and account for government resources. The reports and records are required to ensure the proper and judicious use of public funds.

*Estimate of Burden:* The public reporting burden for these collections is estimated to average 0.38 hours per response.

*Respondents:* U.S. exporters, U.S. financial institutions, and foreign financial institutions.

*Estimated Number of Respondents:* 88 per annum.

*Estimated Number of Responses per Respondent:* 42.05 per annum.

*Estimated Total Annual Burden of Respondents:* 1,423 hours.

*Request for Comments:* Send comments regarding (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information including validity of the methodology and assumption used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology. Copies of this information collection can be obtained from Connie Ehrhart, the Agency Information Collection Coordinator, at (202) 690-1690 or email at [Connie.Ehrhart@fas.usda.gov](mailto:Connie.Ehrhart@fas.usda.gov).

All responses to this notice will be summarized and included in the request for OMB approval. All comments also will become a matter of public record.

Dated: May 24, 2017.

**Holly Higgins,**

*Acting Administrator, Foreign Agricultural Service, and Acting Vice President, Commodity Credit Corporation.*

[FR Doc. 2017-12649 Filed 6-16-17; 8:45 am]

**BILLING CODE 3410-10-P**

## DEPARTMENT OF AGRICULTURE

### Foreign Agricultural Service

#### WTO Agricultural Quantity-Based Safeguard Trigger Levels

**AGENCY:** Foreign Agricultural Service, U.S. Department of Agriculture.

**ACTION:** Notice of product coverage and trigger levels for safeguard measures provided for in the World Trade Organization (WTO) Agreement on Agriculture.

**SUMMARY:** This notice lists the updated quantity-based trigger levels for products which may be subject to additional import duties under the safeguard provisions of the WTO Agreement on Agriculture. This notice also includes the relevant period



applicable for the trigger levels on each of the listed products.

**DATES:** June 19, 2017.

**FOR FURTHER INFORMATION CONTACT:**

Souleymane Diaby, Import Policies and Export Reporting Division, Office of Trade Programs, Foreign Agricultural Service, U.S. Department of Agriculture, Stop 1020, 1400 Independence Avenue SW., Washington, DC 20250-1020; by telephone (202) 720-0638; or by fax (202) 720-0876; or by email to [Souleymane.Diaby@fas.usda.gov](mailto:Souleymane.Diaby@fas.usda.gov).

**SUPPLEMENTARY INFORMATION:** Article 5 of the WTO Agreement on Agriculture provides that additional import duties may be imposed on imports of products subject to tariffication as a result of the Uruguay Round, if certain conditions are met. The agreement permits additional duties to be charged if the price of an individual shipment of imported products falls below the average price for similar goods imported during the years 1986-88 by a specified percentage. It also permits additional duties to be imposed if the volume of imports of an article exceeds the average

of the most recent 3 years for which data are available by 5, 10, or 25 percent, depending on the article. These additional duties may not be imposed on quantities for which minimum or current access commitments were made during the Uruguay Round negotiations, and only one type of safeguard, price or quantity, may be applied at any given time to an article.

Section 405 of the Uruguay Round Agreements Act requires that the President cause to be published in the **Federal Register** information regarding the price and quantity safeguards, including the quantity trigger levels, which must be updated annually based upon import levels during the most recent 3 years. The President delegated this duty to the Secretary of Agriculture in Presidential Proclamation No. 6763, dated December 23, 1994, 60 FR 1005 (Jan. 4, 1995). The Secretary of Agriculture further delegated this duty, which lies with the Administrator of the Foreign Agricultural Service (7 CFR 2.43(a)(2)). The Annex to this notice contains the updated quantity trigger levels.

Additional information on the products subject to safeguards and the additional duties which may apply can be found in subchapter IV of Chapter 99 of the Harmonized Tariff Schedule of the United States (2017) and in the Secretary of Agriculture's Notice of Uruguay Round Agricultural Safeguard Trigger Levels, published in the **Federal Register** at 60 FR 427 (Jan. 4, 1995).

*Notice:* As provided in Section 405 of the Uruguay Round Agreements Act, consistent with Article 5 of the WTO Agreement on Agriculture, the safeguard quantity trigger levels previously notified are superseded by the levels indicated in the Annex to this notice. The definitions of these products were provided in the Notice of Safeguard Action published in the **Federal Register**, at 60 FR 427 (Jan. 4, 1995).

Issued at Washington, DC, on May 31, 2017.

**Holly Higgins**

*Acting Administrator, Foreign Agricultural Service.*

**Annex**

Product	Quantity-based safeguard trigger		
	Trigger level	Units	Period
Beef .....	331,166	MT .....	January 1, 2017 to December 31, 2017.
Mutton .....	3,335	MT .....	January 1, 2017 to December 31, 2017.
Cream .....	1,426,324	Liters .....	January 1, 2017 to December 31, 2017.
Evaporated or Condensed Milk .....	2,228,725	Kilograms ....	January 1, 2017 to December 31, 2017.
Nonfat Dry Milk .....	564,347	Kilograms ....	January 1, 2017 to December 31, 2017.
Dried Whole Milk .....	4,493,172	Kilograms ....	January 1, 2017 to December 31, 2017.
Dried Cream .....	8,319	Kilograms ....	January 1, 2017 to December 31, 2017.
Dried Whey/Buttermilk .....	19,366	Kilograms ....	January 1, 2017 to December 31, 2017.
Butter .....	22,242,567	Kilograms ....	January 1, 2017 to December 31, 2017.
Butter Oil and Butter Substitutes .....	9,693,967	Kilograms ....	January 1, 2017 to December 31, 2017.
Dairy Mixtures .....	26,136,023	Kilograms ....	January 1, 2017 to December 31, 2017.
Blue Cheese .....	5,161,480	Kilograms ....	January 1, 2017 to December 31, 2017.
Cheddar Cheese .....	15,484,227	Kilograms ....	January 1, 2017 to December 31, 2017.
American-Type Cheese .....	919,786	Kilograms ....	January 1, 2017 to December 31, 2017.
Edam/Gouda Cheese .....	8,779,770	Kilograms ....	January 1, 2017 to December 31, 2017.
Italian-Type Cheese .....	21,756,722	Kilograms ....	January 1, 2017 to December 31, 2017.
Swiss Cheese with Eye Formation .....	30,109,746	Kilograms ....	January 1, 2017 to December 31, 2017.
Gruyere Process Cheese .....	3,850,662	Kilograms ....	January 1, 2017 to December 31, 2017.
NSPF Cheese .....	58,444,719	Kilograms ....	January 1, 2017 to December 31, 2017.
Lowfat Cheese .....	281,375	Kilograms ....	January 1, 2017 to December 31, 2017.
Peanuts .....	13,106	MT .....	April 1, 2016 to March 31, 2017.
	14,577	MT .....	April 1, 2017 to March 31, 2018.
Peanut Butter/Paste .....	4,148	MT .....	January 1, 2017 to December 31, 2017.
Raw Cane Sugar .....	617,282	MT .....	October 1, 2016 to September 30, 2017.
	723,461	MT .....	October 1, 2017 to September 30, 2018.
Refined Sugar and Syrups .....	355,264	MT .....	October 1, 2016 to September 30, 2017.
	444,126	MT .....	October 1, 2017 to September 30, 2018.
Blended Syrups .....	106	MT .....	October 1, 2016 to September 30, 2017.
	233	MT .....	October 1, 2017 to September 30, 2018.
Articles Over 65% Sugar .....	415	MT .....	October 1, 2016 to September 30, 2017.
	451	MT .....	October 1, 2017 to September 30, 2018.
Articles Over 10% Sugar .....	18,930	MT .....	October 1, 2016 to September 30, 2017.
	15,540	MT .....	October 1, 2017 to September 30, 2018.
Sweetened Cocoa Powder .....	72	MT .....	October 1, 2016 to September 30, 2017.
	81	MT .....	October 1, 2017 to September 30, 2018.
Chocolate Crumb .....	12,507,343	Kilograms ....	January 1, 2017 to December 31, 2017.
Lowfat Chocolate Crumb .....	462,186	Kilograms ....	January 1, 2017 to December 31, 2017.
Infant Formula Containing Oligosaccharides .....	618,873	Kilograms ....	January 1, 2017 to December 31, 2017.
Mixes and Doughs .....	234	MT .....	October 1, 2016 to September 30, 2017.



Product	Quantity-based safeguard trigger		
	Trigger level	Units	Period
Mixed Condiments and Seasonings .....	234	MT .....	October 1, 2017 to September 30, 2018.
	894	MT .....	October 1, 2016 to September 30, 2017.
	692	MT .....	October 1, 2017 to September 30, 2018.
Ice Cream .....	3,206,913	Liters .....	January 1, 2017 to December 31, 2017.
Animal Feed Containing Milk .....	1,010,198	Kilograms ....	January 1, 2017 to December 31, 2017.
Short Staple Cotton .....	1,363,307	Kilograms ....	September 20, 2016 to September 19, 2017.
	3,376,608	Kilograms ....	September 20, 2017 to September 19, 2018.
Harsh or Rough Cotton .....	13	Kilograms ....	August 1, 2016 to July 31, 2017.
	13	Kilograms ....	August 1, 2017 to July 31, 2018.
Medium Staple Cotton .....	0	Kilograms ....	August 1, 2016 to July 31, 2017.
	0	Kilograms ....	August 1, 2017 to July 31, 2018.
Extra Long Staple Cotton .....	1,270,096	Kilograms ....	August 1, 2016 to July 31, 2017.
	1,219,841	Kilograms ....	August 1, 2017 to July 31, 2018.
Cotton Waste .....	925,273	Kilograms ....	September 20, 2016 to September 19, 2017.
	1,232,012	Kilograms ....	September 20, 2017 to September 19, 2018.
Cotton, Processed, Not Spun .....	51	Kilograms ....	September 11, 2016 to September 10, 2017.
	23,004	Kilograms ....	September 11, 2017 to September 10, 2018.

[FR Doc. 2017-12648 Filed 6-16-17; 8:45 am]  
 BILLING CODE 3410-10-P

**DEPARTMENT OF COMMERCE**

**Foreign-Trade Zones Board**

[B-41-2017]

**Foreign-Trade Zone (FTZ) 283—West Tennessee Area; Notification of Proposed Production Activity; MTD Consumer Group Inc. (Landscaping Equipment and Off-Road Utility Vehicles); Martin, Tennessee**

MTD Consumer Group Inc. (MTD) submitted a notification of proposed production activity to the FTZ Board for its facility in Martin, Tennessee. The notification conforming to the requirements of the regulations of the FTZ Board (15 CFR 400.22) was received on June 1, 2017.

The applicant indicates that it will be submitting a separate application for FTZ designation at the MTD facility under FTZ 283. The facility is used for the production of power landscaping equipment and off-road utility vehicles. Pursuant to 15 CFR 400.14(b), FTZ activity would be limited to the specific foreign-status materials and components and specific finished products described in the submitted notification (as described below) and subsequently authorized by the FTZ Board.

Production under FTZ procedures could exempt MTD from customs duty payments on the foreign-status components used in export production. On its domestic sales, for the foreign-status inputs noted below, MTD would be able to choose the duty rates during customs entry procedures that apply to: Blowers; snow thrower attachments; snow throwers; dozer blades; electric

lawn mowers; riding lawn mowers; walk behind mowers; deck casters; electric deck lift systems; lawn mower seats; mower discharge restrictors; mower stripping kits; mowing decks; weight kits; chipper shredder vacuums; edgers; log splitters; off-road utility vehicle; utility vehicle doors; utility vehicle roof kits; utility vehicle wheels; utility vehicle rims; utility vehicle head rests; light kits; water pumps; power washers; tillers; de-thatchers; and, aerators (duty rates range from free to 6%). Customs duties also could possibly be deferred or reduced on foreign-status production equipment.

The components and materials sourced from abroad include: Plastic hoses; rubber hoses; rubber tires for lawn and garden equipment and all-terrain vehicles; rubber inner tubes; rubber o-rings; rubber oil seals; rubber water seals; steel hydraulic fittings; steel pipe fittings; steel banjo fittings; steel cables; steel bolts; steel screws; steel nuts; steel pins; steel springs; steel ferrules; gasoline engines; engine cylinders; exhaust pipes; hydraulic cylinders; hydraulic pumps; spacers; blower wheels; filter inlets; oil filters; fuel filters; air filters; jack stands; block joints; tiller wheels; tiller tines; tiller handles; axle pivots; ball joints; brake pedals; bumpers; gas cylinder dampers; gear housings; hubs; hub caps; mower axles; mower tie rods; pivot bars; pivot knuckles; steering arms; steering columns; steering housings; mechanical tubing; mower wheels; hitch coupling assemblies; log splitter cylinder mounts; log splitter stress plates; log splitter wedges; mechanical tubing; wheel spindles; log splitter wheels; hydraulic valves; ball bearings; shafts; steering rod ends; gearboxes; pulleys; gear housings; electric motors; steering assemblies; control panels; wiring harnesses;

bumpers; seat belts; brake calipers; road wheels for lawn and garden equipment and utility vehicles; pivot knuckles; shock absorbers; ball joints; brake hoses; exhaust pipes; wheel hubs; and, indicator gauges (duty rates range from free to 9%).

Public comment is invited from interested parties. Submissions shall be addressed to the FTZ Board's Executive Secretary at the address below. The closing period for their receipt is July 31, 2017.

A copy of the notification will be available for public inspection at the Office of the Executive Secretary, Foreign-Trade Zones Board, Room 21013, U.S. Department of Commerce, 1401 Constitution Avenue NW., Washington, DC 20230-0002, and in the "Reading Room" section of the FTZ Board's Web site, which is accessible via [www.trade.gov/ftz](http://www.trade.gov/ftz).

For further information, contact Diane Finver at [Diane.Finver@trade.gov](mailto:Diane.Finver@trade.gov) or (202) 482-1367.

Dated: June 13, 2017.

**Andrew McGilvray,**  
*Executive Secretary.*

[FR Doc. 2017-12655 Filed 6-16-17; 8:45 am]  
 BILLING CODE 3510-DS-P

**DEPARTMENT OF COMMERCE**

**Foreign-Trade Zones Board**

[S-51-2017]

**Approval of Subzone Status; Expeditors International of Washington, Inc.; Inwood, New York**

On April 3, 2017, the Executive Secretary of the Foreign-Trade Zones (FTZ) Board docketed an application submitted by the County of Orange, grantee of FTZ 37, requesting subzone

status subject to the existing activation limit of FTZ 37, on behalf of Expeditors International of Washington, Inc., in Inwood, New York.

The application was processed in accordance with the FTZ Act and Regulations, including notice in the **Federal Register** inviting public comment (82 FR 16786, April 6, 2017). The FTZ staff examiner reviewed the application and determined that it meets the criteria for approval. Pursuant to the authority delegated to the FTZ Board Executive Secretary (15 CFR Sec. 400.36(f)), the application to establish Subzone 37E was approved on May 31, 2017, subject to the FTZ Act and the Board's regulations, including Section 400.13, and further subject to FTZ 37's 2,000-acre activation limit.

Dated: June 13, 2017.

**Andrew McGilvray,**

*Executive Secretary.*

[FR Doc. 2017-12653 Filed 6-16-17; 8:45 am]

**BILLING CODE 3510-DS-P**

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-13-2017]

#### **Foreign-Trade Zone (FTZ) 7—Mayaguez, Puerto Rico; Authorization of Production Activity; Romark Global Pharma, LLC; (Pharmaceuticals); Manatí, Puerto Rico**

On February 6, 2017, Romark Global Pharma, LLC, submitted a notification of proposed production activity to the FTZ Board for its facility within Subzone 7P, in Manatí, Puerto Rico.

The notification was processed in accordance with the regulations of the FTZ Board (15 CFR part 400), including notice in the **Federal Register** inviting public comment (82 FR 11895, February 27, 2017). On June 6, 2017, the applicant was notified of the FTZ Board's decision that no further review of the activity is warranted at this time. The production activity described in the notification was authorized, subject to the FTZ Act and the FTZ Board's regulations, including Section 400.14.

Dated: June 13, 2017.

**Andrew McGilvray,**

*Executive Secretary.*

[FR Doc. 2017-12657 Filed 6-16-17; 8:45 am]

**BILLING CODE 3510-DS-P**

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-12-2017]

#### **Foreign-Trade Zone (FTZ) 29—Louisville, Kentucky Authorization of Production Activity; Hitachi Automotive Systems Americas, Inc., (Automotive Fuel Injection Assemblies); Harrodsburg, Kentucky**

On February 6, 2017, The Louisville and Jefferson County Riverport Authority, grantee of FTZ 29, submitted a notification of proposed production activity to the FTZ Board on behalf of Hitachi Automotive Systems Americas, Inc. (Hitachi), within Subzone 29F, in Harrodsburg, Kentucky.

The notification was processed in accordance with the regulations of the FTZ Board (15 CFR part 400), including notice in the **Federal Register** inviting public comment (82 FR 11342, February 22, 2017). On June 5, 2017, the applicant was notified of the FTZ Board's decision that no further review of the activity is warranted at this time. The production activity described in the notification was authorized, subject to the FTZ Act and the FTZ Board's regulations, including Section 400.14.

Dated: June 13, 2017.

**Andrew McGilvray,**

*Executive Secretary.*

[FR Doc. 2017-12654 Filed 6-16-17; 8:45 am]

**BILLING CODE 3510-DS-P**

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-9-2017]

#### **Foreign-Trade Zone (FTZ) 8—Toledo, OH, Authorization of Production Activity, Whirlpool Corporation (Washing Machines), Clyde and Green Springs, OH**

On January 27, 2017, Whirlpool Corporation submitted a notification of proposed production activity to the FTZ Board for its facility within Subzone 8I, in Clyde and Green Springs, Ohio.

The notification was processed in accordance with the regulations of the FTZ Board (15 CFR part 400), including notice in the **Federal Register** inviting public comment (82 FR 9559-9560, February 7, 2017). On May 30, 2017, the applicant was notified of the FTZ Board's decision that no further review of the activity is warranted at this time. The production activity described in the notification was authorized, subject to the FTZ Act and the FTZ Board's regulations, including Section 400.14.

Dated: June 13, 2017.

**Andrew McGilvray,**

*Executive Secretary.*

[FR Doc. 2017-12659 Filed 6-16-17; 8:45 am]

**BILLING CODE 3510-DS-P**

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-38-2017]

#### **Foreign-Trade Zone (FTZ) 68—El Paso, Texas; Notification of Proposed Production Activity; PGTEX USA, Inc.; (Fiber Glass Fabrics); El Paso, Texas**

PGTEX USA, Inc. (PGTEX) submitted a notification of proposed production activity to the FTZ Board for its facility in El Paso, Texas, within FTZ 68. The notification conforming to the requirements of the regulations of the FTZ Board (15 CFR 400.22) was received on May 19, 2017.

The PGTEX already has authority to produce fiber glass fabrics within Site 3 of FTZ 68. The current request would add glass fiber rovings as an input to the scope of authority. Pursuant to 15 CFR 400.14(b), additional FTZ authority would be limited to the specific foreign-status material described in the submitted notification (as described below) and subsequently authorized by the FTZ Board.

Production under FTZ procedures could exempt PGTEX from customs duty payments on the glass fiber rovings used in export production. The applicant indicates that the foreign-sourced glass fiber rovings (HTSUS 7019.12, duty rate 4.8%) will be admitted to the FTZ in privileged foreign status (19 CFR 146.41), which would require payment of the original duty rate on the glass fiber rovings incorporated into a finished product on which entry from the FTZ was subsequently made. Customs duties also could possibly be deferred or reduced on foreign-status production equipment.

Public comment is invited from interested parties. Submissions shall be addressed to the FTZ Board's Executive Secretary at the address below. The closing period for their receipt is July 31, 2017.

A copy of the notification will be available for public inspection at the Office of the Executive Secretary, Foreign-Trade Zones Board, Room 21013, U.S. Department of Commerce, 1401 Constitution Avenue NW., Washington, DC 20230-0002, and in the "Reading Room" section of the FTZ Board's Web site, which is accessible via [www.trade.gov/ftz](http://www.trade.gov/ftz).

For further information, contact Diane Finver at [Diane.Finver@trade.gov](mailto:Diane.Finver@trade.gov) or (202) 482-1367.

Dated: June 13, 2017.

**Andrew McGilvray**,  
Executive Secretary.

[FR Doc. 2017-12656 Filed 6-16-17; 8:45 am]

BILLING CODE 3510-DS-P

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-010-2017]

#### Foreign-Trade Zone (FTZ) 177— Evansville, Indiana; Authorization of Production Activity; Toyota Motor Manufacturing Indiana, Inc.; (Automotive Vehicles and Sub- Assemblies Production); Princeton, Indiana

On February 3, 2017, the Ports of Indiana, grantee of FTZ 177, submitted a notification of proposed production activity to the FTZ Board on behalf of Toyota Motor Manufacturing Indiana, Inc., within Subzone 177B, in Princeton, Indiana.

The notification was processed in accordance with the regulations of the FTZ Board (15 CFR part 400), including notice in the **Federal Register** inviting public comment (82 FR 11342, February 22, 2017). On June 2, 2017, the applicant was notified of the FTZ Board's decision that no further review of the activity is warranted at this time. The production activity described in the notification was authorized, subject to the FTZ Act and the FTZ Board's regulations, including Section 400.14.

Dated: June 13, 2017.

**Andrew McGilvray**,  
Executive Secretary.

[FR Doc. 2017-12658 Filed 6-16-17; 8:45 am]

BILLING CODE 3510-DS-P

## DEPARTMENT OF COMMERCE

### Bureau of Industry and Security

#### Notice on Procedures for Attending or Viewing Remotely the Public Hearing on Section 232 National Security Investigation of Imports of Aluminum

**AGENCY:** Bureau of Industry and Security, Office of Technology Evaluation, U.S. Department of Commerce.

**ACTION:** Notice on procedures for attending or viewing remotely the public hearing.

**SUMMARY:** On May 9, 2017 (82 FR 21509), the Bureau of Industry and

Security (BIS), published the *Notice of Request for Public Comments and Public Hearing on Section 232 National Security Investigation of Imports of Aluminum*. The May 9 notice specified that the Secretary of Commerce initiated an investigation to determine the effects on the national security of imports of aluminum. This investigation has been initiated under section 232 of the Trade Expansion Act of 1962, as amended. (See the May 9 notice for additional details on the investigation and the request for public comments.)

The May 9 notice also announced that the Department of Commerce will hold a public hearing on the investigation on June 22, 2017 in Washington, DC. Today's notice provides additional details on the procedures for attending the hearing and for viewing the hearing, via webcast.

**DATES:** The hearing will be held on June 22, 2017 at the U.S. Department of Commerce auditorium, 1401 Constitution Avenue NW., Washington, DC 20230. The hearing will begin at 10:00 a.m. local time and conclude at 1:00 p.m. local time.

In addition to the May 9 notice, on June 2, 2017 (82 FR 25597), BIS published the notice, *Change in Comment Deadline for Section 232 National Security Investigation of Imports of Aluminum*. The June 2 notice moved the original deadline included in the May 9 notice for all written submissions up by six calendar days. Commenters now are encouraged to submit their comments by June 20, 2017, but all written submissions must be received no later than June 23, 2017 to be considered in the drafting of the final report. (See the June 2 notice for additional details on the change in comment deadline.)

**FOR FURTHER INFORMATION CONTACT:** Brad Botwin, Director, Industrial Studies, Office of Technology Evaluation, Bureau of Industry and Security, U.S. Department of Commerce (202) 482-4060, [brad.botwin@bis.doc.gov](mailto:brad.botwin@bis.doc.gov). For more information about the section 232 program, including the regulations and the text of previous investigations, see [www.bis.doc.gov/232](http://www.bis.doc.gov/232).

For questions regarding the June 22nd public hearing, including registration and foreign national visitor access, please contact [aluminum232@bis.doc.gov](mailto:aluminum232@bis.doc.gov) or (202) 705-9103.

#### SUPPLEMENTARY INFORMATION:

##### Background

On May 9, 2017 (82 FR 21509), the Bureau of Industry and Security (BIS) published the *Notice of Request for Public Comments and Public Hearing on*

*Section 232 National Security Investigation of Imports of Aluminum*. The May 9 notice specified that on April 26, 2017, the Secretary of Commerce ("Secretary") initiated an investigation under section 232 of the Trade Expansion Act of 1962, as amended (19 U.S.C. 1862), to determine the effects on the national security of imports of aluminum. (See the May 9 notice for additional details on the investigation and the request for public comments.)

The May 9 notice also announced that the Department of Commerce will hold a public hearing on the investigation. The hearing will be held on June 22, 2017 at the U.S. Department of Commerce auditorium, 1401 Constitution Avenue NW., Washington, DC 20230. The hearing will begin at 10:00 a.m. local time and conclude at 1:00 p.m. local time. The hearing will assist the Department in determining whether imports of aluminum threaten to impair the national security and in recommending remedies, if such a threat is found to exist.

The May 9 notice included the following information: (a) Procedures for requesting participation in the hearing, including procedures for submitting comments; (b) conduct of the hearing; and (c) special accommodations for the hearing. (See the May 9 notice for additional details on these aspects of the public hearing.)

In addition to the May 9 notice, on June 2, 2017 (82 FR 25597), BIS published the notice, *Change in Comment Deadline for Section 232 National Security Investigation of Imports of Aluminum*. The June 2 notice moved the original deadline included in the May 9 notice for all written submissions up by six calendar days. Commenters now are encouraged to submit their comments by June 20, 2017, but all written submissions must be received by no later than June 23, 2017 to be considered in the drafting of the final report. (See the June 2 notice for additional details on the change in comment deadline.)

Today's notice provides additional details on the procedures for attending the hearing and for viewing the hearing, via webcast.

#### Procedure for Attending the Hearing, or Viewing the Hearing Via Webcast

**Registration:** Individuals and entities who wish to attend the public hearing in person are required to pre-register for the hearing on-line at [www.bis.doc.gov/232AluminumHearing](http://www.bis.doc.gov/232AluminumHearing). Anyone wishing to attend this public hearing must register by 5:00 p.m. (EST), Tuesday, June 20, 2017.

*Webcast:* The public hearing will be available live via webcast. Registration is not required to view the hearing via webcast. No log-in information is required. Please visit: [www.bis.doc.gov/232AluminumHearing](http://www.bis.doc.gov/232AluminumHearing) to be directed to the live webcast.

*Visitor Access Requirement:* For participants attending in person, please note that federal agencies can only accept a state-issued driver's license or identification card for access to federal facilities if such license or identification card is issued by a state that is compliant with the REAL ID Act of 2005 (Pub. L. 109–13), or by a state that has an extension for REAL ID compliance. The main entrance of the Department of Commerce is on 14th Street NW., between Pennsylvania Avenue and Constitution Avenue, across from the Ronald Reagan Building. Upon entering the building, please go through security and check in at the guard's desk. BIS staff will meet and escort visitors to the auditorium. Admittance to the auditorium for the hearing will be available beginning at 9:00 a.m. (EST) on June 22, 2017 and the hearing will start promptly at 10:00 a.m. (EST).

*Non U.S. Citizens Please Note:* All foreign national visitors who do not have permanent resident status must register to attend the hearing at [www.bis.doc.gov/232aluminumhearing](http://www.bis.doc.gov/232aluminumhearing) and must fax a copy of their passport to (202) 482–5361 by 5:00 p.m. (EST), Tuesday, June 20, 2017. Please also bring a copy of your passport on the day of the hearing to serve as identification. Failure to provide this information prior to arrival will result, at a minimum, in significant delays in entering the facility. Authority to gather this information is derived from United States Department of Commerce Department Administrative Order (DAO) number 207–12. Please visit [www.bis.doc.gov/232AluminumHearing](http://www.bis.doc.gov/232AluminumHearing) to register and for more details regarding this requirement.

Dated: June 6, 2017.

**Matthew S. Borman,**  
Deputy Assistant Secretary for Export Administration.

[FR Doc. 2017–12729 Filed 6–16–17; 8:45 am]

**BILLING CODE 3510–33–P**

## DEPARTMENT OF COMMERCE

### International Trade Administration

[A–570–970; C–570–971]

#### Multilayered Wood Flooring From the People's Republic of China: Final Clarification of the Scope of the Antidumping and Countervailing Duty Orders

**AGENCY:** Enforcement and Compliance, International Trade Administration, Department of Commerce.

**SUMMARY:** On April 19, 2017, the Department of Commerce (Department) published a proposed clarification of the scope of the antidumping and countervailing duty orders on multilayered wood flooring (wood flooring) from the People's Republic of China (PRC). Based on comments from interested parties, the Department has further clarified the scope of this order.

**DATES:** Effective June 19, 2017.

**FOR FURTHER INFORMATION CONTACT:** Jesus Saenz or Michael Bowen, AD/CVD Operations, Office VIII, Enforcement and Compliance, International Trade Administration, U.S. Department of Commerce, 1401 Constitution Avenue NW., Washington, DC 20230; telephone: 202–482–8184 or 202–482–0768, respectively.

#### SUPPLEMENTARY INFORMATION:

##### Background

The regulations governing the Department's scope determinations are found at 19 CFR 351.225. In past scope determinations,<sup>1</sup> in accordance with 19 CFR 351.225(k)(1), the Department has relied on the scope language, along with descriptions of the merchandise contained in the petitions, the initial investigations, prior scope determinations, and rulings by the International Trade Commission (ITC) to determine that two-layer wood flooring products are outside the scope of the *Orders*.<sup>2</sup>

<sup>1</sup> See e.g., Department Memorandum, "Final Scope Ruling on the Antidumping and Countervailing Duty Orders on Multilayered Wood Flooring from the People's Republic of China: Request by Dunhua Shengda Wood Industry Co., Ltd., dated December 14, 2016; and Department Memorandum, "Final Scope Ruling on the Antidumping and Countervailing Duty Orders on Multilayered Wood Flooring from the People's Republic of China: Request by Alston, Inc.," dated March 12, 2013.

<sup>2</sup> See *Multilayered Wood Flooring from the People's Republic of China: Amended Final Determination of Sales at Less Than Fair Value and Antidumping Duty Order*, 76 FR 76690 (December 8, 2011) and *Multilayered Wood Flooring from the People's Republic of China: Countervailing Duty Order*, 76 FR 76693 (December 8, 2011), as amended, *Multilayered Wood Flooring from the*

On April 19, 2017, the Department published the *Proposed Scope Clarification*<sup>3</sup> to provide notice that the Department intends to clarify the scope of the *Orders* due to the large number of scope ruling requests concerning wood flooring products consisting of only two layers. Interested parties were invited to comment on the intended clarification.

#### Comments on the Proposed Scope Clarification

The Department received two comment submissions from two groups of interested parties during the comment period.<sup>4</sup> The first group agrees that the Department has received a large number of scope ruling requests concerning two-layer wood flooring products, and notes that the requests are being filed not because the order language is ambiguous, but, rather, because of concern that U.S. Customs and Border Protection (CBP) officials may not always distinguish between two-ply and subject merchandise.<sup>5</sup> This group, therefore, does not believe that the *Proposed Scope Clarification* will necessarily eliminate the number of scope ruling requests received by the Department, and proposes, as an alternative, that the Department work more closely with CBP to ensure CBP knows the difference between subject and non-subject merchandise.<sup>6</sup> Nonetheless, to the extent the clarification language is merely meant to reiterate the scope rulings that have already been issued, and is not intended to change the scope of the *Orders*, this group does not object.<sup>7</sup>

The second group agrees with the *Proposed Scope Clarification* and deems the language necessary to reflect more definitively that two-layer wood flooring products are excluded from the scope of the *Orders*, as it will expedite

*People's Republic of China: Amended Antidumping and Countervailing Duty Orders*, 77 FR 5484 (February 3, 2012) (collectively, *Orders*).

<sup>3</sup> See *Multilayered Wood Flooring from the People's Republic of China: Clarification of the Scope of the Antidumping and Countervailing Duty Orders*, 82 FR 18420 (April 19, 2017) (*Proposed Scope Clarification*).

<sup>4</sup> See Letter from Anhui Boya Bamboo & Wood Products Co., Ltd., et al., "Multilayered Wood Flooring from the People's Republic of China: Comments on Scope Clarification", dated May 1, 2017 (*Anhui Boya Bamboo & Wood Products Co., Ltd., et al., Comments*); and Letter from Zhejiang Dadongwu GreenHome Wood Co., Ltd., et al., "Multilayered Wood Flooring from the People's Republic of China: Comments on the Department's Proposed Scope Clarification, dated May 1, 2017 (*Zhejiang Dadongwu GreenHome Wood Co., Ltd., et al., Comments*).

<sup>5</sup> *Anhui Boya Bamboo & Wood Products Co., Ltd., et al., Comments* at 1.

<sup>6</sup> *Id.* at 1–2.

<sup>7</sup> *Id.* at 2.

handling of entries by CBP and eliminate the need for further scope rulings.<sup>8</sup> This group also proposes that the Department insert additional clarifying language to the exclusion section of the existing written scope description in order to emphasize the exclusion of two-layer wood flooring products from the scope of the *Orders* as follows: “Also excluded is wood flooring composed of two layers. Two layered flooring typically consists of a single wood veneer, or ply, in combination with a base layer of various constructions and materials, which may include wood.”<sup>9</sup>

No other parties, including the petitioner, commented on the *Proposed Scope Clarification*.

### Final Scope Clarification

The *Proposed Scope Clarification* is meant to clarify the Department’s interpretation of the scope of the *Orders*, as provided in numerous past scope determinations, that the wood flooring products covered by the *Orders* are composed of a minimum of three layers. This clarification is not intended to change the scope of wood flooring products covered by the *Orders*, but is merely meant to clarify and inform the public and CBP that the Department has consistently interpreted the scope of the *Orders* to cover wood flooring products composed of a minimum of three layers. Further, this clarification will expedite CBP’s processing of entries of both subject and non-subject wood flooring products, and reduce the need for further scope rulings with respect to two-layer wood flooring products.

However, in light of the comments received, and to further reiterate that the clarification is not intended to change the scope of wood flooring products covered by the *Orders*, but is merely meant to clarify the Department’s interpretation, for purposes of this final scope clarification we are including an interpretive note as a footnote to the scope language, rather than add clarifying language to the scope itself. See *Scope of the Orders* section below at note 11. We are adopting this clarification for all segments of the proceeding under the *Orders* for which a determination is made on or after the effective date of this notice. We intend to notify CBP of this final scope clarification.

Lastly, although certain parties propose changing the existing scope by adding language specifically excluding two-layered flooring, we have not

adopted this change. We find that the changes adopted herein are sufficient to accomplish the goals of the clarification, and that further language regarding a specific exclusion for two-layered flooring is not necessary.

### Scope of the Orders

Multilayered wood flooring is composed of an assembly of two or more layers or plies of wood veneer(s)<sup>10</sup> in combination with a core.<sup>11</sup> The several layers, along with the core, are glued or otherwise bonded together to form a final assembled product. Multilayered wood flooring is often referred to by other terms, e.g., “engineered wood flooring” or “plywood flooring.” Regardless of the particular terminology, all products that meet the description set forth herein are intended for inclusion within the definition of subject merchandise.

All multilayered wood flooring is included within the definition of subject merchandise, without regard to: Dimension (overall thickness, thickness of face ply, thickness of back ply, thickness of core, and thickness of inner plies; width; and length); wood species used for the face, back and inner veneers; core composition; and face grade. Multilayered wood flooring included within the definition of subject merchandise may be unfinished (*i.e.*, without a finally finished surface to protect the face veneer from wear and tear) or “prefinished” (*i.e.*, a coating applied to the face veneer, including, but not exclusively, oil or oil-modified or water-based polyurethanes, ultra-violet light cured polyurethanes, wax, epoxy-ester finishes, moisture-cured urethanes and acid-curing formaldehyde finishes). The veneers may be also soaked in an acrylic-impregnated finish. All multilayered wood flooring is included within the definition of subject merchandise regardless of whether the face (or back) of the product is smooth, wire brushed, distressed by any method or multiple methods, or hand-scraped. In addition, all multilayered wood flooring is included within the definition of subject merchandise regardless of whether or not it is manufactured with any interlocking or connecting mechanism (for example, tongue-and-groove construction or locking joints). All multilayered wood flooring is included within the definition of the subject merchandise

<sup>10</sup> A “veneer” is a thin slice of wood, rotary cut, sliced or sawed from a log, bolt or flitch. Veneer is referred to as a ply when assembled.

<sup>11</sup> Department of Commerce Interpretive Note: The Department interprets this language to refer to wood flooring products with a minimum of three layers.

regardless of whether the product meets a particular industry or similar standard.

The core of multilayered wood flooring may be composed of a range of materials, including but not limited to hardwood or softwood veneer, particleboard, medium-density fiberboard, high-density fiberboard (“HDF”), stone and/or plastic composite, or strips of lumber placed edge-to-edge.

Multilayered wood flooring products generally, but not exclusively, may be in the form of a strip, plank, or other geometrical patterns (*e.g.*, circular, hexagonal). All multilayered wood flooring products are included within this definition regardless of the actual or nominal dimensions or form of the product. Specifically excluded from the scope are cork flooring and bamboo flooring, regardless of whether any of the sub-surface layers of either flooring are made from wood. Also excluded is laminate flooring. Laminate flooring consists of a top wear layer sheet not made of wood, a decorative paper layer, a core-layer of HDF, and a stabilizing bottom layer.

Imports of the subject merchandise are provided for under the following subheadings of the Harmonized Tariff Schedule of the United States (“HTSUS”): 4412.31.0520; 4412.31.0540; 4412.31.0560; 4412.31.0620; 4412.31.0640; 4412.31.0660; 4412.31.2510; 4412.31.2520; 4412.31.2610; 4412.31.2620; 4412.31.3175; 4412.31.4040; 4412.31.4050; 4412.31.4060; 4412.31.4070; 4412.31.4075; 4412.31.4080; 4412.31.4140; 4412.31.4160; 4412.31.4175; 4412.31.5125; 4412.31.5135; 4412.31.5155; 4412.31.5165; 4412.31.5175; 4412.31.5225; 4412.31.6000; 4412.31.9100; 4412.32.0520; 4412.32.0540; 4412.32.0560; 4412.32.0565; 4412.32.0570; 4412.32.0640; 4412.32.0665; 4412.32.2510; 4412.32.2520; 4412.32.2525; 4412.32.2530; 4412.32.2610; 4412.32.2625; 4412.32.3125; 4412.32.3135; 4412.32.3155; 4412.32.3165; 4412.32.3175; 4412.32.3185; 4412.32.3225; 4412.32.5600; 4412.32.5700; 4412.39.1000; 4412.39.3000; 4412.39.4011; 4412.39.4012; 4412.39.4019; 4412.39.4031; 4412.39.4032; 4412.39.4039; 4412.39.4051; 4412.39.4052; 4412.39.4059; 4412.39.4061; 4412.39.4062; 4412.39.4069; 4412.39.5010; 4412.39.5030; 4412.39.5050; 4412.94.1030; 4412.94.1050;

<sup>8</sup> *Zhejiang Dadongwu GreenHome Wood Co., Ltd., et al., Comments at 2.*

<sup>9</sup> *Id.* at 2–3.

4412.94.3105; 4412.94.3111;  
 4412.94.3121; 4412.94.3131;  
 4412.94.3141; 4412.94.3160;  
 4412.94.3171; 4412.94.4100;  
 4412.94.5100; 4412.94.6000;  
 4412.94.7000; 4412.94.8000;  
 4412.94.9000; 4412.94.9500;  
 4412.99.0600; 4412.99.1020;  
 4412.99.1030; 4412.99.1040;  
 4412.99.3110; 4412.99.3120;  
 4412.99.3130; 4412.99.3140;  
 4412.99.3150; 4412.99.3160;  
 4412.99.3170; 4412.99.4100;  
 4412.99.5100; 4412.99.5105;  
 4412.99.5115; 4412.99.5710;  
 4412.99.6000; 4412.99.7000;  
 4412.99.8000; 4412.99.9000;  
 4412.99.9500; 4418.71.2000;  
 4418.71.9000; 4418.72.2000;  
 4418.72.9500; 4418.74.2000;  
 4418.74.9000; 4418.75.4000;  
 4418.75.7000; 4418.79.0100; and  
 9801.00.2500.

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the subject merchandise is dispositive.

Dated: June 13, 2017.

**Ronald K. Lorentzen,**

*Acting Assistant Secretary for Enforcement and Compliance.*

[FR Doc. 2017-12674 Filed 6-16-17; 8:45 am]

**BILLING CODE 3510-DS-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[P-2444-031]

#### Northern States Power Company; Notice of Application Accepted for Filing and Soliciting Comments, Motions To Intervene, and Protests

Take notice that the following hydroelectric application has been filed with the Commission and is available for public inspection.

a. *Type of Application:* Non-capacity amendment of license.

b. *Project No.:* 2444-031.

c. *Date Filed:* April 28, 2017, and supplemented June 12, 2017.

d. *Applicant:* Northern States Power Company.

e. *Name of Project:* White River Hydroelectric Project.

f. *Location:* The project is located on the White River in Ashland County, Wisconsin.

g. *Filed Pursuant to:* Federal Power Act, 16 U.S.C. 791 (a)-825(r).

h. *Applicant Contact:* Mr. William P. Zawacki, Director of Hydro Plants, 1414 W. Hamilton Ave., P.O. Box 8, Eau Claire, WI 54702, (715) 737-1136.

i. *FERC Contact:* Steven Sachs, (202) 502-8666, [Steven.Sachs@ferc.gov](mailto:Steven.Sachs@ferc.gov).

j. *Deadline for filing comments, motions to intervene, and protests is 30 days from the issuance of this notice by the Commission. The Commission strongly encourages electronic filing. Please file comments, motions to intervene, and protests using the Commission's eFiling system at <http://www.ferc.gov/docs-filing/efiling.asp>. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at <http://www.ferc.gov/doc-sfiling/ecomment.asp>. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), (866) 208-3676 (toll free), or (202) 502-8659 (TTY). In lieu of electronic filing, please send a paper copy to: Secretary, Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426. The first page of any filing should include docket number P-2444-031.*

k. *Description of Request:* The applicant proposes to replace one of the two turbine-generator units at the project. The new turbine-generator unit would increase the total authorized installed capacity of the project from 1 to 1.2 megawatts, and would raise the hydraulic capacity of the project from 280 to 350 cubic feet per second. The applicant does not propose any changes to project operation.

l. *Locations of the Applications:* A copy of the application is available for inspection and reproduction at the Commission's Public Reference Room, located at 888 First Street NE., Room 2A, Washington, DC 20426, or by calling (202) 502-8371. The filing may also be viewed on the Commission's Web site at <http://www.ferc.gov/docs-filing/elibrary.asp>. Enter the docket number excluding the last three digits in the docket number field to access the document. You may also register online at <http://www.ferc.gov/docs-filing/esubscription.asp> to be notified via email of new filings and issuances related to this or other pending projects. For assistance, call 1-866-208-3676 or email [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), for TTY, call (202) 502-8659.

m. Individuals desiring to be included on the Commission's mailing list should so indicate by writing to the Secretary of the Commission.

n. *Comments, Motions to Intervene, or Protests:* Anyone may submit comments, a motion to intervene, or a protest in accordance with the requirements of Rules of Practice and Procedure, 18 CFR 385.210, .211, .214. In determining the appropriate action to

take, the Commission will consider all protests or other comments filed, but only those who file a motion to intervene in accordance with the Commission's Rules may become a party to the proceeding. Any comments, motions to intervene, or protests must be received on or before the specified comment date for the particular application.

o. *Filing and Service of Responsive Documents:* Any filing must (1) bear in all capital letters the title COMMENTS, MOTION TO INTERVENE, or PROTEST as applicable; (2) set forth in the heading the name of the applicant and the project number of the application to which the filing responds; (3) furnish the name, address, and telephone number of the person protesting or intervening; and (4) otherwise comply with the requirements of 18 CFR 385.2001 through 385.2005. All comments, motions to intervene, or protests must set forth their evidentiary basis and otherwise comply with the requirements of 18 CFR 4.34(b). All comments, motions to intervene, or protests should relate to project works which are the subject of the temporary variance request. Agencies may obtain copies of the application directly from the applicant. A copy of any protest or motion to intervene must be served upon each representative of the applicant specified in the particular application. If an intervener files comments or documents with the Commission relating to the merits of an issue that may affect the responsibilities of a particular resource agency, they must also serve a copy of the document on that resource agency. A copy of all other filings in reference to this application must be accompanied by proof of service on all persons listed in the service list prepared by the Commission in this proceeding, in accordance with 18 CFR 4.34(b) and 385.2010.

Dated: June 13, 2017.

**Kimberly D. Bose,**

*Secretary.*

[FR Doc. 2017-12668 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission**

[Docket No. ER17-1794-000]

**Innovative Solar 42, LLC; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization**

This is a supplemental notice in the above-referenced proceeding Innovative Solar 42, LLC's application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard to the applicant's request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability, is July 3, 2017.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at <http://www.ferc.gov>. To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 5 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for electronic review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email

[FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 13, 2017.

**Kimberly D. Bose,***Secretary.*

[FR Doc. 2017-12665 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission**

[Docket No. CP17-459-000]

**Ryckman Creek Resources, LLC; Notice of Request Under Blanket Authorization**

Take notice that on June 1, 2017, Ryckman Creek Resources, LLC (Ryckman), 3 Riverway, Suite 1110, Houston, Texas 77056, filed in Docket No. CP17-459-000 a prior notice request pursuant to sections 157.205 and 157.213 of the Commission's regulations under the Natural Gas Act (NGA), as amended, requesting authorization to perform certain activities at its natural gas storage field in Uinta County, Wyoming. Specifically, Ryckman proposes to: (i) Convert two existing observation wells to vertical injection/withdrawal (I/W) wells; (ii) re-enter and re-complete four former oil production wells for use as vertical I/W wells; (iii) convert two former oil production wells for use as observation wells; (iv) re-enter and re-complete a former oil production well for use as a saltwater disposal well; and (v) construct related connecting flowlines, access roads, and appurtenances. Ryckman states that the proposed project will have no impact on the storage field's certificated physical parameters, including total inventory, reservoir pressures, reservoir and buffer boundaries, and certificated capacity. Ryckman estimates the cost of the project to be approximately \$6,500,000, all as more fully set forth in the application which is on file with the Commission and open to public inspection. The filing may also be viewed on the web at <http://www.ferc.gov> using the "eLibrary" link. Enter the docket number excluding the last three digits in the docket number field to access the document. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or toll free at (866) 208-3676, or TTY, contact (202) 502-8659.

Any questions concerning this application may be directed to Kassey Dennis, Regulatory and Compliance

Manager, Ryckman Creek Resources, LLC, 3535 Whitney Canyon/Sulfur Haul Road, Evanston, Wyoming 82930, by telephone at (307) 222-5981, by fax at (713) 974-5601, or by email at [kdennis@peregrinemp LLC.com](mailto:kdennis@peregrinemp LLC.com).

Any person or the Commission's staff may, within 60 days after issuance of the instant notice by the Commission, file pursuant to Rule 214 of the Commission's Procedural Rules (18 CFR 385.214) a motion to intervene or notice of intervention and pursuant to section 157.205 of the regulations under the NGA (18 CFR 157.205), a protest to the request. If no protest is filed within the time allowed therefore, the proposed activity shall be deemed to be authorized effective the day after the time allowed for filing a protest. If a protest is filed and not withdrawn within 30 days after the allowed time for filing a protest, the instant request shall be treated as an application for authorization pursuant to section 7 of the NGA.

Pursuant to section 157.9 of the Commission's rules, 18 CFR 157.9, within 90 days of this Notice the Commission staff will either: complete its environmental assessment (EA) and place it into the Commission's public record (eLibrary) for this proceeding; or issue a Notice of Schedule for Environmental Review. If a Notice of Schedule for Environmental Review is issued, it will indicate, among other milestones, the anticipated date for the Commission staff's issuance of the final environmental impact statement (FEIS) or EA for this proposal. The filing of the EA in the Commission's public record for this proceeding or the issuance of a Notice of Schedule for Environmental Review will serve to notify federal and state agencies of the timing for the completion of all necessary reviews, and the subsequent need to complete all federal authorizations within 90 days of the date of issuance of the Commission staff's FEIS or EA.

Persons who wish to comment only on the environmental review of this project should submit an original and two copies of their comments to the Secretary of the Commission. Environmental commenter's will be placed on the Commission's environmental mailing list, will receive copies of the environmental documents, and will be notified of meetings associated with the Commission's environmental review process. Environmental commenter's will not be required to serve copies of filed documents on all other parties. However, the non-party commentary, will not receive copies of all documents filed by other parties or issued by the



Commission (except for the mailing of environmental documents issued by the Commission) and will not have the right to seek court review of the Commission's final order.

The Commission strongly encourages electronic filings of comments, protests and interventions in lieu of paper using the eFiling link at <http://www.ferc.gov>. Persons unable to file electronically should submit an original and 7 copies of the protest or intervention to the Federal Energy regulatory Commission, 888 First Street NE., Washington, DC 20426.

Dated: June 12, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12662 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

#### Combined Notice of Filings #1

Take notice that the Commission received the following exempt wholesale generator filings:

*Docket Numbers:* EG17-115-000.

*Applicants:* NextEra Energy Bluff Point, LLC.

*Description:* Notice of Self-Certification of Exempt Wholesale Generator Status of NextEra Energy Bluff Point, LLC.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608-5088.

*Comments Due:* 5 p.m. ET 6/29/17.

Take notice that the Commission received the following electric rate filings:

*Docket Numbers:* ER17-1092-001.

*Applicants:* Southwest Power Pool, Inc.

*Description:* Tariff Amendment: Deficiency Response in ER17-1092—Variable Demand Curve and Scarcity Pricing to be effective 5/11/2017.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5155.

*Comments Due:* 5 p.m. ET 6/30/17.

*Docket Numbers:* ER17-1794-000.

*Applicants:* Innovative Solar 42, LLC.

*Description:* Baseline eTariff Filing: Application for Market Based Rate to be effective 6/10/2017.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5150.

*Comments Due:* 5 p.m. ET 6/30/17.

*Docket Numbers:* ER17-1794-001.

*Applicants:* Innovative Solar 42, LLC.

*Description:* Tariff Amendment: Amendment to Pending Filing to be effective 6/10/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5001.

*Comments Due:* 5 p.m. ET 7/3/17.

*Docket Numbers:* ER17-1795-000.

*Applicants:* Southwest Power Pool, Inc.

*Description:* § 205(d) Rate Filing: Modifications of Conditions to Classify a Service Upgrade as a Base Plan Upgrade to be effective 8/8/2017.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5151.

*Comments Due:* 5 p.m. ET 6/30/17.

*Docket Numbers:* ER17-1796-000.

*Applicants:* California Independent System Operator Corporation.

*Description:* § 205(d) Rate Filing: 2017-06-09 EIM Implementation Agreement with Powerex to be effective 8/15/2017.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5156.

*Comments Due:* 5 p.m. ET 6/30/17.

*Docket Numbers:* ER17-1797-000.

*Applicants:* Otter Tail Power Company.

*Description:* Notice of Termination of Otter Tail Power Company Rate Schedule No. 159.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5195.

*Comments Due:* 5 p.m. ET 6/30/17.

Take notice that the Commission received the following electric securities filings:

*Docket Numbers:* ES17-35-000.

*Applicants:* Northern Indiana Public Service Company.

*Description:* Application for Authorization to Issue Short-Term Debt of Northern Indiana Public Service Company.

*Filed Date:* 6/9/17.

*Accession Number:* 20170609-5193.

*Comments Due:* 5 p.m. ET 6/30/17.

The filings are accessible in the Commission's eLibrary system by clicking on the links or querying the docket number.

Any person desiring to intervene or protest in any of the above proceedings must file in accordance with Rules 211 and 214 of the Commission's Regulations (18 CFR 385.211 and 385.214) on or before 5:00 p.m. Eastern time on the specified comment date. Protests may be considered, but intervention is necessary to become a party to the proceeding.

eFiling is encouraged. More detailed information relating to filing requirements, interventions, protests, service, and qualifying facilities filings can be found at: <http://www.ferc.gov/docs-filing/efiling/filing-req.pdf>. For other information, call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 12, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12672 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Project No. 848-037]

#### Wells Rural Electric Company; Notice of Application Accepted for Filing, Soliciting Motions To Intervene and Protests, Ready for Environmental Analysis, and Soliciting Comments, Recommendations, Terms and Conditions, and Fishway Prescriptions

Take notice that the following hydroelectric application has been filed with the Commission and is available for public inspection.

a. *Type of Application:* Minor New License.

b. *Project No.:* 848-037.

c. *Date filed:* May 18, 2016.

d. *Applicant:* Wells Rural Electric Company.

e. *Name of Project:* Trout Creek Hydroelectric Project.

f. *Location:* On Trout Creek, near the Town of Wells, Elko County, Nevada. The project's intake structure, pipeline, debris collection box, surge tank and approximately 1,500 feet of penstock are located on federal land managed by the Forest Service.

g. *Filed Pursuant to:* Federal Power Act 16 U.S.C. 791 (a)-825(r).

h. *Applicant Contact:* Lonnie Abbott, Manager of Loss Control and Risk Services, Wells Rural Electric Company, P.O. Box 365, Wells, Nevada 89835, (775) 752-1516 or [labbott@wrec.coop](mailto:labbott@wrec.coop).

i. *FERC Contact:* Alan Mitchnick, (202) 502-6074 or [alan.mitchnick@ferc.gov](mailto:alan.mitchnick@ferc.gov).

j. *Deadline for filing motions to intervene and protests, comments, recommendations, preliminary terms and conditions, and preliminary prescriptions:* 60 days from the issuance date of this notice; reply comments are due 105 days from the issuance date of this notice.

The Commission strongly encourages electronic filing. Please file motions to intervene, protests, comments, recommendations, preliminary terms and conditions, and preliminary fishway prescriptions using the Commission's eFiling system at <http://www.ferc.gov/docs-filing/efiling.asp>. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system



at <http://www.ferc.gov/docs-filing/ecomment.asp>. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), (866) 208-3676 (toll free), or (202) 502-8659 (TTY). In lieu of electronic filing, please send a paper copy to: Secretary, Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426. The first page of any filing should include docket number P-848-037.

The Commission's Rules of Practice require all intervenors filing documents with the Commission to serve a copy of that document on each person on the official service list for the project. Further, if an intervenor files comments or documents with the Commission relating to the merits of an issue that may affect the responsibilities of a particular resource agency, they must also serve a copy of the document on that resource agency.

k. This application has been accepted for filing and is now ready for environmental analysis.

l. *The existing Trout Creek project consists of:* (1) An intake structure on a spring feeding Trout Creek; (2) a 14-inch-diameter, 715-foot-long, steel pipe; (3) a debris collection box; (4) a 15-inch-diameter, 1,900-foot-long PVC pipe; (5) an 8-foot-diameter, 20-foot-high surge tank; (6) a 16-inch-diameter, 2,125-foot-long penstock; (7) a powerhouse with a 125-kilowatt turbine-generator unit; (8) a 5- to 7-foot-wide, 30-foot-long tailrace; (9) a 4,412-foot-long, 24.9-kV transmission line; and, (10) appurtenant facilities. The project is estimated to generate an average of 325,000 kilowatt-hours annually.

m. A copy of the application is available for review at the Commission in the Public Reference Room or may be viewed on the Commission's Web site at <http://www.ferc.gov> using the "eLibrary" link. Enter the docket number excluding the last three digits in the docket number field to access the document. For assistance, contact FERC Online Support. A copy is also available for inspection and reproduction at the address in item h above.

Register online at <http://www.ferc.gov/docs-filing/esubscription.asp> to be notified via email of new filings and issuances related to this or other pending projects. For assistance, contact FERC Online Support.

n. Anyone may submit comments, a protest, or a motion to intervene in accordance with the requirements of Rules of Practice and Procedure, 18 CFR 385.210, .211, and .214. In determining the appropriate action to take, the

Commission will consider all protests or other comments filed, but only those who file a motion to intervene in accordance with the Commission's Rules may become a party to the proceeding. Any comments, protests, or motions to intervene must be received on or before the specified comment date for the particular application.

All filings must (1) bear in all capital letters the title PROTEST, MOTION TO INTERVENE, COMMENTS, REPLY COMMENTS, RECOMMENDATIONS, PRELIMINARY TERMS AND CONDITIONS, or PRELIMINARY FISHWAY PRESCRIPTIONS; (2) set forth in the heading the name of the applicant and the project number of the application to which the filing responds; (3) furnish the name, address, and telephone number of the person protesting or intervening; and (4) otherwise comply with the requirements of 18 CFR 385.2001 through 385.2005. All comments, recommendations, terms and conditions or prescriptions must set forth their evidentiary basis and otherwise comply with the requirements of 18 CFR 4.34(b). Agencies may obtain copies of the application directly from the applicant. A copy of any protest or motion to intervene must be served upon each representative of the applicant specified in the particular application. A copy of all other filings in reference to this application must be accompanied by proof of service on all persons listed in the service list prepared by the Commission in this proceeding, in accordance with 18 CFR 4.34(b) and 385.2010.

o. A license applicant must file no later than 60 days following the date of issuance of the notice of acceptance and ready for environmental analysis provided for in 5.22: (1) A copy of the water quality certification; (2) a copy of the request for certification, including proof of the date on which the certifying agency received the request; or (3) evidence of waiver of water quality certification.

Dated: June 13, 2017.

**Kimberly D. Bose,**

Secretary.

[FR Doc. 2017-12667 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. OR17-13-000]

#### GT Pipeline, LLC; Notice of Petition for Declaratory Order

Take notice that on June 12, 2017, pursuant to Rule 207(a)(2) of the Federal Energy Regulatory Commission's (Commission) Rules of Practice and Procedure, 18 CFR 385.207(a)(2) (2016), GT Pipeline, LLC (GT Pipeline), filed a petition requesting an order declaring that all elements of GT Pipeline's proposed new interstate refined petroleum products pipeline project, the Sabine Bayou Line, are lawful under the statutory requirements of the Interstate Commerce Act, as more fully explained in the petition.

Any person desiring to intervene or to protest this filing must file in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211, 385.214). Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a notice of intervention or motion to intervene, as appropriate. Such notices, motions, or protests must be filed on or before the comment date. Anyone filing a motion to intervene or protest must serve a copy of that document on the Petitioner.

The Commission encourages electronic submission of protests and interventions in lieu of paper using the eFiling link at <http://www.ferc.gov>. Persons unable to file electronically should submit an original and 5 copies of the protest or intervention to the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426.

This filing is accessible on-line at <http://www.ferc.gov>, using the eLibrary link and is available for review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

*Comment Date:* 5:00 p.m. Eastern time on June 28, 2017.

Dated: June 13, 2017.

**Kimberly D. Bose,**  
*Secretary.*

[FR Doc. 2017-12666 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. ER17-1774-000]

#### NextEra Energy Bluff Point, LLC; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization

This is a supplemental notice in the above-referenced proceeding of NextEra Energy Bluff Point, LLC's application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard to the applicant's request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability, is June 28, 2017.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at <http://www.ferc.gov>. To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 5 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for

electronic review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov). or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 8, 2017.

**Kimberly D. Bose,**  
*Secretary.*

[FR Doc. 2017-12678 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. ER17-1790-000]

#### United Energy Trading, LLC; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization

This is a supplemental notice in the above-referenced proceeding United Energy Trading, LLC's application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard to the applicant's request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability, is July 3, 2017.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at <http://www.ferc.gov>. To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 5 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for electronic review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov). or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 13, 2017.

**Kimberly D. Bose,**  
*Secretary.*

[FR Doc. 2017-12664 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. RM98-1-000]

#### Records Governing Off-the-Record Communications

##### Public Notice

This constitutes notice, in accordance with 18 CFR 385.2201(b), of the receipt of prohibited and exempt off-the-record communications.

Order No. 607 (64 FR 51222, September 22, 1999) requires Commission decisional employees, who make or receive a prohibited or exempt off-the-record communication relevant to the merits of a contested proceeding, to deliver to the Secretary of the Commission, a copy of the communication, if written, or a summary of the substance of any oral communication.

Prohibited communications are included in a public, non-decisional file associated with, but not a part of, the decisional record of the proceeding. Unless the Commission determines that the prohibited communication and any responses thereto should become a part of the decisional record, the prohibited off-the-record communication will not be considered by the Commission in reaching its decision. Parties to a proceeding may seek the opportunity to respond to any facts or contentions made in a prohibited off-the-record

communication, and may request that the Commission place the prohibited communication and responses thereto in the decisional record. The Commission will grant such a request only when it determines that fairness so requires. Any person identified below as having made a prohibited off-the-record communication shall serve the document on all parties listed on the official service list for the applicable proceeding in accordance with Rule 2010, 18 CFR 385.2010.

Exempt off-the-record communications are included in the decisional record of the proceeding, unless the communication was with a cooperating agency as described by 40 CFR 1501.6, made under 18 CFR 385.2201(e) (1) (v).

The following is a list of off-the-record communications recently received by the Secretary of the Commission. The communications listed are grouped by docket numbers in ascending order. These filings are

available for electronic review at the Commission in the Public Reference Room or may be viewed on the Commission's Web site at <http://www.ferc.gov> using the eLibrary link. Enter the docket number, excluding the last three digits, in the docket number field to access the document. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or toll free at (866) 208-3676, or for TTY, contact (202) 502-8659.

Docket No.	File date	Presenter or requester
Prohibited:		
1. CP15-558-000 .....	5-19-2017	Medical Society of New Jersey.
2. CP15-93-000 .....	6-2-2017	John H. Klein.
3. CP15-554-000 .....	6-8-2017	Anne S. Bryan.
Exempt:		
1. P-1256-031 .....	6-1-2017	U.S. House Representative Adrian Smith.
2. CP17-40-000 .....	6-2-2017	FERC Staff. <sup>1</sup>
3. CP17-40-000 .....	6-2-2017	FERC Staff. <sup>2</sup>
4. CP15-554-001 .....	6-8-2017	U.S. House Representative Bob Goodlatte.

<sup>1</sup> Telephone Conversation Memo dated April 26, 2017 reporting teleconference with Federal and State Representatives.

<sup>2</sup> Telephone Conversation Memo dated May 25, 2017 reporting teleconference with Federal and State Representatives.

Dated: June 13, 2017.  
**Kimberly D. Bose,**  
*Secretary.*  
 [FR Doc. 2017-12670 Filed 6-16-17; 8:45 am]  
**BILLING CODE 6717-01-P**

**DEPARTMENT OF ENERGY**

**Federal Energy Regulatory Commission**

[Docket No. ER17-1785-000]

**Coachella Wind, LLC; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization**

This is a supplemental notice in the above-referenced proceeding of Coachella Wind, LLC's application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard to the applicant's request for blanket authorization, under 18 CFR part 34, of

future issuances of securities and assumptions of liability, is July 3, 2017.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at <http://www.ferc.gov>. To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 5 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for electronic review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 13, 2017.  
**Kimberly D. Bose,**  
*Secretary.*  
 [FR Doc. 2017-12663 Filed 6-16-17; 8:45 am]  
**BILLING CODE 6717-01-P**

**DEPARTMENT OF ENERGY**

**Federal Energy Regulatory Commission**

**Combined Notice of Filings #1**

Take notice that the Commission received the following electric rate filings:

- Docket Numbers:* ER15-2013-004; ER15-2020-003.
- Applicants:* Talen Energy Marketing, LLC, Talen Montana, LLC.
- Description:* Supplement to December 22, 2016 Triennial Market Rate Based Update for Northwest Region of Talen Energy Marketing, LLC, et al.
- Filed Date:* 6/12/17.
- Accession Number:* 20170612-5151.
- Comments Due:* 5 p.m. ET 7/3/17.
- Docket Numbers:* ER17-1061-001.
- Applicants:* Midcontinent Independent System Operator, Inc.
- Description:* Tariff Amendment: 2017-06-12 Deficiency response re Pseudo-Tie Agreement Filing to be effective 3/15/2017.
- Filed Date:* 6/12/17.
- Accession Number:* 20170612-5126.
- Comments Due:* 5 p.m. ET 7/3/17.
- Docket Numbers:* ER17-1532-001.
- Applicants:* Golden Spread Electric Cooperative, Inc.

*Description:* Tariff Amendment: Clarification to Amended and Restated WPC to be effective 5/3/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5064.

*Comments Due:* 5 p.m. ET 7/3/17.

*Docket Numbers:* ER17-1800-000.

*Applicants:* Northern States Power Company, a Minnesota corporation.

*Description:* § 205(d) Rate Filing: South Dakota Rev TSA-385-0.0.0 to be effective 1/1/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5137.

*Comments Due:* 5 p.m. ET 7/3/17.

*Docket Numbers:* ER17-1801-000.

*Applicants:* American Transmission Systems, Incorporated, Mid-Atlantic Interstate Transmission, LLC, PJM Interconnection, L.L.C.

*Description:* § 205(d) Rate Filing: ATSI and MAIT submit Seven Engineering and Construction Services Agreements to be effective 8/12/2017.

*Filed Date:* 6/13/17.

*Accession Number:* 20170613-5019.

*Comments Due:* 5 p.m. ET 7/5/17.

*Docket Numbers:* ER17-1802-000.

*Applicants:* Fowler Ridge II Wind Farm LLC.

*Description:* § 205(d) Rate Filing: Revised Market-Based Rate Tariff to be effective 8/12/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5177.

*Comments Due:* 5 p.m. ET 7/3/17.

The filings are accessible in the Commission's eLibrary system by clicking on the links or querying the docket number.

Any person desiring to intervene or protest in any of the above proceedings must file in accordance with Rules 211 and 214 of the Commission's Regulations (18 CFR 385.211 and 385.214) on or before 5:00 p.m. Eastern time on the specified comment date. Protests may be considered, but intervention is necessary to become a party to the proceeding.

eFiling is encouraged. More detailed information relating to filing requirements, interventions, protests, service, and qualifying facilities filings can be found at: <http://www.ferc.gov/docs-filing/efiling/filing-req.pdf>. For other information, call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 13, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12660 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

#### Notice of Commission Staff Attendance

The Federal Energy Regulatory Commission (Commission) hereby gives notice that members of the Commission's staff may attend the following meetings related to the transmission planning activities of Tucson Electric Power Company, UNS Electric, Inc., Public Service Company of New Mexico, Arizona Public Service Company, El Paso Electric Company, Black Hills Power, Inc., Black Hills Colorado Electric Utility Company, LP, Cheyenne Light, Fuel, & Power Company, NV Energy, Inc.; and Xcel Energy Services, Inc. on behalf of Public Service Company of Colorado:

Planning Management Committee Meeting, June 21, 2017, 9 a.m.–3 p.m. (MDT)

Planning Management Committee Meeting, July 17, 2017, 9 a.m.–3 p.m. (MDT)

The June 21, 2017 Planning Management Committee Meeting will be held at: Xcel Energy, 1800 Larimer St., Denver, CO 80202.

The July 17, 2017 Planning Management Committee Meeting will be held at: 111 N. Hope St., Los Angeles, CA 90012.

The above-referenced meetings will be available via web conference and teleconference.

The above-referenced meetings are open to stakeholders.

Further information may be found at <http://www.westconnect.com/>.

The discussions at the meetings described above may address matters at issue in the following proceeding:

ER13-75, *Public Service Company of New Mexico; El Paso Electric Company*

For more information contact Nicole Cramer, Office of Energy Market Regulation, Federal Energy Regulatory Commission at (202) 502-6775 or [nicole.cramer@ferc.gov](mailto:nicole.cramer@ferc.gov).

Dated: June 8, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12679 Filed 6-16-17; 8:45 am]

BILLING CODE 6717-01-P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

#### Combined Notice of Filings #1

Take notice that the Commission received the following electric rate filings:

*Docket Numbers:* ER10-2290-005.

*Applicants:* Avista Corporation.

*Description:* Third Amendment to June 30, 2016 Triennial Market Power Update for the Northwest Region of Avista Corporation.

*Filed Date:* 6/7/17.

*Accession Number:* 20170607-5177.

*Comments Due:* 5 p.m. ET 6/28/17.

*Docket Numbers:* ER16-2703-003.  
*Applicants:* Deerfield Wind Energy, LLC.

*Description:* Notice of Non-Material Change in Status of Deerfield Wind Energy, LLC.

*Filed Date:* 6/7/17.

*Accession Number:* 20170607-5175.

*Comments Due:* 5 p.m. ET 6/28/17.

*Docket Numbers:* ER17-1515-001.  
*Applicants:* Midcontinent Independent System Operator, Inc.

*Description:* Tariff Amendment: 2017-06-07 Amendment to filing to revise MRES Att O inc. RTO Adder Request to be effective 7/1/2017.

*Filed Date:* 6/7/17.

*Accession Number:* 20170607-5154.

*Comments Due:* 5 p.m. ET 6/28/17.

*Docket Numbers:* ER17-1771-000.  
*Applicants:* Duke Energy Progress, LLC.

*Description:* § 205(d) Rate Filing: DEP-Winterville RS No. 178 Revised PPA to be effective 7/1/2017.

*Filed Date:* 6/7/17.

*Accession Number:* 20170607-5123.

*Comments Due:* 5 p.m. ET 6/28/17.

*Docket Numbers:* ER17-1772-000.  
*Applicants:* Mid-Atlantic Interstate Transmission, LLC, PJM Interconnection, L.L.C.

*Description:* § 205(d) Rate Filing: MAIT submits Revised Operating and Interconnection Agreement SA No. 4578 to be effective 6/1/2017.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608-5040.

*Comments Due:* 5 p.m. ET 6/29/17.

*Docket Numbers:* ER17-1773-000.  
*Applicants:* American Transmission Systems, Incorporated, PJM Interconnection, L.L.C.

*Description:* § 205(d) Rate Filing: ATSI submits revised Service Agreement Nos. 3992 and 3993 to be effective 7/1/2017.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608-5045.

*Comments Due:* 5 p.m. ET 6/29/17.

The filings are accessible in the Commission's eLibrary system by clicking on the links or querying the docket number.

Any person desiring to intervene or protest in any of the above proceedings must file in accordance with Rules 211 and 214 of the Commission's Regulations (18 CFR 385.211 and 385.214) on or before 5:00 p.m. Eastern time on the specified comment date. Protests may be considered, but intervention is necessary to become a party to the proceeding.

eFiling is encouraged. More detailed information relating to filing requirements, interventions, protests, service, and qualifying facilities filings can be found at: <http://www.ferc.gov/docs-filing/efiling/filing-req.pdf>. For other information, call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 8, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12680 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

#### Combined Notice of Filings #2

Take notice that the Commission received the following electric rate filings:

*Docket Numbers:* ER10-1790-015; ER10-2596-006; ER10-2597-004; ER12-2200-003.

*Applicants:* BP Energy Company, Fowler Ridge II Wind Farm LLC, Fowler Ridge III Wind Farm LLC, Mehoopany Wind Energy LLC.

*Description:* Updated Market Analysis for Northeast Region of BP Energy Company, et al.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5089.

*Comments Due:* 5 p.m. ET 8/11/17.

*Docket Numbers:* ER17-1532-001.

*Applicants:* Golden Spread Electric Cooperative, Inc.

*Description:* Tariff Amendment: Clarification to Amended and Restated WPC to be effective 5/3/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5064.

*Comments Due:* 5 p.m. ET 7/3/17.

*Docket Numbers:* ER17-1798-000.

*Applicants:* Fowler Ridge III Wind Farm LLC.

*Description:* § 205(d) Rate Filing: Revised Market-Based Rate Tariff to be effective 8/12/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5117.

*Comments Due:* 5 p.m. ET 7/3/17.

*Docket Numbers:* ER17-1799-000.

*Applicants:* Mehoopany Wind Energy LLC.

*Description:* § 205(d) Rate Filing: Revised Market-Based Rate Tariff to be effective 8/12/2017.

*Filed Date:* 6/12/17.

*Accession Number:* 20170612-5124.

*Comments Due:* 5 p.m. ET 7/3/17.

The filings are accessible in the Commission's eLibrary system by clicking on the links or querying the docket number.

Any person desiring to intervene or protest in any of the above proceedings must file in accordance with Rules 211 and 214 of the Commission's Regulations (18 CFR 385.211 and 385.214) on or before 5:00 p.m. Eastern time on the specified comment date. Protests may be considered, but intervention is necessary to become a party to the proceeding.

eFiling is encouraged. More detailed information relating to filing requirements, interventions, protests, service, and qualifying facilities filings can be found at: <http://www.ferc.gov/docs-filing/efiling/filing-req.pdf>. For other information, call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Dated: June 12, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12673 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. CP17-22-000]

#### Kinder Morgan Louisiana Pipeline, LLC; Notice of Schedule for Environmental Review of the Sabine Pass Expansion Project

On December 13, 2016, Kinder Morgan Louisiana Pipeline, LLC (Kinder Morgan) filed an application in Docket No. CP17-22-000 requesting a Certificate of Public Convenience and Necessity pursuant to Section 7(b) and 7(c) of the Natural Gas Act to construct and operate certain natural gas pipeline facilities. The proposed project is known as the Sabine Pass Expansion Project (Project), and would allow Kinder Morgan to provide firm incremental transportation service of up to 600 million cubic feet per day of natural gas to the existing Sabine Pass Liquefaction Facility, that is currently

under expansion in Cameron Parish, Louisiana.

On December 21, 2016 the Federal Energy Regulatory Commission (Commission or FERC) issued its Notice of Application for the Project. Among other things, that notice alerted agencies issuing federal authorizations of the requirement to complete all necessary reviews and to reach a final decision on a request for a federal authorization within 90 days of the date of issuance of the Commission staff's Environmental Assessment (EA) for the Project. This instant notice identifies the FERC staff's planned schedule for the completion of the EA for the Project.

#### Schedule for Environmental Review

*Issuance of EA:* July 28, 2017.

*90-day Federal Authorization*

*Decision Deadline:* October 26, 2017.

If a schedule change becomes necessary, additional notice will be provided so that the relevant agencies are kept informed of the Project's progress.

#### Project Description

*Kinder Morgan proposes to construct and operate the Sabine Pass Expansion Project in Louisiana which consists of the following:* (1) Modifications to four existing meter stations (Columbia Gulf Transmission, LLC; Texas Gas Transmission, LLC; ANR Pipeline Company; and Pine Prairie Energy Center) in Evangeline and Acadia Parishes; (2) construction of one new 36-inch-diameter delivery interconnect consisting of a 36-inch-diameter tap and appurtenances and 1,200 feet of 36-inch-diameter lateral at the Sabine Pass Liquefaction Facility in Cameron Parish; (3) installation of three additional 15,900 horsepower compressor units at Kinder Morgan's previously approved Compressor Station 760 in Acadia Parish;<sup>1</sup> (4) construction of 6,400 feet of 36-inch-diameter and 700 feet of 24-inch-diameter header pipelines in Acadia Parish; and (5) replacement of a meter and increase in capacity from 200 to 650 million cubic feet per day at the existing Pine Prairie Meter Station in Acadia Parish. The proposed facilities would provide north-to-south transportation on Kinder Morgan's system.

Kinder Morgan proposes to begin construction of the Project by April 2018 and to place the facilities in service by April 1, 2019.

<sup>1</sup> The compressor station has not yet been constructed, but was approved by Commission Order dated April 15, 2016 as part of the Lake Charles Expansion Project in Docket No. CP14-511-000.

## Background

On January 24, 2017, the Commission issued a *Notice of Intent to Prepare an Environmental Assessment for the Proposed Sabine Pass Expansion Project and Request for Comments on Environmental Issues* (NOI). The NOI was sent to affected landowners; federal, state, and local government agencies; elected officials; Native American tribes; other interested parties; and local libraries and newspapers. In response to the NOI, the Commission received a comment from a stakeholder stating the U.S. Army Corps of Engineers permits and authorizations that would be necessary for the various Project components. The Commission also received a comment letter from the Louisiana Department of Wildlife and Fisheries stating the Project would have minimal or no long-term adverse impacts on wetland functions and a comment from the Choctaw Nation of Oklahoma requesting to receive a copy of the EA and the cultural resources survey.

## Additional Information

In order to receive notification of the issuance of the EA and to keep track of all formal issuances and submittals in specific dockets, the Commission offers a free service called eSubscription. This can reduce the amount of time you spend researching proceedings by automatically providing you with notification of these filings, document summaries, and direct links to the documents. Go to [www.ferc.gov/docs-filing/esubscription.asp](http://www.ferc.gov/docs-filing/esubscription.asp).

Additional information about the Project is available from the Commission's Office of External Affairs at (866) 208-FERC or on the FERC Web site ([www.ferc.gov](http://www.ferc.gov)). Using the eLibrary link, select General Search from the eLibrary menu, enter the selected date range and Docket Number excluding the last three digits (*i.e.*, CP17-22), and follow the instructions. For assistance with access to eLibrary, the helpline can be reached at (866) 208-3676, TTY (202) 502-8659, or at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov). The eLibrary link on the FERC Web site also provides access to the texts of formal documents issued by the Commission, such as orders, notices, and rule makings.

Dated: June 8, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12677 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Project No. 14568-002]

### CB Energy Park, LLC; Notice of Preliminary Permit Application Accepted For Filing And Soliciting Comments, Motions To Intervene, And Competing Applications

On June 1, 2017, CB Energy Park, LLC filed an application for a preliminary permit, pursuant to section 4(f) of the Federal Power Act, proposing to study the feasibility of the Coffin Butte Pumped Storage Project (project) to be located near Two Dot in Wheatland and Meagher Counties, Montana. The sole purpose of a preliminary permit, if issued, is to grant the permit holder priority to file a license application during the permit term. A preliminary permit does not authorize the permit holder to perform any land-disturbing activities or otherwise enter upon lands or waters owned by others without the owners' express permission.

The proposed project would consist of the following:

#### Lower Reservoir

(1) A 5,000-foot-long, 50-foot-high earth and roller compacted concrete embankment; (2) a 50-acre lower reservoir with a storage capacity of 2,500-acre-foot at an elevation of 5,200 feet; (3) a temporary pump and pipeline to bring initial fill water to the lower reservoir from Martinsdale Reservoir; (4) a new well; (5) a powerhouse containing two Ternary 125-megawatt (MW) turbine/generator units, for a total installed capacity of 250 MW; and (6) an approximately 10-mile-long, 230-kilovolt transmission line connecting to the proposed Gordon Butte substation.

#### Upper Reservoir

(1) A 4,600-foot-long, 50-foot-high earth and roller compacted concrete embankment; (2) a 50-acre upper reservoir with a storage capacity of 2,500-acre-foot at an elevation of 6,240 feet; (3) a 12-foot-diameter, 4,000-foot-long steel-lined tunnel connecting the two reservoirs; and (4) appurtenant facilities.

The estimated annual generation of the project would be 880,000 megawatt-hours.

*Applicant Contact:* Carl Borgquist, CB Energy Park, LLC, 209 South Wilson Avenue, P.O. Box 309, Bozeman, MT 59771, phone: (406) 585-3006; Martin J. Weber, P.E., Stanley Consultants, Inc., 5775 Wayzata Blvd., No. 300, Minneapolis, MN 55416, phone: (952)

546-3669; or Steve Padula, McMillen Jacobs and Associates, 500 Broadway Street, Suite 606, Vancouver, WA 98660, phone: (360) 576-3579.

*FERC Contact:* Kim Nguyen, (202) 502-6105.

Deadline for filing comments, motions to intervene, competing applications (without notices of intent), or notices of intent to file competing applications: 60 days from the issuance of this notice. Competing applications and notices of intent must meet the requirements of 18 CFR 4.36.

The Commission strongly encourages electronic filing. Please file comments, motions to intervene, notices of intent, and competing applications using the Commission's eFiling system at <http://www.ferc.gov/docs-filing/efiling.asp>. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at <http://www.ferc.gov/docs-filing/ecomment.asp>. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), (866) 208-3676 (toll free), or (202) 502-8659 (TTY). In lieu of electronic filing, please send a paper copy to: Secretary, Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426. The first page of any filing should include docket number P-14568-002.

More information about this project, including a copy of the application, can be viewed or printed on the "eLibrary" link of Commission's Web site at <http://www.ferc.gov/docs-filing/elibrary.asp>. Enter the docket number (P-14568) in the docket number field to access the document. For assistance, contact FERC Online Support.

Dated: June 13, 2017.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2017-12669 Filed 6-16-17; 8:45 am]

**BILLING CODE 6717-01-P**

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

### Supplemental Notice of Technical Conference

	Docket Nos.
Developments in Natural Gas Index Liquidity and Transparency.	AD17-12-000
Price Discovery in Natural Gas and Electric Markets.	PL03-3-000
Natural Gas Price Formation	AD03-7-000
ISO New England Inc .....	ER17-795-000
	ER17-795-001

	Docket Nos.
Kinetica Energy Express, LLC.	RP16-1299-000 RP16-1299-001 RP16-1299-002
New York Independent System Operator, Inc.	ER17-386-001 ER17-386-002

As announced in the Notice issued May 10, 2017,<sup>1</sup> Federal Energy Regulatory Commission (Commission) staff will hold a technical conference on Thursday June 29, 2017 from 9:00 a.m. to 5:30 p.m. to discuss the state of liquidity and transparency in the physical natural gas markets. The agenda and list of panel participants for this conference are attached. The conference is free of charge and open to the public. Commission members may participate in the conference.

If they have not already done so, those who plan to attend the technical conference are strongly encouraged to complete the registration form located at: <https://www.ferc.gov/whats-new/registration/06-29-17-form.asp>. The dress code for the conference will be business casual.

The technical conference will be transcribed. Transcripts will be available from Ace Reporting Company and may be purchased online at [www.acefederal.com](http://www.acefederal.com), or by phone at (202) 347-3700. In addition, there will be a free webcast of the conference. The webcast will allow persons to listen, but not participate, and will be accessible at [www.ferc.gov](http://www.ferc.gov) Calendar of Events. The Capitol Connection provides technical support for the webcast and offers the option of listening to the technical conference via phone-bridge for a fee; visit [www.CapitolConnection.org](http://www.CapitolConnection.org) or call (703) 993-3100 with any webcast questions.

Commission conferences are accessible under section 508 of the Rehabilitation Act of 1973. For accessibility accommodations, please send an email to [accessibility@ferc.gov](mailto:accessibility@ferc.gov) or call toll free 1-866-208-3372 (voice) or 202-208-1659 (TTY), or send a FAX to 202-208-2106 with the required accommodations.

For more information about the technical conference, please contact: Sarah McKinley (Logistics), Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, (202) 502-8368, [Sarah.Mckinley@ferc.gov](mailto:Sarah.Mckinley@ferc.gov). Eric Primosch (Technical), Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC

<sup>1</sup> Developments in Natural Gas Index Liquidity and Transparency, Docket No. AD17-12-000 (May 10, 2017) (Notice of Technical Conference) (<https://elibrary.ferc.gov/IDMWS/common/opennat.asp?fileID=14586688>).

20426, (202) 502-6483, [Eric.Primosch@ferc.gov](mailto:Eric.Primosch@ferc.gov). Omar Bustami (Legal), Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426, (202) 502-6214, [Omar.Bustami@ferc.gov](mailto:Omar.Bustami@ferc.gov).

Dated: June 13, 2017

**Kimberly D. Bose,**  
*Secretary.*

### Technical Conference on Developments in Natural Gas Index Liquidity and Transparency

Docket No. AD17-12-000

June 29, 2017

#### Agenda

The purpose of the staff-led Technical Conference on Developments in Natural Gas Index Liquidity and Transparency is to solicit feedback and develop a record regarding index robustness and to discuss what, if anything, the industry and/or the Commission could do to increase transparency and support greater robustness in natural gas price formation. The technical conference will examine: (1) The current state of natural gas index liquidity and voluntary reporting to index developers; (2) the use of natural gas indices over time; and (3) possible actions that the industry and/or the Commission could take to increase transparency and support greater robustness in natural gas price formation.

9:00 a.m.–9:15 a.m. Welcome and Opening Remarks

9:15 a.m.–9:45 a.m. Natural Gas Index Presentation (Commission Staff)

Staff will present an overview of natural gas transactions using FERC Form No. 552 data. The presentation will review trends in next-day and next-month transactions, the number of companies that report to index developers, and the volume of fixed-priced transactions that contribute to natural gas indices. Staff will also present an overview of natural gas indices referenced in jurisdictional tariffs.

9:45 a.m.–12:00 p.m. Panel 1: Robustness and Liquidity of Natural Gas Indices

Most price indices are supplied as a commercial service by publishers of daily, weekly, or monthly newsletters. Price indices play a pivotal role in natural gas market price formation, and are commonly referenced in physical and financial transactions. This panel will examine the robustness and liquidity of natural gas indices, the degree of industry reliance on index-

based contracts rather than fixed-price contracts, the decline in fixed-price reporting to index developers, and whether natural gas indices accurately reflect market conditions.

Panelists are encouraged to respond to the following:

1. Describe the current trends in natural gas fixed-price and physical basis trading that you believe positively or negatively impact price formation in the natural gas market, detailing any observable shifts in liquidity. Are there differences in market fundamentals, procedures, or policies which disproportionately impact either overall or regional liquidity?

2. How have the volume and quality of next-day and next-month fixed-price and physical basis transaction reporting changed? In addition, describe any changes in other information used to form natural gas indices. Are there market, regulatory, or other factors that discourage reporting? If so, are there ways to incent reporting?

3. For indices published by index developers and referenced in FERC jurisdictional tariffs, the Commission requires index developers to comply with five standards: (1) Code of conduct and confidentiality; (2) completeness; (3) data verification, error correction, and monitoring; (4) verifiability; and (5) availability and accessibility.<sup>2</sup> How have index developers' methodologies and practices changed since these standards were developed? Are the standards established in 2003 still relevant and sufficient to allow for healthy and robust natural gas price formation in today's environment?

4. Is there a need for additional transparency regarding natural gas index price assessments and the level of liquidity underlying each natural gas index published by index developers? Should common minimum liquidity thresholds be defined? If so, who should define them, and what should be the mechanism for accomplishing this? For example, should index developers provide information about which indices are illiquid? What kind of coordination would be necessary, and what kind of information would be shared, and with whom, when a given natural gas price index is deemed illiquid?

#### Panelists

- Mark Callahan, Editorial Director for Platts North America, S&P Global
- J.C. Kneale, Vice President—North American Natural Gas, Power & NGL Markets InterContinental Exchange

<sup>2</sup> Policy Statement on Natural Gas and Electric Price Indices, 104 FERC ¶ 61,121, at P 33 (2003).



- Euan Craik, Chief Executive Officer, Argus Media
- Tom Haywood, Editor—Natural Gas Week, Energy Intelligence
- Dexter Steis, Executive Publisher, Natural Gas Intelligence
- Vince Kaminski, Professor in Practice of Energy, Rice University
- Orlando Alvarez, President and CEO, BP Energy Company
- Edward Fortunato, Managing Director of Analytics for Constellation Energy, Exelon Corporation

12:00 p.m.–1:00 p.m. Break

1:00 p.m.–3:30 p.m. Panel 2: Role of Natural Gas Indices in Price Formation

Natural gas indices are used by industry for a variety of purposes, such as settling bilateral contracts of varying terms, basis swap futures, index swap futures, swing swap futures, and calendar and basis spreads. Natural gas indices also are used in FERC jurisdictional interstate natural gas pipeline and wholesale electric transmission tariffs for various purposes. For example, indices are used in many interstate natural gas pipeline tariffs to settle imbalances or determine penalties. In addition, State Commissions use indices as benchmarks in reviewing the prudence of natural gas purchases by local distribution companies. Finally, some Regional Transmission Organizations and Independent System Operators (RTOs/ISOs) rely on natural gas indices to develop reference levels for market power mitigation. Given the prevalence of indices in the natural gas and electric industries, indices must be robust and have the confidence of market participants for such markets to function properly and efficiently.

Panelists are encouraged to respond to the following:

1. Describe current industry uses of physical natural gas price indices. Are natural gas price indices sufficiently reflecting the locational value of natural gas to permit decision-making by those with an interest in the value of natural gas such as: End users, producers, marketers, and other buyers and sellers?

2. Are there improvements that should be made to increase the likelihood that natural gas indices will reflect the market value at particular locations? For example, could index publishers provide increased transparency when there are insufficient transactions to formulate an index price? What additional information could signal that market activity is sufficiently robust to create accurate prices?

3. For RTOs/ISOs that rely on natural gas indices to develop reference levels

for market power mitigation, do you have concerns about the robustness or liquidity of the natural gas indices used in your tariffs? If so, please explain why.

4. Recognizing that the use of natural gas indices in FERC jurisdictional tariffs is different from their use in commercial transactions, the Commission established liquidity thresholds for indices referenced in jurisdictional tariffs.<sup>3</sup> Do these thresholds accurately capture minimum liquidity thresholds over an appropriate time period? Should the liquidity of indices referenced in FERC jurisdictional tariffs be reassessed periodically, and if so, who should assess it, and what should be the mechanism for accomplishing this? What kind of coordination would be necessary, and what kind of information should be shared and with whom, should a given index be deemed illiquid?

Panelists

- Paul Greenwood, Vice President—Americas, Africa, and Asia Pacific New Markets for ExxonMobil, Natural Gas Supply Association Representative
- Pallas LeeVanSchaik, External Market Monitor, Potomac Economics
- Guillermo Bautista Alderete, Director—Market Analysis and Forecasting, California ISO
- Christopher Hamlen, Regulatory Counsel, ISO—NE
- George Wayne, Director of Account Services for the Western Pipelines, Kinder Morgan
- Edward Fortunato, Managing Director of Analytics for Constellation Energy, Exelon Corporation
- Corey Grindal, Senior Vice President—Gas Supply, Cheniere Energy
- David Louw, Division Director—Risk Management and Compliance, Macquarie Energy
- Donnie Sharp, Senior Natural Gas Supply Coordinator for Huntsville Utilities, American Public Gas Association Representative
- Lee Bennett, Manager, Pricing and Business Analysis for Transcanada, on behalf of Interstate Natural Gas Association of America Representative
- Susan Bergles, Assistant General Counsel, American Gas Association

<sup>3</sup> *Price Discovery in Natural Gas and Electric Markets*, 109 FERC ¶ 61,184 at P60 (2004).

3:30 p.m.–3:45 p.m. Break

3:45 p.m.–5:25 p.m. Panel 3: Options To Increase Transparency and Liquidity of Natural Gas Indices

Should action be taken to foster more meaningful, reliable, and transparent price information in natural gas markets? What changes may be necessary to incent voluntary price reporting and improve the accuracy, reliability, and transparency of natural gas price indices? Discuss the degree to which the level of voluntary reporting and other developments within the commercial service model of natural gas index development impact the robustness of natural gas indices.

Panelists are encouraged to respond to the following:

1. Is there a need to develop industry wide liquidity thresholds? While the Commission maintains certain liquidity thresholds for indices referenced in jurisdictional tariffs, should standards be developed that would apply to other uses of natural gas indices? If so, how can such standards be developed and by whom? Can this be addressed through voluntary consensus or through other regulatory processes? Are there legal, commercial, or technical impediments to doing so?

2. Should the Commission take steps to provide greater natural gas price transparency and market information, promote index developer competition, and enhance confidence in natural gas price formation through increased transparency and accessibility of natural gas index information? For example, should the Commission consider exercising its authority under section 23(a)(1) through (3) of the Natural Gas Act to require market participants to report price forming transactions to index developers?

3. Is index data sufficiently available and transparent? Does the commercial service model negatively or positively impact price formation? What actions, policies, or trends have impacted price discovery? Is there additional information market participants need to ensure robust natural gas price formation? Who should provide that information? How would that information be shared?

Panelists

- Greg Leonard, Vice President, Cornerstone Research
- Orlando Alvarez, President and CEO, BP Energy Company
- Mark Callahan, Editorial Director for Platts North America, S&P Global
- J.C. Kneale, Vice President—North American Natural Gas, Power & NGL Markets InterContinental Exchange



- Vince Kaminski, Professor in Practice of Energy, Rice University
- Curtis Moffatt, Deputy General Counsel and Vice President, Kinder Morgan
- Joe Bowring, President, Monitoring Analytics
- Corey Grindal, Senior Vice President—Gas Supply, Cheniere Energy
- Tom Haywood, Editor—Natural Gas Week, Energy Intelligence
- Drew Fossum, Senior Vice President and General Counsel, Tenaska Inc.
- Joan Dreskin, Vice President and General Counsel, Interstate Natural Gas Association of America

5:25 p.m.–5:30 p.m. Closing Remarks

[FR Doc. 2017–12671 Filed 6–16–17; 8:45 am]

BILLING CODE 6717–01–P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

[Docket No. CP17–58–000]

#### Transcontinental Gas Pipe Line Company, LLC; Notice of Schedule for Environmental Review of the St. James Supply Project

On February 6, 2017, Transcontinental Gas Pipe Line Company, LLC (Transco) filed an application in Docket No. CP17–58–000 requesting a Certificate of Public Convenience and Necessity pursuant to Section 7(c) of the Natural Gas Act to construct and operate certain natural gas pipeline facilities. The proposed project is known as the St. James Supply Project (Project), and would deliver 161,500 dekatherms per day of firm transportation capacity from Transco's existing mainline Compressor Station 65 in St. Helena Parish, Louisiana to the planned Yuhuang Chemical Plant in St. James Parish, Louisiana.

On February 21, 2017, the Federal Energy Regulatory Commission (Commission or FERC) issued its Notice of Application for the Project. Among other things, that notice alerted agencies issuing federal authorizations of the requirement to complete all necessary reviews and to reach a final decision on a request for a federal authorization within 90 days of the date of issuance of the Commission staff's Environmental Assessment (EA) for the Project. This instant notice identifies the FERC staff's planned schedule for the completion of the EA for the Project.

#### Schedule for Environmental Review

Issuance of EA—July 24, 2017.

90-day Federal Authorization Decision Deadline—October 22, 2017.

If a schedule change becomes necessary, additional notice will be provided so that the relevant agencies are kept informed of the Project's progress.

#### Project Description

The St. James Supply Project would consist of 0.7 mile of 20-inch-diameter pipeline, one new pig receiver site,<sup>1</sup> a new interconnection to the planned Yuhuang Chemical Plant site, one new valve and piping to tie the Old River Road M&R Station into the existing Southeast Lateral Pipeline, and piping and valve modifications at existing Transco Compressor Stations 63 and 65.

#### Background

On March 17, 2017, the Commission issued a *Notice of Intent to Prepare an Environmental Assessment for the Proposed St. James Supply Project and Request for Comments on Environmental Issues* (NOI). The NOI was sent to affected landowners; federal, state, and local government agencies; elected officials; environmental and public interest groups; Native American tribes; other interested parties; and local libraries. In response to the NOI, the Commission received letters from the Seminole Nation of Oklahoma, the Quapaw Tribe of Oklahoma, and the Choctaw Nation of Oklahoma. No concerns about historic resources were raised.

#### Additional Information

In order to receive notification of the issuance of the EA and to keep track of all formal issuances and submittals in specific dockets, the Commission offers a free service called eSubscription. This can reduce the amount of time you spend researching proceedings by automatically providing you with notification of these filings, document summaries, and direct links to the documents. Go to [www.ferc.gov/docs-filing/esubscription.asp](http://www.ferc.gov/docs-filing/esubscription.asp).

Additional information about the Project is available from the Commission's Office of External Affairs at (866) 208–FERC or on the FERC Web site ([www.ferc.gov](http://www.ferc.gov)). Using the eLibrary link, select General Search from the eLibrary menu, enter the selected date range and Docket Number excluding the last three digits (*i.e.*, CP17–58), and follow the instructions. For assistance with access to eLibrary, the helpline can be reached at (866) 208–3676, TTY (202)

<sup>1</sup> A pig is a tool that the pipeline company inserts into and pushes through the pipeline for cleaning the pipeline, conducting internal inspections, or other purposes.

502–8659, or at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov). The eLibrary link on the FERC Web site also provides access to the texts of formal documents issued by the Commission, such as orders, notices, and rule makings.

Dated: June 12, 2017.

**Kimberly D. Bose,**  
*Secretary.*

[FR Doc. 2017–12661 Filed 6–16–17; 8:45 am]

BILLING CODE 6717–01–P

## DEPARTMENT OF ENERGY

### Federal Energy Regulatory Commission

#### Combined Notice of Filings #2

Take notice that the Commission received the following electric rate filings:

*Docket Numbers:* ER17–1774–000.

*Applicants:* NextEra Energy Bluff Point, LLC.

*Description:* Baseline eTariff Filing: NextEra Energy Bluff Point, LLC Application for Market-Based Rates to be effective 8/7/2017.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608–5077.

*Comments Due:* 5 p.m. ET 6/29/17.

*Docket Numbers:* ER17–1775–000.  
*Applicants:* Arizona Public Service Company.

*Description:* § 205(d) Rate Filing: Rate Schedule No. 265, Amendment No. 1 PV-Morgan 500kV to be effective 8/8/2016.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608–5083.

*Comments Due:* 5 p.m. ET 6/29/17.

*Docket Numbers:* ER17–1776–000.  
*Applicants:* Southwest Power Pool, Inc.

*Description:* § 205(d) Rate Filing: Bylaws 3.1 and 3.3.2 Revisions (Chair and Vice Chair Terms) to be effective 8/7/2017.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608–5096.

*Comments Due:* 5 p.m. ET 6/29/17.

*Docket Numbers:* ER17–1777–000.  
*Applicants:* Midcontinent Independent System Operator Inc., Entergy Services, Inc.

*Description:* Compliance filing: 2017–06–08 Filing to implement Entergy settlement in Docket No. ER16–227 to be effective 1/1/2016.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608–5117.

*Comments Due:* 5 p.m. ET 6/29/17.

Take notice that the Commission received the following electric reliability filings:

*Docket Numbers:* RR17–5–000.

*Applicants:* North American Electric Reliability Corporation.

*Description:* Petition of the North American Electric Reliability Corporation for Approval of Amendments to the Western Electricity Coordinating Council Regional Reliability Standards Development Procedures.

*Filed Date:* 6/8/17.

*Accession Number:* 20170608–5107.

*Comments Due:* 5 p.m. ET 6/29/17.

The filings are accessible in the Commission's eLibrary system by clicking on the links or querying the docket number.

Any person desiring to intervene or protest in any of the above proceedings must file in accordance with Rules 211 and 214 of the Commission's Regulations (18 CFR 385.211 and 385.214) on or before 5:00 p.m. Eastern time on the specified comment date. Protests may be considered, but intervention is necessary to become a party to the proceeding.

eFiling is encouraged. More detailed information relating to filing requirements, interventions, protests, service, and qualifying facilities filings can be found at: <http://www.ferc.gov/docs-filing/efiling/filing-req.pdf>. For other information, call (866) 208–3676 (toll free). For TTY, call (202) 502–8659.

Dated: June 8, 2017.

**Kimberly D. Bose,**

Secretary.

[FR Doc. 2017–12676 Filed 6–16–17; 8:45 am]

**BILLING CODE 6717–01–P**

## DEPARTMENT OF ENERGY

### Western Area Power Administration

#### Boulder Canyon Project—Rate Order No. WAPA–178

**AGENCY:** Western Area Power Administration, DOE.

**ACTION:** Notice of proposed rate-setting formulas for electric service and adjustment of fiscal year 2018 base charge and rates.

**SUMMARY:** Western Area Power Administration (WAPA) is proposing to update the rate-setting formulas for electric service for the Boulder Canyon Project (BCP) under proposed Rate Schedule BCP–F10, and adjust the annual calculation for the fiscal year (FY) 2018 base charge and rates. The expiration of the current base charge and rates on September 30, 2017, and beginning of the new 50-year marketing

period on October 1, 2018, require these actions.

The current base charge under Rate Schedule BCP–F9 is not sufficient to cover all annual costs including operation and maintenance, replacements, and interest expense; and repay investment obligations within the allowable period. After collaborating with the BCP contractors, WAPA proposes an FY 2018 base charge that includes a one-time \$15 million working capital fund primarily for the Bureau of Reclamation (Reclamation) for the new marketing period. The FY 2019 base charge is expected to decrease by \$15 million after the collection of working capital in FY 2018. The proposed base charge will provide sufficient revenue to cover all annual costs and repay investment obligations within the allowable period. WAPA will post proposed Rate Schedule BCP–F10 and a detailed rate package that identifies the reasons for the base charge and rates adjustment on its Web site during the consultation and comment period. The proposed base charge and rates are scheduled to become effective on October 1, 2017, and will remain in effect through September 30, 2018. Publication of this **Federal Register** notice initiates the formal public process to implement the proposed rate-setting formulas and the FY 2018 base charge and rates.

**DATES:** The consultation and comment period begins today and will end September 18, 2017. WAPA will present a detailed explanation of the proposed rate-setting formulas and the FY 2018 base charge and rates at a public information forum that will be held on July 19, 2017, at 10:00 a.m. Mountain Standard Time (MST) in Phoenix, Arizona. WAPA will accept oral and written comments at a public comment forum that will be held on August 18, 2017, at 10:00 a.m. MST in Phoenix, Arizona. WAPA will accept written comments any time during the consultation and comment period.

**ADDRESSES:** The public information forum and public comment forum will be held at WAPA's Desert Southwest Customer Service Regional Office located at 615 South 43rd Avenue, Phoenix, Arizona 85009. Send written comments to Mr. Ronald E. Moulton, Regional Manager, Desert Southwest Customer Service Region, Western Area Power Administration, P.O. Box 6457, Phoenix, Arizona 85005–6457, email [moulton@wapa.gov](mailto:moulton@wapa.gov). WAPA will post information about the rate process, as well as comments received via letter

and email on its Web site at: <http://www.wapa.gov/regions/DSW/Rates/Pages/boulder-canyon-rates.aspx>. Written comments must be received by the end of the consultation and comment period to be considered by WAPA in its decision process.

United States (U.S.) citizens who want to attend a forum must present an official form of picture identification (ID) such as a U.S. driver's license, U.S. passport, U.S. government ID, or U.S. military ID. Foreign nationals who want to attend a forum must contact Mr. Scott Lund, Rates Manager, at (602) 605–2442 or email [slund@wapa.gov](mailto:slund@wapa.gov) 30 days in advance of a forum to obtain the necessary clearance.

**FOR FURTHER INFORMATION CONTACT:** Mr. Scott Lund, Rates Manager, Desert Southwest Customer Service Region, Western Area Power Administration, P.O. Box 6457, Phoenix, Arizona 85005–6457, (602) 605–2442, or email [slund@wapa.gov](mailto:slund@wapa.gov).

**SUPPLEMENTARY INFORMATION:** BCP's base charge and rates for electric service are calculated annually based on formulas that are set for a five-year period. Since BCP begins a new 50-year marketing period in FY 2018, WAPA is proposing to update the rate-setting formulas effective October 1, 2017 through September 30, 2022. Proposed Rate Schedule BCP–F10 will update the existing forecast capacity rate formula to reflect BCP's current generating capacity of 2,074 megawatts. No other changes to the existing rate-setting formulas are proposed.

The proposed FY 2018 base charge and rates for BCP electric service are designed to recover an annual revenue requirement that includes operation and maintenance and replacements costs, interest expense, investment repayments, payments to states, and visitor services expenses. The total costs are offset by the projected revenue from water sales, visitor services, ancillary services, and late fees. The annual revenue requirement is the base charge for electric service divided equally between capacity and energy. The annual composite rate is the base charge divided by annual energy sales.

The proposed Rate Schedule BCP–F10 requires updated financial and hydrology data to calculate the annual base charge and rates. The proposed base charge for FY 2018 is \$85,094,786 and the proposed composite rate is 24.39 mills/kilowatt-hour. The following table compares the existing and proposed base charge and composite rate:

## COMPARISON OF EXISTING AND PROPOSED BASE CHARGE AND COMPOSITE RATE

	Existing October 1, 2016 through September 30, 2017	Proposed October 1, 2017 through September 30, 2018	Percent change
Base Charge (\$) .....	69,662,289	85,094,786	22
Composite Rate (mills/kWh) .....	19.63	24.39	24

The proposed FY 2018 base charge includes a one-time \$15 million working capital fund primarily for Reclamation, which is an increase of approximately 22 percent compared to the FY 2017 base charge. Under the BCP Electric Service Contracts and Amended and Restated Implementation Agreements, Reclamation worked collaboratively with BCP contractors to establish the \$15 million working capital fund for the new marketing period, to be collected in the FY 2018 base charge. The FY 2019 base charge is expected to decrease by \$15 million after the collection of working capital in FY 2018 (subject to Reclamation's annual working capital evaluation). The working capital fund accounts for nearly all of the increase to the base charge. Increases in annual operation and maintenance and replacement costs, and decreases in debt service, uprating credits, non-power revenue, and carryover revenue account for the remaining increase to the base charge.

The proposed FY 2018 composite rate represents an increase of approximately 24 percent compared to the FY 2017 composite rate. The increase in the proposed base charge accounts for the composite rate increase.

This proposal, to be effective October 1, 2017, is preliminary and is subject to change upon publication of the final base charge and rates.

#### Legal Authority

In establishing rate-setting formulas for electric service and the base charge and rates for BCP, WAPA will follow the formal public process set forth in 10 CFR parts 903 and 904, and review all comments it receives on the proposed base charge and rates before taking action.

WAPA is proposing this action under the Department of Energy (DOE) Organization Act (42 U.S.C. 7101-7352); the Reclamation Act of 1902 (ch. 1093, 32 Stat. 388), as amended and supplemented by subsequent enactments; and other acts that specifically apply to the project involved.

By Delegation Order No. 00-037.00B effective November 19, 2016, the Secretary of Energy delegated: (1) The

authority to develop power and transmission rates to WAPA's Administrator; (2) the authority to confirm, approve, and place such rates into effect on an interim basis to the Deputy Secretary of Energy; and (3) the authority to confirm, approve, and place into effect on a final basis, to remand, or to disapprove such rates to the Federal Energy Regulatory Commission.

#### Availability of Information

All brochures, studies, comments, letters, memorandums, or other documents WAPA initiates or uses to develop the proposed rate-setting formulas and the base charge and rates will be available for inspection and copying at the Desert Southwest Customer Service Regional Office, Western Area Power Administration, located at 615 South 43rd Avenue, Phoenix, Arizona 85009. Many of these documents and supporting information are available on WAPA's Web site at: <http://www.wapa.gov/regions/DSW/Rates/Pages/boulder-canyon-rates.aspx>.

#### Ratemaking Procedure Requirements

##### Environmental Compliance

In compliance with the National Environmental Policy Act (NEPA) of 1969, 42 U.S.C. 4321-4347; the Council on Environmental Quality Regulations for implementing NEPA (40 CFR parts 1500-1508); and DOE NEPA Implementing Procedures and Guidelines (10 CFR part 1021), WAPA is in the process of determining whether an environmental assessment or an environmental impact statement should be prepared or if this action can be categorically excluded from those requirements.

##### Determination Under Executive Order 12866

WAPA has an exemption from centralized regulatory review under Executive Order 12866; accordingly, no clearance of this notice by the Office of Management and Budget is required.

Dated: May 4, 2017.

**Mark A. Gabriel,**  
Administrator.

[FR Doc. 2017-12700 Filed 6-16-17; 8:45 am]

**BILLING CODE 6450-01-P**

#### ENVIRONMENTAL PROTECTION AGENCY

[EPA-R05-RCRA-2017-0228; FRL-9963-63-Region 5]

#### Illinois: Notice of Determination of Adequacy of Illinois' Research, Development and Demonstration (RD&D) Permit Provisions for Municipal Solid Waste Landfills (MSWLF)

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** On May 10, 2016, the Environmental Protection Agency (EPA) issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years.

On March 21, 2017, the Illinois Environmental Protection Agency (IEPA) submitted a notification to EPA Region 5 seeking Federal approval of its revised RD&D requirements per the procedures. Subject to public review and comment, this action approves Illinois' revised RD&D permit requirements.

**DATES:** This determination of adequacy of the RD&D permit program for Illinois will become effective August 18, 2017 unless adverse comments are received. If adverse comments are received, EPA will review those comments and publish another **Federal Register** document responding to those comments and either affirm or revise EPA's initial decision. Comments on this determination of adequacy must be received on or before July 19, 2017.

**ADDRESSES:** Submit your comments, identified by Docket ID No. EPA-R05-RCRA-2017-0228, to the Federal eRulemaking Portal: <https://www.regulations.gov>. Follow the online instructions for submitting comments. Once submitted, comments cannot be edited or withdrawn. The EPA may publish any comment received to its public docket. Do not submit electronically any information you consider to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute.

Multimedia submissions (audio, video, etc.) must be accompanied by a written comment. The written comment is considered the official comment and should discuss all points you wish to make. The EPA will generally not consider comments or comment contents located outside of the primary submission (*i.e.* on the Web, cloud, or other file sharing system). For additional submission methods, the full EPA public comment policy, information about CBI or multimedia submissions, and general guidance on making effective comments, please visit <https://www2.epa.gov/dockets/commenting-epa-dockets>.

**FOR FURTHER INFORMATION CONTACT:**

Carol Staniec, U.S. EPA Region 5, Land and Chemicals Division, 77 West Jackson Boulevard LM-16J, Chicago, Illinois 60604, (312) 886-1436, [staniec.carol@epa.gov](mailto:staniec.carol@epa.gov).

**SUPPLEMENTARY INFORMATION:**

**A. Background**

On March 22, 2004, EPA issued a final rule amending the MSWLF criteria in 40 CFR 258 to allow for RD&D permits (69 FR 13242). This rule allows for variances from specified criteria for a limited period of time, to be implemented through state-issued RD&D permits. RD&D permits are available only in states with approved MSWLF permit programs that have been modified to incorporate RD&D permit authority. On May 10, 2016, the EPA issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years (40 CFR 258.4).

While states are not required to incorporate this new provision, those states interested in providing RD&D permits must seek approval from EPA before issuing such permits. On January 25, 2006 Illinois received a final notice of adequacy of its RD&D permit program (71 FR 4142). On March 21, 2017, IEPA submitted a notification to EPA Region 5 seeking Federal approval of its revised RD&D requirements per the procedures in 40 CFR 239.12. Illinois' revised RD&D provisions can be found in Part 813 of the Illinois Pollution Control Board's (IPCB), Title 35: Environmental Protection Regulations, in the January 19, 2017 opinion and order of the IPCB.

**B. Decision**

EPA has made a determination that the Illinois RD&D permit provisions as set out in Part 813 of the IPCB's, Title 35: Environmental Protection Regulations, in the January 19, 2017 opinion and order of the Illinois

Pollution Control Board comply with the Federal criteria, as set forth in 40 CFR 258.4.

**Authority:** This action is issued under the authority of section 2002, 4005 and 4010 (c) of the Solid Waste Disposal Act, as amended, 40 U.S.C. 6912, 6945 and 6949(a).

Dated: May 26, 2017.

**Robert A. Kaplan,**

*Acting Regional Administrator, Region 5.*

[FR Doc. 2017-12739 Filed 6-16-17; 8:45 am]

**BILLING CODE 6560-50-P**

**ENVIRONMENTAL PROTECTION AGENCY**

[EPA-R05-RCRA-2017-0199; FRL-9963-64-Region 5]

**Minnesota: Notice of Determination of Adequacy of Minnesota's Research, Development and Demonstration (RD&D) Permit Provisions for Municipal Solid Waste Landfills (MSWLF)**

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** On May 10, 2016, EPA issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years (40 CFR 258.4).

On March 24, 2017, Minnesota Pollution Control Agency (MPCA) submitted a notification to EPA Region 5 seeking federal approval of its current RD&D permitting program (Minnesota Rules (Minn. R.) 7035.0450), which incorporates by reference the changes to 40 CFR 258.4. Subject to public review and comment, this notice approves Minnesota's RD&D permit requirements.

**DATES:** This determination of adequacy of Minnesota's RD&D permitting program will become effective August 18, 2017 unless adverse comments are received. If adverse comments are received, EPA will review those comments and publish another **Federal Register** document responding to those comments and either affirm or revise EPA's initial decision. Comments on this action must be received on or before July 19, 2017.

**ADDRESSES:** Submit your comments, identified by Docket ID No. EPA-R05-RCRA-2017-0199, to the *Federal eRulemaking Portal*: <http://www.regulations.gov>. Follow the online instructions for submitting comments. Once submitted, comments cannot be edited or withdrawn. The EPA may publish any comment received to its public docket. Do not submit

electronically any information you consider to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Multimedia submissions (audio, video, etc.) must be accompanied by a written comment. The written comment is considered the official comment and should include discussion of all points you wish to make. The EPA will generally not consider comments or comment contents located outside of the primary submission (*i.e.* on the Web, cloud, or other file sharing system). For additional submission methods, the full EPA public comment policy, information about CBI or multimedia submissions, and general guidance on making effective comments, please visit <http://www2.epa.gov/dockets/commenting-epa-dockets>.

**FOR FURTHER INFORMATION CONTACT:**

Camille Lukey, U.S. EPA Region 5, Land and Chemicals Division, 77 West Jackson Boulevard LM-16J, Chicago, Illinois 60604, (312) 886-0880, [lukey.camille@epa.gov](mailto:lukey.camille@epa.gov).

**SUPPLEMENTARY INFORMATION:**

**A. Background**

On March 22, 2004, EPA issued a final rule amending the MSWLF criteria in 40 CFR 258 to allow for RD&D permits (69 FR 13242). This rule allows for variances from specified criteria for a limited period of time, to be implemented through state-issued RD&D permits. RD&D permits are available only in states with approved MSWLF permit programs that have been modified to incorporate RD&D permit authority. On May 10, 2016, the EPA issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years (40 CFR 258.4).

While states are not required to incorporate this new provision, those states interested in providing RD&D permits must seek approval from EPA before issuing such permits. On February 15, 2005, Minnesota received approval of its RD&D permit program (Minn. R. 7035.0450). On March 24, 2017, MPCA submitted a notification to EPA Region 5 seeking Federal approval of its RD&D requirements per the procedures in 40 CFR 239.12. Minnesota's rules authorizing RD&D permits (Minn. R. 7035.0450) do not establish a specific term in years and instead incorporate by reference 40 CFR 258.4. Therefore, Minn. R. 7035.0450 automatically updates with the additional permit renewal revision in 40 CFR 258.4.

## B. Decision

EPA has made a determination that the Minnesota RD&D permit provisions as set out in Minn. R.7035.0450 comply with the Federal criteria, as set forth in 40 CFR 258.4.

**Authority:** This action is issued under the authority of section 2002, 4005 and 4010(c) of the Solid Waste Disposal Act, as amended, 40 U.S.C. 6912, 6945 and 6949(a).

Dated: May 24, 2017.

**Robert A. Kaplan,**

*Acting Regional Administrator, Region 5.*

[FR Doc. 2017-12740 Filed 6-16-17; 8:45 am]

**BILLING CODE 6560-50-P**

## ENVIRONMENTAL PROTECTION AGENCY

[EPA-R05-RCRA-2017-0198; FRL-9963-62—Region 5]

### Michigan: Notice of Determination of Adequacy of Michigan's Research, Development and Demonstration (RD&D) Permit Provisions for Municipal Solid Waste Landfills (MSWLF)

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** On May 10, 2016, EPA issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years.

On February 15, 2017, Michigan Department of Environmental Quality (MDEQ) submitted a notification to EPA Region 5 seeking Federal approval of its revised RD&D requirements. Subject to public review and comment, this document approves Michigan's revised RD&D permit requirements.

**DATES:** This determination of adequacy of the RD&D permit program for Michigan will become effective August 18, 2017 unless adverse comments are received. If adverse comments are received, EPA will review those comments and publish another **Federal Register** document responding to those comments and either affirm or revise EPA's initial decision. Comments on this determination of adequacy must be received on or before July 19, 2017.

**ADDRESSES:** Submit your comments, identified by Docket ID No. EPA-R05-RCRA-2017-0198, to the Federal eRulemaking Portal: <http://www.regulations.gov>. Follow the online instructions for submitting comments. Once submitted, comments cannot be edited or withdrawn. The EPA may publish any comment received to its public docket. Do not submit

electronically any information you consider to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Multimedia submissions (audio, video, etc.) must be accompanied by a written comment. The written comment is considered the official comment and should discuss all points you wish to make. The EPA will generally not consider comments or comment contents located outside of the primary submission (*i.e.* on the web, cloud, or other file sharing system). For additional submission methods, the full EPA public comment policy, information about CBI or multimedia submissions, and general guidance on making effective comments, please visit <http://www2.epa.gov/dockets/commenting-epa-dockets>.

#### FOR FURTHER INFORMATION CONTACT:

Cynthia Meyer, U.S. EPA Region 5, Land and Chemicals Division, 77 West Jackson Boulevard LM-16J, Chicago, Illinois 60604, (312) 886-5868, [meyer.cynthia@epa.gov](mailto:meyer.cynthia@epa.gov).

#### SUPPLEMENTARY INFORMATION:

##### A. Background

On March 22, 2004, EPA issued a final rule amending the MSWLF criteria in 40 CFR part 258 to allow for RD&D permits (69 FR 13242). This rule allows for variances from specified criteria for a limited period of time, to be implemented through state-issued RD&D permits. RD&D permits are available only in states with approved MSWLF permit programs that have been modified to incorporate RD&D permit authority. On May 10, 2016, the EPA issued a revision to the regulations allowing RD&D permits to increase the number of permit renewals allowed to six, for a total permit term of up to 21 years (40 CFR 258.4).

While states are not required to incorporate this new provision, those states interested in providing RD&D permits must seek approval from EPA before issuing such permits. On October 30, 2006, Michigan received approval of its RD&D permit program (71 FR 51614). On February 15, 2017, MDEQ submitted a notification to EPA Region 5 seeking Federal approval of its revised RD&D requirements per the procedures in 40 CFR 239.12. Michigan's revised RD&D provisions can be found in Part 115 of the Natural Resources and Environmental Protection Act as amended by 2016 PA 437.

##### B. Decision

EPA has made a determination that the Michigan RD&D permit provisions as set out in Part 115 of the Natural

Resources and Environmental Protection Act as amended by 2016 PA 437 comply with the Federal criteria, as set forth in 40 CFR 258.4.

**Authority:** This action is issued under the authority of section 2002, 4005 and 4010(c) of the Solid Waste Disposal Act, as amended, 40 U.S.C. 6912, 6945 and 6949(a).

Dated: May 29, 2017.

**Robert A. Kaplan,**

*Acting Regional Administrator, Region 5.*

[FR Doc. 2017-12733 Filed 6-16-17; 8:45 am]

**BILLING CODE 6560-50-P**

## ENVIRONMENTAL PROTECTION AGENCY

[FRL 9963-11-ORD]

### Office of Research and Development; Ambient Air Monitoring Reference and Equivalent Methods: Designation of One New Reference Method and One New Equivalent Method

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice of the designation of one new reference method and one new equivalent method for monitoring ambient air quality.

**SUMMARY:** Notice is hereby given that the Environmental Protection Agency (EPA) has designated one new reference method for measuring concentrations of carbon monoxide (CO), and one new equivalent method for measuring concentrations of nitrogen dioxide (NO<sub>2</sub>) in ambient air.

#### FOR FURTHER INFORMATION CONTACT:

Robert Vanderpool, Exposure Methods and Measurement Division (MD-D205-03), National Exposure Research Laboratory, U.S. EPA, Research Triangle Park, North Carolina 27711. Email: [Vanderpool.Robert@epa.gov](mailto:Vanderpool.Robert@epa.gov).

**SUPPLEMENTARY INFORMATION:** In accordance with regulations at 40 CFR part 53, the EPA evaluates various methods for monitoring the concentrations of those ambient air pollutants for which EPA has established National Ambient Air Quality Standards (NAAQSs) as set forth in 40 CFR part 50. Monitoring methods that are determined to meet specific requirements for adequacy are designated by the EPA as either reference or equivalent methods (as applicable), thereby permitting their use under 40 CFR part 58 by States and other agencies for determining compliance with the NAAQSs. A list of all reference or equivalent methods that have been previously designated by EPA may be found at <http://www.epa.gov/ttn/amt/criteria.html>.

The EPA hereby announces the designation of one new reference method for measuring concentrations of CO in ambient air and one new equivalent method for measuring concentrations of NO<sub>2</sub> in ambient air. These designations are made under the provisions of 40 CFR part 53, as amended on October 26, 2015 (80 FR 65291–65468).

The new reference method for CO is an automated method (analyzer) utilizing a measurement principle based on non-dispersive infrared (NDIR) analysis and is identified as follows:

RFCA–0317–244, “Kentek Mezus Model 310 Carbon Monoxide Analyzer” non-dispersive infrared (NDIR) analyzer operated in the measurement range of 0–50 ppm, with 0.5 µm, 47 mm diameter Teflon® filter installed, operated at any ambient temperatures between 20 °C and 30 °C, at nominal input line voltages of 110 VAC or 220 VAC and frequencies of 50 to 60 Hz, with temperature and pressure compensation, at a nominal sampling flow rate of 800 cc/min, and operated according to the Kentek Mezus 310 CO User’s Instruction Manual.

This application for a reference method determination for this CO method was received by the Office of Research and Development on May 25, 2016. This analyzer is commercially available from the applicant, Kentek Environmental Technology, Hanshin S Meca Room #526, 65 Techbi 3-ro, Yuseong-gu, Daejeon, Republic of Korea, 34016.

The new equivalent method for NO<sub>2</sub> is an automated method (analyzer) utilizing a measurement principle based on cavity attenuated phase shift (CAPS) spectroscopy and is identified as follows:

EQNA–0217–242, “Ecotech Serinus 60 NO<sub>2</sub> CAPS (Cavity Attenuated Phase Shift) Analyzer” operated at temperatures between 20 °C and 45 °C, a line voltage between 80V and 260V, and with or without any of the following options: Rack mounts, internal pump, internal permeation device, high pressure calibration ports, Ethernet output. The following menu choices must be selected: Control Loop—Enabled; Diagnostic Mode—Operate; Pres/Temp/Flow Compensation—Enabled; Span Compensation—Disabled, and operated according to the Serinus 60 User Manual.

This application for an equivalent method determination for this NO<sub>2</sub> method was received by the Office of Research and Development on January 11, 2017. This analyzer is commercially available from the applicant, Ecotech

Pty. Ltd., 1492 Ferntree Gully Rd., Knoxfield, Victoria, 3180, Australia.

Representative test analyzers have been tested in accordance with the applicable test procedures specified in 40 CFR part 53, as amended on October 26, 2015. After reviewing the results of those tests and other information submitted by the applicant, EPA has determined, in accordance with part 53, that these methods should be designated as a reference or equivalent method.

As a designated reference or equivalent method, these methods are acceptable for use by states and other air monitoring agencies under the requirements of 40 CFR part 58, Ambient Air Quality Surveillance. For such purposes, each method must be used in strict accordance with the operation or instruction manual associated with the method and subject to any specifications and limitations (e.g., configuration or operational settings) specified in the designated method description (see the identification of the method above).

Use of the method also should be in general accordance with the guidance and recommendations of applicable sections of the “Quality Assurance Handbook for Air Pollution Measurement Systems, Volume I,” EPA/600/R–94/038a and “Quality Assurance Handbook for Air Pollution Measurement Systems, Volume II, Ambient Air Quality Monitoring Program,” EPA–454/B–13–003, (both available at <http://www.epa.gov/ttn/amtic/qalist.html>). Provisions concerning modification of such methods by users are specified under Section 2.8 (Modifications of Methods by Users) of Appendix C to 40 CFR part 58.

Consistent or repeated noncompliance with any of these conditions should be reported to: Director, Exposure Methods and Measurement Division (MD–E205–01), National Exposure Research Laboratory, U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711.

Designation of these reference and equivalent methods is intended to assist the States in establishing and operating their air quality surveillance systems under 40 CFR part 58. Questions concerning the commercial availability or technical aspects of the method should be directed to the applicant.

Dated: May 17, 2017.

**Jennifer Orme-Zavaleta,**  
Director, National Exposure Research Laboratory.

[FR Doc. 2017–12738 Filed 6–16–17; 8:45 am]

**BILLING CODE 6560–50–P**

## ENVIRONMENTAL PROTECTION AGENCY

[FRL–9963–45—Region 10]

### Re-Proposal of an NPDES General Permit for Offshore Seafood Processors in Federal Waters Off the Washington and Oregon Coast (Permit Number WAG520000)

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice of re-proposal of General Permit.

**SUMMARY:** The Environmental Protection Agency (EPA) Region 10 re-proposes a National Pollutant Discharge Elimination System (NPDES) General Permit for Offshore Seafood Processors in Federal Waters off the Washington and Oregon Coast (Permit Number WAG520000). On August 24, 2015, EPA released a draft NPDES General Permit for public review. The public comment period closed on October 8, 2015. Based on the comments received, EPA has made revisions to the draft General Permit. EPA is re-proposing a revised draft General Permit, revised Fact Sheet and a revised Biological Evaluation. EPA is only accepting comments on permit conditions that are different from those proposed in the draft General Permit that was issued for review and comment on August 24, 2015.

Specifically, EPA seeks public comment on the following proposed changes: A seasonal prohibition on wastewater discharges in waters shallower than 100 meters in depth and a year-round discharge prohibition over the Heceta/Stonewall Banks complex; clarification on the jurisdiction of the General Permit; the addition of a Best Management Practice (BMP) that vessels be moving while discharging in order to aid dispersion of the discharge; clarification of terminology used in the General Permit; clarification of the sea surface monitoring requirements; provisions to mitigate impact to seabirds; updates to the standard NPDES language and conditions; revisions to the Notice of Intent (NOI) for permit coverage; revisions to the Annual Report; and other factors that the EPA considered prior to re-proposing this draft General Permit based on comments received (i.e., effluent monitoring, harmful algal blooms and scientific study sites).

**DATES:** The public comment period for the re-proposed General Permit for Offshore Seafood Processors in Federal Waters off the Washington and Oregon Coast will be 45 days from the date of publication of this Notice. Comments

must be received or postmarked by no later than midnight Pacific Standard Time, August 3, 2017. EPA will only consider comments on the re-proposed permit provisions. Comments submitted previously on the initial draft General Permit need not be resubmitted; comments addressing permit provisions or issues beyond the scope of this re-proposal will not be considered.

**ADDRESSES:** EPA will consider comments on the re-proposed permit provisions before making its final decision. You may submit comments by any of the following methods:

*Mail:* Send paper comments to Catherine Gockel, Office of Water and Watersheds, Mail Stop OWW-191, 1200 6th Avenue, Suite 900, Seattle, WA 98101-3140.

*Email:* Send electronic comments to [gockel.catherine@epa.gov](mailto:gockel.catherine@epa.gov).

*Hand Delivery/Courier:* Deliver comments to Catherine Gockel, Office of Water and Watersheds, Mail Stop OWW-191, 1200 6th Avenue, Suite 900, Seattle, WA 98101-3140. Call (206) 553-0523 before delivery to verify business hours.

*Viewing and/or Obtaining Copies of Documents.* A copy of the draft General Permit and the Fact Sheet, which explains the proposal in detail, may be obtained by contacting EPA at 1 (800) 424-4372. Copies of the documents are also available for viewing and downloading at: <https://yosemite.epa.gov/r10/water.nsf/NPDES+Permits/DraftPermitsORWA>.

**FOR FURTHER INFORMATION CONTACT:**

Catherine Gockel, Office of Water and Watersheds, U.S. Environmental Protection Agency, Region 10, Mail Stop OWW-191, 1200 6th Avenue, Suite 900, Seattle, WA 98101-3140, (206) 553-0325, [gockel.catherine@epa.gov](mailto:gockel.catherine@epa.gov).

**SUPPLEMENTARY INFORMATION:**

*Public Hearing.* Persons wishing to request a public hearing may do so, in writing, by the expiration date of this public comment period. A public hearing is a formal meeting whereby EPA officials hear the public's views and concerns about an EPA action or proposal. A request for a public hearing must state the nature of the issues to be raised, reference the NPDES permit name and permit number, and include the requester's name, address, and telephone number.

*Document Viewing Locations.* The re-proposed General Permit and Fact Sheet may also be viewed at the following location: EPA Region 10 Library, Park Place Building, 1200 6th Avenue, Suite 900, Seattle, WA 98101; (206) 553-1289. EPA's current administrative record for the draft General Permit is available for

review at the EPA Region 10 Office, Park Place Building, 1200 6th Avenue, Suite 900, Seattle, WA 98101, between 9:00 a.m. and 4:00 p.m., Monday through Friday. Contact Catherine Gockel at [gockel.catherine@epa.gov](mailto:gockel.catherine@epa.gov) or (206) 553-0325.

*State Water Quality Standards and Certification.* The General Permit's area of coverage is only in federal waters, thus EPA is not seeking 401 certification from any State or Tribe. However, seafood waste discharged under this General Permit could potentially affect waters of Washington and Oregon. EPA has sent the draft General Permit to the States of Oregon and Washington as required under Section 401(a)(2) and received feedback from each State.

*Coastal Zone Management Act—Federal Consistency Determination.* Section 307 of the Coastal Zone Management Act of 1972 (CZMA) requires that federal actions, within and outside the coastal zone, which have reasonably foreseeable effects on any coastal use (land or water) or natural resource of the coastal zone be consistent with the enforceable policies of a state's federally approved coastal management program. Federal agency activities must be consistent to the maximum extent practicable with the enforceable policies of a state coastal management program, and license and permit and financial assistance activities must be fully consistent. EPA has submitted CZMA federal consistency determinations to Washington and Oregon. The consistency determinations conclude that the General Permit is consistent with the enforceable policies of each State. Both States will now review the consistency determinations and General Permit, and will provide their own opportunities for public notice.

*Endangered Species Act.* Section 7 of the Endangered Species Act, 16 U.S.C. 1531-1544, requires federal agencies to consult with the National Marine Fisheries Service (NMFS) and the U.S. Fish and Wildlife Service (USFWS) if their actions have the potential to affect any threatened or endangered species. EPA analyzed the discharges proposed to be authorized by the draft General Permit and their potential to adversely affect any of the threatened or endangered species or their designated critical habitat areas in the vicinity of the discharges in a Biological Evaluation dated August 2015. On December 18, 2015, NMFS concurred with EPA that the proposed action is not likely to adversely affect the ESA-listed fish, marine mammals, and turtles under NMFS jurisdiction. On September 29, 2015, EPA received a response from

USFWS indicating that the draft General Permit has the potential to affect ESA-listed or migratory birds. EPA has updated its Biological Evaluation to reflect changes to the re-proposed General Permit. EPA has reviewed the re-proposed draft permit and determined that the proposed changes would not alter the original conclusions that the discharges may affect, but are not likely to adversely affect listed, proposed, and candidate species or their designated critical habitat areas. The Fact Sheet, the re-proposed draft General Permit, and the revised Biological Evaluation will be sent to NMFS and USFWS for review during the public comment period.

*Essential Fish Habitat.* The Magnuson-Stevens Fishery Conservation and Management Act requires EPA to consult with NMFS when a proposed permit action has the potential to adversely affect Essential Fish Habitat (EFH). The EPA submitted a Biological Evaluation dated August 2015 to NMFS, which included an EFH assessment. The EFH assessment concluded that the discharges authorized by the draft General Permit will not adversely affect EFH. On December 18, 2015, the NMFS communicated to the EPA that the proposed action could adversely affect EFH because of impacts to water quality and to benthic conditions. The NMFS provided conservation recommendations to avoid, mitigate, or offset the impact of the proposed action on EFH. The EPA has considered these recommendations and responded via letter.

*Executive Order 12866.* The Office of Management and Budget exempts this action from the review requirements of Executive Order 12866 pursuant to Section 6 of that order.

*Paperwork Reduction Act.* EPA has reviewed the requirements imposed on regulated facilities in the draft General Permit and finds them consistent with the Paperwork Reduction Act of 1980, 44 U.S.C. 3501 *et seq.*

*National Marine Sanctuaries Act.* Section 304(d) of the NMSA (16 U.S.C. § 1434(d)) requires federal agencies to consult with the Secretary of Commerce, through NOAA, regarding any federal action or proposed action, including activities authorized by federal license, lease, or permit, that is likely to destroy, cause the loss of, or injure any sanctuary resource. In a letter dated May 25, 2016, the Sanctuary provided the EPA with recommended alternatives to protect Sanctuary resources and minimize or mitigate injury to Sanctuary resources associated with the proposed General Permit. The EPA has considered the



Sanctuary's recommendations and has responded via letter.

*Regulatory Flexibility Act.* Under the Regulatory Flexibility Act (RFA), 5 U.S.C. 601 *et seq.*, a federal agency must prepare an initial regulatory flexibility analysis "for any proposed rule" for which the agency "is required by section 553 of the Administrative Procedure Act (APA), or any other law, to publish general notice of proposed rulemaking." The RFA exempts from this requirement any rule that the issuing agency certifies "will not, if promulgated, have a significant economic impact on a substantial number of small entities." EPA has concluded that NPDES General Permits are permits, not rulemakings, under the APA and thus not subject to APA rulemaking requirements or the RFA.

**Authority:** This action is taken under the authority of Section 402 of the Clean Water Act as amended, 42 U.S.C. 1342. I hereby provide public notice of the revised draft General Permit for Offshore Seafood Processors in Federal Waters off the Washington and Oregon Coast in accordance with 40 CFR 124.10.

Dated: May 25, 2017.

**Christine Psyk,**

Acting Director, Office of Water and Watersheds, Region 10.

[FR Doc. 2017-12734 Filed 6-16-17; 8:45 am]

**BILLING CODE 6560-50-P**

## ENVIRONMENTAL PROTECTION AGENCY

[EPA-HQ-OAR-2017-0189; FRL-9962-95-OAR]

### Alternative Method for Calculating Off-Cycle Credits Under the Light-Duty Vehicle Greenhouse Gas Emissions Program: Applications From BMW Group, Ford Motor Company, and Hyundai Motor Group

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** The Environmental Protection Agency (EPA) is requesting comment on applications from BMW of North America (BMW), Ford Motor Company (Ford), and Hyundai Motor Group for off-cycle carbon dioxide (CO<sub>2</sub>) credits under EPA's light-duty vehicle greenhouse gas emissions standards. "Off-cycle" emission reductions can be achieved by employing technologies that result in real-world benefits, but where that benefit is not adequately captured on the test procedures used by manufacturers to demonstrate compliance with emission standards. EPA's light-duty vehicle greenhouse gas

program acknowledges these benefits by giving automobile manufacturers several options for generating "off-cycle" carbon dioxide (CO<sub>2</sub>) credits. Under the regulations, a manufacturer may apply for CO<sub>2</sub> credits for off-cycle technologies that result in off-cycle benefits. In these cases, a manufacturer must provide EPA with a proposed methodology for determining the real-world off-cycle benefit. These three manufacturers have submitted applications that describe methodologies for determining off-cycle credits. The off-cycle technologies vary by manufacturer and include thermal control technologies such as solar reflective glass/glazing and solar reflective surface coating (paint), a high efficiency alternator, and an efficient air conditioning compressor. Pursuant to applicable regulations, EPA is making descriptions of each manufacturer's off-cycle credit calculation methodologies available for public comment.

**DATES:** Comments must be received on or before July 19, 2017.

**ADDRESSES:** Submit your comments, identified by Docket ID No. EPA-HQ-OAR-2017-0189, to the Federal eRulemaking Portal: <https://www.regulations.gov>. Follow the online instructions for submitting comments. Once submitted, comments cannot be edited or withdrawn. The EPA may publish any comment received to its public docket. Do not submit electronically any information you consider to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Multimedia submissions (audio, video, etc.) must be accompanied by a written comment. The written comment is considered the official comment and should include discussion of all points you wish to make. The EPA will generally not consider comments or comment contents located outside of the primary submission (*i.e.* on the web, cloud, or other file sharing system). For additional submission methods, the full EPA public comment policy, information about CBI or multimedia submissions, and general guidance on making effective comments, please visit <https://www2.epa.gov/dockets/commenting-epa-dockets>.

**FOR FURTHER INFORMATION CONTACT:** Roberts French, Environmental Protection Specialist, Office of Transportation and Air Quality, Compliance Division, U.S. Environmental Protection Agency, 2000 Traverwood Drive, Ann Arbor, MI 48105. Telephone: (734) 214-4380. Fax: (734) 214-4869. Email address: [french.roberts@epa.gov](mailto:french.roberts@epa.gov).

**SUPPLEMENTARY INFORMATION:**

## I. Background

EPA's light-duty vehicle greenhouse gas (GHG) program provides three pathways by which a manufacturer may accrue off-cycle carbon dioxide (CO<sub>2</sub>) credits for those technologies that achieve CO<sub>2</sub> reductions in the real world but where those reductions are not adequately captured on the test used to determine compliance with the CO<sub>2</sub> standards, and which are not otherwise reflected in the standards' stringency. The first pathway is a predetermined list of credit values for specific off-cycle technologies that may be used beginning in model year 2014.<sup>1</sup> This pathway allows manufacturers to use conservative credit values established by EPA for a wide range of technologies, with minimal data submittal or testing requirements, as long as the technologies meet EPA regulatory definitions. In cases where the off-cycle technology is not on the menu but additional laboratory testing can demonstrate emission benefits, a second pathway allows manufacturers to use a broader array of emission tests (known as "5-cycle" testing because the methodology uses five different testing procedures) to demonstrate and justify off-cycle CO<sub>2</sub> credits.<sup>2</sup> The additional emission tests allow emission benefits to be demonstrated over some elements of real-world driving not adequately captured by the GHG compliance tests, including high speeds, hard accelerations, and cold temperatures. These first two methodologies were completely defined through notice and comment rulemaking and therefore no additional process is necessary for manufacturers to use these methods. The third and last pathway allows manufacturers to seek EPA approval to use an alternative methodology for determining the off-cycle CO<sub>2</sub> credits.<sup>3</sup> This option is only available if the benefit of the technology cannot be adequately demonstrated using the 5-cycle methodology. Manufacturers may also use this option for model years prior to 2014 to demonstrate off-cycle CO<sub>2</sub> reductions for technologies that are on the predetermined list, or to demonstrate reductions that exceed those available via use of the predetermined list.

Under the regulations, a manufacturer seeking to demonstrate off-cycle credits with an alternative methodology (*i.e.*, under the third pathway described previously) must describe a

<sup>1</sup> See 40 CFR 86.1869-12(b).

<sup>2</sup> See 40 CFR 86.1869-12(c).

<sup>3</sup> See 40 CFR 86.1869-12(d).



methodology that meets the following criteria:

- Use modeling, on-road testing, on-road data collection, or other approved analytical or engineering methods;
- Be robust, verifiable, and capable of demonstrating the real-world emissions benefit with strong statistical significance;
- Result in a demonstration of baseline and controlled emissions over a wide range of driving conditions and number of vehicles such that issues of data uncertainty are minimized;
- Result in data on a model type basis unless the manufacturer demonstrates that another basis is appropriate and adequate.

Further, the regulations specify the following requirements regarding an application for off-cycle CO<sub>2</sub> credits:

- A manufacturer requesting off-cycle credits must develop a methodology for demonstrating and determining the benefit of the off-cycle technology, and carry out any necessary testing and analysis required to support that methodology.
- A manufacturer requesting off-cycle credits must conduct testing and/or prepare engineering analyses that demonstrate the in-use durability of the technology for the full useful life of the vehicle.
- The application must contain a detailed description of the off-cycle technology and how it functions to reduce CO<sub>2</sub> emissions under conditions not represented on the compliance tests.
- The application must contain a list of the vehicle model(s) which will be equipped with the technology.
- The application must contain a detailed description of the test vehicles selected and an engineering analysis that supports the selection of those vehicles for testing.
- The application must contain all testing and/or simulation data required under the regulations, plus any other data the manufacturer has considered in the analysis.

Finally, the alternative methodology must be approved by EPA prior to the manufacturer using it to generate credits. As part of the review process defined by regulation, the alternative methodology submitted to EPA for consideration must be made available for public comment.<sup>4</sup> EPA will consider public comments as part of its final decision to approve or deny the request for off-cycle credits.

## II. Off-Cycle Credit Applications

### A. Denso SAS Air Conditioning Compressor

Using the alternative methodology approach discussed previously, BMW, Ford, and Hyundai are applying for credits for an air conditioning compressor manufactured by Denso that results in air conditioning efficiency credits beyond those provided in the regulations. This compressor, known as the Denso SAS compressor, improves the internal valve system within the compressor to reduce the internal refrigerant flow necessary throughout the range of displacements that the compressor may use during its operating cycle. The addition of a variable crankcase suction valve allows a larger mass flow under maximum capacity and compressor start-up conditions (when high flow is ideal), and then it can reduce to smaller openings with reduced mass flow in mid- or low-capacity conditions. The refrigerant exiting the crankcase is thus optimized across the range of operating conditions, reducing the overall energy consumption of the air conditioning system.

The “5-cycle” methodology does not adequately measure the real-world greenhouse gas reduction benefits of this compressor because the only one of the five tests with the air conditioner operating is conducted under worst-case conditions (high temperature, high solar load, and high humidity), not the more moderate conditions where the technology provides the majority of its benefits.

In December 2014, General Motors (GM) requested off-cycle GHG credits for the use of the Denso SAS compressor. GM worked with Denso to perform bench testing of compressors with and without the improvements and quantified the impact, which supported an off-cycle credit of 1.1 grams/mile. GM substantiated these results by also performing vehicle tests using the AC17 procedure. After public notice and comment, EPA approved GM’s request in September 2015.<sup>5</sup>

The credits calculated for the Denso SAS compressor would be in addition to the credits of 1.7 grams/mile for variable-displacement A/C compressors already allowed under EPA regulations.<sup>6</sup> However, it is important to note that EPA regulations place a limit

on the cumulative credits that can be claimed for improving the efficiency of A/C systems. The rationale for this limit is that the additional fuel consumption of A/C systems can never be reduced to zero, and the limits established by regulation reflect the maximum possible reduction in fuel consumption projected by EPA. These limits, or caps, on credits for A/C efficiency, must also be applied to A/C efficiency credits granted under the off-cycle credit approval process. In other words, cumulative A/C efficiency credits for an A/C system—from the A/C efficiency regulations and those granted via the off-cycle regulations—must comply with the stated limits.

#### 1. BMW

BMW is requesting an off-cycle GHG credit of 1.1 grams CO<sub>2</sub> per mile for the Denso SAS compressor (the same as was approved for GM in 2015). BMW repeated the bench test modeling analysis using vehicle-specific BMW input data, and, like the original Denso analysis, demonstrated a benefit of 1.1 grams/mile. Like GM, BMW also ran vehicle tests using the AC17 test. Six tests were conducted on a 3-series BMW, resulting in a calculated benefit of 1.2 grams/mile, thus substantiating the bench test results. Based on these results, BMW is requesting a credit of 1.1 grams/mile for all BMW vehicles equipped with the Denso SAS compressor with variable crankcase suction valve technology, starting with 2016 model year vehicles. Details of the testing and analysis can be found in the manufacturer’s application.

#### 2. Ford

Ford is requesting an off-cycle GHG credit of 1.1 grams CO<sub>2</sub> per mile for the Denso SAS compressor (the same as was approved for GM in 2015). Ford cited the bench test modeling analysis referenced in the original GM application, which demonstrated a benefit of 1.1 grams/mile. Ford also ran vehicle tests using the AC17 test. Six tests were conducted on a 2017 Lincoln MKC, resulting in a calculated benefit of 1.5 grams/mile, thus substantiating the bench test results. Based on these results, Ford is requesting a credit of 1.1 grams/mile for all 2017 and later model year Ford vehicles equipped with the Denso SAS compressor with variable crankcase suction valve technology. Details of the testing and analysis can be found in the manufacturer’s application.

#### 3. Hyundai

Hyundai is requesting an off-cycle GHG credit of 1.4 grams CO<sub>2</sub> per mile for the Denso SAS compressor. Hyundai repeated the bench test modeling

<sup>5</sup> “EPA Decision Document: Off-cycle Credits for Fiat Chrysler Automobiles, Ford Motor Company, and General Motors Corporation.” Compliance Division, Office of Transportation and Air Quality, U.S. Environmental Protection Agency. EPA-420-R-15-014, September 2015.

<sup>6</sup> See 40 CFR 86.1868–12.

<sup>4</sup> See 40 CFR 86.1869–12(d)(2).

analysis using vehicle-specific Hyundai input data, which demonstrated a benefit of 1.4 grams/mile. Like the other manufacturers, Hyundai also ran vehicle tests using the AC17 test. Two tests were conducted on a Hyundai Sonata, resulting in a calculated benefit of 9.3 grams/mile, substantially more than the bench test results. Based on these results, Hyundai is requesting a credit of 1.4 grams/mile for all 2015 through 2017 model year Hyundai Sonata models equipped with the Denso SAS compressor with variable crankcase suction valve technology. Details of the testing and analysis can be found in the manufacturer's application.

### B. High Efficiency Alternator

Ford is requesting GHG credits for alternators with improved efficiency relative to a baseline alternator. This request is for the 2009 and later model years. Automotive alternators convert mechanical energy from a combustion engine into electrical energy that can be used to power a vehicle's electrical systems. Alternators inherently place a load on the engine, which results in increased fuel consumption and CO<sub>2</sub> emissions. High efficiency alternators use new technologies to reduce the overall load on the engine yet continue to meet the electrical demands of the vehicle systems, resulting in lower fuel consumption and lower CO<sub>2</sub> emissions. Some comments on EPA's proposed rule for GHG standards for the 2016–2025 model years suggested that EPA provide a credit for high-efficiency alternators on the pre-defined list in the regulations. While EPA agreed that high-efficiency alternators can reduce electrical load and reduce fuel consumption, and that these impacts are not seen on the emission test procedures because accessories that use electricity are turned off, EPA noted the difficulty in defining a one-size-fits-all credit due to lack of data.<sup>7</sup> Ford proposes a methodology that would scale credits based on the efficiency of the alternator; alternators with efficiency (as measured using an accepted industry standard procedure) above a baseline value could get credits from 0.2 to 1.9 grams/mile. Details of the testing and analysis can be found in the manufacturer's application.

### C. Thermal Control Technologies

#### 1. Glass/Glazing

Ford is requesting off-cycle credits for glass/glazing that reduces the amount of solar energy that is transmitted through the windows. By doing so, interior cabin temperatures can be reduced, which

results in a reduction in the amount of energy needed to cool the cabin and maintain passenger comfort. Ford's request is fundamentally identical to the request submitted by Chrysler in 2013, which EPA subsequently approved in September of 2015.<sup>8</sup>

Ford's request is for 2010 and later model year vehicles, whereas the credits approved for Chrysler were limited to the model years before 2014 (after which EPA expects that credits would be gained via the regulatory "menu", since the methodology essentially replicates EPA's methodology and produces similar credit values). Note that the regulations limit glass/glazing credits to 2.9 grams/mile for cars and 3.9 grams/mile for trucks, and that EPA will require that these caps be observed for all glass/glazing credits, regardless of the regulatory pathway by which those credits are claimed or granted. This is also true for the caps specified for the total credits from thermal control technologies (3.0 grams/mile for cars and 4.3 grams/mile for trucks). The technical and engineering reasons for these limits remain applicable and are not rendered moot because credits are granted through this public process.

#### 2. Solar Reflective Surface Coating

Ford is requesting off-cycle credits for solar reflective paint. Like glass, by reducing the heat that is transmitted to the interior, interior cabin temperatures can be reduced, which results in a reduction in the amount of energy needed to cool the cabin and maintain passenger comfort. Ford's request is largely similar to the request submitted by Chrysler in 2013, which EPA subsequently approved in September of 2015.<sup>9</sup> However, there is one significant difference. Chrysler noted two data points regarding the impact of reflective paint: A study by the National Renewable Energy Laboratory (NREL) that determined a cabin air breath temperature reduction of 1.2 degrees C, and a study by the Lawrence Berkeley National Laboratory for the California Energy Commission that showed a reduction of 5–6 degrees C. Chrysler's methodology, which EPA approved, used the more conservative value from the NREL study (as did EPA in our

Technical Support Document to establish the menu values for reflective paint). Chrysler's methodology, which does not differ substantially from EPA's methodology outlined in our Technical Support Document, would produce credits of 0.4 grams/mile, comparable to the menu values for a paint with high reflectivity. Ford provided test data that indicated a cabin air breath temperature reduction closer to the California Energy Commission study, and the resulting credits would be up to about 2 grams/mile for the highest reflectivity paint, or five times the menu credit value documented in EPA's Technical Support Document. EPA is particularly interested in comments on Ford's data and methodology for these credits because of the different inputs used by Ford as well as the data those inputs are based on and the magnitude of the requested credits compared to the regulatory menu of credits for this technology.

Ford's request is for 2010 and later model year vehicles, whereas the credits approved for Chrysler were limited to the model years before 2014 (after which EPA expects that credits would be gained via the regulatory "menu", since the methodology used by Chrysler essentially replicated EPA's methodology and produced similar credit values). Note that the regulations limit the cumulative credits from thermal control technologies to 3.0 grams/mile for cars and 4.3 grams/mile for trucks, and that EPA will require that these caps be observed for all thermal control credits, regardless of the regulatory pathway by which those credits are claimed or granted. The technical and engineering reasons for these limits remain applicable (a fact that is acknowledged by Ford in their application materials) and are not rendered moot because credits are granted through this public process instead of through the regulatory menu.

### III. EPA Decision Process

EPA has reviewed the applications for completeness and is now making the applications available for public review and comment as required by the regulations. The off-cycle credit applications submitted by BMW, Ford, and Hyundai (with confidential business information redacted) have been placed in the public docket (see **ADDRESSES** section in this preamble) and on EPA's Web site at the following locations:

**BMW:** <https://www.epa.gov/vehicle-and-engine-certification/bmw-compliance-materials-light-duty-greenhouse-gas-ghg-standards>

<sup>8</sup> "EPA Decision Document: Off-cycle Credits for Fiat Chrysler Automobiles, Ford Motor Company, and General Motors Corporation." Compliance Division, Office of Transportation and Air Quality, U.S. Environmental Protection Agency. EPA-420-R-15-014, September 2015.

<sup>9</sup> "EPA Decision Document: Off-cycle Credits for Fiat Chrysler Automobiles, Ford Motor Company, and General Motors Corporation." Compliance Division, Office of Transportation and Air Quality, U.S. Environmental Protection Agency. EPA-420-R-15-014, September 2015.

<sup>7</sup> See 77FR 62730, October 15, 2012.

*Ford*: <https://www.epa.gov/vehicle-and-engine-certification/ford-compliance-materials-light-duty-greenhouse-gas-ghg-standards>

*Hyundai*: <https://www.epa.gov/vehicle-and-engine-certification/hyundai-compliance-materials-light-duty-greenhouse-gas-ghg-standards>

EPA is providing a 30-day comment period on the applications for off-cycle credits described in this action, as specified by the regulations. The manufacturers may submit a written rebuttal of comments for EPA's consideration, or may revise an application in response to comments. After reviewing any public comments and any rebuttal of comments submitted by manufacturers, EPA will make a final decision regarding the credit requests. EPA will make its decision available to the public by placing a decision document (or multiple decision documents) in the docket and on EPA's Web site at the same manufacturer-specific pages shown previously. While the broad methodologies used by these manufacturers could potentially be used for other vehicles and by other manufacturers, the vehicle specific data needed to demonstrate the off-cycle emissions reductions would likely be different. In such cases, a new application would be required, including an opportunity for public comment.

Dated: May 16, 2017.

**Byron J. Bunker,**

*Director, Compliance Division, Office of Transportation and Air Quality, Office of Air and Radiation.*

[FR Doc. 2017-12737 Filed 6-16-17; 8:45 am]

BILLING CODE 6560-50-P

**ENVIRONMENTAL PROTECTION AGENCY**

[EPA-HQ-OAR-2014-0738; FRL-9963-44-OAR]

**Notice of Final Approval for an Alternative Means of Emission Limitation at Chevron Phillips Chemical Company LP**

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice; final approval.

**SUMMARY:** This notice announces our approval of the Alternative Means of Emission Limitation (AMEL) request from Chevron Phillips Chemical Company LP (CP Chem) under the Clean Air Act (CAA) to operate a multi-point ground flare (MPGF) at their ethylene plant in Baytown, Texas, and to operate an MPGF at their polyethylene plant in

Old Ocean, Texas. This approval notice specifies the operating conditions and monitoring, recordkeeping, and reporting requirements that these facilities must follow to demonstrate compliance with the approved AMEL.

**DATES:** The approval of the AMEL request for the MPGF at CP Chem's ethylene plant in Baytown, Texas, and the MPGF at CP Chem's polyethylene plant in Old Ocean, Texas, is effective on June 19, 2017.

**ADDRESSES:** The Environmental Protection Agency (EPA) has established a docket for this action under Docket ID No. EPA-HQ-OAR-2014-0738. All documents in the docket are listed on the <http://www.regulations.gov> Web site. Although listed in the index, some information is not publicly available, e.g., confidential business information (CBI) or other information whose disclosure is restricted by statute. Certain other material, such as copyrighted material, is not placed on the Internet and will be publicly available only in hard copy form. Publicly available docket materials are available either electronically through <http://www.regulations.gov>, or in hard copy at the EPA Docket Center, EPA WJC West Building, Room Number 3334, 1301 Constitution Ave. NW., Washington, DC. The Public Reading Room hours of operation are 8:30 a.m. to 4:30 p.m. Eastern Standard Time (EST), Monday through Friday. The telephone number for the Public Reading Room is (202) 566-1744, and the telephone number for the Air Docket is (202) 566-1742.

**FOR FURTHER INFORMATION CONTACT:** For questions about this final action, contact Mr. Andrew Bouchard, Sector Policies and Programs Division (E143-01), Office of Air Quality Planning and Standards (OAQPS), U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711; telephone number: (919) 541-4036; fax number: (919) 541-3470; and email address: [bouchard.andrew@epa.gov](mailto:bouchard.andrew@epa.gov).

**SUPPLEMENTARY INFORMATION:**

*Acronyms and Abbreviations.* We use multiple acronyms and terms in this notice. While this list may not be exhaustive, to ease the reading of this notice and for reference purposes, the EPA defines the following terms and acronyms here:

AMEL alternative means of emission limitation  
Btu/scf British thermal units per standard cubic foot  
CAA Clean Air Act  
CBI confidential business information  
CFR Code of Federal Regulations  
CP Chem Chevron Phillips Chemical

Company LP  
EPA Environmental Protection Agency  
Eqn equation  
HAP hazardous air pollutants  
HP high pressure  
LFL lower flammability limit  
LFL<sub>cz</sub> lower flammability limit of combustion zone gas  
LFL<sub>vg</sub> lower flammability limit of flare vent gas  
MPGF multi-point ground flare  
NESHAP national emission standards for hazardous air pollutants  
NHV net heating value  
NHV<sub>cz</sub> net heating value of combustion zone gas  
NHV<sub>vg</sub> net heating value of flare vent gas  
NSPS new source performance standards  
OAQPS Office of Air Quality Planning and Standards  
scf standard cubic feet  
VOC volatile organic compounds

*Organization of This Document.* The information in this notice is organized as follows:

- I. Background
  - A. Summary
  - B. Regulatory Flare Requirements and CP Chem's AMEL Request
- II. Summary of Public Comments on CP Chem's AMEL Request
- III. Final Notice of Approval of CP Chem's AMEL Request and Required Operating Conditions

**I. Background**

*A. Summary*

In a **Federal Register** notice dated April 4, 2017, the EPA provided public notice and solicited comment on CP Chem's AMEL request under the CAA for the operation of an MPGF at an ethylene plant in Baytown, Texas, and for the operation of an MPGF at a polyethylene plant in Old Ocean, Texas (see 82 FR 16392).<sup>1</sup> This action solicited comment on all aspects of the AMEL request, including the operating conditions specified in that action that are necessary to achieve a reduction in emissions of volatile organic compounds (VOC) and organic hazardous air pollutants (HAP) at least equivalent to the reduction in emissions required by various standards in 40 CFR parts 60, 61, and 63 that apply to emission sources that would be controlled by these MPGFs. These standards incorporate the design and operating requirements for flares in the General Provisions to parts 60 and 63 as part of the emission reduction requirements. Because the two proposed MPGFs cannot meet the velocity requirements in these General

<sup>1</sup> The MPGFs at both the ethylene plant and polyethylene plant will utilize pressure-assisted burners on all the high pressure (HP) stages; however, the first two stages on the MPGF at the polyethylene plant will also be steam-assisted.

Provisions, CP Chem requested an AMEL. In its request, CP Chem demonstrates that the proposed AMEL for each of the two facilities would achieve at least equivalent emissions reductions as flares that meet the standards in the General Provisions.

This action provides a summary of the comments received as part of the public review process, our response to those comments, and our approval of the AMEL request received from CP Chem for use of MPGFs at both their ethylene plant in Baytown, Texas, and polyethylene plant in Old Ocean, Texas, along with the operating conditions they must follow for demonstrating compliance with the approved AMEL.

*B. Regulatory Flare Requirements and CP Chem’s AMEL Request*

CP Chem submitted a complete MPGF AMEL request, following the MPGF AMEL framework that was published in the **Federal Register** (see 81 FR 23480, April 21, 2016), to the EPA on November 28, 2016. CP Chem sought an AMEL to operate an MPGF for use during limited HP maintenance, startup, and shutdown events, as well as during upset events at their ethylene plant in Baytown, Texas. In addition, CP Chem sought an AMEL to operate an MPGF during certain routine operations (*i.e.*, the first two stages only), as well as during periods of maintenance, startup, shutdown, and upset at their polyethylene plant in Old Ocean, Texas. In its request, CP Chem cited various regulatory requirements in 40 CFR parts

60, 61, and 63 that will apply to the flare vent gas streams that will be collected and routed to their MPGFs at each of these two plants. See Table 1 for a list of regulations, by subparts, that CP Chem has identified as applicable to the two plants described above. These new source performance standards (NSPS) and national emissions standards for hazardous air pollutants (NESHAP) require that flares subject to these subparts meet the flare design and operating requirements in the General Provisions of part 60 and 63, respectively (*i.e.*, 40 CFR 60.18(b) and 63.11(b)). CP Chem is requesting that the EPA approve the AMEL to be used by each of the two plants for complying with the flare requirements in the relevant subparts as specified in Table 1.

TABLE 1—SUMMARY OF APPLICABLE RULES THAT MAY APPLY TO VENT STREAMS CONTROLLED BY MULTI-POINT GROUND FLARES

Applicable rules with vent streams going to control device(s)	CP chem ethylene plant	CP chem polyethylene plant	Rule citation from Title 40 CFR that allow for use of a flare	Provisions for alternative means of emission limitation
NSPS Subpart VV .....		X	60.482–10(d) .....	60.484(a)–(f).
NSPS Subpart VVa .....	X		60.482–10a(d) .....	60.484a(a)–(f).
NSPS Subpart DDD .....		X	60.562–1(a)(1)(i)(C) .....	CAA section 111(h)(3).
NSPS Subpart NNN .....	X		60.662(b) .....	CAA section 111(h)(3).
NSPS Subpart RRR .....	X		60.702(b) .....	CAA section 111(h)(3).
NESHAP Subpart FF .....	X		61.349(a)(2) .....	61.353(a); also see 61.12(d).
NESHAP Subpart SS .....	X		63.982(b) .....	CAA section 112(h)(3).
NESHAP Subpart UU .....	X		63.1034 .....	63.1021(a)–(d).
NESHAP Subpart XX .....	X		63.1091 .....	63.1097(b)(1).
			* Note—This subpart cross-references to NESHAP subpart FF above.	
NESHAP Subpart YY .....	X		Table 7 to §63.1103(e) cross-references to NESHAP subpart SS above.	63.1113.
NESHAP Subpart FFFF .....		X	63.2450(e)(2) .....	63.2545(b)(1); also see 63.6(g).

The provisions in each NSPS and NESHAP cited in Table 1 that ensure flares meet certain specific requirements when used to satisfy the requirements of the NSPS or NESHAP were established as work practice standards pursuant to CAA sections 111(h)(1) or 112(h)(1). For standards established according to these provisions, CAA sections 111(h)(3) and 112(h)(3) allow the EPA to permit the use of an AMEL by a source if, after notice and opportunity for comment,<sup>2</sup> it is established to the Administrator’s satisfaction that such AMEL will achieve emission reduction at least equivalent to the reduction required under the CAA section 111(h)(1) or

112(h)(1) standard. As noted in Table 1, many of the NSPS and NESHAP in the table above also include specific regulatory provisions allowing sources to request an AMEL.

CP Chem sought such an AMEL request because their MPGFs are not designed to operate below the maximum permitted velocity requirements for flares in the General Provisions of 40 CFR parts 60 and 63. CP Chem provided information that the MPGFs they propose to use will achieve a reduction in emissions at least equivalent to the reduction in emissions for flares complying with these General Provisions requirements (for further background information on the regulatory flare requirements and a facility’s ability to request an AMEL, see 82 FR 16392–16399, April 4, 2017).

**II. Summary of Public Comments on CP Chem’s AMEL Request**

The EPA received eight public comments on this action. The public comments received fell into one of the following three bins: (1) General support for CP Chem’s AMEL request, (2) general opposition to CP Chem’s AMEL request, and (3) general comments outside the scope of the action. None of the comments raised issues or otherwise mentioned any specific aspect of the MPGFs (including any operating condition) proposed for either of the two plants or the EPA’s authority to approve these AMEL under the CAA. None of the commenters who opposed the EPA’s proposal to approve the AMEL with the operating conditions specified in the April 4, 2017, action asserted that the EPA lacked authority to approve the AMEL or that the AMEL would not achieve at least equivalent

<sup>2</sup>CAA section 111(h)(3) specifically requires that the EPA provide an opportunity for a public hearing. The EPA provided an opportunity for a public hearing in the April 4, 2017, **Federal Register** action. However, no public hearing was requested.

emissions reductions as flares that meet the standards in the General Provisions. Additionally, the one commenter who generally opposed CP Chem's AMEL request did not provide any substantive reason for why they opposed the request, other than to note that existing regulations should be followed. Therefore, no changes have been made to the operating conditions specified in the April 4, 2017, action.

### III. Final Notice of Approval of CP Chem's AMEL Request and Required Operating Conditions

Based on information the EPA received from CP Chem and the comments received through the public comment period, we are approving CP Chem's request for an AMEL and establishing operating requirements for the MPGF at CP Chem's ethylene plant in Baytown, Texas, and the MPGF at CP Chem's polyethylene plant in Old Ocean, Texas. The operating conditions for CP Chem's MPGF that will achieve a reduction in emissions at least equivalent to the reduction in emissions being controlled by a steam-assisted, air-assisted, or non-assisted flare complying with the requirements of either 40 CFR 63.11(b) or 40 CFR 60.18(b) are as follows: (1) The MPGF system for all HP stages at CP Chem's ethylene plant and for all HP stages excluding stage 1 and 2 for CP Chem's polyethylene plant

must be designed and operated such that the net heating value of the combustion zone gas ( $NHV_{cz}$ ) is greater than or equal to 800 British thermal units per standard cubic foot (Btu/scf) or lower flammability limit of the combustion zone gas ( $LFL_{cz}$ ) is less than or equal to 6.5 percent by volume. The MPGF system for HP stages 1 and 2 of CP Chem's polyethylene plant must be designed and operated such that the  $NHV_{cz}$  is greater than or equal to 600 Btu/scf or the  $LFL_{cz}$  is less than or equal to 8.0 percent by volume. Owners or operators must demonstrate compliance with the  $NHV_{cz}$  or  $LFL_{cz}$  metric by continuously complying with a 15-minute block average. Owners or operators must calculate and monitor for the  $NHV_{cz}$  or  $LFL_{cz}$  according to the following:

#### (a) Calculation of $NHV_{cz}$

(i) The owner or operator shall determine the net heating value of flare vent gas ( $NHV_{vg}$ ) by following the requirements of (1)(d)–(1)(e) below. If an owner or operator elects to use a monitoring system capable of continuously measuring (*i.e.*, at least once every 15 minutes), calculating, and recording the individual component concentrations present in the flare vent gas,  $NHV_{vg}$  shall be calculated using the following equation:

$$NHV_{cz} = \frac{Q_{vg} \times NHV_{vg}}{(Q_{vg} + Q_s)} \quad (\text{Eqn. 2})$$

Where:

$NHV_{cz}$  = Net heating value of combustion zone gas, Btu/scf.

$NHV_{vg}$  = Net heating value of flare vent gas for the 15-minute block period as determined according to (1)(a)(i) above,

Btu/scf.

$Q_{vg}$  = Cumulative volumetric flow of flare vent gas during the 15-minute block period, standard cubic feet (scf).

$Q_s$  = Cumulative volumetric flow of total assist steam during the 15-minute block period, scf.

$$NHV_{vg} = \sum_{i=1}^n x_i NHV_i \quad (\text{Eqn. 1})$$

Where:

$NHV_{vg}$  = Net heating value of flare vent gas, Btu/scf. *Flare vent gas* means all gas found just prior to the MPGF. This gas includes all flare waste gas (*i.e.*, gas from facility operations that is directed to a flare for the purpose of disposing of the gas), flare sweep gas, flare purge gas and flare supplemental gas, but does not include pilot gas.

$i$  = Individual component in flare vent gas.

$n$  = Number of components in flare vent gas.

$x_i$  = Concentration of component  $i$  in flare vent gas, volume fraction.

$NHV_i$  = Net heating value of component  $i$  determined as the heat of combustion where the net enthalpy per mole of offgas is based on combustion at 25 degrees Celsius ( $^{\circ}\text{C}$ ) and 1 atmosphere (or constant pressure) with water in the gaseous state from values published in the literature, and then the values converted to a volumetric basis using 20  $^{\circ}\text{C}$  for "standard temperature." Table 2 summarizes component properties including net heating values.

(ii) For all MPGF HP stages at CP Chem's ethylene plant and for all MPGF HP stages, excluding stage 1 and 2 for CP Chem's polyethylene plant,  $NHV_{vg} = NHV_{cz}$ .

(iii) For HP stages 1 and 2 of CP Chem's polyethylene plant MPGF,  $NHV_{cz}$  shall be calculated using the following equation:

#### (b) Calculation of $LFL_{cz}$

(i) The owner or operator shall determine  $LFL_{cz}$  from compositional analysis data by using the following equation:

$$LFL_{vg} = \frac{1}{\sum_{i=1}^n \left( \frac{X_i}{LFL_i} \right)} \times 100\% \quad (\text{Eqn. 3})$$

Where:

$LFL_{vg}$  = Lower flammability limit of flare vent gas, volume percent (vol %).

$n$  = Number of components in the vent gas.

$i$  = Individual component in the vent gas.

$X_i$  = Concentration of component  $i$  in the vent gas, vol %.

$LFL_i$  = Lower flammability limit of component  $i$  as determined using values

published by the U.S. Bureau of Mines (Zabetakis, 1965), vol %. All inerts, including nitrogen, are assumed to have an infinite LFL (*e.g.*,  $LFL_{N_2} = \infty$ , so that  $X_{N_2}/LFL_{N_2} = 0$ ). LFL values for common flare vent gas components are provided in Table 2.

(ii) For all MPGF HP stages at CP Chem's ethylene plant and for all MPGF

HP stages, excluding stages 1 and 2 for CP Chem's polyethylene plant,  $LFL_{vg} = LFL_{cz}$ .

(iii) For HP stages 1 and 2 of CP Chem's polyethylene plant MPGF,  $LFL_{cz}$  shall be calculated using the following equation:

$$LFL_{cz} = \frac{LFL_{vg} \times (Q_{vg} + Q_s)}{Q_{vg}} \quad (\text{Eqn. 4})$$

Where:

- $LFL_{cz}$  = Lower flammability limit of combustion zone gas, vol %.
- $LFL_{vg}$  = Lower flammability limit of flare vent gas, vol %.
- $Q_{vg}$  = Cumulative volumetric flow of flare vent gas during the 15-minute block period, scf.
- $Q_s$  = Cumulative volumetric flow of total assist steam during the 15-minute block period, scf.

(c) The operator of an MPGF system shall install, operate, calibrate, and maintain a monitoring system capable of continuously measuring the volumetric flow rate of flare vent gas ( $Q_{vg}$ ) and the volumetric flow rate of total assist steam ( $Q_s$ ).

(i) The flow rate monitoring systems must be able to correct for the temperature and pressure of the system and output parameters in standard conditions (*i.e.*, a temperature of 20 °C (68 °F) and a pressure of 1 atmosphere).

(ii) Mass flow monitors may be used for determining volumetric flow rate of flare vent gas provided the molecular

weight of the flare vent gas is determined using compositional analysis so that the mass flow rate can be converted to volumetric flow at standard conditions using the following equation:

$$Q_{vol} = \frac{Q_{mass} \times 385.3}{MW_t} \quad (\text{Eqn. 5})$$

Where:

- $Q_{vol}$  = Volumetric flow rate, scf per second.
- $Q_{mass}$  = Mass flow rate, pounds per second.
- 385.3 = Conversion factor, scf per pound-mole.
- $MW_t$  = Molecular weight of the gas at the flow monitoring location, pounds per pound-mole.

(iii) Mass flow monitors may be used for determining volumetric flow rate of total assist steam. Use Equation 5 to convert mass flow rates to volumetric flow rates. Use a molecular weight of 18 pounds per pound-mole for total assist steam.

(d) The operator shall install, operate, calibrate, and maintain a monitoring

system capable of continuously measuring (*i.e.*, at least once every 15 minutes), calculating, and recording the individual component concentrations present in the flare vent gas or the owner or operator shall install, operate, calibrate, and maintain a monitoring system capable of continuously measuring, calculating, and recording  $NHV_{vg}$  (in Btu/scf).

(e) For each measurement produced by the monitoring system used to comply with (1)(d) above, the operator shall determine the 15-minute block average as the arithmetic average of all measurements made by the monitoring system within the 15-minute period.

(f) The operator must follow the calibration and maintenance procedures according to Table 3. Maintenance periods, instrument adjustments, or checks to maintain precision and accuracy and zero and span adjustments may not exceed 5 percent of the time the flare is receiving regulated material.

TABLE 2—INDIVIDUAL COMPONENT PROPERTIES

Component	Molecular formula	$MW_i$ (pounds per pound-mole)	$NHV_i$ (British thermal units per standard cubic foot)	$LFL_i$ (volume %)
Acetylene	C <sub>2</sub> H <sub>2</sub>	26.04	1,404	2.5
Benzene	C <sub>6</sub> H <sub>6</sub>	78.11	3,591	1.3
1,2-Butadiene	C <sub>4</sub> H <sub>6</sub>	54.09	2,794	2.0
1,3-Butadiene	C <sub>4</sub> H <sub>6</sub>	54.09	2,690	2.0
iso-Butane	C <sub>4</sub> H <sub>10</sub>	58.12	2,957	1.8
n-Butane	C <sub>4</sub> H <sub>10</sub>	58.12	2,968	1.8
cis-Butene	C <sub>4</sub> H <sub>8</sub>	56.11	2,830	1.6
iso-Butene	C <sub>4</sub> H <sub>8</sub>	56.11	2,928	1.8
trans-Butene	C <sub>4</sub> H <sub>8</sub>	56.11	2,826	1.7
Carbon Dioxide	CO <sub>2</sub>	44.01	0	∞
Carbon Monoxide	CO	28.01	316	12.5
Cyclopropane	C <sub>3</sub> H <sub>6</sub>	42.08	2,185	2.4
Ethane	C <sub>2</sub> H <sub>6</sub>	30.07	1,595	3.0
Ethylene	C <sub>2</sub> H <sub>4</sub>	28.05	1,477	2.7
Hydrogen	H <sub>2</sub>	2.02	274	4.0
Hydrogen Sulfide	H <sub>2</sub> S	34.08	587	4.0
Methane	CH <sub>4</sub>	16.04	896	5.0
Methyl-Acetylene	C <sub>3</sub> H <sub>4</sub>	40.06	2,088	1.7
Nitrogen	N <sub>2</sub>	28.01	0	∞
Oxygen	O <sub>2</sub>	32.00	0	∞
Pentane+ (C5+)	C <sub>5</sub> H <sub>12</sub>	72.15	3,655	1.4
Propadiene	C <sub>3</sub> H <sub>4</sub>	40.06	2,066	2.16
Propane	C <sub>3</sub> H <sub>8</sub>	44.10	2,281	2.1
Propylene	C <sub>3</sub> H <sub>6</sub>	42.08	2,150	2.4
Water	H <sub>2</sub> O	18.02	0	∞

TABLE 3—ACCURACY AND CALIBRATION REQUIREMENTS

Parameter	Accuracy requirements	Calibration requirements
Flare Vent Gas Flow Rate.	±20 percent of flow rate at velocities ranging from 0.1 to 1 foot per second. ±5 percent of flow rate at velocities greater than 1 foot per second.	Performance evaluation biennially (every 2 years) and following any period of more than 24 hours throughout which the flow rate exceeded the maximum rated flow rate of the sensor, or the data recorder was off scale. Checks of all mechanical connections for leakage monthly. Visual inspections and checks of system operation every 3 months, unless the system has a redundant flow sensor. Select a representative measurement location where swirling flow or abnormal velocity distributions due to upstream and downstream disturbances at the point of measurement are minimized.
Flow Rate for All Flows Other Than Flare Vent Gas.	±5 percent over the normal range of flow measured or 1.9 liters per minute (0.5 gallons per minute), whichever is greater, for liquid flow. ±5 percent over the normal range of flow measured or 280 liters per minute (10 cubic feet per minute), whichever is greater, for gas flow. ±5 percent over the normal range measured for mass flow.	Conduct a flow sensor calibration check at least biennially (every two years); conduct a calibration check following any period of more than 24 hours throughout which the flow rate exceeded the manufacturer's specified maximum rated flow rate or install a new flow sensor. At least quarterly, inspect all components for leakage, unless the continuous parameter monitoring system has a redundant flow sensor.  Record the results of each calibration check and inspection. Locate the flow sensor(s) and other necessary equipment (such as straightening vanes) in a position that provides representative flow; reduce swirling flow or abnormal velocity distributions due to upstream and downstream disturbances.
Pressure .....	±5 percent over the normal range measured or 0.12 kilopascals (0.5 inches of water column), whichever is greater.	Review pressure sensor readings at least once a week for straight-line (unchanging) pressure and perform corrective action to ensure proper pressure sensor operation if blockage is indicated. Performance evaluation annually and following any period of more than 24 hours throughout which the pressure exceeded the maximum rated pressure of the sensor, or the data recorder was off scale. Checks of all mechanical connections for leakage monthly. Visual inspection of all components for integrity, oxidation, and galvanic corrosion every 3 months, unless the system has a redundant pressure sensor. Select a representative measurement location that minimizes or eliminates pulsating pressure, vibration, and internal and external corrosion.
Net Heating Value by Calorimeter.	±2 percent of span .....	Calibration requirements should follow manufacturer's recommendations at a minimum. Temperature control (heated and/or cooled as necessary) the sampling system to ensure proper year-round operation. Where feasible, select a sampling location at least 2 equivalent diameters downstream from and 0.5 equivalent diameters upstream from the nearest disturbance. Select the sampling location at least 2 equivalent duct diameters from the nearest control device, point of pollutant generation, air in-leakages, or other point at which a change in the pollutant concentration or emission rate occurs.
Net Heating Value by Gas Chromatograph.	As specified in Performance Specification (PS) 9 of 40 CFR part 60, appendix B.	Follow the procedure in PS 9 of 40 CFR part 60, appendix B, except that a single daily mid-level calibration check can be used (rather than triplicate analysis), the multi-point calibration can be conducted quarterly (rather than monthly), and the sampling line temperature must be maintained at a minimum temperature of 60 °C (rather than 120 °C).

(2) The MPGF system shall be operated with a flame present at all times when in use. Each burner on HP stages 1 and 2 of CP Chem's polyethylene plant MPGF must have a pilot with a continuously lit pilot flame. Additionally, each HP stage of CP Chem's ethylene plant MPGF and all HP stages, excluding stages 1 and 2 for CP Chem's polyethylene plant MPGF, must have at least two pilots with a continuously lit pilot flame. Each pilot flame must be continuously monitored by a thermocouple or any other equivalent device used to detect the presence of a flame. The time, date, and duration of any complete loss of pilot flame on any of the individual MPGF burners on HP stages 1 and 2 of CP

Chem's polyethylene plant MPGF, on any of the HP stages of CP Chem's ethylene plant MPGF, and on any of the HP stages, excluding stages 1 and 2 of CP Chem's polyethylene plant MPGF, must be recorded. Each monitoring device must be maintained or replaced at a frequency in accordance with the manufacturer's specifications.  
(3) The MPGF system shall be operated with no visible emissions except for periods not to exceed a total of 5 minutes during any 2 consecutive hours. A video camera that is capable of continuously recording (*i.e.*, at least one frame every 15 seconds with time and date stamps) images of the flare flame and a reasonable distance above the flare flame at an angle suitable for

visible emissions observations must be used to demonstrate compliance with this requirement. The owner or operator must provide real-time video surveillance camera output to the control room or other continuously manned location where the video camera images may be viewed at any time.  
(4) The operator of an MPGF system shall install and operate pressure monitor(s) on the main flare header, as well as a valve position indicator monitoring system capable of monitoring and recording the position for each staging valve to ensure that the MPGF operates within the range of tested conditions or within the range of the manufacturer's specifications. The

pressure monitor shall meet the requirements in Table 3. Maintenance periods, instrument adjustments or checks to maintain precision and accuracy, and zero and span adjustments may not exceed 5 percent of the time the flare is receiving regulated material.

(5) Recordkeeping Requirements.

(a) All data must be recorded and maintained for a minimum of 3 years or for as long as required under applicable rule subpart(s), whichever is longer.

(6) Reporting Requirements.

(a) The information specified in sections III (6)(b) and (c) of this document below must be reported in the timeline specified by the applicable rule subpart(s) for which the MPGF will control emissions.

(b) Owners or operators shall include the following information in their initial Notification of Compliance status report:

(i) Specify flare design as a pressure-assisted MPGF. CP Chem's polyethylene plant shall also clearly note that HP stages 1 and 2 are also steam-assisted.

(ii) All visible emission readings,  $NHV_{cz}$  and/or  $LFL_{cz}$  determinations, and flow rate measurements. For MPGF, exit velocity determinations do not need to be reported as the maximum permitted velocity requirements in the General Provisions at 40 CFR 60.18(b) and 40 CFR 63.11(b) are not applicable.

(iii) All periods during the compliance determination when a complete loss of pilot flame on any stage of MPGF burners occurs, and, for HP stages 1 and 2 of CP Chem's polyethylene plant MPGF, all periods during the compliance determination when a complete loss of pilot flame on an individual burner occurs.

(iv) All periods during the compliance determination when the pressure monitor(s) on the main flare header show the MPGF burners operating outside the range of tested conditions or outside the range of the manufacturer's specifications.

(v) All periods during the compliance determination when the staging valve position indicator monitoring system indicates a stage of the MPGF should not be in operation and is or when a stage of the MPGF should be in operation and is not.

(c) The owner or operator shall notify the Administrator of periods of excess emissions in their Periodic Reports. These periods of excess emissions shall include:

(i) Records of each 15-minute block for all HP stages of CP Chem's ethylene plant MPGF and for all HP stages excluding stages 1 and 2 of CP Chem's polyethylene plant MPGF during which

there was at least 1 minute when regulated material was routed to the MPGF and a complete loss of pilot flame on a stage of burners occurred, and, for HP stages 1 and 2 of CP Chem's polyethylene plant MPGF, records of each 15-minute block during which there was at least 1 minute when regulated material was routed to the MPGF and a complete loss of pilot flame on an individual burner occurred.

(ii) Records of visible emissions events (including the time and date stamp) that exceed more than 5 minutes in any 2-hour consecutive period.

(iii) Records of each 15-minute block period for which an applicable combustion zone operating limit (*i.e.*,  $NHV_{cz}$  or  $LFL_{cz}$ ) is not met for the MPGF when regulated material is being combusted in the flare. Indicate the date and time for each period, the  $NHV_{cz}$  and/or  $LFL_{cz}$  operating parameter for the period and the type of monitoring system used to determine compliance with the operating parameters (*e.g.*, gas chromatograph or calorimeter). For CP Chem's polyethylene plant MPGF, also indicate which HP stages were in use.

(iv) Records of when the pressure monitor(s) on the main flare header show the MPGF burners are operating outside the range of tested conditions or outside the range of the manufacturer's specifications. Indicate the date and time for each period, the pressure measurement, the stage(s) and number of MPGF burners affected, and the range of tested conditions or manufacturer's specifications.

(v) Records of when the staging valve position indicator monitoring system indicates a stage of the MPGF should not be in operation and is or when a stage of the MPGF should be in operation and is not. Indicate the date and time for each period, whether the stage was supposed to be open, but was closed or vice versa, and the stage(s) and number of MPGF burners affected.

Dated: June 1, 2017.

**Stephen Page,**

*Director, Office of Air Quality Planning and Standards.*

[FR Doc. 2017-12688 Filed 6-16-17; 8:45 am]

**BILLING CODE 6560-50-P**

## FEDERAL ELECTION COMMISSION

### Sunshine Act Notice

**AGENCY:** Federal Election Commission.

**DATE AND TIME:** *Thursday, June 22, 2017 at 10:00 a.m.*

**PLACE:** 999 E Street NW., Washington, DC (Ninth Floor).

**STATUS:** This hearing will be open to the public.

**ITEM TO BE DISCUSSED:** *Audit Hearing: Illinois Republican Party.*

Individuals who plan to attend and require special assistance, such as sign language interpretation or other reasonable accommodations, should contact Dayna Brown, Secretary and Clerk, at (202) 694-1040, at least 72 hours prior to the hearing date.

**PERSON TO CONTACT FOR INFORMATION:** Judith Ingram, Press Officer, Telephone: (202) 694-1220.

**Dayna C. Brown,**

*Secretary and Clerk of the Commission.*

[FR Doc. 2017-12785 Filed 6-15-17; 11:15 am]

**BILLING CODE 6715-01-P**

## FEDERAL RESERVE SYSTEM

### Notice of Proposals To Engage in or To Acquire Companies Engaged in Permissible Nonbanking Activities

The companies listed in this notice have given notice under section 4 of the Bank Holding Company Act (12 U.S.C. 1843) (BHC Act) and Regulation Y, (12 CFR part 225) to engage *de novo*, or to acquire or control voting securities or assets of a company, including the companies listed below, that engages either directly or through a subsidiary or other company, in a nonbanking activity that is listed in § 225.28 of Regulation Y (12 CFR 225.28) or that the Board has determined by Order to be closely related to banking and permissible for bank holding companies. Unless otherwise noted, these activities will be conducted throughout the United States.

Each notice is available for inspection at the Federal Reserve Bank indicated.

The notice also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing on the question whether the proposal complies with the standards of section 4 of the BHC Act.

Unless otherwise noted, comments regarding the applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than July 3, 2017.

A. Federal Reserve Bank of Dallas (Robert L. Triplett III, Senior Vice President) 2200 North Pearl Street, Dallas, Texas 75201-2272:

1. *First Baird Bancshares, Inc.*, Weatherford, Texas; to acquire directly and indirectly voting shares of Sharp BancSystems, Inc., Bedford, Texas, and thereby engage in data processing activities pursuant to section 225.28(b)(14)(i) of Regulation Y.



Board of Governors of the Federal Reserve System, June 14, 2017.

**Yao-Chin Chao,**

*Assistant Secretary of the Board.*

[FR Doc. 2017-12741 Filed 6-16-17; 8:45 am]

**BILLING CODE 6210-01-P**

## FEDERAL TRADE COMMISSION

### Granting of Requests for Early Termination of the Waiting Period Under the Premerger Notification Rules

Section 7A of the Clayton Act, 15 U.S.C. 18a, as added by Title II of the

Hart-Scott-Rodino Antitrust Improvements Act of 1976, requires persons contemplating certain mergers or acquisitions to give the Federal Trade Commission and the Assistant Attorney General advance notice and to wait designated periods before consummation of such plans. Section 7A(b)(2) of the Act permits the agencies, in individual cases, to terminate this waiting period prior to its expiration and requires that notice of this action be published in the **Federal Register**.

The following transactions were granted early termination—on the dates indicated—of the waiting period

provided by law and the premerger notification rules. The listing for each transaction includes the transaction number and the parties to the transaction. The grants were made by the Federal Trade Commission and the Assistant Attorney General for the Antitrust Division of the Department of Justice.

Neither agency intends to take any action with respect to these proposed acquisitions during the applicable waiting period.

#### EARLY TERMINATIONS GRANTED

[May 1, 2017 through May 31, 2017]

##### 05/01/2017

20170992 .....	G	Microsoft Corporation; Charles Simonyi; Microsoft Corporation.
20171048 .....	G	Vector Capital IV International, L.P.; Experian plc; Vector Capital IV International, L.P.
20171053 .....	G	Gulfport Energy Corporation; Mammoth Energy Holdings LLC; Gulfport Energy Corporation.
20171054 .....	G	Green Equity Investors Side VII, L.P.; Letterone Investment Holdings S.A.; Green Equity Investors Side VII, L.P.
20171055 .....	G	NuStar Energy L.P.; First Reserve Energy Infrastructure Fund II, L.P.; NuStar Energy L.P.
20171057 .....	G	Apax IX USD L.P.; Syneron Medical Ltd.; Apax IX USD L.P.
20171067 .....	G	Loews Corporation; Bain Capital Fund X, L.P.; Loews Corporation.
20171068 .....	Y	Mubadala Investment Company PJSC; The Williams Companies Inc.; Mubadala Investment Company PJSC.
20171070 .....	G	Wind Point Partners, VIII-A, L.P.; Valicor, Inc.; Wind Point Partners, VIII-A, L.P.
20171073 .....	G	Permira VI L.P. 1; The Resolute Fund III, L.P.; Permira VI L.P. 1.
20171075 .....	G	Swift Transportation Company; Knight Transportation, Inc.; Swift Transportation Company.
20171076 .....	G	Clayton Dubilier & Rice Fund IX, L.P.; Onex Partners II LP; Clayton Dubilier & Rice Fund IX, L.P.
20171082 .....	G	Ronald O. Perelman; RetailMeNot, Inc.; Ronald O. Perelman.
20171083 .....	G	Capital Power Corporation; LS Power Equity Partners III, L.P.; Capital Power Corporation.

##### 05/02/2017

20171025 .....	G	JANA Nirvana Offshore Fund, Ltd.; Whole Foods Market, Inc.; JANA Nirvana Offshore Fund, Ltd.
20171026 .....	G	JANA Master Fund, Ltd.; Whole Foods Market, Inc.; JANA Master Fund, Ltd.
20171063 .....	G	Carlyle Power Partners II, L.P.; Rockland Power Partners II, LP; Carlyle Power Partners II, L.P.

##### 05/03/2017

20170923 .....	G	Leonardo S.p.A.; Daylight Solutions, Inc.; Leonardo S.p.A.
20171024 .....	G	Extreme Networks, Inc.; Broadcom Limited; Extreme Networks, Inc.

##### 05/04/2017

20170405 .....	G	Boral Limited; Headwaters Incorporated; Boral Limited.
20171027 .....	G	MGM Holdings, Inc.; Studio 3 Partners LLC; MGM Holdings, Inc.
20171051 .....	G	Cowen Group, Inc.; ConvergEx Holdings, LLC; Cowen Group, Inc.
20171071 .....	G	Dell Technologies; Wavefront, Inc.; Dell Technologies.
20171093 .....	G	Beecken Petty O'Keefe Fund IV, L.P.; Cortec Group Fund IV, L.P.; Beecken Petty O'Keefe Fund IV, L.P.
20171097 .....	Y	Zheng Yuewen; Dr. Cathrin Schleussner; Zheng Yuewen.
20171098 .....	G	Oracle Corporation; Jonah Goodhart; Oracle Corporation.

##### 05/05/2017

20171030 .....	G	BioTelemetry, Inc.; LifeWatch AG; BioTelemetry, Inc.
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##### 05/08/2017

20171000 .....	G	Thomas Jefferson University; Philadelphia University; Thomas Jefferson University.
20171086 .....	G	AT&T Inc.; Softbank Group Corp.; AT&T Inc.
20171087 .....	G	Softbank Group Corp.; AT&T Inc.; Softbank Group Corp.
20171092 .....	G	Platinum Equity Capital Partners IV, L.P.; ATS Consolidated, Inc.; Platinum Equity Capital Partners IV, L.P.
20171094 .....	Y	Shanghai Shenda Co., Ltd.; International Automotive Components Group North America, LLC; Shanghai Shenda Co., Ltd.
20171101 .....	G	Insignia Capital Partners, L.P.; Tillamook Country Smoker, Inc.; Insignia Capital Partners, L.P.
20171107 .....	G	Orion US Holdings 1 L.P.; SunEdison, Inc.; Orion US Holdings 1 L.P.
20171108 .....	G	Blackstone Energy Partners II Q L.P.; EnCap Flatrock Midstream Fund II, L.P.; Blackstone Energy Partners II Q L.P.
20171109 .....	G	Harmony Merger Corp.; NextDecade, LLC; Harmony Merger Corp.
20171114 .....	G	Olympus Growth Fund VI, L.P.; Wind Point Partners, VII-A, L.P.; Olympus Growth Fund VI, L.P.

## EARLY TERMINATIONS GRANTED—Continued

[May 1, 2017 through May 31, 2017]

20171115 .....	G	Sawai Pharmaceutical Co., Ltd.; Ken & Grace Evanstad; Sawai Pharmaceutical Co., Ltd.
20171117 .....	G	Golden Gate Capital Opportunity Fund, L.P.; Vector Capital IV International, L.P.; Golden Gate Capital Opportunity Fund, L.P.
20171120 .....	G	Proximus PLC; TeleSign Holdings, Inc.; Proximus PLC.
20171129 .....	G	Eagle Buyer, Inc.; Eagle Holding Company I; Eagle Buyer, Inc.
20171131 .....	G	Global Atlantic Financial Group Limited; John D. Arnold; Global Atlantic Financial Group Limited.
20171133 .....	G	Ashland Global Holdings Inc.; Mrs. Catherine Holmes; Ashland Global Holdings Inc.
20171136 .....	G	MVC Capital, Inc.; MVC Capital, Inc.; MVC Capital, Inc.
20171137 .....	G	MVC Capital, Inc.; Equus Total Return, Inc.; MVC Capital, Inc.

## 05/09/2017

20171112 .....	G	CK Williams UK Holdings Limited; DUET Company Limited; CK Williams UK Holdings Limited.
20171135 .....	G	KMG Chemicals, Inc.; Arsenal Capital Partners III LP; KMG Chemicals, Inc.

## 05/11/2017

20171138 .....	G	SNC-Lavalin Group Inc.; WS Atkins plc; SNC-Lavalin Group Inc.
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## 05/12/2017

20171105 .....	Y	Argos Holdings L.P.; Chewy, Inc.; Argos Holdings L.P.
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## 05/15/2017

20171085 .....	G	Vista Equity Partners Fund VI, L.P.; Aurora Equity Partners IV, L.P.; Vista Equity Partners Fund VI, L.P.
20171118 .....	G	HeidelbergCement AG; CEMEX S.A.B. de C.V.; HeidelbergCement AG.
20171121 .....	G	Nikkiso Co., Ltd.; Ross M. Brown; Nikkiso Co., Ltd.

## 05/16/2017

20171139 .....	G	Gridiron Capital Fund III, L.P.; Audax Private Equity Fund IV, L.P.; Gridiron Capital Fund III, L.P.
20171144 .....	G	Insight Venture Partners VII, L.P.; Smartsheet Inc.; Insight Venture Partners VII, L.P.
20171148 .....	G	Dubai Aerospace Enterprise (DAE) Ltd.; Carmel Capital S.A.R.L.; Dubai Aerospace Enterprise (DAE) Ltd.
20171152 .....	G	Marlin Equity IV, L.P.; Tangoe, Inc.; Marlin Equity IV, L.P.
20171153 .....	G	Peugeot S.A.; General Motors Company; Peugeot S.A.
20171155 .....	G	Salaheddin Fawzi Hasan; John T. Rogers & Twanna M. Rogers (husband and wife); Salaheddin Fawzi Hasan.
20171156 .....	G	Jeffery D. Hildebrand; ConocoPhillips; Jeffery D. Hildebrand.
20171160 .....	G	Richard D. Kinder; Kinder Morgan, Inc.; Richard D. Kinder.
20171165 .....	G	SK Capital Partners IV–A, L.P.; D.B. Western, Inc.—Texas; SK Capital Partners IV–A, L.P.
20171168 .....	G	Elliott International Limited; Roadrunner Transportation Systems, Inc.; Elliott International Limited.
20171169 .....	G	Elliott Associates, L.P.; Roadrunner Transportation Systems, Inc.; Elliott Associates, L.P.
20171180 .....	G	Mr. Len Blavatnik; Essential Products, Inc.; Mr. Len Blavatnik.
20171184 .....	G	TPG Growth III (A), L.P.; Medical Solutions Equity, LLC; TPG Growth III (A), L.P.

## 05/17/2017

20170048 .....	G	Ritchie Bros. Auctioneers Incorporated; IronPlanet Holdings, Inc.; Ritchie Bros. Auctioneers Incorporated.
20171143 .....	G	Cardinal Health, Inc.; Medtronic plc; Cardinal Health, Inc.
20171157 .....	G	Michael J. Angelakis; Virtusa Corporation; Michael J. Angelakis.

## 05/18/2017

20171062 .....	G	Supervalu Inc.; Unified Grocers, Inc.; Supervalu Inc.
20171104 .....	G	Alpine Aggregator LLC; Ascend Learning Holdings, LLC; Alpine Aggregator LLC.
20171134 .....	G	Standard Life plc; Aberdeen Asset Management PLC; Standard Life plc.

## 05/19/2017

20171126 .....	G	Macquarie Group Limited; Cargill, Incorporated; Macquarie Group Limited.
20171140 .....	G	Francisco Partners IV, L.P.; AQA Acquisition Holding, Inc.; Francisco Partners IV, L.P.
20171174 .....	G	The Veritas Capital Fund V, L.P.; SWN Communications Inc.; The Veritas Capital Fund V, L.P.
20171177 .....	G	Cisco Systems, Inc.; Timothy Tuttle; Cisco Systems, Inc.
20171185 .....	G	FleetCor Technologies, Inc.; Bernard Heitner; FleetCor Technologies, Inc.
20171186 .....	G	FleetCor Technologies, Inc.; Jacques Feldman; FleetCor Technologies, Inc.
20171189 .....	G	Daniel Gilbert; George K. Broady; Daniel Gilbert.
20171194 .....	G	Belden Inc.; Riverside Fund IV, LP; Belden Inc.
20171197 .....	G	AIPCF VI Cayman AIV Fund, LP; Canam Group, Inc.; AIPCF VI Cayman AIV Fund, LP.
20171200 .....	G	Dr. Robert J. Hariri; Human Longevity, Inc.; Dr. Robert J. Hariri.
20171202 .....	G	PSP Investments Holding Europe Ltd.; PSPLUX S.a.r.l.; PSP Investments Holding Europe Ltd.
20171211 .....	G	Hitachi Ltd.; Silver II GP Holdings S.C.A.; Hitachi Ltd.

## EARLY TERMINATIONS GRANTED—Continued

[May 1, 2017 through May 31, 2017]

## 05/22/2017

20171149 .....	G	Jorge Paulo Lemann; Richard A. Guthy & Denise A. Guthy; Jorge Paulo Lemann.
20171150 .....	G	Eugenie Patri Sebastien EPS, SA; Richard A. Guthy & Denise A. Guthy; Eugenie Patri Sebastien EPS, SA.
20171198 .....	G	New Mountain Partners V (AIV-A), L.P.; Fidelity National Financial, Inc.; New Mountain Partners V (AIV-A), L.P.

## 05/23/2017

20171161 .....	G	Legrand S.A.; Finelite, Inc.; Legrand S.A.
20171176 .....	G	WestRock Company; Dennis Mehiel; WestRock Company.
20171193 .....	G	Nautic Partners VIII, L.P.; Wolseley plc; Nautic Partners VIII, L.P.
20171220 .....	G	TC Pipelines, LP; Portland Natural Gas Transmission System; TC Pipelines, LP.

## 05/24/2017

20171077 .....	G	Deutsche Telekom AG; AT&T Inc.; Deutsche Telekom AG.
20171078 .....	G	AT&T Inc.; Deutsche Telekom AG; AT&T Inc.
20171154 .....	G	Neuberger Berman Alternative Funds; Whole Foods Market, Inc.; Neuberger Berman Alternative Funds.
20171209 .....	G	Federal Signal Corporation; GenNx 360 Capital Partners II, L.P.; Federal Signal Corporation.
20171214 .....	G	Lion Capital (Guernsey) Bridgeco Limited; Lenny & Larry's, LLC; Lion Capital (Guernsey) Bridgeco Limited.

## 05/25/2017

20171130 .....	G	Uniti Group Inc.; SLF Holdings, LLC; Uniti Group Inc.
20171225 .....	G	The Coca-Cola Company; Arca Continental, S.A.B. de C.V.; The Coca-Cola Company.

## 05/26/2017

20160979 .....	G	The Sherwin-Williams Company; The Valspar Corporation; The Sherwin-Williams Company.
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## 05/30/2017

20171119 .....	G	Vincent Viola; KCG Holdings, Inc.; Vincent Viola.
20171210 .....	G	North Haven Infrastructure Partners II AIV-I L.P.; Carlyle Infrastructure Partners Power III, LP; North Haven Infrastructure Partners II AIV-I L.P.
20171216 .....	G	Jeffrey Broin; Missouri Ethanol, L.L.C.; Jeffrey Broin.
20171219 .....	G	Cummins Inc.; Eaton Corporation plc; Cummins Inc.
20171221 .....	G	Jeffrey Broin; Prairie Ethanol, LLC; Jeffrey Broin.
20171222 .....	G	Q-HG Energy II Investment Partners, LLC; Noble Energy, Inc.; Q-HG Energy II Investment Partners, LLC.
20171223 .....	G	Owens & Minor, Inc.; Al Garden (Cayman) Limited; Owens & Minor, Inc.
20171228 .....	G	Jeffrey Broin; POET Grain, LLC; Jeffrey Broin.
20171229 .....	G	Calera Capital Partners V, L.P.; Adam M. Arnott; Calera Capital Partners V, L.P.
20171230 .....	G	Thoma Bravo Discover Fund, L.P.; Continuum Managed Services, LLC; Thoma Bravo Discover Fund, L.P.
20171234 .....	G	Desmarais Family Residuary Trust; Lumenpulse Inc.; Desmarais Family Residuary Trust.
20171236 .....	G	Temasek Holdings (Private) Limited; Thoma Bravo Fund X, L.P.; Temasek Holdings (Private) Limited.
20171242 .....	G	Pembina Pipeline Corporation; Veresen Inc.; Pembina Pipeline Corporation.
20171244 .....	G	CP VI Eagle, L.P.; WildHorse Resource Development Corporation; CP VI Eagle, L.P.
20171248 .....	G	Enbridge Inc.; Enbridge Energy Partners, L.P.; Enbridge Inc.
20171255 .....	G	Reliance Worldwide Corporation Limited; Michelle M. Hubbard; Reliance Worldwide Corporation Limited.
20171256 .....	G	Owens Corning; PCC APIS Trust; Owens Corning.
20171257 .....	G	Orbiter Holdings Jersey Limited; Theodore Schneider; Orbiter Holdings Jersey Limited.
20171261 .....	G	GTCR Fund XI-A LP; VEPF IV AIV IX, L.P.; GTCR Fund XI-A LP.
20171264 .....	G	Silver Lake Partners IV, L.P.; Unity Software Inc.; Silver Lake Partners IV, L.P.
20171265 .....	G	Vitol Holding B.V.; VTTI Energy Partners LP; Vitol Holding B.V.
20171266 .....	G	Buckeye Partners, L.P.; VTTI Energy Partners LP; Buckeye Partners, L.P.

## 05/31/2017

20170964 .....	G	Clayton, Dubilier & Rice Fund IX, L.P.; OIP Safway AIV, L.P.; Clayton, Dubilier & Rice Fund IX, L.P.
20171145 .....	G	KKR & Co. L.P.; James M. Seneff, Jr.; KKR & Co. L.P.
20171243 .....	G	Trident FFP L.P.; Focus Financial Partners, LLC; Trident FFP L.P.

*For Further Information Contact:*  
Theresa Kingsberry, Program Support Specialist, Federal Trade Commission Premerger Notification Office, Bureau of Competition, Room CC-5301, Washington, DC 20024, (202) 326-3100.

By direction of the Commission.

**Donald S. Clark,**  
*Secretary.*

[FR Doc. 2017-12717 Filed 6-16-17; 8:45 am]

**BILLING CODE 6750-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Centers for Disease Control and Prevention

#### Notice of Changes in Permit Application To Import a Dog Inadequately Immunized Against Rabies

**AGENCY:** Centers for Disease Control and Prevention (CDC), Department of Health and Human Services (HHS).

**ACTION:** Notice.

**SUMMARY:** The Centers for Disease Control and Prevention (CDC) in the Department of Health and Human Services (HHS) announces changes in the application process to import a dog inadequately immunized against rabies. As a result of these changes, at least 10 business days before arriving into the United States with an inadequately immunized dog, an importer must apply online at <https://www.cdc.gov/importation/> for a Permit to Import a Dog Inadequately Immunized against Rabies. Permit applications to import an inadequately immunized dog will not be available at the port of entry and no permits will be issued at the port of entry. Inadequately immunized dogs arriving at a port of entry without an approved permit will be denied entry into the United States and exported to its country of origin at the owner's expense.

**DATES:** This notice is effective August 18, 2017.

**FOR FURTHER INFORMATION CONTACT:**

*For information regarding this notice contact:* Ashley A. Marrone, J.D., Division of Global Migration and Quarantine, Centers for Disease Control and Prevention, 1600 Clifton Road NE., MS-E03, Atlanta, GA 30329.

*For information regarding CDC operations related to this notice contact:* Kendra Stauffer, D.V.M., Division of Global Migration and Quarantine, Centers for Disease Control and Prevention, 1600 Clifton Road NE., MS-E28, Atlanta, GA 30329. Either may also

be reached by telephone 404-498-1600 or email [CDCAAnimalImports@cdc.gov](mailto:CDCAAnimalImports@cdc.gov).

**SUPPLEMENTARY INFORMATION:**

**I. Background**

Under section 361 of the Public Health Service Act (PHS Act) (42 U.S.C. 264), the Secretary of Health and Human Services, has the authority to make and enforce regulations necessary to prevent the introduction, transmission, or spread of communicable diseases from foreign countries into the United States and between U.S. states and territories. For purposes of carrying out and enforcing such regulations, the Secretary may authorize a variety of public health measures, including inspection, fumigation, disinfection, sanitation, pest extermination, destruction of animals or articles found to be sources of dangerous infection to human beings, and other measures. Since 1956, federal quarantine regulations have controlled the entry of dogs into the United States. See 21 FR 9870 (Dec. 12, 1956). Currently, HHS/CDC regulates the import of dogs into the United States under regulations found at 42 CFR 71.51. Among the principal concerns for regulating the import of dogs is to prevent the introduction and spread of rabies. Authority for carrying out 42 CFR 71.51 has been delegated to HHS/CDC's Division of Global Migration and Quarantine (DGMQ), which staffs and maintains quarantine stations at major U.S. ports of entry.

DGMQ oversees the import of dogs into the United States to ensure that dogs show no signs of communicable disease upon arrival and are vaccinated against rabies. Under 42 CFR 71.51, the owner or owner's agent must present a valid rabies vaccination certificate for a dog upon arrival at a U.S. port of entry. The only exceptions to this requirement are if the owner or agent submits satisfactory evidence that the dog, for the previous 6 months before arrival, has only been in a country that does not present a risk for canine rabies or the dog is to be taken to a research facility and vaccination would interfere with the purposes of the research.

Under 42 CFR 71.51(c)(2), however, the CDC Director may authorize admission of an inadequately immunized dog if the owner or owner's agent agrees to confine the dog under conditions that restrict its contact with humans and other animals until it is fully immunized against rabies. Under these circumstances, if the date of vaccination shown on the vaccination certificate is less than 30 days before the date of arrival and the dog was 3 months of age or older when vaccinated, the dog

may be admitted into the United States, but must be confined until at least 30 days have elapsed since the date of vaccination. If the dog is unvaccinated upon arrival and is at least 3 months of age or older, it may be admitted, but must be confined until it is vaccinated against rabies and 30 days have elapsed since vaccination. If the dog is either unvaccinated or partially immunized upon arrival and is less than 3 months of age, it may be admitted, but must be confined until vaccinated against rabies at 3 months of age and for at least 30 days after the date of vaccination.

In 2014, HHS/CDC published guidance in the **Federal Register** clarifying that it allows an owner or agent to import an inadequately immunized dog into the United States only for purposes of personal pet ownership. See 79 FR 39403 (July 20, 2014). This document also described the criteria that HHS/CDC uses in determining whether to issue a dog confinement agreement that allows the entry into the United States and confinement of a dog until it is adequately immunized against rabies. The document further described the steps that an importer may take if an imported dog is denied entry into the United States, including the availability of a written appeal.

Through today's document, HHS/CDC is informing the public that it is changing its application process from a paper-based dog confinement agreement system to a web-based application and electronic permit system (Permit to Import a Dog Inadequately Immunized against Rabies). Effective August 18, 2017, an owner or owner's agent must apply for a Permit to Import a Dog Inadequately Immunized against Rabies at least 10 business days before arriving into the United States with an inadequately immunized dog through this web-based system. Permit applications to import an inadequately immunized dog will not be available at the port of entry and no permits will be issued at the port of entry. Inadequately immunized dogs arriving at a port of entry without an approved permit will be denied entry into the United States and re-exported to the country of origin at the owner's expense.

**II. Provisions of This Notice**

Effective, August 18, 2017, at least 10 business days before arriving into the United States with an inadequately immunized dog, an importer must apply online at <https://www.cdc.gov/importation/> for a Permit to Import a Dog Inadequately Immunized against Rabies.

DGMQ will review a permit application within 3–5 business days of receiving the application and apply the criteria in **Federal Register** notice published at 79 FR 39403 (July 20, 2014). If the application is approved, a permit will be emailed to the dog's owner. The owner must present the permit to the Customs and Border Protection (CBP) officer at the first arriving port of entry into the United States. The permit will be collected by the CBP officer and sent to CDC.

If the permit application is denied, DGMQ will email the reasons for the denial to the dog's owner within 3–5 business days of receiving the application. The email will include instructions on whom to contact, including name, address, and telephone number, if the dog's owner has any questions, as well as information on how to submit an appeal. In accordance with current procedures, individuals who wish to contest CDC's determination will have five business days after receiving the denial to submit a written appeal. The individual must submit the appeal via email to [cdcanimalimports@cdc.gov](mailto:cdcanimalimports@cdc.gov), state the reasons for the appeal, and show that there is a genuine and substantial issue of fact in dispute. CDC will issue a response via email, which will constitute final agency action. The appeal will be reviewed and decided upon by a CDC senior management official who is senior to the employee who denied the initial permit application. In keeping with current practice, a successful appeal of a denial only permits the owner to import the dog into the United States at a later date under the requirements set forth in a dog import permit. The appeal does not entitle the owner to recover any costs related to returning a dog that has been denied entry to its country of origin and reimporting the dog into the United States. An owner or owner's agent will not be allowed to board a dog or arrange for its confinement at a port of entry pending a determination regarding the importer's application to import an inadequately immunized dog. Accordingly, inadequately immunized dogs arriving at a port of entry without an approved permit will be denied entry into the United States and re-exported to its country of origin at the owner's expense.

### III. Paperwork Reduction Act

This change does not institute a new collection of information. The collection of information, has been previously approved by the Office of Management and Budget (OMB) in accordance with the requirements of the Paperwork

Reduction Act (44 U.S.C. 3507) and assigned the following OMB control number: Foreign Quarantine: OMB Control No. 0920–0134, expiration date 5/31/2019.

Dated: June 12, 2017.

**Sandra Cashman,**

*Executive Secretary, Centers for Disease Control and Prevention.*

[FR Doc. 2017–12439 Filed 6–16–17; 8:45 am]

**BILLING CODE 4163–18–P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Centers for Disease Control and Prevention

[60Day–17–17ACE; Docket No. CDC–2017–0043]

#### Proposed Data Collection Submitted for Public Comment and Recommendations

**AGENCY:** Centers for Disease Control and Prevention (CDC), Department of Health and Human Services (HHS).

**ACTION:** Notice with comment period.

**SUMMARY:** The Centers for Disease Control and Prevention (CDC), as part of its continuing efforts to reduce public burden and maximize the utility of government information, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995. This notice invites comment on a proposed information collection entitled “Evaluation of Medication-Assisted Treatment (MAT) for Opioid use disorder.” CDC will use the collection to conduct an epidemiologic study to assess the type of MAT (methadone maintenance; buprenorphine; naltrexone; or, counseling, no MAT), and the contextual, provider, and individual factors that influence implementation and improved patient wellbeing over a two-year follow up period.

**DATES:** Written comments must be received on or before August 18, 2017.

**ADDRESSES:** You may submit comments, identified by Docket No. CDC–2017–0043 by any of the following methods:

- *Federal eRulemaking Portal:* [Regulations.gov](http://Regulations.gov). Follow the instructions for submitting comments.
- *Mail:* Leroy A. Richardson, Information Collection Review Office, Centers for Disease Control and Prevention, 1600 Clifton Road NE., MS–D74, Atlanta, Georgia 30329.

*Instructions:* All submissions received must include the agency name and

Docket Number. All relevant comments received will be posted without change to [Regulations.gov](http://Regulations.gov), including any personal information provided. For access to the docket to read background documents or comments received, go to [Regulations.gov](http://Regulations.gov).

**Please note:** All public comment should be submitted through the Federal eRulemaking portal ([Regulations.gov](http://Regulations.gov)) or by U.S. mail to the address listed above.

**FOR FURTHER INFORMATION CONTACT:** To request more information on the proposed project or to obtain a copy of the information collection plan and instruments, contact Leroy A. Richardson, Information Collection Review Office, Centers for Disease Control and Prevention, 1600 Clifton Road, NE., MS–D74, Atlanta, Georgia 30329; phone: 404–639–7570; Email: [omb@cdc.gov](mailto:omb@cdc.gov).

**SUPPLEMENTARY INFORMATION:** Under the Paperwork Reduction Act of 1995 (PRA) (44 U.S.C. 3501–3520), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. In addition, the PRA also requires Federal agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each new proposed collection, each proposed extension of existing collection of information, and each reinstatement of previously approved information collection before submitting the collection to OMB for approval. To comply with this requirement, we are publishing this notice of a proposed data collection as described below.

*Comments are invited on:* (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; to develop, acquire, install and utilize technology

and systems for the purpose of collecting, validating and verifying information, processing and maintaining information, and disclosing and providing information; to train personnel and to be able to respond to a collection of information, to search data sources, to complete and review the collection of information; and to transmit or otherwise disclose the information.

**Proposed Project**

Evaluation of Medication-Assisted Treatment (MAT) for Opioid use disorder—New—National Center for Injury Prevention and Control (NCIPC), Centers for Disease Control and Prevention (CDC).

*Background and Brief Description*

CDC seeks a three-year OMB approval to collect evaluation information for Medication-Assisted Treatment (MAT) for Opioid use disorder.

About 2.4 million people aged 18 or older have opioid use disorders (OUDs)

in the United States. At any given time, only half of these people receive some form of treatment, which may include medication-assisted treatment (MAT) or abstinence-based psychotherapy or self-help treatments (*i.e.*, counseling without medication [COUN]). The rise in opioid overdose deaths, up from 2014–2015 due partly to a 72% rise in synthetic opioid overdose deaths alone, shows that engaging and retaining clients in OUD treatment is an urgent public health need. Only a few studies are available to help clients and providers make informed decisions about the risks and benefits associated with the different types of MATs. This information is crucial because even though each MAT drug helps prevent withdrawal symptoms and decreases cravings, differences in treatment approach and settings influence how people respond to the medication and, thus, their long-term treatment success.

The purpose of this evaluation is to conduct an epidemiologic, mixed-methods evaluation of OUD treatment in

real-world outpatient settings. The study aims to have 3,000 participants from real-world outpatient settings to better understand the relationship between type of MAT and individual, provider, and contextual characteristics related to retention in treatment and abstinence from opioid use. The sites will be located across 10 diverse metropolitan statistical areas (MSAs) with four sites in each MSA. At each site, about 75 participants are expected to participate for a total of 300 per MSA. Across all MSAs, the study will aim to have 750 client participants in each of the four treatment conditions (MMT, BUP, NAL, and COUN).

The study will use a mixed-method approach using quantitative methods such as multilevel latent growth models, propensity score matching, latent class analysis and advance mediation analysis and qualitative methods such as interactive coding and analysis for common themes. The only cost to respondents will be time spent responding to the survey/screener.

**ESTIMATED ANNUALIZED BURDEN HOURS**

Type of respondents	Instrument name	Number of respondents	Number of responses per respondent	Average burden per response (hours)	Total burden (hours)
Provider site staff .....	Client Permission Form .....	15	100	5/60	125
	Visit Form .....	15	525	10/60	1,313
	Site Director Questionnaire .....	15	2	1	30
	Focus Groups .....	27	1	90/60	41
Client respondents .....	Client Screener .....	1,333	1	5/60	111
	Client Check-in .....	1,000	2	15/60	500
	Client Questionnaire .....	2,412	1	49/60	1,978
	Focus Groups .....	27	1	90/60	41
Total .....					4,139

**Leroy A. Richardson,**  
*Chief, Information Collection Review Office, Office of Scientific Integrity, Office of the Associate Director for Science, Office of the Director, Centers for Disease Control and Prevention.*

[FR Doc. 2017–12736 Filed 6–16–17; 8:45 am]

**BILLING CODE 4163–18–P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Administration for Children and Families**

**Proposed Information Collection Activity; Comment Request**

*Title:* Administration for Native Americans Objective Work Plan (OWP) and Objective Progress Report (OPR).

*OMB No.:* 0970–0452.

*Description:* Content and formatting changes are being made to the

Administration for Native Americans’ (ANA) Objective Work Plan (OWP) and Objective Progress Report (OPR). The OWP is used by applicants when they submit their proposals and then by grantees to monitor their projects once the award is made by ANA. Slight content changes are proposed for the OWP approved under information collection OMB No. 0970–0452, Expiration Date 6/30/2018. An extension of expiration date is also requested. This will streamline the information collection and reduce the number of elements.

*OWP:* The following are proposed content changes to the document: ANA proposes to eliminate Problem Statement and Results and Benefits and Criteria for Evaluation of results and benefits from the OWP. These elements will no longer be required by applicants for ANA discretionary grants. ANA will

consolidate staffing into one field for both lead and support staff.

ANA will require applicants to differentiate between administrative activities and milestone activities. Administrative activities are those directly related to grant administration, such as reporting and attending post-award training. Milestone activities are key activities needed to complete project objectives. These activities may result in a single output; therefore ANA will require applicants to identify outputs related to milestone activities as necessary.

*OPR:* Currently, ANA requires grantees to report on the status of results and benefits in the OPR. This section will be deleted as ANA no longer requires grantees to identify results or benefits from their project, just outcomes. Outcomes will be reported annually in a separate OMB approved form.

Respondents: Tribal Governments, Native non-profit organizations, Tribal

Colleges & Universities applying for ANA funding.

The following is the hour of burden estimate for this information collection:

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
OWP .....	300	1	2	600
OPR .....	275	2	1	550

**Estimated Total Annual Burden Hours:** 1,150.

In compliance with the requirements of Section 506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 330 C Street Washington, DC 20201, Attn: ACF Reports Clearance Officer. Email address: [infocollection@acf.hhs.gov](mailto:infocollection@acf.hhs.gov). All requests should be identified by the title of the information collection.

The Department specifically requests comments on: (a) The accuracy of the agency's estimate of the burden of the proposed collection of information; (b) the quality, utility, and clarity of the information to be collected; and (c) ways to minimize the burden of the collection of information on respondents, including through the use

of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

**Robert Sargis,**  
Reports Clearance Officer.  
[FR Doc. 2017-12691 Filed 6-16-17; 8:45 am]

**BILLING CODE 4184-34-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Administration for Children and Families**

[CFDA Number: 93.676]

**Announcement of the Award of Six Single-Source Program Expansion Supplement Grants Under the Unaccompanied Children's Program**

**AGENCY:** Office of Refugee Resettlement, ACF, HHS.

**ACTION:** Notice of award of six single-source program expansion supplement

grants under the Unaccompanied Children's (UC) Program.

**SUMMARY:** The Administration for Children and Families, Office of Refugee Resettlement (ORR), announces the award of six single-source program expansion supplement grants for a total of \$14,821,314 under the UC Program.

**DATES:** Supplemental award funds will support activities for four grantees from October 1, 2016, through December 31, 2016, and for two grantees from October 1, 2016, through September 30, 2017.

**FOR FURTHER INFORMATION CONTACT:** Jallyn Sualog, Director, Division of Unaccompanied Children Operations, Office of Refugee Resettlement, 330 C Street SW., Washington, DC 20201. Phone: 202-401-4997. Email: [DCSProgram@acf.hhs.gov](mailto:DCSProgram@acf.hhs.gov).

**SUPPLEMENTARY INFORMATION:** ORR is continuously monitoring its capacity to shelter the UC referred to the Department of Health and Human Services (HHS), and the information received from interagency partners, to inform any future decisions or actions. The six recipients are:

Organization	Location	Amount
Heartland Human Care, Inc .....	Chicago, IL .....	\$845,855
International Educational Services, Inc .....	San Benito, TX .....	131,109
International Educational Services, Inc .....	Los Fresnos, TX .....	1,118,780
Cayuga Home for Children DBA Cayuga Centers .....	New York, NY .....	979,200
Southwest Key .....	Phoenix, AZ .....	2,460,800
Southwest Key .....	Brownsville, TX .....	9,285,570

ORR has been identifying additional capacity to provide shelter for potential increases in apprehensions of UC at the U.S. Southern Border. Planning for increased shelter capacity is a prudent step to ensure that ORR is able to meet its responsibility, by law, to provide shelter for Unaccompanied Children referred to its care by the Department of Homeland Security (DHS).

The expansion supplement grants will support the need to increase shelter capacity to accommodate the increasing numbers of UCs being referred by DHS. All grantees have the infrastructure,

licensing, experience and appropriate level of trained staff to meet the service requirements and the urgent need for expansion of services. The grantees provide residential services to UC in the care and custody of ORR, as well as services to include counseling, case management, and additional support services to the family or to the UC and their sponsor when a UC is released from ORR's care and custody.

ORR has specific requirements for the provision of services. Award recipients must have the infrastructure, licensing, experience, and appropriate level of

trained staff to meet those requirements. The expansion of the existing program and its services through this supplemental award is a key strategy for ORR to be prepared to meet its responsibility to provide shelter for UC referred to its care by DHS and so that the U.S. Border Patrol can continue its vital national security mission to prevent illegal migration and trafficking, and to protect the borders of the United States.

*Statutory Authority:* This program is authorized by—

(A) Section 462 of the Homeland Security Act of 2002, which in March 2003, transferred responsibility for the care and custody of Unaccompanied Alien Children from the Commissioner of the former Immigration and Naturalization Service to the Director of ORR of HHS.

(B) The Flores Settlement Agreement, Case No. CV85–4544–RJK (C. D. Cal. 1996), and the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008 (Pub. L. 110–457), which authorizes post release services under certain conditions to eligible children. All programs must comply with the Flores Settlement Agreement, Case No. CV85–4544–RJK (C.D. Cal. 1996), pertinent regulations and ORR policies and procedures.

**Christopher Beach,**

*Senior Grants Policy Specialist, Division of Grants Policy, Office of Administration.*

[FR Doc. 2017–12627 Filed 6–16–17; 8:45 am]

**BILLING CODE 4184–45–P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Administration for Children and Families**

[CFDA Number: 93.568]

**Reallotment of Fiscal Year 2016 Funds for the Low Income Home Energy Assistance Program (LIHEAP)**

**AGENCY:** Division of Energy Assistance, Office of Community Services (OCS), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS).

**ACTION:** Notice of determination concerning funds available for reallotment.

**SUMMARY:** Notice is hereby given of a preliminary determination that funds from the fiscal year (FY) 2016 Low Income Home Energy Assistance Program (LIHEAP) are available for

reallotment to states, territories, tribes, and tribal organizations that received FY 2017 direct LIHEAP grants. No subgrantees or other entities may apply for these funds.

**DATES:** Submit comments on or before July 19, 2017.

**ADDRESSES:** Comments may be submitted to: J. Janelle George, Acting Director, Office of Community Services, 330 C Street SW., 5th Floor, Mail Room 5425, Washington, DC 20201.

**FOR FURTHER INFORMATION CONTACT:** Lauren Christopher, Director, Division of Energy Assistance, Office of Community Services, 330 C Street SW., 5th Floor, Mail Room 5425, Washington, DC 20201; telephone (202) 401–4870; email: [lauren.christopher@acf.hhs.gov](mailto:lauren.christopher@acf.hhs.gov).

**SUPPLEMENTARY INFORMATION:** Section 2607(b)(1) of the Low Income Home Energy Assistance Act (the Act), (42 U.S.C. 8626(b)(1)) requires that, if the Secretary of HHS determines that, as of September 1 of any fiscal year, an amount in excess of 10 percent of the amount awarded to a grantee for that fiscal year (excluding Leveraging and REACH funds) will not be used by the grantee during that fiscal year, then the Secretary must notify the grantee and publish a notice in the **Federal Register** that such funds may be reallotted to LIHEAP grantees during the following fiscal year. If reallotted, the LIHEAP block grant allocation formula will be used to distribute the funds. No funds may be allotted to entities that are not direct LIHEAP grantees during FY 2017.

It has been determined that \$3,253,866 in LIHEAP funds may be available for reallotment during FY 2017. This determination is based on FY 2016 Carryover and Reallotment Reports, which showed that 15 grantees reported reallotment funds (State of Arkansas, Association of Village Council Presidents, Cocopah Tribe of Arizona, Eastern Band of Cherokee Indians, State of Georgia, Hoh Indian Tribe, Kalispel Indian Community of the Kalispel Reservation, Oglala Sioux Tribe, Passamaquoddy Tribe at Pleasant

Point, Poarch Band of Creeks, Quinault Indian Nation, Sault Ste. Marie Tribe of Chippewa Indians, The Chickasaw Nation, Three Affiliated Tribes of the Ft. Berthold Reservation, and the State of Vermont). Grantees submitted the FY 2016 Carryover and Reallotment Reports to OCS, as required by regulations applicable to LIHEAP at 45 CFR 96.81(b).

The LIHEAP statute allows grantees who have funds unobligated at the end of the federal fiscal year for which they are awarded to request that they be allowed to carry over up to 10 percent of their full-year allotments to the next federal fiscal year. Funds in excess of this amount must be returned to HHS and are subject to reallotment under section 2607(b)(1) of the Act (42 U.S.C. 8626(b)(1)). The amount described in this notice was reported by grantees as unobligated FY 2016 funds in excess of the amount that these grantees could carry over to FY 2017.

In accordance with section 2607(b)(3) of the Act (42 U.S.C. 8626(b)(3)), comments will be accepted for a period of 30 days from the date of publication of this notice.

After considering any comments submitted, all current LIHEAP grantees will be notified of the final reallotment amount redistributed to them for obligation in FY 2017. This decision will be published in an Information Memorandum that gets posted to ACF’s Web site.

If funds are reallotted, they will be allocated in accordance with section 2604 of the Act (42 U.S.C. 8623) and must be treated by LIHEAP grantees receiving them as an amount appropriated for FY 2017. As FY 2017 funds, they will be subject to all requirements of the Act, including section 2607(b)(2) (42 U.S.C. 8626(b)(2)), which requires that a grantee obligate at least 90 percent of its total block grant allocation for a fiscal year by the end of the fiscal year for which the funds are appropriated, that is, by September 30, 2017.

**ESTIMATED REALLOTMENT AMOUNTS OF FY 2016 LIHEAP FUNDS**

Grantee name	Reallotment amount
Arkansas .....	\$726,214
Association of Village Council Presidents .....	169,410
Cocopah Tribe of Arizona .....	18
Eastern Band of Cherokee Indians .....	18,728
Georgia .....	1,035,739
Hoh Indian Tribe .....	1,907
Kalispel Indian Community of the Kalispel Reservation .....	1,558
Oglala Sioux Tribe .....	23,396
Passamaquoddy Tribe at Pleasant Point .....	107
Poarch Band of Creeks .....	70,819



ESTIMATED REALLOTMENT AMOUNTS OF FY 2016 LIHEAP FUNDS—Continued

Grantee name	Reallotment amount
Quinault Indian Nation .....	4,091
Sault Ste. Marie Tribe of Chippewa Indians .....	4
The Chickasaw Nation .....	195,952
Three Affiliated Tribes of the Ft. Berthold Reservation .....	348,035
Vermont .....	657,888
<b>Total</b> .....	<b>3,253,866</b>

**Statutory Authority:** 42 U.S.C. 8626.

**Elizabeth Leo,**

*Grants Policy Specialist, Division of Grants Policy, Office of Administration.*

[FR Doc. 2017-12675 Filed 6-16-17; 8:45 am]

**BILLING CODE 4184-80-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. FDA-2011-N-0015]

**Agency Information Collection Activities; Proposed Collection; Comment Request; Orphan Drugs; Common European Medicines Agency/ Food and Drug Administration Application Form for Orphan Drug Medicinal Product Designation**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing an opportunity for public comment on the proposed collection of certain information by the Agency. Under the Paperwork Reduction Act of 1995 (the PRA), Federal Agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on Common European Medicines Agency (EMA)/FDA Application Form for Orphan Drug Medicinal Product Designation (Form FDA 3671).

**DATES:** Submit either electronic or written comments on the collection of information by August 18, 2017.

**ADDRESSES:** You may submit comments as follows. Please note that late, untimely filed comments will not be considered. Electronic comments must be submitted on or before August 18, 2017. The <https://www.regulations.gov> electronic filing system will accept comments until midnight Eastern Time

at the end of August 18, 2017. Comments received by mail/hand delivery/courier (for written/paper submissions) will be considered timely if they are postmarked or the delivery service acceptance receipt is on or before that date.

*Electronic Submissions*

Submit electronic comments in the following way:

- *Federal eRulemaking Portal:* <https://www.regulations.gov>. Follow the instructions for submitting comments. Comments submitted electronically, including attachments, to <https://www.regulations.gov> will be posted to the docket unchanged. Because your comment will be made public, you are solely responsible for ensuring that your comment does not include any confidential information that you or a third party may not wish to be posted, such as medical information, your or anyone else's Social Security number, or confidential business information, such as a manufacturing process. Please note that if you include your name, contact information, or other information that identifies you in the body of your comments, that information will be posted on <https://www.regulations.gov>.

- If you want to submit a comment with confidential information that you do not wish to be made available to the public, submit the comment as a written/paper submission and in the manner detailed (see "Written/Paper Submissions" and "Instructions").

*Written/Paper Submissions*

Submit written/paper submissions as follows:

- *Mail/Hand delivery/Courier (for written/paper submissions):* Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

- For written/paper comments submitted to the Dockets Management Staff, FDA will post your comment, as well as any attachments, except for information submitted, marked and identified, as confidential, if submitted as detailed in "Instructions."

*Instructions:* All submissions received must include the Docket No. FDA-2011-N-0015 for "Orphan Drugs; Common EMA/FDA Application Form for Orphan Medicinal Product Designation (Form FDA 3671)—21 CFR part 316." Received comments, those filed in a timely manner (see **ADDRESSES**), will be placed in the docket and, except for those submitted as "Confidential Submissions," publicly viewable at <https://www.regulations.gov> or at the Dockets Management Staff between 9 a.m. and 4 p.m., Monday through Friday.

- **Confidential Submissions—**To submit a comment with confidential information that you do not wish to be made publicly available, submit your comments only as a written/paper submission. You should submit two copies total. One copy will include the information you claim to be confidential with a heading or cover note that states "THIS DOCUMENT CONTAINS CONFIDENTIAL INFORMATION." The Agency will review this copy, including the claimed confidential information, in its consideration of comments. The second copy, which will have the claimed confidential information redacted/blacked out, will be available for public viewing and posted on <https://www.regulations.gov>. Submit both copies to the Dockets Management Staff. If you do not wish your name and contact information to be made publicly available, you can provide this information on the cover sheet and not in the body of your comments and you must identify this information as "confidential." Any information marked as "confidential" will not be disclosed except in accordance with 21 CFR 10.20 and other applicable disclosure law. For more information about FDA's posting of comments to public dockets, see 80 FR 56469, September 18, 2015, or access the information at: <https://www.gpo.gov/fdsys/pkg/FR-2015-09-18/pdf/2015-23389.pdf>.

*Docket:* For access to the docket to read background documents or the electronic and written/paper comments received, go to [https://](https://www.regulations.gov)

[www.regulations.gov](http://www.regulations.gov) and insert the docket number, found in brackets in the heading of this document, into the "Search" box and follow the prompts and/or go to the Dockets Management Staff, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

**FOR FURTHER INFORMATION CONTACT:** Amber Sanford, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown St., North Bethesda, MD 20852, [PRAStaff@fda.hhs.gov](mailto:PRAStaff@fda.hhs.gov), 301-796-8867.

**SUPPLEMENTARY INFORMATION:** Under the PRA (44 U.S.C. 3501-3520), Federal Agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. "Collection of information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA's functions, including whether the information will have practical utility; (2) the accuracy of FDA's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

**Orphan Drugs; Common EMA/FDA Application Form for Orphan Medicinal Product Designation (Form FDA 3671) 21 CFR Part 316; OMB Control Number 0910-0167—Extension**

Sections 525 through 528 of the Federal Food, Drug, and Cosmetic Act

(the FD&C Act) (21 U.S.C. 360aa through 360dd) give FDA statutory authority to do the following: (1) Provide recommendations on investigations required for approval of marketing applications for orphan drugs, (2) designate eligible drugs as orphan drugs, (3) set forth conditions under which a sponsor of an approved orphan drug obtains exclusive approval, and (4) encourage sponsors to make orphan drugs available for treatment on an "open protocol" basis before the drug has been approved for general marketing. The implementing regulations for these statutory requirements have been codified under part 316 (21 CFR part 316) and specify procedures that sponsors of orphan drugs use in availing themselves of the incentives provided for orphan drugs in the FD&C Act and sets forth procedures FDA will use in administering the FD&C Act with regard to orphan drugs.

Section 316.10 specifies the content and format of a request for written recommendations concerning the nonclinical laboratory studies and clinical investigations necessary for approval of marketing applications. Section 316.12 provides that, before providing such recommendations, FDA may require results of studies to be submitted for review. Section 316.14 contains provisions permitting FDA to refuse to provide written recommendations under certain circumstances. Within 90 days of any refusal, a sponsor may submit additional information specified by FDA. Based on past experience, FDA estimates that there will be one respondent to §§ 316.10, 316.12, and 316.14 requiring 50 hours of human resources annually.

Section 316.20 specifies the content and format of an orphan drug application which includes requirements that an applicant document that the disease is rare (affects fewer than 200,000 persons in the United States annually) or that the sponsor of the drug has no reasonable expectation of recovering costs of research and development of the drug. Section 316.21 specifies content of a request for orphan drug designation required for verification of orphan-drug status. Section 316.26 allows an applicant to amend the applications under certain circumstances. Based on past experience, FDA estimates 496 respondents to §§ 316.20, 316.21 and 316.26, requiring 93,000 hours of human resources annually.

The Common EMA/FDA Application Form for Orphan Medicinal Product

Designation (Form FDA 3671) is intended to benefit sponsors who desire to seek orphan designation of drugs intended for rare diseases or conditions from both the European Commission and FDA by reducing the burden of preparing separate applications to meet the regulatory requirements in each jurisdiction. It highlights the regulatory cooperation between the United States and the European Union mandated by the Transatlantic Economic Council (TEC). Based on past experience, FDA estimates there will be 60 respondents using the form requiring 450 hours of human resources annually.

Section 316.22 specifies requirement of a permanent resident agent for foreign sponsors. Based on past experience, FDA estimates 70 respondents requiring 140 hours of human resources annually. Section 316.24(a) specifies a requirement that sponsors respond to deficiency letters from FDA on designation requests within 1 year of issuance of the deficiency letter, unless within that time frame, the sponsor requests an extension of time to respond. Based on past experience, FDA estimates 20 respondents requiring 40 hours of human resources annually.

Section 316.27 specifies content of a change in ownership of orphan-drug designation. Based on past experience, FDA estimates 63 respondents requiring 315 hours of human resources annually. Section 316.30 requires submission of annual reports, including progress reports on studies, a description of the investigational plan, and a discussion of changes that may affect orphan status. Based on number of orphan-drug designations, the number of respondents is estimated as 744 requiring 2,232 hours of human resources annually. Finally, § 316.36 describes information required of sponsor when there is insufficient quantity of approved orphan drug. Based on past experience, FDA estimates two respondents requiring 90 hours of human resources annually.

The information requested will provide the basis for an FDA determination that the drug is for a rare disease or condition and satisfies the requirements for obtaining orphan drug status. Secondly, the information will describe the medical and regulatory history of the drug. The respondents to this collection of information are biotechnology firms, drug companies, and academic clinical researchers.

FDA estimates the burden of this collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>

21 CFR section	Number of respondents	Annual frequency per response	Total annual responses	Hours per response	Total hours
Content and format when seeking written recommendations; results of studies; amendments (316.10, 316.12 & 316.14) .....	1	1	1	50	50
Content and format of a request for orphan-drug designation; request for verification of orphan-drug status; amendments (316.20, 316.21 & 316.26) FDA Form 3671 .....	496	1.25	620	150	93,000
Notifications of changes in agents (316.22) .....	60	1.5	90	5	450
Deficiency letters and granting orphan-drug designation (316.24(a)) .....	70	1	70	2	140
Submissions to change ownership of orphan-drug designation (316.27) .....	20	1	20	2	40
Annual reports (316.30) .....	63	1	63	5	315
Assurance of the availability of sufficient quantities of the orphan drug; holder's consent for the approval of other marketing applications for the same drug (316.36) .....	744	1	744	3	2,232
Total .....	2	3	6	15	90
					96,317

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

FDA has experienced increases in: (1) The number of submissions to change ownership of orphan-drug designation (21 CFR 316.27), (2) the number of annual reports 21 CFR 316.30, and (3) assurances of the availability of sufficient quantities of the orphan drug and the holder's consent for the approval of other marketing applications for the same drug (21 CFR 316.36). In contrast, however, the use of Form FDA 3671, the application form to submit for product designation to the European Medicines Agency and to the FDA Office of Orphan Products, has decreased from 6,760 to 450 total burden hours.

Dated: June 13, 2017.

**Anna K. Abram,**

*Deputy Commissioner for Policy, Planning, Legislation, and Analysis.*

[FR Doc. 2017-12620 Filed 6-16-17; 8:45 am]

**BILLING CODE 4164-01-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. FDA-2011-N-0076]

**Agency Information Collection Activities; Proposed Collection; Comment Request; Electronic Records; Electronic Signatures**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing an opportunity for public comment on the

proposed collection of certain information by the Agency. Under the Paperwork Reduction Act of 1995 (PRA), Federal Agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on requirements governing the acceptance of electronic records and electronic signatures.

**DATES:** Submit either electronic or written comments on the collection of information by August 18, 2017.

**ADDRESSES:** You may submit comments as follows. Please note that late, untimely filed comments will not be considered. Electronic comments must be submitted on or before August 18, 2017. The <https://www.regulations.gov> electronic filing system will accept comments until midnight Eastern Time at the end of August 18, 2017. Comments received by mail/hand delivery/courier (for written/paper submissions) will be considered timely if they are postmarked or the delivery service acceptance receipt is on or before that date.

*Electronic Submissions*

Submit electronic comments in the following way:

- **Federal eRulemaking Portal:** <https://www.regulations.gov/>. Follow the instructions for submitting comments. Comments submitted electronically, including attachments, to <https://www.regulations.gov/> will be

posted to the docket unchanged. Because your comment will be made public, you are solely responsible for ensuring that your comment does not include any confidential information that you or a third party may not wish to be posted, such as medical information, your or anyone else's Social Security number, or confidential business information, such as a manufacturing process. Please note that if you include your name, contact information, or other information that identifies you in the body of your comments, that information will be posted on <https://www.regulations.gov/>.

- If you want to submit a comment with confidential information that you do not wish to be made available to the public, submit the comment as a written/paper submission and in the manner detailed (see "Written/Paper Submissions" and "Instructions").

*Written/Paper Submissions*

Submit written/paper submissions as follows:

- **Mail/Hand delivery/Courier (for written/paper submissions):** Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.
- For written/paper comments submitted to the Dockets Management Staff, FDA will post your comment, as well as any attachments, except for information submitted, marked and identified, as confidential, if submitted as detailed in "Instructions."

**Instructions:** All submissions received must include the Docket No. FDA-2011-N-0076 for "Electronic Records; Electronic Signatures." Received

comments, those filed in a timely manner (see **ADDRESSES**), will be placed in the docket and, except for those submitted as “Confidential Submissions,” publicly viewable at <https://www.regulations.gov/> or at the Dockets Management Staff between 9 a.m. and 4 p.m., Monday through Friday.

- **Confidential Submissions**—To submit a comment with confidential information that you do not wish to be made publicly available, submit your comments only as a written/paper submission. You should submit two copies total. One copy will include the information you claim to be confidential with a heading or cover note that states “THIS DOCUMENT CONTAINS CONFIDENTIAL INFORMATION.” The Agency will review this copy, including the claimed confidential information, in its consideration of comments. The second copy, which will have the claimed confidential information redacted/blacked out, will be available for public viewing and posted on <https://www.regulations.gov/>. Submit both copies to the Dockets Management Staff. If you do not wish your name and contact information to be made publicly available, you can provide this information on the cover sheet and not in the body of your comments and you must identify this information as “confidential.” Any information marked as “confidential” will not be disclosed except in accordance with 21 CFR 10.20 and other applicable disclosure law. For more information about FDA’s posting of comments to public dockets, see 80 FR 56469, September 18, 2015, or access the information at: <https://www.gpo.gov/fdsys/pkg/FR-2015-09-18/pdf/2015-23389.pdf>.

**Docket:** For access to the docket to read background documents or the electronic and written/paper comments received, go to <https://www.regulations.gov/> and insert the docket number, found in brackets in the heading of this document, into the “Search” box and follow the prompts and/or go to the Dockets Management Staff, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

**FOR FURTHER INFORMATION CONTACT:** Domini Bean, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown St., North Bethesda, MD 20852, 301–796–5733, [PRASStaff@fda.hhs.gov](mailto:PRASStaff@fda.hhs.gov).

**SUPPLEMENTARY INFORMATION:** Under the PRA (44 U.S.C. 3501–3520), Federal Agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. “Collection of information” is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA’s functions, including whether the information will have practical utility; (2) the accuracy of FDA’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

**Electronic Records; Electronic Signatures—21 CFR Part 11; OMB Control Number 0910–0303—Extension**

FDA regulations in part 11 (21 CFR part 11) provide criteria for acceptance

of electronic records, electronic signatures, and handwritten signatures executed to electronic records as equivalent to paper records. Under these regulations, records and reports may be submitted electronically to FDA provided the Agency has stated its ability to electronically accept the records in an Agency-established public docket and that the other requirements of part 11 are met.

The recordkeeping provisions in part 11 (§§ 11.10, 11.30, 11.50, and 11.300) require the following standard operating procedures to assure appropriate use of, and precautions for, systems using electronic records and signatures: (1) § 11.10 specifies procedures and controls for persons who use closed systems to create, modify, maintain, or transmit electronic records; (2) § 11.30 specifies procedures and controls for persons who use open systems to create, modify, maintain, or transmit electronic records; (3) § 11.50 specifies procedures and controls for persons who use electronic signatures; and (4) § 11.300 specifies controls to ensure the security and integrity of electronic signatures based upon use of identification codes in combination with passwords. The reporting provision (§ 11.100) requires persons to certify in writing to FDA that they will regard electronic signatures used in their systems as the legally binding equivalent of traditional handwritten signatures.

The burden created by the information collection provision of this regulation is a one-time burden associated with the creation of standard operating procedures, validation, and certification. The Agency anticipates the use of electronic media will substantially reduce the paperwork burden associated with maintaining FDA required records. The respondents are businesses and other for-profit organizations, State or local governments, Federal Agencies, and nonprofit institutions.

FDA estimates the burden for the collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>

21 CFR section	Number of respondents	Number of responses per respondent	Total annual responses	Average burden per response (in hours)	Total hours
11.100 .....	4,500	1	4,500	1	4,500

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

TABLE 2—ESTIMATED ANNUAL RECORDKEEPING BURDEN<sup>1</sup>

21 CFR section	Number of recordkeepers	Number of records per recordkeeper	Total annual records	Average burden per recordkeeping (in hours)	Total hours
11.10 .....	2,500	1	2,500	20	50,000
11.30 .....	2,500	1	2,500	20	50,000
11.50 .....	4,500	1	4,500	20	90,000
11.300 .....	4,500	1	4,500	20	90,000
<b>Total</b> .....					<b>280,000</b>

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

Dated: June 13, 2017.  
**Anna K. Abram,**  
*Deputy Commissioner for Policy, Planning, Legislation, and Analysis.*  
 [FR Doc. 2017-12619 Filed 6-16-17; 8:45 am]  
**BILLING CODE 4164-01-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. FDA-2014-N-0345]

**Agency Information Collection Activities; Proposed Collection; Comment Request; Data To Support Drug Product Communications as Used by the Food and Drug Administration**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA or Agency) is announcing an opportunity for public comment on the proposed collection of certain information by the Agency. Under the Paperwork Reduction Act of 1995 (PRA), Federal Agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on a generic clearance to collect information to support communications used by FDA about drug products.

**DATES:** Submit either electronic or written comments on the collection of information by August 18, 2017.

**ADDRESSES:** You may submit comments as follows. Please note that late, untimely filed comments will not be considered. Electronic comments must be submitted on or before August 18, 2017. The <https://www.regulations.gov> electronic filing system will accept comments until midnight Eastern Time

at the end of August 18, 2017. Comments received by mail/hand delivery/courier (for written/paper submissions) will be considered timely if they are postmarked or the delivery service acceptance receipt is on or before that date.

*Electronic Submissions*

Submit electronic comments in the following way:

- *Federal eRulemaking Portal:* <https://www.regulations.gov>. Follow the instructions for submitting comments. Comments submitted electronically, including attachments, to <https://www.regulations.gov> will be posted to the docket unchanged. Because your comment will be made public, you are solely responsible for ensuring that your comment does not include any confidential information that you or a third party may not wish to be posted, such as medical information, your or anyone else’s Social Security number, or confidential business information, such as a manufacturing process. Please note that if you include your name, contact information, or other information that identifies you in the body of your comments, that information will be posted on <https://www.regulations.gov>.

- If you want to submit a comment with confidential information that you do not wish to be made available to the public, submit the comment as a written/paper submission and in the manner detailed (see “Written/Paper Submissions” and “Instructions”).

*Written/Paper Submissions*

Submit written/paper submissions as follows:

- *Mail/Hand delivery/Courier (for written/paper submissions):* Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

- For written/paper comments submitted to the Dockets Management Staff, FDA will post your comment, as well as any attachments, except for information submitted, marked and

identified, as confidential, if submitted as detailed in “Instructions.”

*Instructions:* All submissions received must include the Docket No. FDA-2014-N-0345 for “Data to Support Drug Product Communications as Used by the Food and Drug Administration.” Received comments, those filed in a timely manner (see **ADDRESSES**), will be placed in the docket and, except for those submitted as “Confidential Submissions,” publicly viewable at <https://www.regulations.gov> or at the Dockets Management Staff between 9 a.m. and 4 p.m., Monday through Friday.

- *Confidential Submissions*—To submit a comment with confidential information that you do not wish to be made publicly available, submit your comments only as a written/paper submission. You should submit two copies total. One copy will include the information you claim to be confidential with a heading or cover note that states “THIS DOCUMENT CONTAINS CONFIDENTIAL INFORMATION.” The Agency will review this copy, including the claimed confidential information, in its consideration of comments. The second copy, which will have the claimed confidential information redacted/blacked out, will be available for public viewing and posted on <https://www.regulations.gov>. Submit both copies to the Dockets Management Staff. If you do not wish your name and contact information to be made publicly available, you can provide this information on the cover sheet and not in the body of your comments and you must identify this information as “confidential.” Any information marked as “confidential” will not be disclosed except in accordance with 21 CFR 10.20 and other applicable disclosure law. For more information about FDA’s posting of comments to public dockets, see 80 FR 56469, September 18, 2015, or access the information at: <https://www.gpo.gov/fdsys/pkg/FR-2015-09-18/pdf/2015-23389.pdf>.

*Docket:* For access to the docket to read background documents or the

electronic and written/paper comments received, go to <https://www.regulations.gov> and insert the docket number, found in brackets in the heading of this document, into the "Search" box and follow the prompts and/or go to the Dockets Management Staff, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

**FOR FURTHER INFORMATION CONTACT:** Domini Bean, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown St., North Bethesda, MD 20852, 301-796-5733, [PRASStaff@fda.hhs.gov](mailto:PRASStaff@fda.hhs.gov).

**SUPPLEMENTARY INFORMATION:** Under the PRA (44 U.S.C. 3501-3520), Federal Agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. "Collection of information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this

requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA's functions, including whether the information will have practical utility; (2) the accuracy of FDA's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

**Data To Support Drug Product Communications as Used by the Food and Drug Administration; OMB Control Number 0910-0695—Extension**

Testing of messages in advance of a communication campaign provides an important role in improving FDA communications. The methods to be employed include individual indepth interviews, general public focus group interviews, intercept interviews, self-administered surveys, gatekeeper surveys, and professional clinician focus group interviews. The qualitative

methods to be used serve the narrowly defined need for direct and informal opinion on a specific topic and have two major purposes: To obtain information that is useful in formulating policies and regulatory decisions and for developing variables and measures for formulating the basic objectives of risk communication campaigns, and to assess the potential effectiveness of messages and materials in reaching and successfully communicating with their intended audiences.

FDA will use these methods to test and help refine messages and other communications but will generally conduct further research before making important decisions. FDA will use this mechanism to test messages about regulated drug products on a variety of subjects related to consumer, patient, or health care professional perceptions and about use of drug products and related materials, including but not limited to, direct-to-consumer prescription drug promotion, physician labeling of prescription drugs, medication guides, over-the-counter drug labeling, emerging risk communications, patient labeling, online sale of medical products, and consumer and professional education. Annually, FDA projects about 45 communication studies using the variety of test methods listed in this document.

FDA estimates the burden of this collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>

	Number of respondents	Number of responses per respondent	Total annual responses	Average burden per response (in hours)	Total hours
Interviews/Surveys .....	19,822	1	19,822	0.24 (14 minutes) .....	4,757

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

Dated: June 13, 2017.  
**Anna K. Abram,**  
*Deputy Commissioner for Policy, Planning, Legislation, and Analysis.*  
 [FR Doc. 2017-12601 Filed 6-16-17; 8:45 am]  
**BILLING CODE 4164-01-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**  
**[Docket No. FDA-2010-N-0536]**

**Agency Information Collection Activities; Submission for Office of Management and Budget Review; Comment Request; Guidance for Industry on Pharmacogenomic Data Submission**

**AGENCY:** Food and Drug Administration, HHS.  
**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing that a proposed collection of information has been submitted to the

Office of Management and Budget (OMB) for review and clearance under the Paperwork Reduction Act of 1995.

**DATES:** Fax written comments on the collection of information by July 19, 2017.

**ADDRESSES:** To ensure that comments on the information collection are received, OMB recommends that written comments be faxed to the Office of Information and Regulatory Affairs, OMB, Attn: FDA Desk Officer, FAX: 202-395-7285, or emailed to [oira\\_submission@omb.eop.gov](mailto:oira_submission@omb.eop.gov). All comments should be identified with the OMB control number 0910-0557. Also include the FDA docket number found in brackets in the heading of this document.

**FOR FURTHER INFORMATION CONTACT:** Jonnalynn Capezutto, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown St., North Bethesda, MD 20852, 301-796-3794, [PRAStaff@fda.hhs.gov](mailto:PRAStaff@fda.hhs.gov).

**SUPPLEMENTARY INFORMATION:** In compliance with 44 U.S.C. 3507, FDA has submitted the following proposed collection of information to OMB for review and clearance.

**Guidance for Industry on Pharmacogenomic Data Submissions; OMB Control Number 0910-0557—Extension**

The collection of information supports Agency guidance entitled, “Guidance for Industry on Pharmacogenomic Data Submissions.” The guidance provides recommendations to sponsors submitting or holding investigational new drug applications (INDs), new drug applications (NDAs), or biologics license applications (BLAs) on what pharmacogenomic data should be submitted to the Agency during the drug development process. Sponsors holding, and applicants submitting, INDs, NDAs, or BLAs are subject to FDA requirements for submitting to the Agency data relevant to drug safety and efficacy (21 CFR 312.22, 312.23, 312.31, 312.33, 314.50, 314.81, 601.2, and 601.12).

The guidance interprets FDA regulations for IND, NDA, or BLA submissions, clarifying when the regulations require pharmacogenomics data to be submitted and when the submission of such data is voluntary. The pharmacogenomic data submissions described in the guidance that are required to be submitted to an IND, NDA, BLA, or annual report are covered by the information collection requirements under 21 CFR parts 312, 314, and 601 (approved under OMB control numbers 0910-0014 (part 312, INDs); 0910-0001 (part 314, NDAs and annual reports); and 0910-0338 (part 601, BLAs)), respectively.

The guidance distinguishes between pharmacogenomic tests that may be considered valid biomarkers appropriate for regulatory decisionmaking, and other, less well-developed exploratory tests. The submission of exploratory pharmacogenomic data is not required under the regulations, although the Agency encourages the voluntary submission of such data.

The guidance describes the voluntary genomic data submission (VGDS) that can be used for such a voluntary submission. The guidance does not recommend a specific format for the VGDS, except that such a voluntary submission be designated as a VGDS. The data submitted in a VGDS and the level of detail should be sufficient for FDA to be able to interpret the

information and independently analyze the data, verify results, and explore possible genotype-phenotype correlations across studies. FDA does not want the VGDS to be overly burdensome and time-consuming for the sponsor.

In the **Federal Register** of March 17, 2017 (82 FR 14221), we published a 60-day notice requesting public comment on the proposed extension of this collection of information. One comment was received, however it was not responsive to the four information collection topics solicited in the notice and therefore is not addressed here.

FDA has estimated the burden of preparing a voluntary submission described in the guidance that should be designated as a VGDS based on our experience with these submissions over the past few years, and on our familiarity with sponsors’ interest in submitting pharmacogenomic data during the drug development process. In 2013, we received three VGDS. Since 2013, there have been no submission of VGDS; however, for purposes of this information collection approval, we are estimating that we may receive one submission annually. We estimate each submission requires approximately 50 hours to prepare and submit to FDA.

We therefore estimate the burden of this collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>

Information collection activity	Number of respondents	Number of responses per respondent	Total annual responses	Hours per response	Total hours
Voluntary Genomic Data Submissions .....	1	1	1	50	50

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection.

Dated: June 13, 2017.  
**Anna K. Abram,**  
*Deputy Commissioner for Policy, Planning, Legislation, and Analysis.*  
 [FR Doc. 2017-12604 Filed 6-16-17; 8:45 am]  
**BILLING CODE 4164-01-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. FDA-2017-N-1315]

**Agency Information Collection Activities; Proposed Collection; Comment Request; Experimental Study of Risk Information Amount and Location in Direct-to-Consumer Print Ads**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing an opportunity for public comment on the proposed collection of certain information by the Agency. Under the

Paperwork Reduction Act of 1995 (PRA), Federal Agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information and to allow 60 days for public comment in response to the notice. This notice solicits comments on research entitled “Experimental Study of Risk Information Amount and Location in Direct-to-Consumer Print Ads.” This study will examine how repetition and overwarning apply to the presentation of risks in the context of direct-to-consumer print advertising.

**DATES:** Submit either electronic or written comments on the collection of information by August 18, 2017.

**ADDRESSES:** You may submit comments as follows. Please note that late, untimely filed comments will not be considered. Electronic comments must

be submitted on or before August 18, 2017. The <https://www.regulations.gov> electronic filing system will accept comments until midnight Eastern Time at the end of August 18, 2017. Comments received by mail/hand delivery/courier (for written/paper submissions) will be considered timely if they are postmarked or the delivery service acceptance receipt is on or before that date.

#### Electronic Submissions

Submit electronic comments in the following way:

- **Federal eRulemaking Portal:** <https://www.regulations.gov>. Follow the instructions for submitting comments. Comments submitted electronically, including attachments, to <https://www.regulations.gov> will be posted to the docket unchanged. Because your comment will be made public, you are solely responsible for ensuring that your comment does not include any confidential information that you or a third party may not wish to be posted, such as medical information, your or anyone else's Social Security number, or confidential business information, such as a manufacturing process. Please note that if you include your name, contact information, or other information that identifies you in the body of your comments, that information will be posted on <https://www.regulations.gov>.

- If you want to submit a comment with confidential information that you do not wish to be made available to the public, submit the comment as a written/paper submission and in the manner detailed (see "Written/Paper Submissions" and "Instructions").

#### Written/Paper Submissions

Submit written/paper submissions as follows:

- **Mail/Hand delivery/Courier (for written/paper submissions):** Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

- For written/paper comments submitted to the Dockets Management Staff, FDA will post your comment, as well as any attachments, except for information submitted, marked and identified, as confidential, if submitted as detailed in "Instructions."

**Instructions:** All submissions received must include the Docket No. FDA-2017-N-1315 for "Experimental Study of Risk Information Amount and Location in Direct-to-Consumer Print Ads." Received comments, those filed in a timely manner (see **ADDRESSES**) will be placed in the docket and, except for those submitted as "Confidential Submissions," publicly viewable at

<https://www.regulations.gov> or at the Dockets Management Staff between 9 a.m. and 4 p.m., Monday through Friday.

- **Confidential Submissions**—To submit a comment with confidential information that you do not wish to be made publicly available, submit your comments only as a written/paper submission. You should submit two copies total. One copy will include the information you claim to be confidential with a heading or cover note that states "THIS DOCUMENT CONTAINS CONFIDENTIAL INFORMATION." The Agency will review this copy, including the claimed confidential information, in its consideration of comments. The second copy, which will have the claimed confidential information redacted/blacked out, will be available for public viewing and posted on <https://www.regulations.gov>. Submit both copies to the Dockets Management Staff. If you do not wish your name and contact information to be made publicly available, you can provide this information on the cover sheet and not in the body of your comments and you must identify this information as "confidential." Any information marked as "confidential" will not be disclosed except in accordance with 21 CFR 10.20 and other applicable disclosure law. For more information about FDA's posting of comments to public dockets, see 80 FR 56469, September 18, 2015, or access the information at: <https://www.gpo.gov/fdsys/pkg/FR-2015-09-18/pdf/2015-23389.pdf>.

**Docket:** For access to the docket to read background documents or the electronic and written/paper comments received, go to <https://www.regulations.gov> and insert the docket number, found in brackets in the heading of this document, into the "Search" box and follow the prompts and/or go to the Dockets Management Staff, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

#### FOR FURTHER INFORMATION CONTACT:

JonnaLynn Capezzuto, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown St., North Bethesda, MD 20852, 301-796-3794, [PRAStaff@fda.hhs.gov](mailto:PRAStaff@fda.hhs.gov). For copies of the questionnaire contact: Office of Prescription Drug Promotion (OPDP) Research Team, [DTCResearch@fda.hhs.gov](mailto:DTCResearch@fda.hhs.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Background

Under the PRA (44 U.S.C. 3501-3520), Federal Agencies must obtain approval from the Office of Management

and Budget (OMB) for each collection of information they conduct or sponsor. "Collection of information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information before submitting the collection to OMB for approval. To comply with this requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA's functions, including whether the information will have practical utility; (2) the accuracy of FDA's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

#### Experimental Study of Risk Information Amount and Location in Direct-to-Consumer Print Ads; OMB Control Number 0910—NEW

Section 1701(a)(4) of the Public Health Service Act (42 U.S.C. 300u(a)(4)) authorizes FDA to conduct research relating to health information. Section 1003(d)(2)(C) of the Federal Food, Drug, and Cosmetic Act (the FD&C Act) (21 U.S.C. 393(d)(2)(C)) authorizes FDA to conduct research relating to drugs and other FDA regulated products in carrying out the provisions of the FD&C Act.

Section 502(n) of the FD&C Act (21 U.S.C. 352(n)) specifies that advertisements (ads) for prescription drugs and biological products must provide a true statement of information "in brief summary" describing the advertised product's "side effects, contraindications and effectiveness." The prescription drug advertising regulations provide further clarification on the information to include in brief summary a true statement of information in brief summary relating to side effects, contraindications to include side effects, warnings, precautions, and



contraindications and include any such information under such headings as cautions, special considerations, important notes, etc. and effectiveness (21 CFR 202.1(e)(1)). The prescription drug advertising regulations also specify that the phrase *side effect and contraindication* refers to all of the categories of risk information contained in the required, approved or permitted product labeling written for health professionals, including the Warnings, Precautions, and Adverse Reactions sections (21 CFR 202.1(e)(3)(iii)). Ads must also “present a fair balance between information relating to side effects and contraindications and effectiveness. . . .” An ad must present true information relating to side effects and contraindications in comparable depth and detail with the claims for effectiveness or safety (21 CFR 202.1(e)(5)(ii)).

To fulfill the regulatory requirements for fair balance and the brief summary, sponsors have typically included risk information about the product in direct-to-consumer (DTC) print ads both in the main part of the ad where the product claims appear, and in a separate brief summary page. The section of the main ad where the risks appear is often referred to as the “Important Safety Information” (ISI). Including risks in both the ISI and the brief summary may have advantages. Some research has found that repetition of information improves recall, especially for older adults (Ref. 1). This might result in improved recall for risks that appear both in the ISI and brief summary. However, it is possible that risks appearing on the main page in the ISI may be more likely to be read than risks appearing in the brief summary. Based on FDA survey research, about 27 percent of consumers surveyed in 2002 reported reading half or more of the brief summary in DTC print ads (Ref. 2). In comparison, when asked how much of the “main” ad they read, about 78 percent reported reading “all” or “almost all” of the main body portion of the ad.

One potential downside to including the same warnings in both the ISI and again in the brief summary is the potential to overwarn consumers. Overwarning is the concept that individuals are exposed to so many warnings in the course of daily life that they are less likely to pay attention to any one particular warning (Ref. 3). In terms of presenting risk information, detailing too many risks may lead consumers to discount all risks, or miss the most important risk information. Similarly, habituation follows when readers see the same warning

repeatedly. Upon seeing a particular warning repeatedly, consumers may cease to pay attention to it (Refs. 4 to 6). Even if a warning has features that make it noticeable, it still has the potential for habituation with repeated exposure (Ref. 5). Although researchers caution against habituation and overwarning, there appears to be little empirical research for the logical supposition that seeing repeated warnings will lead to increased selectivity and reduced attention by recipients over time. Of note, the Office of Prescription Drug Promotion (OPDP) is studying the issue of reduced risk information in the context of DTC TV ads (“Disclosure Regarding Additional Risks in Direct-to-Consumer Prescription Drug Television Advertisements,” OMB control number. 0910–0785).

OPDP plans to investigate, through empirical research, how repetition and overwarning apply to the presentation of risks in promotional prescription drug print pieces. We propose to test two levels of the ISI (short versus long) and the presence of the Brief Summary (absent versus present) in two different medical conditions (overactive bladder and rheumatoid arthritis). Figures 1 and 2 describe the study design. This will be investigated in DTC print ads for prescription drugs.

FIGURE 1—STUDY 1 DESIGN

	Brief summary	
Rheumatoid Arthritis:	No	Yes
ISI .....		
Short. Long.		

FIGURE 2—STUDY 2 DESIGN

	Brief summary	
Overactive Bladder:	No	Yes
ISI .....		
Short. Long.		

This project is designed to use eye tracking technology to determine how these risk presentations in DTC print ads are perceived. Eye tracking technology is an effective method to determine the extent to which consumers attend to risk information presented in DTC print ads. This technology allows researchers to unobtrusively detect and measure where a participant looks while viewing a print ad and for how long, and the pattern of their eye movements may indicate attention to and processing of information in the ad.

We plan to collect descriptive eye tracking data on participants’ attention

to the following: (1) The important safety information, (2) the brief summary, and (3) the indication and benefit claims. All participants will be 18 years of age or older. We will exclude individuals who are trained as healthcare professionals, or who work in pharmaceutical, advertising, or marketing settings because their knowledge and experiences may not reflect those of the typical consumer. We will also exclude individuals who have photosensitive epilepsy; use a medical device that is sensitive to infrared light; or wear bifocals, hard contact lenses, or colored contact lenses.

To examine differences between experimental conditions, we will conduct inferential statistical tests such as analysis of variance (ANOVA). With the sample size described in this document, we will have sufficient power to detect small-to-medium sized effects in the main study.

We plan to conduct one 60-minute pilot study with 40 participants and two 60-minute studies with 200 participants each (50 participants in each cell), for a total of 400 main study participants. The studies will be conducted in person in at least five different cities across the United States. The pilot study and main studies will have the same design and will follow the same procedure. Participants who self-identify as having one of the medical conditions of interest will be randomly assigned to one of four test conditions. In Study 1, the ad will be for a fictitious drug to treat rheumatoid arthritis. In Study 2, the ad will be for a fictitious drug to treat overactive bladder. After obtaining consent, we will explain the study procedure to participants and calibrate the eye tracking device. To collect eye tracking data, we will use an unobtrusive glasses-based real world eye tracker with a minimum speed of 50 Hertz. The test images will be presented on paper and sized similarly to how they would appear in print materials such as magazines. To simulate normal ad viewing, participants will view two ads. One of the ads will be the study ad. The non-study ad will be for a consumer product unrelated to health. Only eye tracking data from the study ad will be analyzed. Next, participants will complete a questionnaire that assesses risk perceptions, risk recall, efficacy perceptions, efficacy recall, and covariates such as demographics and health literacy. In the pilot study, participants will also answer questions as part of a debriefing interview to assess the study design and questionnaire.

FDA estimates the burden of this collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN<sup>1</sup>

Activity	Number of respondents	Number of responses per respondent	Total annual responses	Average burden per response	Total hours
Pilot screener .....	120	1	120	0.03 (2 minutes) .....	4
Study 1 screener .....	600	1	600	0.03 (2 minutes) .....	18
Study 2 screener .....	600	1	600	0.03 (2 minutes) .....	18
Completes, Pilot .....	40	1	40	1 .....	40
Completes, Study 1 .....	200	1	200	1 .....	200
Completes, Study 2 .....	200	1	200	1 .....	200
<b>Total</b> .....					<b>480</b>

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

## II. References

The following references are on display in the Dockets Management Staff (see **ADDRESSES**) and are available for viewing by interested persons between 9 a.m. and 4 p.m., Monday through Friday; they are also available electronically at <https://www.regulations.gov>. FDA has verified the Web site addresses, as of the date this document publishes in the **Federal Register**, but Web sites are subject to change over time.

- McGuire, L.C., "Remembering What the Doctor Said: Organization and Older Adults' Memory for Medical Information." *Experimental Aging Research*, 22, 403–428 (1996).
- Aikin, K.J., J.L. Swasy, and A.C. Braman, "Patient and Physician Attitudes and Behaviors Associated with DTC Promotion of Prescription Drugs: Summary of FDA Survey Research Results" (2004). Available at <http://www.fda.gov/downloads/Drugs/ScienceResearch/ResearchAreas/DrugMarketingAdvertisingandCommunicationsResearch/UCM152860.pdf>.
- Warnings and Risk Communication (2005). Wogalter, M.S., D. DeJoy, and K.R. Laughery (Eds.). Philadelphia: Taylor & Francis, Inc.
- Conzola, V.C., and M.S. Wogalter, "A Communication-Human Information Processing (C-HIP) Approach to Warning Effectiveness in the Workplace." *Journal of Risk Research*, 4(4), 309–322; (2001).
- Wogalter, M.S., and K.R. Laughery, "Warning! Sign and Label Effectiveness." *Current Directions in Psychological Science*, 5(2), 33–37; (1996).
- Wogalter, M.S., T.L. Smith-Jackson, B.J. Mills, and C.S. Paine, "The Effects of Print Format in Direct-to-Consumer Prescription Drug Advertisements on Risk Knowledge and Preference." *Drug Information Journal*, 36(3), 693–705, 2002.

Dated: June 13, 2017.

**Anna K. Abram**,  
Deputy Commissioner for Policy, Planning,  
Legislation, and Analysis.

[FR Doc. 2017–12600 Filed 6–16–17; 8:45 am]

**BILLING CODE 4164–01–P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Food and Drug Administration

[Docket No. FDA–2017–N–1779]

#### Agency Information Collection Activities; Proposed Collection; Comment Request; Disclosures of Descriptive Presentations in Professional Oncology Prescription Drug Promotion

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA or Agency) is announcing an opportunity for public comment on the proposed collection of certain information by the Agency. Under the Paperwork Reduction Act of 1995 (PRA), Federal Agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information and to allow 60 days for public comment in response to the notice. This notice solicits comments on research entitled "Disclosures of Descriptive Presentations in Professional Oncology Prescription Drug Promotion."

**DATES:** Submit either electronic or written comments on the collection of information by August 18, 2017.

**ADDRESSES:** You may submit comments as follows. Please note that late, untimely filed comments will not be considered. Electronic comments must be submitted on or before August 18, 2017. The <https://www.regulations.gov> electronic filing system will accept comments until midnight Eastern Time at the end of August 18, 2017.

Comments received by mail/hand delivery/courier (for written/paper submissions) will be considered timely if they are postmarked or the delivery service acceptance receipt is on or before that date.

#### Electronic Submissions

Submit electronic comments in the following way:

- Federal eRulemaking Portal:** <https://www.regulations.gov>. Follow the instructions for submitting comments. Comments submitted electronically, including attachments, to <https://www.regulations.gov> will be posted to the docket unchanged. Because your comment will be made public, you are solely responsible for ensuring that your comment does not include any confidential information that you or a third party may not wish to be posted, such as medical information, your or anyone else's Social Security number, or confidential business information, such as a manufacturing process. Please note that if you include your name, contact information, or other information that identifies you in the body of your comments, that information will be posted on <https://www.regulations.gov>.

- If you want to submit a comment with confidential information that you do not wish to be made available to the public, submit the comment as a written/paper submission and in the manner detailed (see "Written/Paper Submissions" and "Instructions").

#### Written/Paper Submissions

Submit written/paper submissions as follows:

- Mail/Hand delivery/Courier (for written/paper submissions):** Dockets Management Staff (HFA–305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

- For written/paper comments submitted to the Dockets Management Staff, FDA will post your comment, as well as any attachments, except for information submitted, marked and

identified, as confidential, if submitted as detailed in “Instructions.”

**Instructions:** All submissions received must include the Docket No. FDA–2017–N–1779 for “Disclosures of Descriptive Presentations in Professional Oncology Prescription Drug Promotion.” Received comments, those filed in a timely manner (see **ADDRESSES**), will be placed in the docket and, except for those submitted as “Confidential Submissions,” publicly viewable at <https://www.regulations.gov> or at the Dockets Management Staff between 9 a.m. and 4 p.m., Monday through Friday.

• **Confidential Submissions**—To submit a comment with confidential information that you do not wish to be made publicly available, submit your comments only as a written/paper submission. You should submit two copies total. One copy will include the information you claim to be confidential with a heading or cover note that states “THIS DOCUMENT CONTAINS CONFIDENTIAL INFORMATION.” The Agency will review this copy, including the claimed confidential information, in its consideration of comments. The second copy, which will have the claimed confidential information redacted/blacked out, will be available for public viewing and posted on <https://www.regulations.gov>. Submit both copies to the Dockets Management Staff. If you do not wish your name and contact information to be made publicly available, you can provide this information on the cover sheet and not in the body of your comments and you must identify this information as “confidential.” Any information marked as “confidential” will not be disclosed except in accordance with 21 CFR 10.20 and other applicable disclosure law. For more information about FDA’s posting of comments to public dockets, see 80 FR 56469, September 18, 2015, or access the information at: <http://www.gpo.gov/fdsys/pkg/FR-2015-09-18/pdf/2015-23389.pdf>.

**Docket:** For access to the docket to read background documents or the electronic and written/paper comments received, go to <https://www.regulations.gov> and insert the docket number, found in brackets in the heading of this document, into the “Search” box and follow the prompts and/or go to the Dockets Management Staff, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852.

**FOR FURTHER INFORMATION CONTACT:** Ila S. Mizrahi, Office of Operations, Food and Drug Administration, Three White Flint North, 10A63, 11601 Landsdown

St., North Bethesda, MD 20852, 301–796–7726, [PRASStaff@fda.hhs.gov](mailto:PRASStaff@fda.hhs.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Background**

Under the PRA (44 U.S.C. 3501–3520), Federal Agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. “Collection of information” is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c) and includes Agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires Federal Agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information before submitting the collection to OMB for approval. To comply with this requirement, FDA is publishing notice of the proposed collection of information set forth in this document.

With respect to the following collection of information, FDA invites comments on these topics: (1) Whether the proposed collection of information is necessary for the proper performance of FDA’s functions, including whether the information will have practical utility; (2) the accuracy of FDA’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques, when appropriate, and other forms of information technology.

##### **Disclosures of Descriptive Presentations in Professional Oncology Prescription Drug Promotion; OMB Control Number 0910—NEW**

Section 1701(a)(4) of the Public Health Service Act (42 U.S.C. 300u(a)(4)) authorizes FDA to conduct research relating to health information. Section 1003(d)(2)(C) of the Federal Food, Drug, and Cosmetic Act (the FD&C Act) (21 U.S.C. 393(d)(2)(C)) authorizes FDA to conduct research relating to drugs and other FDA regulated products in carrying out the provisions of the FD&C Act.

Under the FD&C Act and implementing regulations, promotional labeling and advertising about prescription drugs are generally required to be truthful, non-misleading, and to reveal facts material to the presentations made about the product

being promoted (see sections 502(a) and (n), and 201(n) of the FD&C Act (21 U.S.C. 352(a) and (n), and 321(n)); see also 21 CFR 202.1). As a part of the ongoing evaluation of FDA’s regulations in this area, FDA is proposing to study the impact of disclosures as they relate to presentations of preliminary or descriptive scientific and clinical data in promotional labeling and advertising for oncology products. The use of disclosures is one method of communicating information to health care professionals about scientific and clinical data, the limitations of that data, and practical utility of that information for use in treatment. These disclosures may influence prescriber comprehension and decisionmaking, and may affect how and what treatment they prescribe for their patients.

Pharmaceutical companies market directly to physicians through publishing advertisements in medical journals, exhibit booths at physician meetings or events, sending unsolicited promotional materials to doctors’ offices, or presentations (“detailing”) by pharmaceutical representatives (Ref. 1). Detail aids may contain carefully extracted data from clinical studies that, taken out of context, can exaggerate the benefits of a drug (Ref. 2) or contribute to physicians prescribing the drug for an inappropriate patient population.

Promotional labeling and advertising for cancer drugs deserve specific attention. Oncology drugs represented 26 percent of the 649 compounds under clinical trial investigation from 2006 to 2011 (Ref. 3). The past decade has seen a dramatic rise in the number of oncology drugs brought to market. In the past 18 months, FDA has approved 27 cancer drugs (Ref. 4). Although overall survival remains the gold standard for demonstrating clinical benefit of a drug, several additional endpoints are accepted as surrogates illustrating clinical benefit with regard to cancer and many drugs are granted expedited approval on their basis. These include disease-free survival, objective response rate, complete response rate, progression-free survival, and time to progression (Ref. 5). For clinicians who are not specifically trained in clinical trial design, interpreting these endpoints may be challenging. Pharmaceutical companies invest heavily in the development and distribution of promotional materials to educate oncologists about favorable clinical trial results.

When communicating scientific and clinical data, a disclosure (a specific statement that modifies or qualifies a claim) could be used to convey the limitations of the data and practical

utility of the information for treatment. Much of the prior research on disclosures in this topic area has been limited to the dietary supplement arena with consumers (Refs. 6–9). Disclosures in professional pieces could influence prescriber comprehension as well as subsequent decisionmaking; however, no published data exist regarding how prescribers use and understand scientific claims in conjunction with qualifying disclosures.

Different aspects of disclosures may influence their effectiveness. For example, despite the advanced education of health care providers, in a busy practice they may not be willing or able to process the disclosures thoroughly. Thus, the level of technicality in the disclosure may play a role in their use of the disclosure to contextualize the data display. Additionally, the addition of a general summary statement to frame the disclosure may help or hinder the processing of the disclosure and therefore the entire data display.

Finally, it is possible that the impact of disclosure statements on prescriber comprehension, perceptions, and intentions to prescribe the promoted product will vary based on the level of clinical training. Although oncologists and primary care physicians (PCPs) will have more experience with clinical data, mid-level practitioners have reported having significantly more formal training on pharmaceutical marketing tactics than specialists and PCPs (Ref. 10). Therefore, it is unclear whether any one group would be more or less affected by both the claims made in promotional materials or by the disclosures that accompany those claims.

The proposed study seeks to address the following research questions:

1. Do disclosures mitigate potentially misleading presentations of preliminary or descriptive data in oncology drug product promotion?
2. Does the language (technical, non-technical) of the disclosure influence the effectiveness of the disclosure?

3. Does the presence of a general statement about the clinical utility of the data in addition to a specific disclosure influence processing of claims and disclosures?

4. Do PCPs, oncologists, and mid-level practitioners (nurse practitioners, physician assistants) differ in their processing of claims and disclosures about preliminary or descriptive data?

5. Which disclosures do physicians prefer?

To address these questions, FDA has designed a study that will be conducted in three independent phases, each phase examining a data display in a promotional piece for a unique oncological product. Independent variables will include: (1) Specific disclosure (technical, non-technical, none), (2) general statement (present, absent), and (3) specialty (oncologists, PCPs, mid-level practitioners). Each phase will have the following design:

Sample	General statement	Specific disclosure		
		Technical	Non-technical	No disclosure
Oncologists .....	Present .....	•	•	Control.
	Absent .....	•	•	
PCPs .....	Present .....	•	•	Control.
	Absent .....	•	•	
Mid-Level Practitioners .....	Present .....	•	•	Control.
	Absent .....	•	•	

Specific disclosures will include material information specifically related to the particular data display in question. As such, each specific disclosure may include clinical or statistical information related to the trial design, the statistical analysis plan of the trial, or any other material statistical or clinical information necessary for evaluation or interpretation of the data. The team developing the disclosures includes social science analysts, pharmacists, oncological medical officers, and an oncology nurse. An example of the general statement is “This presentation includes exploratory information of uncertain clinical utility

and should be interpreted cautiously when used to make treatment decisions.”

Outcome variables will focus on the assessment of the data display as a whole as well as attention to the disclosure, if present. Specifically, we will examine recognition of the clinical endpoint in the data display, comprehension of the data display, perceptions of the exploratory nature of the data, and the perceived credibility of the promotional piece. We will also look at attention to the specific disclosure and the general statement, prescriber decisions, and prescriber preferences. This latter outcome variable will be

determined by a secondary task at the end of the questionnaire that shows each participant all disclosure options and asks them to choose their preferred version.

Oncologists, PCPs, and non-oncology mid-level practitioners will be recruited to participate via the Internet, and the study is expected to take approximately 20 minutes. Participants will view professionally developed promotional pieces that mimic currently available promotion and answer questions. The questionnaire is available upon request.

FDA estimates the burden of this collection of information as follows:

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>

Activity	Number of respondents	Number of responses per respondent	Total annual responses	Average burden per response	Total hours <sup>2</sup>
Pretest Screener .....	134	1	134	0.03 (2 minutes) .....	5
Pretest .....	90	1	90	0.33 (20 minutes) .....	30
Main Study Screener .....	3,134	1	3,134	0.03 (2 minutes) .....	105
Main Study .....	2,115	1	2,115	0.33 (20 minutes) .....	705

TABLE 1—ESTIMATED ANNUAL REPORTING BURDEN <sup>1</sup>—Continued

Activity	Number of respondents	Number of responses per respondent	Total annual responses	Average burden per response	Total hours <sup>2</sup>
Total .....	.....	.....	.....	.....	845

<sup>1</sup> There are no capital costs or operating and maintenance costs associated with this collection of information.

<sup>2</sup> Rounded to the next full hour.

**II. References**

The following references are on display in the Dockets Management Staff (see **ADDRESSES**) and are available for viewing by interested persons between 9 a.m. and 4 p.m., Monday through Friday; they are also available electronically at <https://www.regulations.gov>. FDA has verified the Web site addresses, as of the date this document publishes in the **Federal Register**, but Web sites are subject to change over time.

- Johar, K., “An Insider’s Perspective: Defense of the Pharmaceutical Industry’s Marketing Practices,” *Albany Law Review*, 76:299–334, 2012–2013.
- Wick, C., M. Egger, S. Trelle, et al., “The Characteristics of Unsolicited Clinical Oncology Literature Provided by Pharmaceutical Industry,” *Annals of Oncology*, 18:1580–1582, 2007.
- Fisher, J.A., M.D. Cottingham, and C.A. Kalbaugh, “Peering Into the Pharmaceutical ‘Pipeline’: Investigational Drugs, Clinical Trials, and Industry Priorities,” *Social Science & Medicine*, 131:322–330, 2015.
- Centerwatch, “FDA Approved Drugs for Oncology,” <https://www.centerwatch.com/drug-information/fda-approved-drugs/therapeutic-area/12/oncology> (accessed on March 2, 2017).
- Pazdur, R., “Endpoints for Assessing Drug Activity in Clinical Trials,” *The Oncologist*, 13:19–21, 2008.
- Dodge, T. and A. Kaufman, “What Makes Consumers Think Dietary Supplements Are Safe and Effective? The Role of Disclaimers and FDA Approval,” *Health Psychology*, 26:513–517, 2007.
- Dodge, T., D. Litt, and A. Kaufman, “Influence of the Dietary Supplement Health and Education Act on Consumer Beliefs About the Safety and Effectiveness of Dietary Supplements,” *Journal of Health Communication*, 16:230–244, 2011.
- Mason, M.J., D.L. Scammon, and X. Fang, “The Impact of Warnings, Disclaimers, and Product Experience on Consumers’ Perceptions of Dietary Supplements,” *The Journal of Consumer Affairs*, 41:74–99, 2007.
- France, K.R. and P.F. Bone, “Policy Makers’ Paradigms and Evidence From Consumer Interpretations of Dietary Supplement Labels,” *The Journal of Consumer Affairs*, 39:27–51, 2005.
- O’Donoghue, A.C., V. Boudewyns, K.J. Aikin, et al., “Awareness of the Food and

Drug Administration’s Bad Ad Program and Education Regarding Pharmaceutical Advertising: A National Survey of Prescribers in Ambulatory Care Settings,” *Journal of Health Communication*, 20:1330–1336, 2015.

Dated: June 13, 2017.

**Anna K. Abram,**

*Deputy Commissioner for Policy, Planning, Legislation, and Analysis.*

[FR Doc. 2017–12599 Filed 6–16–17; 8:45 am]

**BILLING CODE 4164–01–P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**National Institutes of Health**

**Center for Scientific Review; Notice of Closed Meetings**

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meetings.

The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; RFA Panel: Revision Applications for U.S.-South Africa Program for Collaborative Biomedical Research.

*Date:* June 29, 2017.

*Time:* 2:00 p.m. to 5:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Telephone Conference Call).

*Contact Person:* Robert Freund, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5216, MSC 7852, Bethesda, MD 20892, 301–435–1050, [freundr@csr.nih.gov](mailto:freundr@csr.nih.gov).

This notice is being published less than 15 days prior to the meeting due to the timing limitations imposed by the review and funding cycle.

*Name of Committee:* AIDS and Related Research Integrated Review Group; AIDS Molecular and Cellular Biology Study Section.

*Date:* July 10, 2017.

*Time:* 8:00 a.m. to 6:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* Residence Inn Bethesda, 7335 Wisconsin Avenue, Bethesda, MD 20814.

*Contact Person:* Kenneth A. Roebuck, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5214, MSC 7852, Bethesda, MD 20892, (301) 435–1166, [roebuckk@csr.nih.gov](mailto:roebuckk@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; AIDS and Related Research Member Conflict.

*Date:* July 10, 2017.

*Time:* 10:00 a.m. to 5:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Shalanda A. Bynum, Ph.D., MPH, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3206, Bethesda, MD 20892, 301–755–4355, [bynumsa@csr.nih.gov](mailto:bynumsa@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; PAR 16–257: Predicting Behavioral Responses to Population Level Cancer Control Strategies (R21).

*Date:* July 11, 2017.

*Time:* 11:00 a.m. to 2:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Telephone Conference Call).

*Contact Person:* Karin F. Helmers, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3148, MSC 7770, Bethesda, MD 20892, (301) 254–9975, [helmersk@csr.nih.gov](mailto:helmersk@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; PAR 15–287: Opportunities for Collaborative Research at the NIH Clinical Center.

*Date:* July 11, 2017.

*Time:* 1:30 p.m. to 5:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Fungai Chanetsa, MPH, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of

Health, 6701 Rockledge Drive, Room 3135, MSC 7770, Bethesda, MD 20892, 301-408-9436, [fungai.chanetsa@nih.hhs.gov](mailto:fungai.chanetsa@nih.hhs.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; Small Business: Digestive Sciences.

*Date:* July 12, 2017.

*Time:* 8:00 a.m. to 7:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* Embassy Suites at the Chevy Chase Pavilion, 4300 Military Road NW., Washington, DC 20015.

*Contact Person:* Martha Garcia, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 2186, MSC 7818, Bethesda, MD 20892, 301-435-1243, [garciamc@nih.gov](mailto:garciamc@nih.gov).

*Name of Committee:* AIDS and Related Research Integrated Review Group; AIDS Immunology and Pathogenesis Study Section.

*Date:* July 12, 2017.

*Time:* 8:00 a.m. to 5:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* Courtyard Long Beach, 500 East First Street, Long Beach, CA 90802.

*Contact Person:* Shiv A. Prasad, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5220, MSC 7852, Bethesda, MD 20892, 301-443-5779, [prasads@csr.nih.gov](mailto:prasads@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; Member Conflict: Biological Chemistry and Macromolecular Biophysics.

*Date:* July 12-13, 2017.

*Time:* 8:00 a.m. to 6:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Sergei Ruvinov, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4158, MSC 7806, Bethesda, MD 20892, 301-435-1180, [ruvinser@csr.nih.gov](mailto:ruvinser@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; Urology and Urogynecology Small Business Applications.

*Date:* July 12, 2017.

*Time:* 9:00 a.m. to 1:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Telephone Conference Call).

*Contact Person:* Ganesan Ramesh, Ph.D., Center for Scientific Review, National Institutes of Health, 6701 Rockledge Dr., Room 2182, MSC 7818, Bethesda, MD 20892, 301-827-5467, [ganesan.ramesh@nih.gov](mailto:ganesan.ramesh@nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; PAR Panel: Chemical Discovery for Substance Use Disorders.

*Date:* July 12, 2017.

*Time:* 11:00 a.m. to 5:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Michael Eissenstat, Ph.D., Scientific Review Officer, BCMB IRG, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4166, Bethesda, MD 20892, 301-435-1722, [eissenstatma@csr.nih.gov](mailto:eissenstatma@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; Member Conflict: Molecular Genetics.

*Date:* July 12, 2017.

*Time:* 1:00 p.m. to 3:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Telephone Conference Call).

*Contact Person:* Methode Bacanamwo, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 2200, Bethesda, MD 20892, 301-827-7088, [methode.bacanawo@nih.gov](mailto:methode.bacanawo@nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; PAR-16-390: NIBIB Trailblazer Award for New and Early Stage Investigators (R21).

*Date:* July 12-13, 2017.

*Time:* 12:00 p.m. to 4:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Chee Lim, Ph.D., Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive Room 4128, Bethesda, MD 20892, 301-435-1850, [limc4@csr.nih.gov](mailto:limc4@csr.nih.gov).

*Name of Committee:* Center for Scientific Review Special Emphasis Panel; Radiation Therapy and Biology.

*Date:* July 13-14, 2017.

*Time:* 9:00 a.m. to 11:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 (Virtual Meeting).

*Contact Person:* Bo Hong, Ph.D., Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 6194, MSC 7804, Bethesda, MD 20892, 301-996-6208, [hongb@csr.nih.gov](mailto:hongb@csr.nih.gov).

(Catalogue of Federal Domestic Assistance Program Nos. 93.306, Comparative Medicine; 93.333, Clinical Research, 93.306, 93.333, 93.337, 93.393-93.396, 93.837-93.844, 93.846-93.878, 93.892, 93.893, National Institutes of Health, HHS)

Dated: June 13, 2017.

**Natasha M. Copeland,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12591 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute of Environmental Health Sciences; Amended Notice of Meeting

Notice is hereby given of a change in the meeting of the National Institute of Environmental Health Sciences Special Emphasis Panel, June 06, 2017, 08:00 a.m. to June 06, 2017, 06:00 p.m., NIEHS/National Institute of Environmental Health, Keystone Building, 530 Davis Drive, Research Triangle Park, NC 27709 which was published in the **Federal Register** on May 26, 2017, 82 FR 24363.

This meeting is being amended to change the date from Tuesday, June 6, 2017 to Thursday, July 6, 2017, 10:00 a.m. to 10:00 p.m. The meeting is closed to the public.

Dated: June 13, 2017.

**Natasha M. Copeland,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12616 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute of Diabetes and Digestive and Kidney Diseases; Notice of Closed Meetings

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meetings.

The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

*Name of Committee:* National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; APOL1 Long-term Kidney Transplantation Outcomes Network.

*Date:* July 10-11, 2017.

*Time:* 8:00 a.m. to 1:30 p.m.

*Agenda:* To review and evaluate cooperative agreement applications.

*Place:* Embassy Suites at the Chevy Chase Pavilion, 4300 Military Road NW., Washington, DC 20015.

*Contact Person:* Ryan G. Morris, Ph.D., Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 7015, 6707 Democracy Boulevard, Bethesda, MD 20892-2542, 301-594-4721, [ryan.morris@nih.gov](mailto:ryan.morris@nih.gov).

*Name of Committee:* National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; Pragmatic Research and Natural Experiments.

*Date:* July 18, 2017.

*Time:* 10:00 a.m. to 3:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, Two Democracy Plaza, 6707 Democracy Boulevard, Bethesda, MD 20892, (Telephone Conference Call).

*Contact Person:* Michele L. Barnard, Ph.D., Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 7353, 6707 Democracy Boulevard, Bethesda, MD 20892-2542, (301) 594-8898, [barnardm@extra.nidk.nih.gov](mailto:barnardm@extra.nidk.nih.gov).

*Name of Committee:* National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; Clinical Studies—R01.

*Date:* July 27, 2017.

*Time:* 12:00 p.m. to 2:00 p.m.

*Agenda:* To review and evaluate grant applications.

*Place:* National Institutes of Health, Two Democracy Plaza, 6707 Democracy Boulevard, Bethesda, MD 20892, (Telephone Conference Call).

*Contact Person:* Barbara A. Woynarowska, Ph.D., Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 754, 6707 Democracy Boulevard, Bethesda, MD 20892-5452, (301) 402-7172, [woynarowskab@nidk.nih.gov](mailto:woynarowskab@nidk.nih.gov). (Catalogue of Federal Domestic Assistance Program Nos. 93.847, Diabetes, Endocrinology and Metabolic Research; 93.848, Digestive Diseases and Nutrition Research; 93.849, Kidney Diseases, Urology and Hematology Research, National Institutes of Health, HHS)

*Dated:* June 13, 2017.

**David Clary,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12592 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute of General Medical Sciences Amended; Notice of Meeting

Notice is hereby given of a change in the meeting of the Training and Workforce Development Subcommittee—D, June 22, 2017, 08:30 a.m. to June 23, 2017, 05:00 p.m., Hotel Palomar, 2121 P Street NW., Washington, DC 20037 which was published in the **Federal Register** on June 05, 2017, 82 FR 25804.

The meeting notice is amended to change the title from “To review R25 Bridges to Baccalaureate and K12 IRACDA Grant applications” to “Training and Workforce Development Subcommittee—D to review R25 research training grant applications”. The meeting is closed to the public.

*Dated:* June 13, 2017.

**Melanie J. Pantoja,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12593 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute on Aging; Amended Notice of Meeting

Notice is hereby given of a change in the meeting of the National Institute on Aging Special Emphasis Panel, June 30, 2017, 11:30 a.m. to June 30, 2017, 01:30 p.m., National Institute on Aging, Gateway Building, 7201 Wisconsin Ave., Suite 2W200, Bethesda, MD 20892 which was published in the **Federal Register** on June 09, 2017, 82 FR 26811.

The meeting notice is amended to change the title of the meeting from Health Care and Behavioral Economics to Multimorbidity and AD Treatments. The meeting is closed to the public.

*Dated:* June 13, 2017.

**Melanie J. Pantoja,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12615 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute of Mental Health; Notice of Meeting

Pursuant to section 10(a) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of an Interagency Autism Coordinating Committee (IACC or Committee) meeting.

The purpose of the IACC meeting is to discuss business, agency updates, and issues related to autism spectrum disorder (ASD) research and services activities. The Committee will discuss the 2017 update of the IACC Strategic Plan. The meeting will be open to the public and will be accessible by webcast and conference call.

*Name of Committee:* Interagency Autism Coordinating Committee (IACC).

*Type of meeting:* Open Meeting.

*Date:* Wednesday, July 26, 2017.

*Time:* 9:00 a.m. to 5:00 p.m. \* Eastern Time \* Approximate end time.

*Agenda:* To discuss business, updates, and issues related to ASD research and services activities. The Committee will discuss updates of the IACC Strategic Plan.

*Place:* National Institutes of Health, 31 Center Drive, Building 31, C Wing, 6th Floor, Conference Room 6, Bethesda, MD 20892.

*Webcast Live:* <https://videocast.nih.gov>.

*Conference Call Access:* Dial: 800-323-2720; Access code: 8420867.

*Cost:* The meeting is free and open to the public.

*Registration:* A registration web link will be posted on the IACC Web site ([www.iacc.hhs.gov](http://www.iacc.hhs.gov)) prior to the meeting. Pre-registration is recommended to expedite check-in. Seating in the meeting room is limited to room capacity and on a first come, first served basis. Onsite registration will also be available.

*Deadlines: Notification of intent to present oral comments:* Friday, July 14, 2017 by 5:00 p.m. ET.

*Submission of written/electronic statement for oral comments:* Tuesday, July 18, 2017 by 5:00 p.m. ET.

*Submission of written comments:* Tuesday, July 18, 2017 by 5:00 p.m. ET.

For IACC Public Comment guidelines please see: <https://iacc.hhs.gov/meetings/public-comments/guidelines/>.

*Access:* Medical Center Metro Station (Red Line).

*Contact Person:* Ms. Angelice Mitrasak, Office of Autism Research Coordination, National Institute of Mental Health, NIH, 6001 Executive Boulevard, Room 6182A, Bethesda, MD 20892-9669, Phone: 301-435-9269, Email: [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov).

### Public Comments

Any member of the public interested in presenting oral comments to the Committee must notify the Contact Person listed on this notice by 5:00 p.m. ET on Friday, July 14, 2017, with their request to present oral comments at the meeting, and a written/electronic copy of the oral presentation/statement must be submitted by 5:00 p.m. ET on Tuesday, July 18, 2017.

A limited number of slots for oral comment are available, and in order to ensure that as many different individuals are able to present throughout the year as possible, any given individual only will be permitted to present oral comments once per calendar year (2017). Only one representative of an organization will be allowed to present oral comments in any given meeting; other representatives of the same group may provide written comments. If the oral comment session is full, individuals who could not be accommodated are welcome to provide



written comments instead. Comments will be assigned a time slot of 3–5 minutes depending on the number of comments, but a longer version may be submitted in writing for the record. Commenters going beyond the allotted time in the meeting may be asked to conclude immediately in order to allow other comments and presentations to proceed on schedule.

Any interested person may submit written public comments to the IACC prior to the meeting by emailing the comments to [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov) or by submitting comments at the web link: <https://iacc.hhs.gov/meetings/public-comments/submit/index.jsp> by 5:00 p.m. ET on Tuesday, July 18, 2017. The comments should include the name, address, telephone number, and when applicable, the business or professional affiliation of the interested person. NIMH anticipates written public comments received by 5:00 p.m. ET on Tuesday, July 18, 2017 will be presented to the Committee prior to the meeting for the Committee's consideration. Any written comments received after the 5:00 p.m. ET, July 18, 2017 deadline through July 25, 2017 will be provided to the Committee either before or after the meeting, depending on the volume of comments received and the time required to process them in accordance with privacy regulations and other applicable Federal policies. All written public comments and oral public comment statements received by the deadlines for both oral and written public comments will be provided to the IACC for their consideration and will become part of the public record. Attachments of copyrighted publications are not permitted, but web links or citations for any copyrighted works cited may be provided.

In the 2009 IACC Strategic Plan, the IACC listed the "Spirit of Collaboration" as one of its core values, stating that, "We will treat others with respect, listen to diverse views with open minds, discuss submitted public comments, and foster discussions where participants can comfortably offer opposing opinions." In keeping with this core value, the IACC and the NIMH Office of Autism Research Coordination (OARC) ask that members of the public who provide public comments or participate in meetings of the IACC also seek to treat others with respect and consideration in their communications and actions, even when discussing issues of genuine concern or disagreement.

### Remote Access

The meeting will be open to the public through a conference call phone number and webcast live on the Internet. Members of the public who participate using the conference call phone number will be able to listen to the meeting but will not be heard. If you experience any technical problems with the webcast or conference call, please send an email to [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov).

Individuals wishing to participate in person or by using these electronic services and who need special assistance, such as captioning of the conference call or other reasonable accommodations, should submit a request to the Contact Person listed on this notice at least five days prior to the meeting.

### Security

In the interest of security, NIH has instituted stringent procedures for entrance onto the NIH campus. All visitor vehicles, including taxicabs and hotel and airport shuttles, will be inspected before being allowed on campus. Visitors will be asked to show one form of identification (for example, a government-issued photo ID, driver's license, or passport) and to state the purpose of their visit. Also as a part of security procedures, attendees should be prepared to present a photo ID at the meeting registration desk during the check-in process. Pre-registration is recommended. Seating will be limited to the room capacity and seats will be on a first come, first served basis, with expedited check-in for those who are pre-registered.

Meeting schedule subject to change. Information about the IACC is available on the Web site: <http://www.iacc.hhs.gov>.

Dated: June 13, 2017.

**Melanie J. Pantoja,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12594 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

#### National Institute of Mental Health; Notice of Meeting

Pursuant to section 10(a) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of an Interagency Autism Coordinating Committee (IACC or Committee) meeting.

The purpose of the IACC meeting is to discuss business, agency updates, and issues related to autism spectrum disorder (ASD) research and services activities. The meeting will be open to the public and will be accessible by webcast and conference call.

*Name of Committee:* Interagency Autism Coordinating Committee (IACC).

*Type of meeting:* Open Meeting.

*Date:* Tuesday, October 24, 2017.

*Time:* 9:00 a.m. to 5:00 p.m. \* Eastern Time \* Approximate end time.

*Agenda:* To discuss business, updates, and issues related to ASD research and services activities.

*Place:* National Institute of Mental Health, 6001 Executive Boulevard, NSC, Conference Rooms C and D, Rockville, MD 20850.

*Webcast Live:* <https://videocast.nih.gov>.

*Conference Call Access:* Dial: 800-369-1740; access code: 5135863.

*Cost:* The meeting is free and open to the public.

*Registration:* A registration web link will be posted on the IACC Web site ([www.iacc.hhs.gov](http://www.iacc.hhs.gov)) prior to the meeting. Pre-registration is recommended to expedite check-in. Seating in the meeting room is limited to room capacity and on a first come, first served basis. Onsite registration will also be available.

*Deadlines:*

*Notification of intent to present oral comments:* Friday, October 13, 2017 by 5:00 p.m. ET.

*Submission of written/electronic statement for oral comments:* Tuesday, October 17, 2017 by 5:00 p.m. ET.

*Submission of written comments:* Tuesday, October 17, 2017 by 5:00 p.m. ET.

For IACC Public Comment guidelines please see: <https://iacc.hhs.gov/meetings/public-comments/guidelines/>.

*Access:* White Flint Metro Station (Red Line).

*Contact Person:* Ms. Angelice Mitrasak, Office of Autism Research Coordination, National Institute of Mental Health, NIH, 6001 Executive Boulevard, Room 6182A, Bethesda, MD 20892-9669, Phone: 301-435-9269, Email: [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov).

### Public Comments

Any member of the public interested in presenting oral comments to the Committee must notify the Contact Person listed on this notice by 5:00 p.m. ET on Friday, October 13, 2017, with their request to present oral comments at the meeting, and a written/electronic copy of the oral presentation/statement must be submitted by 5:00 p.m. ET on Tuesday, October 17, 2017.

A limited number of slots for oral comment are available, and in order to ensure that as many different individuals are able to present throughout the year as possible, any given individual only will be permitted to present oral comments once per calendar year (2017). Only one



representative of an organization will be allowed to present oral comments in any given meeting; other representatives of the same group may provide written comments. If the oral comment session is full, individuals who could not be accommodated are welcome to provide written comments instead. Comments to be read or presented in the meeting will be assigned a 3–5 minute time slot depending on the number of comments, but a longer version may be submitted in writing for the record. Commenters going beyond their allotted time in the meeting may be asked to conclude immediately in order to allow other comments and presentations to proceed on schedule. Any interested person may submit written public comments to the IACC prior to the meeting by emailing the comments to [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov) or by submitting comments at the web link: <https://iacc.hhs.gov/meetings/public-comments/submit/index.jsp> by 5:00 p.m. ET on Tuesday, October 17, 2017. The comments should include the name, address, telephone number, and when applicable, the business or professional affiliation of the interested person. NIMH anticipates written public comments received by 5:00 p.m. ET on Tuesday, October 17, 2017 will be presented to the Committee prior to the meeting for the Committee's consideration. Any written comments received after the 5:00 p.m. ET, October 17, 2017 deadline through October 23, 2017 will be provided to the Committee either before or after the meeting, depending on the volume of comments received and the time required to process them in accordance with privacy regulations and other applicable Federal policies. All written public comments and oral public comment statements received by the deadlines for both oral and written public comments will be provided to the IACC for their consideration and will become part of the public record. Attachments of copyrighted publications are not permitted, but web links or citations for any copyrighted works cited may be provided.

In the 2009 IACC Strategic Plan, the IACC listed the "Spirit of Collaboration" as one of its core values, stating that, "We will treat others with respect, listen to diverse views with open minds, discuss submitted public comments, and foster discussions where participants can comfortably offer opposing opinions." In keeping with this core value, the IACC and the NIMH Office of Autism Research Coordination (OARC) ask that members of the public who provide public comments or participate in meetings of the IACC also

seek to treat others with respect and consideration in their communications and actions, even when discussing issues of genuine concern or disagreement.

#### Remote Access

The meeting will be open to the public through a conference call phone number and webcast live on the Internet. Members of the public who participate using the conference call phone number will be able to listen to the meeting but will not be heard. If you experience any technical problems with the webcast or conference call, please send an email to [IACCPublicInquiries@mail.nih.gov](mailto:IACCPublicInquiries@mail.nih.gov).

Individuals wishing to participate in person or by using these electronic services and who need special assistance, such as captioning of the conference call or other reasonable accommodations, should submit a request to the Contact Person listed on this notice at least five days prior to the meeting.

#### Security

Visitors will be asked to show one form of identification (for example, a government-issued photo ID, driver's license, or passport) and to state the purpose of their visit. Also as a part of security procedures, attendees should be prepared to present a photo ID at the meeting registration desk during the check-in process. Pre-registration is recommended. Seating will be limited to the room capacity and seats will be on a first come, first served basis, with expedited check-in for those who are pre-registered. Meeting schedule subject to change.

Information about the IACC is available on the Web site: <http://www.iacc.hhs.gov>.

Dated: June 13, 2017.

**Melanie J. Pantoja,**

*Program Analyst, Office of Federal Advisory Committee Policy.*

[FR Doc. 2017-12595 Filed 6-16-17; 8:45 am]

**BILLING CODE 4140-01-P**

## DEPARTMENT OF HOMELAND SECURITY

### Transportation Security Administration

#### Revision of Agency Information Collection Activity Under OMB Review: Sensitive Security Information Threat Assessments

**AGENCY:** Transportation Security Administration, DHS.

**ACTION:** 30-Day notice.

**SUMMARY:** This notice announces that the Transportation Security Administration (TSA) has forwarded the Information Collection Request (ICR), Office of Management and Budget (OMB) control number 1652-0042, abstracted below to OMB for review and approval of a revision of the currently approved collection under the Paperwork Reduction Act (PRA). The ICR describes the nature of the information collection and its expected burden. TSA published a **Federal Register** notice, with a 60-day comment period soliciting comments, of the following collection of information on November 25, 2016. The collection involves TSA determining whether individuals seeking access to sensitive security information (SSI) may be granted access to the SSI.

**DATES:** Send your comments by July 19, 2017. A comment to OMB is most effective if OMB receives it within 30 days of publication.

**ADDRESSES:** Interested persons are invited to submit written comments on the proposed information collection to the Office of Information and Regulatory Affairs, OMB. Comments should be addressed to Desk Officer, Department of Homeland Security/TSA, and sent via electronic mail to [dhsdeskofficer@omb.eop.gov](mailto:dhsdeskofficer@omb.eop.gov) or faxed to (202) 395-6974.

**FOR FURTHER INFORMATION CONTACT:** Christina A. Walsh, TSA PRA Officer, Office of Information Technology (OIT), TSA-11, Transportation Security Administration, 601 South 12th Street, Arlington, VA 20598-6011; telephone (571) 227-2062; email [TSAPRA@tsa.dhs.gov](mailto:TSAPRA@tsa.dhs.gov).

#### SUPPLEMENTARY INFORMATION:

##### Comments Invited

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The ICR documentation is available at <http://www.reginfo.gov>. Therefore, in preparation for OMB review and approval of the following information collection, TSA is soliciting comments to—

- (1) Evaluate whether the proposed information requirement is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- (2) Evaluate the accuracy of the agency's estimate of the burden;
- (3) Enhance the quality, utility, and clarity of the information to be collected; and

(4) Minimize the burden of the collection of information on those who are to respond, including using appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

#### Information Collection Requirement

*Title:* Sensitive Security Information Threat Assessments.

*Type of Request:* Revision of a currently approved collection.

*OMB Control Number:* 1652-0042.

*Forms(s):* TSA 2211.

*Affected Public:* Individuals seeking access to SSI Information.

*Abstract:* TSA has implemented sec. 525(d) of the DHS Appropriations Act, 2007 (Pub. L. 109-295, 120 Stat 1355, 1382, Oct. 4, 2006), as reenacted,<sup>1</sup> by establishing a process whereby a party seeking access to SSI in a civil proceeding in Federal court that demonstrates a substantial need for relevant SSI in preparation of the party's case may request that the party's representative or court reporter be granted access to the SSI. Under §§ 1520.11 and 1520.15 of 49 CFR, TSA has also extended this process to include a prospective bidder who is seeking to submit a proposal in response to a request for proposal issued by TSA; an individual involved in the performance of contractual agreements (for example, bailments), or other transaction agreements, or an individual receiving access to SSI under 49 CFR 1520.15(e), other conditional disclosure.

Pursuant to sec. 114 of the Aviation and Transportation Security Act, Pub. L. 107-71 (115 Stat. 597, Nov. 19, 2001), and 49 CFR 1520.11(c), TSA may make an individual's access to SSI contingent upon satisfactory completion of a security threat assessment (STA), including a criminal history records check (CHRC); and/or a name-based check against Federal law enforcement, terrorism, and immigration databases; and/or other procedures and requirements for safeguarding SSI that are satisfactory to TSA. TSA collects identifying information, an explanation supporting the individuals' need for the information, and other information related to safeguarding SSI to conduct the threat assessments. TSA uses the results of the STA to make a final determination on whether the individual may be granted access to SSI. TSA also uses the information to determine whether provision of access to specific SSI would present a risk of harm to the nation.

<sup>1</sup> Consolidated and Further Continuing Appropriations Act, 2013, Pub. L. 113-6, Div. D., Title V., sec. 510 (127 Stat. 198, 368, Mar. 26, 2013).

TSA is revising the collection of information to allow individuals who are members of the TSA PreV<sup>®</sup> Application Program, to provide a known traveler number (KTN) to facilitate the security threat assessment. Under that Program, individuals submit identifying information and fingerprints for a CHRC to help TSA determine eligibility for the Program, very similar to what TSA requires before providing SSI to an individual. TSA will use the information provided as part of the TSA PreV<sup>®</sup> Application Program as part of its determination of an individual's eligibility to be granted access to SSI.

*Number of Respondents:* 263.<sup>2</sup>

*Estimated Annual Burden Hours:* An estimated 275 hours annually.

Dated: June 8, 2017.

**Christina A. Walsh,**

*TSA Paperwork Reduction Act Officer, Office of Information Technology.*

[FR Doc. 2017-12597 Filed 6-16-17; 8:45 am]

**BILLING CODE 9110-05-P**

## DEPARTMENT OF HOMELAND SECURITY

### U.S. Citizenship and Immigration Services

[OMB Control Number 1615-0018]

#### Agency Information Collection Activities: Application for Permission To Reapply for Admission Into the United States After Deportation or Removal, Form I-212; Revision of a Currently Approved Collection

**AGENCY:** U.S. Citizenship and Immigration Services, Department of Homeland Security.

**ACTION:** 30-Day notice.

**SUMMARY:** The Department of Homeland Security (DHS), U.S. Citizenship and Immigration Services (USCIS) will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and clearance in accordance with the Paperwork Reduction Act of 1995. The purpose of this notice is to allow an additional 30 days for public comments.

**DATES:** The purpose of this notice is to allow an additional 30 days for public comments. Comments are encouraged and will be accepted until July 19, 2017.

<sup>2</sup> After the issuance of the 60-day notice, TSA received additional respondents and burden hours data for the collection. The reported estimated annual number of respondents has been updated from 127 to 263 respondents. The estimated annual time burden of 127 has been updated to 275 burden hours.

This process is conducted in accordance with 5 CFR 1320.10.

**ADDRESSES:** Written comments and/or suggestions regarding the item(s) contained in this notice, especially regarding the estimated public burden and associated response time, must be directed to the OMB USCIS Desk Officer via email at [oir\\_submission@omb.eop.gov](mailto:oir_submission@omb.eop.gov). Comments may also be submitted via fax at (202) 395-5806. (This is not a toll-free number.) All submissions received must include the agency name and the OMB Control Number [1615-0018].

You may wish to consider limiting the amount of personal information that you provide in any voluntary submission you make. For additional information please read the Privacy Act notice that is available via the link in the footer of <http://www.regulations.gov>.

**FOR FURTHER INFORMATION CONTACT:** USCIS, Office of Policy and Strategy, Regulatory Coordination Division, Samantha Deshommes, Chief, 20 Massachusetts Avenue NW., Washington, DC 20529-2140, Telephone number (202) 727-8377 (This is not a toll-free number; comments are not accepted via telephone message.). Please note contact information provided here is solely for questions regarding this notice. It is not for individual case status inquiries. Applicants seeking information about the status of their individual cases can check Case Status Online, available at the USCIS Web site at <http://www.uscis.gov>, or call the USCIS National Customer Service Center at (800) 375-5283; TTY (800) 767-1833.

#### SUPPLEMENTARY INFORMATION:

##### Comments

The information collection notice was previously published in the **Federal Register** on March 9, 2017, at 82 FR 13128, allowing for a 60-day public comment period. USCIS did receive 4 comments in connection with the 60-day notice.

You may access the information collection instrument with instructions, or additional information by visiting the Federal eRulemaking Portal site at: <http://www.regulations.gov> and enter USCIS-2008-0068 in the search box. Written comments and suggestions from the public and affected agencies should address one or more of the following four points:

(1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

(3) Enhance the quality, utility, and clarity of the information to be collected; and

(4) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

**Overview of This Information Collection**

(1) *Type of Information Collection Request:* Revision of a Currently Approved Collection.

(2) *Title of the Form/Collection:* Application for permission to reapply for Admission into the United States After Deportation or Removal.

(3) *Agency form number, if any, and the applicable component of the DHS sponsoring the collection:* I-212, USCIS.

(4) *Affected public who will be asked or required to respond, as well as a brief abstract: Primary:* Individuals or households. Form I-212 is necessary for USCIS to determine whether an alien is eligible for and should be granted the benefit of consent to reapply for admission into the United States. Furthermore, Form I-212 form standardizes requests for consent to reapply and its data collection requirements ensure that, when filing the application, the alien provides the basic information that is required to assess eligibility for consent to reapply.

(5) *An estimate of the total number of respondents and the amount of time estimated for an average respondent to respond:* The estimated total number of respondents for the information collection I-212 is 4,183; the estimated hour burden per response is 2 hours. The estimated total number of respondents filing with Customs and Border Patrol for the information collection I-212 is 82; the estimated hour burden per response is 2.33 hours. The estimated total number of responses for the biometric collection is 100, and the estimated hour burden per response is 1.17 hours.

(6) *An estimate of the total public burden (in hours) associated with the*

*collection:* The total estimated annual hour burden associated with this collection is 8,674 hours.

(7) *An estimate of the total public burden (in cost) associated with the collection:* The estimated total annual cost burden associated with this collection of information is \$538,334.

**Samantha Deshommes,**

*Chief, Regulatory Coordination Division, Office of Policy and Strategy, U.S. Citizenship and Immigration Services, Department of Homeland Security.*

[FR Doc. 2017-12625 Filed 6-16-17; 8:45 am]

**BILLING CODE 9111-97-P**

**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

[Docket No. FR-5997-N-28]

**30-Day Notice of Proposed Information Collection: Family Self-Sufficiency (FSS) Program**

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD is seeking approval from the Office of Management and Budget (OMB) for the information collection described below. In accordance with the Paperwork Reduction Act, HUD is requesting comment from all interested parties on the proposed collection of information. The purpose of this notice is to allow for 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax: 202-395-5806, Email: *OIRA Submission@omb.eop.gov*.

**FOR FURTHER INFORMATION CONTACT:** Colette Pollard, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email *Colette.Pollard@hud.gov*, or telephone 202-402-3400. This is not a toll-free number. Person with hearing or speech impairments may access this number through TTY by calling the toll-free

Federal Relay Service at (800) 877-8339. Copies of available documents submitted to OMB may be obtained from Ms. Pollard.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on October 24, 2016 at 81 FR 73131.

*A. Overview of Information Collection*

*Title of Information Collection:* Family Self-Sufficiency (FSS) Program.

*OMB Approval Number:* 2577-0178.

*Type of Request:* Reinstatement without change, of previously approved collection for which approval has expired.

*Form Number:* HUD-52650, HUD-52651, HUD-52652, HUD-50058, HUD-2880, HUD 52755, SF-424, SF-LLL, HUD-1044.

*Description of the need for the information and proposed use:* The FSS program, which was established in the National Affordable Housing Act of 1990, promotes the development of local strategies that coordinate the use of public housing assistance and assistance under the Section 8 rental certificate and voucher programs (now known as the Housing Choice Voucher Program) with public and private resources to enable eligible families to increase earned income and financial literacy, reduce or eliminate the need for welfare assistance, and make progress toward economic independence and self-sufficiency. Public Housing Agencies, Indian Tribes/Tribally Designated Housing Entities (TDHEs) consult with local officials to develop an Action Plan, enter into a Contract of Participation with each eligible family that opts to participate in the program, compute an escrow credit for the family, report annually to HUD on implementation of the FSS program, and complete a funding application for the salary of an FSS program coordinator.

*Respondents:* Public Housing Agencies, Tribes/Tribally Designated Housing Entities, State or Local Governments.

**ESTIMATED ANNUAL REPORTING AND RECORDKEEPING BURDEN**

Description of information collection	Number of respondents	Responses per year	Total annual responses	Hours per response	Total hours
SF424—Application for Federal Assistance .....	800	1	800	0.75	600
SF LLL—Disclosure of Lobbying Activities .....	40	1	40	0.17	7

Description of information collection	Number of respondents	Responses per year	Total annual responses	Hours per response	Total hours
HUD 2880—Applicant/Recipient/Disclosure/Update Form (OMB No. 2510-0011) .....	800	1	800	0	0
HUD-52755—Sample Contract Admin. Partnership Agreement .....	40	1	40	0.17	7
HUD-52651—FSS Application .....	800	1	800	1.5	1,200
Subtotal (Application) .....				2.8	1,814
Action Plan .....	10	1	10	10	100
HUD-52650—Contract of Participation .....	900	10	9,000	.25	2,250
HUD-52652—Escrow Account Credit Worksheet .....	750	50	37,500	.85	31,875
HUD-1044—Grant Agreement* .....	700	1	700	N/A	N/A
Annual Report (Narrative) .....	700	1	700	1	700
HUD-50058—Family Report (OMB No. 2577-0083) .....	900	50	45,000	0	0
Subtotal (Program Reporting/Recordkeeping) .....				12.1	34,925
Total .....				14.9	36,739

\* HUD-1044, Award/Amendment is completed by HUD staff, signed by the recipient of the grant, and returned to HUD. This form is a certification and HUD ascribes no burden to its use.

Burden hours for forms showing zero burden hours in this collection are reflected in the OMB approval number cited or do not have a reportable burden.

## B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses. HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: June 13, 2017.

### Colette Pollard,

Department Reports Management Officer,  
Office of the Chief Information Officer.

[FR Doc. 2017-12721 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5997-N-27]

### 30-Day Notice of Proposed Information Collection: Contractor's Requisition-Project Mortgages

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD submitted the proposed information collection requirement described below to the Office of Management and Budget (OMB) for review, in accordance with the Paperwork Reduction Act. The purpose of this notice is to allow for 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax:202-395-5806, Email: [OIRA.Submission@omb.eop.gov](mailto:OIRA.Submission@omb.eop.gov)

**FOR FURTHER INFORMATION CONTACT:** Inez C. Downs, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email [Inez.C.Downs@hud.gov](mailto:Inez.C.Downs@hud.gov), or telephone 202-402-8046. This is not a toll-free number. Person with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

Copies of available documents submitted to OMB may be obtained from Ms. Downs.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on January 31, 2017 at 82 FR 8838.

## A. Overview of Information Collection

*Title of Information Collection:* Contractor's Requisition-Project Mortgages.

*OMB Approval Number:* 2502-0028.

*Type of Request:* Extension of currently approved.

*Form Number:* HUD-92448.

*Description of the need for the information and proposed use:*

Contractor's submit a monthly application for distribution of insured mortgage proceeds for construction costs. Multifamily Hub Centers ensure that the work is actually completed satisfactory.

*Respondents:* Business or other for-profits.

*Estimated Number of Respondents:* 1,325.

*Estimated Number of Responses:* 15,900.

*Frequency of Response:* 12.

*Average Hours per Response:* 6.

*Total Estimated Burden:* 95,400.

## B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of

the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: May 30, 2017.

**Inez C. Downs,**

*Department Reports Management Officer,  
Office of the Chief Information Officer.*

[FR Doc. 2017-12685 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5997-N-19]

### 30-Day Notice of Proposed Information Collection: Multifamily Project Monthly Accounting Reports

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD submitted the proposed information collection requirement described below to the Office of Management and Budget (OMB) for review, in accordance with the Paperwork Reduction Act. The purpose of this notice is to allow for an additional 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax: 202-395-5806. Email: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Inez C. Downs, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email [Inez.C.Downs@hud.gov](mailto:Inez.C.Downs@hud.gov) or telephone 202-402-8046. This is not a

toll-free number. Persons with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

Copies of available documents submitted to OMB may be obtained from Ms. Downs.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on February 24, 2017 at 82 FR 11595.

#### A. Overview of Information Collection

*Title of Information Collection:* Multifamily Project Monthly Accounting Reports.

*OMB Approval Number:* 2502-0108.

*Type of Request:* Revision of a currently approved collection.

*Form Number:* HUD-93479, HUD-93480, and HUD-93481.

*Description of the need for the information and proposed use:* This information is necessary for HUD to monitor compliance with contractual agreements and to analyze cash flow trends as well as occupancy and rent collection levels.

*Respondents:* Business and Other for profit and non-profit entities.

*Estimated Number of Respondents:* 12,222.

*Estimated Number of Responses:* 87,999.

*Frequency of Response:* Monthly.

*Average Hours per Response:* 0.08 hours.

*Total Estimated Burdens:* 7,041.

#### B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including using appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic

submission of responses. HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: May 23, 2017.

**Inez C. Downs,**

*Department Reports Management Officer,  
Office of the Chief Information Officer.*

[FR Doc. 2017-12683 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5997-N-29]

### 30-Day Notice of Proposed Information Collection: Allocation of Operating Subsidies Under the Operating Fund Formula: Data Collection

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD has submitted the proposed information collection requirement described below to the Office of Management and Budget (OMB) for review, in accordance with the Paperwork Reduction Act. The purpose of this notice is to allow for an additional 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax: 202-395-5806. Email: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Colette Pollard, Reports Management Officer, QDAM, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email [Colette.Pollard@hud.gov](mailto:Colette.Pollard@hud.gov) or telephone 202-402-3400. Persons with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339. This is not a toll-free number. Copies of available documents submitted to OMB may be obtained from Ms. Pollard.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD has submitted to OMB a request for approval of the information collection described in Section A. The **Federal Register** notice that solicited public comment on the information collection

for a period of 60 days was published on December 21, 2016 at 81 FR 93698.

**A. Overview of Information Collection**

*Title of Information Collection:* Allocation of Operating Subsidies under the Operating Fund Formula: Data Collection.

*OMB Approval Number:* 2577-0029.  
*Type of Request:* Revision of currently approved collections.

*Form Number:* HUD-52722 and HUD-52723.

*Respondents:* State, Local or Tribal Government, Public Housing Agencies (PHAs).

*Description of the need for the information and proposed use:* Public

Housing Agencies (PHAs) use this information in budget submissions which are reviewed and approved by HUD field offices as the basis for obligating operating subsidies. This information is necessary to calculate the eligibility for operating subsidies under the Operating Funding Program regulations, as amended. The Operating Fund is designed to provide the amount of operating subsidy needed for well-managed PHAs. PHAs submit the information electronically with these forms.

Three changes occurred with the form HUD-52723. First, respondents requested to provide the total number of

units for all projects under the Annual Contributions Contract (ACC). Second, aligned the requirements for Limited Vacancy (Section 2, Line 14) to 24 CFR 990.150. Finally, respondents may enter the Resident Paid Utilities benefits for Energy Performance Contracts in a separate line (Section 3, Part B, Line 02). There is one change to the HUD-52722, HUD eliminated the Frozen Rolling Base checkbox in Section 1 and instead the respondents indicate a Rolling Base Consumption Level Category for each utility.

*Total Estimated Burdens:*

Information collection	Number of respondents	Frequency of response	Responses per annum	Burden hour per response	Annual burden hours	Hourly cost per response	Annual cost
HUD-52722 .....	7,000	1	0.75	5,250	5,250	\$30.98	162,645
HUD-52723 .....	7,000	1	0.75	5,250	5,250	30.98	162,645
Total .....	.....	.....	.....	10,500	.....	.....	325,290

**B. Solicitation of Public Comment**

This notice solicits comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including the use of appropriate automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35 as amended.

Dated: June 13, 2017.

**Colette Pollard,**

*Department Reports Management Officer, Office of the Chief Information Officer.*

[FR Doc. 2017-12720 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

[Docket No. FR-5997-N-22]

**30-Day Notice of Proposed Information Collection: HUD Conditional Commitment/Direct Statement of Appraised Value**

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD is seeking approval from the Office of Management and Budget (OMB) for the information collection described below. In accordance with the Paperwork Reduction Act, HUD is requesting comment from all interested parties on the proposed collection of information. The purpose of this notice is to allow for 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax:202-395-5806, Email: *OIRA Submission@omb.eop.gov*.

**FOR FURTHER INFORMATION CONTACT:** Colette Pollard, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email

*Colette Pollard@hud.gov*, or telephone 202-402-3400. This is not a toll-free number. Person with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339. Copies of available documents submitted to OMB may be obtained from Ms. Pollard.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on December 20, 2016 at 81 FR 92839.

**A. Overview of Information Collection**

*Title of Information Collection:* HUD Conditional Commitment/Direct Endorsement Statement of Appraised Value.

*OMB Approval Number:* 2502-0494.

*Type of Request:* Revision of currently approved.

*Form Number:* HUD 92800.5b.

*Description of the need for the information and proposed use:* Lenders must provide to loan applicants either a completed copy of form HUD-92800.5B, or a copy of the completed appraisal report, at or before loan closing. Form HUD 92800.5B serves as the mortgagee's conditional commitment/direct endorsement statement of value of FHA mortgage insurance on the property. The form provides a section for a statement of the property's appraised value and

other required FHA disclosures to the homebuyer, including specific conditions that must be met before HUD can endorse a firm commitment for mortgage insurance. HUD uses the information only to determine the eligibility of a property for mortgage insurance.

*Respondents (i.e. affected public):* Business.

*Estimated Number of Respondents:* 1800.

*Estimated Number of Responses:* 928,119.

*Frequency of Response:* On occasion.

*Average Hours per Response:* 12.

*Total Estimated Burden:* 111, 374.

## B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses. HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: May 23, 2017.

**Colette Pollard,**

*Department Reports Management Officer,  
Office of the Chief Information Officer.*

[FR Doc. 2017-12687 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5997-N-20]

### 30-Day Notice of Proposed Information Collection: FHA-Insured Mortgage Loan Servicing for Performing Loans Including: Collection and Payment of Mortgage Insurance Premiums, Escrow Administration, Providing Loan Information and Customer Services, Assessment of Post Endorsement Fees and Charges and Servicing Section 235 Loans

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD submitted the proposed information collection requirement described below to the Office of Management and Budget (OMB) for review, in accordance with the Paperwork Reduction Act. The purpose of this notice is to allow for 30 days of public comment.

**DATES:** *Comments Due Date:* July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax:202-395-5806, Email: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Inez C. Downs, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410; email: [Inez.C.Downs@hud.gov](mailto:Inez.C.Downs@hud.gov), or telephone 202-402-8046. This is not a toll-free number. Person with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

Copies of available documents submitted to OMB may be obtained from Ms. Downs.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on January 31, 2017 at 82 FR 8837.

## A. Overview of Information Collection

*Title of Information Collection:* FHA-Insured Mortgage Loan Servicing for Performing Loans Including: Collection

and Payment of Mortgage Insurance Premiums, Escrow Administration, Providing Loan Information and Customer Services, Assessment of Post Endorsement Fees and Charges and Servicing Section 235 Loans.

*OMB Approval Number:* 2502-0583.

*Type of Request:* Revision of currently approved.

*Form Number:* HUD-300, HUD-93 100, HUD-93 101, HUD-93 101-A, HUD-93 102, HUD-93 114.

*Description of the need for the information and proposed use:* This information request is a comprehensive collection for mortgagees that service Federal Housing Administration "FHA" insured mortgage loans and the mortgagors, who are involved with collection and payment of mortgage insurance premiums, payment processing, escrow account administration, providing loan information and customer service, assessing post endorsement fees and charges and servicing Section 235 loans.

*Respondents (i.e. affected public):* Servicers of FHA-insured mortgages.

*Estimated Number of Respondents:* 12,924.

*Estimated Number of Responses:* 77,498,091.

*Frequency of Response:* Monthly.

*Average Hours per Response:* 30 minutes.

*Total Estimated Burden:* 2,644,446.

## B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.



Dated: May 23, 2017.

**Inez C. Downs,**

*Department Reports Management Officer,  
Office of the Chief Information Officer.*

[FR Doc. 2017-12693 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-6004-N-02]

### 60-Day Notice of Proposed Information Collection: Requirements for Designating Housing Projects

**AGENCY:** Office of the Assistant  
Secretary for Public and Indian  
Housing, PIH, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD is seeking approval from the Office of Management and Budget (OMB) for the information collection described below. In accordance with the Paperwork Reduction Act, HUD is requesting comment from all interested parties on the proposed collection of information. The purpose of this notice is to allow for 60 days of public comment.

**DATES:** *Comments Due Date:* August 18, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: Colette Pollard, Reports Management Officer, QDAM, Department of Housing and Urban Development, 451 7th Street SW., Room 4176, Washington, DC 20410-5000; telephone 202-402-5564 (this is not a toll-free number) or email at [Colette.Pollard@hud.gov](mailto:Colette.Pollard@hud.gov) for a copy of the proposed forms or other available information. Persons with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

**FOR FURTHER INFORMATION CONTACT:** Arlette Mussington, Office of Policy, Programs and Legislative Initiatives, PIH, Department of Housing and Urban Development, 451 7th Street SW., Room 3178, Washington, DC 20410; telephone 202-402-4109, (this is not a toll-free number). Persons with hearing or speech impairments may access this number via TTY by calling the Federal Information Relay Service at (800) 877-8339. Copies of available documents submitted to OMB may be obtained from Ms. Mussington.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the

information collection described in Section A.

#### A. Overview of Information Collection

*Title of Information Collection:* Requirements for Designating Housing Projects.

*OMB Approval Number:* 2577-0192.

*Type of Request:* Revision of a currently approved collection.

*Form Number:* None.

*Description of the need for the information and proposed use:* The information collection burden associated with designated housing is required by statute. Section 10 of the Housing Opportunity and Extension Act of 1996 modified Section 7 of the U.S. Housing Act of 1937 to require Public Housing Agencies (PHAs) to submit a plan for designation for HUD approval before a project(s) can be designated as either elderly only, disabled only, or elderly and disabled. In this plan, PHAs must document why the designation is needed and provide the following information:

1. Description of the designated housing plan;
  2. Justification for the designation;
  3. Availability of alternative housing resources for the non-designated population(s);
  4. Impact on the availability of accessible housing;
  5. A statement that existing tenants in good standing will not be evicted;
  6. A statement of the resources that will be made available if the PHA offers voluntary relocation benefits; and
  7. Information describing how the DHP is consistent with any outstanding court orders, lawsuits, investigations, Voluntary Compliance Agreements (VCAs), or Letters of Finding.
- Respondents (i.e. affected public):* State, or Local Government.
- Estimated Number of Respondents:* 39.
- Estimated Number of Responses:* 1.
- Frequency of Response:* On Occasion.
- Average Hours per Response:* 15 hours.
- Total Estimated Burdens:* 585 hours.

#### B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

- (1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- (2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: June 7, 2017.

**Merrie Nichols-Dixon,**

*Director, Office of Policy, Programs and Legislative Initiatives.*

[FR Doc. 2017-12718 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-6004-N-01]

### 60-Day Notice of Proposed Information Collection: Grant Drawdown Payment Request/LOCCS/VRS Voice Activated

**AGENCY:** Office of the Assistant  
Secretary for Public and Indian  
Housing, PIH, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD is seeking approval from the Office of Management and Budget (OMB) for the information collection described below. In accordance with the Paperwork Reduction Act, HUD is requesting comment from all interested parties on the proposed collection of information. The purpose of this notice is to allow for 60 days of public comment.

Public and Indian Housing Grant recipients use the payment vouchers to request funds from HUD through the LOCCS/VRS voice activated system. The information collected on the form serves also as an internal control measure to ensure the lawful and appropriate disbursement of Federal funds.

**DATES:** *Comments Due Date:* August 18, 2017.

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: Colette Pollard, Reports Management Officer, QDAM, Department of Housing and Urban Development, 451 7th Street SW., Room 4176, Washington, DC 20410-5000; telephone 202-402-5564 (this is not a toll-free number) or email at [Colette.Pollard@hud.gov](mailto:Colette.Pollard@hud.gov) for a copy of



the proposed forms or other available information. Persons with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

**FOR FURTHER INFORMATION CONTACT:** Arlette Mussington, Office of Policy, Programs and Legislative Initiatives, PIH, Department of Housing and Urban Development, 451 7th Street SW., (L'Enfant Plaza, Room 2206), Washington, DC 20410; telephone 202-402-4109, (this is not a toll-free number). Persons with hearing or speech impairments may access this number via TTY by calling the Federal Information Relay Service at (800) 877-

8339. Copies of available documents submitted to OMB may be obtained from Ms. Mussington.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

**A. Overview of Information Collection**

*Title of Information Collection:* Grant Drawdown Payment Request/LOCCS/VRS Voice Activated.

*OMB Approval Number:* 2577-0166.

*Type of Request:* Extension of currently approved collection.

*Form Numbers:* 50080-CFP; 50080-NN, RSDE, RSDF, SC; 50080-PHTA;

50080-URP; 50080-FSS; 50080-IHBG; 50080-HOMI; 50080-TIHD.

*Description of the need for the information and proposed use:* Grant recipients use the applicable payment information to request funds from HUD through the LOCCS/VRS voice activated system. The information collected on the payment voucher will also be used as an internal control measure to ensure the lawful and appropriate disbursement of Federal funds as well as provide a service to program recipients.

*Respondents:* PHAs, state or local government. Tribes and tribally designated housing entities.

Grant program	Form 50080-XXXX	Number of respondents	Frequency of responses (drawdowns annually per program)	Time per response (15 minutes each)	Burden hours
Capital Fund .....	50080-CFP	3,100	46,500	.25 hours .....	11,625
Operating Fund .....	50080-OFND.	3,100	85,200	.25 hours .....	21,300
Resident Opportunities and Supportive Services (ROSS) RSDE.	50080-RSDE.	5	14	.25 hours .....	3.5
Resident Opportunities and Supportive Services (ROSS) RSDF.	50080-RSDF	5	28	.25 hours .....	7
Resident Opportunities and Supportive Services (ROSS) SC.	50080-SC ...	482	5,784	.25 hours .....	1,446
Public Housing Technical Assistance .....	50080-PHTA	12	134	.25 hours .....	33.5
Hope VI .....	50080-URP	100	1,020	.25 hours .....	255
Family Self-Sufficiency .....	50080-FSS	700	8,400	.25 hours .....	2,100
Indian Housing Block Grant .....	50080-IHBG	361	4,332	.25 hours .....	83
Indian HOME .....	50080-HOMI	5	60	.25 hours .....	15
Traditional Indian Housing Development .....	50080-TIHD	32	384	.25 hours .....	96
		4,802	151,856		36,964

**B. Solicitation of Public Comment**

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

(1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) The accuracy of the agency's estimate of the burden of the proposed collection of information;

(3) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

Dated: June 7, 2017.

**Merrie Nichols-Dixon,**  
*Director, Office of Policy, Programs and Legislative Initiatives.*

[FR Doc. 2017-12719 Filed 6-16-17; 8:45 am]

**BILLING CODE 4210-67-P**

**DEPARTMENT OF THE INTERIOR**

**Fish and Wildlife Service**

**[FWS-HQ-RF-2017-N076; FXRS12630900000-167-FF09R81000]**

**Agency Information Collection Activities: OMB Control Number 1018-0102; National Wildlife Refuge Special Use Permit Applications and Reports**

**AGENCY:** Fish and Wildlife Service, Interior.

**ACTION:** Notice; request for comments.

**SUMMARY:** We (U.S. Fish and Wildlife Service) will ask the Office of Management and Budget (OMB) to approve the information collection (IC) described below. As required by the Paperwork Reduction Act of 1995 and as part of our continuing efforts to reduce paperwork and respondent burden, we invite the general public and other Federal agencies to take this opportunity to comment on this IC. This IC is scheduled to expire on June 30, 2017. We may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number.

**DATES:** To ensure that we are able to consider your comments on this IC, we must receive them by July 19, 2017.

**ADDRESSES:** Send your comments and suggestions on this information collection to the Desk Officer for the Department of the Interior at OMB-OIRA at (202) 395-5806 (fax) or OIRA\_

*Submission@omb.eop.gov* (email).

Please provide a copy of your comments to the Service Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS: BPHC, 5275 Leesburg Pike, Falls Church, VA 22041-3803 (mail); or *info\_coll@fws.gov* (email). Please include "1018-0102" in the subject line of your comments. You may review the ICR online at <http://www.reginfo.gov>. Follow the instructions to review Department of the Interior collections under review by OMB.

**FOR FURTHER INFORMATION CONTACT:**

Service Information Collection Clearance Officer, at *info\_coll@fws.gov* (email) or (703) 358-2503 (telephone).

**SUPPLEMENTARY INFORMATION:**

**I. Abstract**

The National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 668dd-668ee) (Administration Act), as amended by the National Wildlife Refuge System Improvement Act of 1997, consolidated all refuge units into a single National Wildlife Refuge System (System). It also authorized us to offer visitor and public programs, including those facilitated by commercial visitor and management support services, on lands of the System when we find that the activities are appropriate and compatible with the purpose(s) for which the refuge was established and the System's mission. The Refuge Recreation Act of 1962 (16 U.S.C. 460k-460k-4) (Recreation Act) allows the use of refuges for public recreation when it is not inconsistent or does not interfere with the primary purpose(s) of the refuge. The Alaska National Interest Lands Conservation Act (16 U.S.C. 3101 *et seq.*) (ANILCA) provides specific authorization and guidance for the administration and management of national wildlife refuges within the State of Alaska. Its provisions provide for the issuance of permits under certain circumstances.

We issue special use permits for a specific period as determined by the type and location of the management activity or visitor service provided. These permits authorize activities such as:

- Agricultural activities (hay and grazing, 50 CFR 29.1 and 29.2).
- Beneficial management tools that we use to provide the best habitat possible on some refuges (50 CFR 30.11, 31.14, 31.16, and 36.41).
- Special events, group visits and other one-time events (50 CFR 25.41, 25.61, 26.36, and 36.41).

- Recreational visitor service operations (50 CFR 25.41, 25.61, and 36.41).
- Guiding for fishing, hunting, wildlife education, and interpretation (50 CFR 25.41 and 36.41).
- Commercial filming (43 CFR 5, 50 CFR 27.71) and other commercial activities (50 CFR 29.1 and 36.41).
- Building and using cabins to support subsistence or commercial activities (in Alaska) (50 CFR 26.35 and 36.41).
- Research, inventory and monitoring, and other noncommercial activities (50 CFR 26.36 and 36.41).

We use three forms to collect applicant information:

- FWS Form 3-1383-G (General Activities Special Use Application).
- FWS Form 3-1383-C (Commercial Activities Special Use Application).
- FWS Form 3-1383-R (Research and Monitoring Special Use Application).

The information we collect helps ensure that: (1) Applicants are aware of the types of information that may be needed for permit issuance; (2) requested activities are appropriate and compatible with the purpose(s) for which the refuge was established and the System's mission; and (3) the applicant is eligible or is the most qualified applicant to receive the special use permit.

We may collect the necessary information in a non-form format (through discussions in person or over the phone, over the Internet, by email, or by letter). In some instances, respondents will be able to provide information verbally. Often, a simple email or letter describing the activity will suffice. For activities (*e.g.*, commercial visitor services, research, etc.) that might have a large impact on refuge resources, we may require applicants to provide more detail on operations, techniques, and locations. Because of the span of activities covered by special use permits and the different management needs and resources at each refuge, respondents may not be required to answer all questions. Depending on the requested activity, refuge managers have the discretion to ask for less information than appears on the forms. However, refuge managers must not ask for more or different information.

We issue permits for a specific period as determined by the type and location of the use or service provided. We use these permits to ensure that the applicant is aware of the requirements of the permit and his/her legal rights. Refuge-specific special conditions may be required for the permit. We identify conditions as an addendum to the

permit. Most of the special conditions pertain to how a permitted activity may be conducted and do not require the collection of information. However, some special conditions, such as activity reports, before and after site photographs, or data sharing, would qualify as an information collection, and we have included the associated burden below.

**II. Data**

*OMB Control Number:* 1018-0102.

*Title:* National Wildlife Refuge Special Use Permit Applications and Reports, 50 CFR 25, 26, 27, 29, 30, 31, 32, & 36.

*Service Form Number(s):* 3-1383-G, 3-1383-C, and 3-1383-R.

*Type of Request:* Revision of a currently approved collection.

*Description of Respondents:* Individuals and households; businesses and other for-profit organizations; nonprofit organizations; farms; and State, local, or tribal governments.

*Respondent's Obligation:* Required to obtain or retain a benefit.

*Frequency of Collection:* On occasion for applications; annually or on occasion for reports.

*Estimated Number of Annual Responses:* 7,865.

*Estimated Completion Time per Response:* Varies from 30 minutes to 4 hours, depending on activity.

*Estimated Annual Burden Hours:* 16,756.

*Estimated Annual Non-hour Burden Cost:* \$270,300 for fees associated with applications for commercial use activities (\$100.00 × an estimated 2,703 applications).

**III. Comments**

On February 24, 2017, we published in the **Federal Register** (82 FR 11601) a notice of our intent to request that OMB approve this information collection. In that notice, we solicited comments for 60 days, ending on April 25, 2017. We received two comments in response to that Notice:

*Comment 1:* A respondent commented on the authorities and regulations that allow for certain commercial activities on wildlife refuges (specifically haying/grazing/farming and cabin rentals) and the fees that are charged, but not on the application forms themselves.

*FWS Response to Comment 1:* The National Wildlife Refuge System Administration Act at 16 U.S.C. 668dd(a)(1) authorizes us to permit public accommodations, including commercial visitor services, on lands of the System when we find that these activities are compatible and

appropriate with the purpose for which the refuge was established. The respondent did not address the information collection, and we did not make any changes to our requirements.

*Comment 2:* A second respondent provided the following comment:

(1) The information collected is not necessary because it appears not to be a lawfully authorized request. Although it is difficult to know which law cited by the FWS is applicable to which CFR given because the Federal Registry entry does not appear to comply with FR requirements for specificity, see 1 CFR 21 and 22. Regardless none of the laws cited; 16 U.S.C. 668dd–668ee, 16 U.S.C. 460k–460k–4, 6 U.S.C. 3101 *et seq.* (it is unclear what “*et seq.*” is referring to) appear relevant at a minimum to Form 3–1383–C.

The 16 U.S.C. 460k–460K–4 codes (subchapter LXVIII) is entitled “NATIONAL CONSERVATION RECREATIONAL AREAS”, these parts only concern recreation and do not even use the word commercial, economic, business, etc.

16 U.S.C. 668dd–668ee likewise address recreation and management, conservation, etc. but has no mention of the word “commercial” or any other type of “economic” activity.

16 U.S.C. 3101 speaks to the needs of recreation and scientific purposes, et al. but no mention of commercial, economic or other business interests.

Therefore, the information requested from form 3–1383–C regarding “Commercial Activities” would appear not only unnecessary but also unauthorized based on the authorities cited.

Even the statement in Section I (Abstract) appears to acknowledge this fact: “We issue special use permits for a specific period as determined by the type and location of the management activity or visitor service provided.” No mention of commercial activities.

(2) 29 CFR 29.1 is mentioned as both an agricultural activity and a commercial activity, however none of the statutory authority cited mentions anything to do with agricultural activity or commercial activity. Nor do they mention these activities as “permissible” activities. Therefore, the informational requests regarding 29 CFR 29.1 in regards to forms 3–1383–C would also appear unnecessary and also not authorized by the authorities cited.

(3) 29 CFR 29.2 is mentioned as a farming activity however the regulation itself is clearly a “management activity” using various methods to achieve the management:

“§ 29.2 Cooperative land management.

Cooperative agreements with persons for crop cultivation, haying, grazing, or the harvest of vegetative products, including plant life, growing with or without cultivation on wildlife refuge areas may be executed on a share-in-kind basis when such agreements are in aid of or benefit to the wildlife management of the area.”

Just performing “agricultural activities” does not necessarily bring the activity within the realm of “wildlife management” of the area. Regardless the authorities cited do not cross to 50 CFR 29.2 and if they did it could only authorize “cooperative agreements” not Special Use Permits, therefore this informational request must also be considered not only unnecessary but also not allowed by the authorities cited.

(4) The informational requests within the forms given make no mention of how any potential commercial activity would comply with federal contracting law. As written these type of commercial activities would appear to unlawfully escape the Competition in Contract Act, Federal Acquisition Regulations and a host of other legal requirements like small business, minority and disadvantaged groups, wage requirements, etc. These types of informational requests and notifications would be required to be included within any permitting form if not addressed within other forms. Therefore, the information requests appear to be inadequate in this regard.

(5) Lastly, the informational requests in the forms do not appear to comport with 1 CFR part 21 and 22 regarding authority citations. Specifically, the forms do not indicate the specific authority delegated by statute nor do they indicate any delegation from the Secretary of the Interior to the FWS, *e.g.* “The provisions of this subchapter and any such regulation shall be enforced by any officer or employee of the United States Fish and Wildlife Service designated by the Secretary of the Interior.” 16 U.S.C. 460k–3

*FWS Response to Comment 2:* We forwarded Comment 2 to the DOI Solicitor’s Office for review based on Jay H. questioning the authority under the cited statutes for the Service to collect information under FWS Form 3–1383–C (Commercial Activities Special Use Application). The requester did not believe that the FWS possessed the authority to act for the Secretary under the cited statutes, and did not believe that the cited statutes authorized the issuance of permits for commercial activities on national wildlife refuges. The following response to Comment 2

was provided by the DOI Solicitor’s Office:

“The National Wildlife Refuge System Administration Act (NWRSA), at 16 U.S.C. 668dd(a)(1), states that the National Wildlife Refuge System (NWRs), “. . . shall be administered by the Secretary through the United States Fish and Wildlife Service.” The Secretary (through the FWS) is authorized, under such regulations as he may prescribe, to, “. . . permit the use of any area within the System for any purpose . . . whenever he determines that such uses are compatible with the major purposes for which the areas were established. (16 U.S.C. 668dd(d)(1)(A)). The term, “compatible use,” is defined, at 16 U.S.C. 668ee(1), to mean, “. . . a wildlife-dependent recreational use or any other use of a refuge that, in the sound professional judgement of the Director, will not materially interfere with or detract from the fulfillment of the mission of the System or the purposes of the refuge.”

The two other statutes cited in the **Federal Register** notice are, 16 U.S.C. 460k–3, which refers to the authority to manage national wildlife refuges, among other conservation areas, and 16 U.S.C. 3101 *et seq.*, which refers to management provisions for national wildlife refuges in Alaska. In both instances, the FWS has been charged with managing such wildlife refuges through the provision in the NWRSA which states that the system shall be managed by the Secretary through the FWS.

Among the regulations prescribed for management of the NWRs is 50 CFR 29.1, which specifically states that the FWS, “. . . may authorize economic use by appropriate permit only when we (FWS officials) have determined the use on a national wildlife refuge to be compatible.” That regulatory provision also cites to 16 U.S.C. 715s, which confirms Congress’s intent that economic uses on national wildlife refuges may be permitted, directing for the deposit of, “. . . all revenues received . . . from the sale or other disposition of animals, salmonoid carcasses, timber, hay, grass, or other products of the soil, minerals, shells, sand, or gravel, from other privileges, or from leases of public accommodations or facilities incidental to but not in conflict with the basic purposes for which those areas of the National Wildlife Refuge System were established.”

Clearly the Secretary’s authority to manage the NWRs is delegated to the FWS, by the language of the NWRSA cited above, and the FWS has the broad authority under the NWRSA to permit

commercial uses within national wildlife refuges which are compatible with the purpose for which an individual refuge was established and the purposes of the NWRs.”

#### IV. Request for and Availability of Public Comments

We again invite comments concerning this information collection on:

- Whether or not the collection of information is necessary, including whether or not the information will have practical utility;
- The accuracy of our estimate of the burden for this collection of information;
- Ways to enhance the quality, utility, and clarity of the information to be collected; and
- Ways to minimize the burden of the collection of information on respondents.

Comments that you submit in response to this notice are a matter of public record. Before including your address, phone number, email address, or other personal identifying information in your comment, you should be aware that your entire comment, including your personal identifying information, may be made publicly available at any time. While you can ask OMB in your comment to withhold your personal identifying information from public review, we cannot guarantee that it will be done.

#### V. Authorities

The authorities for this action are the National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 668dd–668ee), as amended by the National Wildlife Refuge System Improvement Act of 1997; Refuge Recreation Act of 1962 (16 U.S.C. 460k–460k–4); Alaska National Interest Lands Conservation Act (16 U.S.C. 3101 *et seq.*); and the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

Dated: June 14, 2017.

**Madonna L. Baucum,**

*Information Collection Clearance Officer, U.S. Fish and Wildlife Service.*

[FR Doc. 2017–12728 Filed 6–16–17; 8:45 am]

**BILLING CODE 4333–15–P**

## DEPARTMENT OF THE INTERIOR

### Fish and Wildlife Service

[FWS–HQ–MB–2017–N077;  
FXMB12310900WHO–178–FF09M26000]

#### Agency Information Collection Activities: OMB Control Number 1018–0023; Migratory Bird Harvest Information Program and Migratory Bird Surveys

**AGENCY:** Fish and Wildlife Service, Interior.

**ACTION:** Notice; request for comments.

**SUMMARY:** We (U.S. Fish and Wildlife Service) will ask the Office of Management and Budget (OMB) to approve the information collection (IC) described below. As required by the Paperwork Reduction Act of 1995 and as part of our continuing efforts to reduce paperwork and respondent burden, we invite the general public and other Federal agencies to take this opportunity to comment on this IC. This IC is scheduled to expire on June 30, 2017. We may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number.

**DATES:** You must submit comments on or before July 19, 2017.

**ADDRESSES:** Send your comments and suggestions on this information collection to the Desk Officer for the Department of the Interior at OMB–OIRA at (202) 395–5806 (fax) or *OIRA\_Submission@omb.eop.gov* (email). Please provide a copy of your comments to the Service Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS: BPHC, 5275 Leesburg Pike, Falls Church, VA 22041–3803 (mail), or *Info\_Coll@fws.gov* (email). Please include “1018–0023” in the subject line of your comments. You may review the ICR online at <http://www.reginfo.gov>. Follow the instructions to review Department of the Interior collections under review by OMB.

#### FOR FURTHER INFORMATION CONTACT:

Service Information Collection Clearance Officer, at *info\_coll@fws.gov* (email) or (703) 358–2503 (telephone).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

The Migratory Bird Treaty Act (16 U.S.C. 703–711) and the Fish and Wildlife Act of 1956 (16 U.S.C. 742d) designate the Department of the Interior as the key agency responsible for (1) the wise management of migratory bird populations frequenting the United

States, and (2) setting hunting regulations that allow appropriate harvests that are within the guidelines that will allow for those populations’ well-being. These responsibilities dictate that we gather accurate data on various characteristics of migratory bird harvest. Based on information from harvest surveys, we can adjust hunting regulations as needed to optimize harvests at levels that provide a maximum of hunting recreation while keeping populations at desired levels.

Under 50 CFR 20.20, migratory bird hunters must register for the Migratory Bird Harvest Information Program (HIP) in each State in which they hunt each year. State natural resource agencies must send names and addresses of all migratory bird hunters to Branch of Harvest Surveys, U.S. Fish and Wildlife Service Division of Migratory Bird Management, on an annual basis.

The Migratory Bird Hunter Survey is based on the Migratory Bird Harvest Information Program. We randomly select migratory bird hunters and ask them to report their harvest. The resulting estimates of harvest per hunter are combined with the complete list of migratory bird hunters to provide estimates of the total harvest for the species surveyed.

The Parts Collection Survey estimates the species, sex, and age composition of the harvest, and the geographic and temporal distribution of the harvest. Randomly selected successful hunters who responded to the Migratory Bird Hunter Survey the previous year are asked to complete and return a postcard if they are willing to participate in the Parts Collection Survey. We provide postage-paid envelopes to respondents before the hunting season and ask them to send in a wing or the tail feathers from each duck or goose that they harvest, or a wing from each mourning dove, woodcock, band-tailed pigeon, snipe, rail, or gallinule that they harvest. We use the wings and tail feathers to identify the species, sex, and age of the harvested sample. We also ask respondents to report on the envelope the date and location of harvest for each bird. We combine the results of this survey with the harvest estimates obtained from the Migratory Bird Hunter Survey to provide species-specific national harvest estimates.

The combined results of these surveys enable us to evaluate the effects of season length, season dates, and bag limits on the harvest of each species, and thus help us determine appropriate hunting regulations.

The Sandhill Crane Harvest Survey is an annual questionnaire survey of people who obtained a sandhill crane

hunting permit. At the end of the hunting season, we randomly select a sample of permit holders and ask them to report the date, location, and number of birds harvested for each of their sandhill crane hunts. Their responses provide estimates of the temporal and geographic distribution of the harvest as well as the average harvest per hunter, which, combined with the total number of permits issued, enables us to estimate the total harvest of sandhill cranes.

Based on information from this survey, we adjust hunting regulations as needed.

**II. Data**

*OMB Control Number:* 1018–0023.  
*Title:* Migratory Bird Information Program and Migratory Bird Surveys, 50 CFR 20.20.  
*Service Form Number:* FWS Forms 3–165, 3–165A through E, 3–2056J through N.

*Type of Request:* Revision to a currently approved collection.

*Description of Respondents:* States and migratory game bird hunters.

*Respondent's Obligation:* Mandatory for HIP registration information; voluntary for participation in the surveys.

*Frequency of Collection:* Annually or on occasion.

Activity	Number of respondents	Number of responses	Completion time per response	Total annual burden hours*
<b>Migratory Bird Harvest Information Program</b>				
	49	784	157 hours ....	123,088
<b>Migratory Bird Hunter Survey</b>				
Form 3–2056J .....	37,000	37,000	5 minutes ....	3,083
Form 3–2056K .....	23,100	23,100	4 minutes ....	1,540
Form 3–2056L .....	8,900	8,900	4 minutes ....	593
Form 3–2056M .....	12,000	12,000	3 minutes ....	600
<b>Parts Collection Survey</b>				
Form 3–165 .....	4,200	92,400	5 minutes ....	7,700
Form 3–165A .....	1,000	5,500	5 minutes ....	458
Form 3–165B .....	3,600	3,600	1 minute .....	60
Form 3–165C .....	400	400	1 minute .....	7
Form 3–165D .....	1,100	1,100	1 minute .....	18
Form 3–165E .....	900	1,350	5 minutes ....	113
<b>Sandhill Crane Harvest Survey</b>				
Form 3–2056N .....	4,000	4,000	3.5 minutes	233
Totals .....	96,249	190,134	.....	137,493

\* Burden hours are rounded

**III. Comments**

On February 24, 2017, we published in the **Federal Register** (82 FR 11603) a notice of our intent to request that OMB renew approval for this information collection. In that notice, we solicited comments for 60 days, ending on April 25, 2017. We received five comments in response to the notice. One commenter objected to the surveys, but did not address the information collection requirements. Therefore, we did not provide a response. The remaining four comments are summarized below, along with the Service responses.

*Comment 1:* Received April 7, 2017, from the Atlantic Flyway Council via email:

The Atlantic Flyway Council provided comments in response to the four topics listed below (we have provided our responses following each separate comment from the Atlantic Flyway Council; see “Service Response”).

*Comment 1A:* Whether or not the collection of information is necessary, including whether or not the information will have practical utility.

The Atlantic Flyway commented that the surveys are absolutely critical to the management of migratory birds and maintaining hunting seasons, and that without reliable data on harvest parameters derived from these surveys, our ability to make decisions could result in less than optimal levels of migratory bird populations and decrease in hunting opportunity. They commented that the surveys provide substantial evidence that game bird species are wisely managed, thus preventing meaningful legal challenges against migratory game bird hunting seasons.

*Service Response to Comment 1A:* No response required.

*Comment 1B:* The accuracy of our estimate of the burden for this collection of information.

The Atlantic Flyway stated that, while the methodology used to estimate the time burden was not clear, the estimates did not appear to be unreasonable, and that they did not believe the surveys caused a significant burden on respondents. Further, they stated

that the necessity to collect the information outweighed the time burden of the survey.

*Service Response to Comment 1B:* No response required.

*Comment 1C:* Ways to enhance the quality, utility, and clarity of the information to be collected.

The Atlantic Flyway Council stated that they believed these surveys are conducted in a reliable and efficient fashion and employ a methodology that provides accurate and reliable data. They also stated that the use of electronic surveys may allow for an increase in sample size which might increase the reliability and accuracy of the survey and reduce overall costs, as well as reduce the burden on respondents. They encouraged examination of those techniques and were anxious to work with the Service to improve or change the surveys.

*Service Response to Comment 1C:* We are working with the USFWS’s Information Resources and Technology Management (IRTM) to develop an online survey response platform to allow hunters to respond to the diary survey over the Internet, as an alternative to a paper form. This change to our survey platform will not be implemented until the 2018–2019 harvest season at the earliest. We intend to involve the flyways

and other stakeholders in the development of this online form to make sure the implementation is smooth and does not increase the burden on survey respondents or impact the integrity of the data we collect.

*Comment 1D:* Ways to minimize the burden of the collection of information on respondents:

The Atlantic Flyway reiterated their comment that they did not believe the surveys caused a significant burden on respondents, but encouraged examination of methods such as electronic surveys, which they said could reduce the burden.

*Service Response to Comment 1D:* See Service response to comment 1C.

*Comment 2:* Received April 17, 2017, from the New Mexico Department of Game and Fish (hereafter NMDGF) via email:

The New Mexico Department of Game and Fish provided comments in response to the four topics listed below (see Service response following each comment).

*Comment 2A:* Regarding whether or not the collection of information is necessary, including whether or not the information will have practical utility; whether there are any questions they felt were unnecessary:

The NMDGF stated their full support of the continuation of the Migratory Bird Harvest Information Program, the Migratory Bird Hunter Survey, Parts Collection Survey, and the Sandhill Crane Survey. NMDGF stated that the estimates of hunters and harvests from these surveys allow for informed decision making in setting harvest regulations and avoiding overharvest of migratory game birds that could lead to decreased population numbers as well as decreased hunting opportunities and local economic expenditures by hunters within NM.

*Service Response to Comment 2A:* No response required.

*Comment 2B:* Regarding the accuracy of our estimate of burden for this collection of information:

The NMDGF noted that the surveys are voluntary, and does not believe they cause significant burden, and that our estimate of the burden is accurate.

*Service Response to Comment 2B:* No response required.

*Comment 2C:* Regarding ways to enhance the quality, utility, and clarity of the information to be collected:

The NMDGF believes that the surveys are conducted appropriately, allowing for accurate and usable estimates of the number of hunters and harvests, and allowing New Mexico to evaluate decisions regarding hunting season selections within the Federal hunting frameworks.

*Service Response to Comment 2C:* No response required.

*Comment 2D:* Regarding ways to minimize the burden of the collection of information on respondents:

The NMDGF stated that, while they do not believe the surveys cause a significant burden, NMDGF encourages critical examination of the current methods to reduce

burden wherever possible. However, they noted that any changes to the methodology would require appropriate funding and resources for sampling design and development and proper implementation of changes to ensure reliability and usability of the resulting data.

*Service Response to Comment 2D:* In the next several years, we intend to undertake a critical review of the sampling design of this survey, as part of an effort to modernize our overall data management processes. As stated previously in this document, we will also be moving to an online harvest diary form, which should reduce the burden on respondents by making it easier to fill out and submit the form. We fully intend to involve State agency partners in this modification to the survey.

*Comment 3:* Received April 24, 2017, from the Pacific Flyway Council, via email:

The Pacific Flyway Council provided comments in response to the 4 topics listed below (see Service Response following each comment).

*Comment 3A:* Whether or not the collection of information is necessary, including whether or not the information will have practical utility:

The Pacific Flyway Council stated that the data obtained from these surveys are absolutely critical to the proper management of migratory game birds, and that, without this information, their ability to make appropriate decisions could result in less than optimal migratory bird populations and a decrease in hunting recreation. They also stated that the surveys provide substantial evidence regarding wise management of migratory birds that prevents meaningful legal challenges against migratory bird hunting seasons.

*Service Response to Comment 3A:* No response required.

*Comment 3B:* Regarding the accuracy of our estimate of burden for this collection of information:

The Pacific Flyway Council believed the estimates did not appear to be unreasonable, and that the surveys do not cause a significant burden on respondents. Further, they stated that the necessity to collect the information far outweighs the time and effort to collect it.

*Service Response to Comment 3B:* No response required.

*Comment 3C:* Regarding ways to enhance the quality, utility, and clarity of the information to be collected:

The Pacific Flyway Council stated they believed the surveys are conducted in an appropriate fashion, but stated that there could be improvements in the approaches and techniques used to increase efficiency and reliability or use new and changing technologies, specifically, that the use of electronic surveys might allow for increase in sample size and increased reliability and accuracy. The flyway council encouraged examination of these techniques and expressed willingness to work with the Service to improve or change the surveys, but noted that these explorations would require

appropriate funding for development and implementation.

*Service Response to Comment 3C:* As stated in Service response 2D above, in the next several years, we intend to undertake a critical review of the sampling design of this survey, as part of an effort to modernize our overall data management processes. We will also be moving to an online harvest diary form which should reduce the burden on respondents by making it easier to fill out and submit the form. We fully intend to involve flyway partners in this modification to the survey, which should allow us to increase sample sizes where needed while maintaining reliability and accuracy of the survey.

*Comment 3D:* Ways to minimize the burden of the collection of information on respondents:

The Pacific Flyway Council reiterated that they did not believe the surveys caused a significant burden on respondents, but suggested the use of electronic surveys as a possible way to reduce the burden on respondents.

*Service Response to Comment 3D:* See 3B above.

*Comment 4:* Received April 27, 2017, from the Central Flyway Council, via email:

The Central Flyway Council provided comments in response to the four topics listed below (see Service response following each comment). The Council stated that they fully support continuation of the harvest surveys with their current protocol and methodology.

*Comment 4A:* Whether or not the collection of information is necessary, including whether or not the information will have practical utility:

The Central Flyway Council stated that the data obtained from these surveys are critical to the scientifically based management of migratory game birds under the Migratory Bird Treaty Act, and that the four flyway councils (Atlantic, Mississippi, Central, and Pacific) make informed decisions in setting and adjusting harvest regulations with this information. Without this information collection, the Flyway feels that less than optimal hunting regulations could be selected, resulting in a decrease in hunting recreation and local economic expenditures. They also stated that in the Central Flyway 140,000 goose hunters, 200,000 duck hunters, and 370,000 dove hunters spend approximately 3 million days afield, thanks in part to the information collected in these surveys and other Service migratory bird monitoring programs.

*Service Response to Comment 4A:* No response required.

*Comment 4B:* Regarding the accuracy of our estimate of burden for this collection of information:

The Central Flyway Council believes the accuracy of the estimates is appropriate based on their experience with migratory bird hunters across 10 States, and that the surveys do not cause a significant burden on respondents.

*Service Response to Comment 4B:* No response required.

*Comment 4C:* Ways to enhance the quality, utility, and clarity of the information to be collected:

The Central Flyway Council stated that they believe the surveys are conducted in an appropriate fashion that provides accurate and precise estimates of migratory bird hunter and harvest. They also stated that until alternative methodologies have been developed and vetted, mailing surveys is the sole method for obtaining high-quality information with migratory bird surveys. They noted that this information collection allows individual States to evaluate human-dimension decisions (e.g., timing of seasons, boundaries of hunting zones) related to the States' hunting season selections within the Federal framework for migratory bird seasons.

*Service Response to Comment 4C:* No response required.

*Comment 4D:* Ways to minimize the burden of the collection of information on respondents:

The Central Flyway Council reiterated that they did not believe the surveys caused a significant burden on respondents, but encouraged the examination of methods to reduce the burden of the surveys on respondents, and stated they were willing to work with the Service on any improvements or changes in the future. They further noted that these changes would require appropriate funding for their development and implementation, and also said there is a need to ensure comparability with previous methods.

*Service Response to Comment 4D:* As stated in Service response 2D above, in the next several years, we intend to undertake a critical review of the sampling design of this survey, as part of an effort to modernize our overall data management processes. We will also be moving to an online harvest diary form, which should reduce the burden on respondents by making it easier to fill out and submit the form. We fully intend to involve flyway partners in this modification to the survey, which should allow us to increase sample sizes where needed, while maintaining reliability and accuracy of the survey.

#### IV. Request for and Availability of Public Comments

We again invite comments concerning this information collection on:

- Whether or not the collection of information is necessary, including whether or not the information will have practical utility;
- The accuracy of our estimate of the burden for this collection of information;
- Ways to enhance the quality, utility, and clarity of the information to be collected; and
- Ways to minimize the burden of the collection of information on respondents.

Comments that you submit in response to this notice are a matter of public record. Before including your address, phone number, email address,

or other personal identifying information in your comment, you should be aware that your entire comment, including your personal identifying information, may be made publicly available at any time. While you can ask OMB in your comment to withhold your personal identifying information from public review, we cannot guarantee that it will be done.

#### V. Authorities

The authorities for this action are the Migratory Bird Treaty Act (16 U.S.C. 703 *et seq.*), Fish and Wildlife Act of 1956 (16 U.S.C. 742a–742j), and the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

Dated: June 14, 2017.

**Madonna L. Baucum,**

*Information Collection Clearance Officer, U.S. Fish and Wildlife Service.*

[FR Doc. 2017–12724 Filed 6–16–17; 8:45 am]

**BILLING CODE 4333–15–P**

#### DEPARTMENT OF THE INTERIOR

##### Fish and Wildlife Service

[FWS–R7–ES–2017–N057;  
FF07Camm00.FX.ES111607MRG02]

##### Agency Information Collection Activities: OMB Control Number 1018–0066; Marine Mammal Marking, Tagging, and Reporting Certificates, and Registration of Certain Dead Marine Mammal Hard Parts

**AGENCY:** Fish and Wildlife Service, Interior.

**ACTION:** Notice; request for comments.

**SUMMARY:** We (U.S. Fish and Wildlife Service) have sent an Information Collection Request (ICR) to OMB for review and approval. We summarize the ICR below and describe the nature of the collection and the estimated burden and cost. This information collection is scheduled to expire on June 30, 2017. We may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. However, under OMB regulations, we may continue to conduct or sponsor this information collection while it is pending at OMB.

**DATES:** You must submit comments on or before July 19, 2017.

**ADDRESSES:** Send your comments and suggestions on this information collection to the Desk Officer for the Department of the Interior at OMB–OIRA at (202) 395–5806 (fax) or [OIRA\\_DOCKET@OMB.eop.gov](mailto:OIRA_DOCKET@OMB.eop.gov) (email). Please provide a copy of your comments to the

Service Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS BPHC, 5275 Leesburg Pike, Falls Church, VA 22041–3803 (mail), or [info\\_coll@fws.gov](mailto:info_coll@fws.gov) (email). Please include “1018–0066” in the subject line of your comments. You may review the ICR online at <http://www.reginfo.gov>. Follow the instructions to review Department of the Interior collections under review by OMB.

**FOR FURTHER INFORMATION CONTACT:** Service Information Collection Clearance Officer, at [info\\_coll@fws.gov](mailto:info_coll@fws.gov) (email) or (703) 358–2503 (telephone).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

Under section 101(b) of the Marine Mammal Protection Act of 1972 (MMPA), as amended (16 U.S.C. 1361–1407), Alaska Natives residing in Alaska and dwelling on the coast of the North Pacific or Arctic Oceans may harvest polar bears, northern sea otters, and Pacific walrus for subsistence or handicraft purposes. Section 109(i) of the MMPA authorizes the Secretary of the Interior to prescribe marking, tagging, and reporting regulations applicable to the Alaska Native subsistence and handicraft take.

On behalf of the Secretary, we implemented regulations at 50 CFR 18.23(f) for Alaska Natives harvesting polar bears, northern sea otters, and Pacific walrus. These regulations enable us to gather data on the Alaska Native subsistence and handicraft harvest and on the biology of polar bears, northern sea otters, and Pacific walrus in Alaska to determine what effect such take may be having on these populations. The regulations also provide us with a means of monitoring the disposition of the harvest to ensure that any commercial use of products created from these species meets the criteria set forth in section 101(b) of the MMPA. We use three forms to collect the information: FWS Form 3–2414 (Polar Bear Tagging Certificates), FWS Form 3–2415 (Walrus Tagging Certificates), and FWS Form 3–2416 (Sea Otter Tagging Certificates). The information we collect includes, but is not limited to:

- Date of kill;
- Sex of the animal;
- Kill location;
- Age of the animal (*i.e.*, adult, subadult, cub, or pup);
- Form of transportation used to make the kill of polar bears;
- Amount of time (*i.e.*, hours/days hunted) spent hunting polar bears;
- Type of take (live-killed or beach-found) for walrus;

- Number of otters present in and number of otters harvested from pod;
- Condition of the polar bear and whether or not bear cubs were present; and
- Name of the hunter or possessor of the specified parts at the time of marking, tagging, and reporting.

We use FWS Form 3–2406 (Registration of Certain Dead Marine Mammal Hard Parts) to record the collection of bones, teeth, or ivory of dead marine mammals by non-Native and Natives not eligible to harvest

marine mammals under the MMPA. It is legal to collect such parts from a beach or from land within a quarter of a mile of the ocean (50 CFR 18.26). The information we collect via Form 3–2406 includes, but is not limited to:

- Date and location found.
- Age, sex, and size of the animal.
- Tag numbers.
- Name, address, phone number, and birthdate of the collector.

**II. Data**

OMB Control Number: 1018–0066.

*Title:* Marine Mammal Marking, Tagging, and Reporting Certificates, and Registration of Certain Dead Marine Mammal Hard Parts, 50 CFR 18.23(f) and 18.26.

*Service Form Number(s):* FWS Forms 3–2406, 3–2414, 3–2415, and 3–2416.

*Type of Request:* Extension of a currently approved collection.

*Description of Respondents:* Individuals and households.

*Respondent's Obligation:* Required to obtain or retain a benefit.

*Frequency of Collection:* On occasion.

Activity	Number of respondents	Number of responses	Completion time per response (minutes)	Total annual burden hours
3–2414 (Polar Bear) .....	25	60	15	15
3–2415 (Walrus) .....	100	500	15	125
3–2416 (Sea Otter) .....	75	1,280	15	320
3–2406 (Beach Found) .....	300	300	15	75
Totals .....	500	2,140	.....	535

*Estimated Annual Nonhour Burden Costs:* None.

**III. Comments**

On February 24, 2017, we published in the **Federal Register** (82 FR 11598) a notice of our intent to request that OMB approve this information collection. In that notice, we solicited comments for 60 days, ending on April 25, 2017. We received one comment in response to that notice:

Email comment dated April 24, 2017 from Marine Mammal Commission.

The Marine Mammal Commission supports the continuation of the information collection programs related to the taking of marine mammals for subsistence and handicraft purposes by Alaska Natives. Collection of this information is authorized under section 109(i) of the Marine Mammal Protection Act. This information is important for tracking Native use of marine mammals and to provide the documentation that marine mammal parts were legally taken.

The Commission also supports the continued use of FWS Form 3–2406 for registering marine mammal hard parts found on beaches and near shore areas. Under applicable regulations, these parts can be retained only if they are registered with the FWS (or NMFS). As such, retention of the registration form is necessary.

*FWS Response:* None required.

**IV. Request for and Availability of Public Comments**

We again invite comments concerning this information collection on:

- Whether or not the collection of information is necessary, including whether or not the information will have practical utility;

- The accuracy of our estimate of the burden for this collection of information;

- Ways to enhance the quality, utility, and clarity of the information to be collected; and

- Ways to minimize the burden of the collection of information on respondents.

Comments that you submit in response to this notice are a matter of public record. Before including your address, phone number, email address, or other personal identifying information in your comment, you should be aware that your entire comment, including your personal identifying information, may be made publicly available at any time. While you can ask OMB in your comment to withhold your personal identifying information from public review, we cannot guarantee that it will be done.

**V. Authorities**

The authorities for this action are the Marine Mammal Protection Act of 1972 (MMPA), as amended (16 U.S.C. 1361–1407) and the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

Dated: June 14, 2017.

**Madonna L. Baucum,**

*Information Collection Clearance Officer, U.S. Fish and Wildlife Service.*

[FR Doc. 2017–12727 Filed 6–16–17; 8:45 am]

**BILLING CODE 4333–15–P**

**DEPARTMENT OF THE INTERIOR**

**U.S. Geological Survey**

[GX17RB00CMFCA00]

**Agency Information Collection Activities: OMB Control Number 1028–NEW; Current and Future Landsat User Requirements**

**AGENCY:** U.S. Geological Survey (USGS), Interior.

**ACTION:** Notice of a new information collection; request for comments.

**SUMMARY:** We (the U.S. Geological Survey) will ask the Office of Management and Budget (OMB) to approve the information collection (IC) described below. As required by the Paperwork Reduction Act (PRA) of 1995, and as part of our continuing efforts to reduce paperwork and respondent burden, we invite the general public and other Federal agencies to take this opportunity to comment on this IC.

**DATES:** To ensure that your comments are considered, we must receive them on or before August 18, 2017.

**ADDRESSES:** You may submit comments on this information collection to the Information Collection Clearance Officer, U.S. Geological Survey, 12201 Sunrise Valley Drive MS 807, Reston, VA 20192 (mail); (703) 648–7197 (fax); or *gs-info\_collections@usgs.gov* (email). Please reference ‘Information Collection 1028–NEW, Current and Future Landsat User Requirements’ in all correspondence.



**FOR FURTHER INFORMATION CONTACT:**

Rudy Schuster, Supervisory Social Scientist, at (970) 226-9165 or [schusterr@usgs.gov](mailto:schusterr@usgs.gov).

**SUPPLEMENTARY INFORMATION:****I. Abstract**

The USGS Land Remote Sensing (LRS) Program is currently planning for the next generation of Landsat satellites. These satellites will continue the multi-decadal continuous collection of moderate-resolution, multispectral, remotely-sensed imagery through the Landsat program. Landsat satellite imagery has been available at no cost to the public since 2008, which has resulted in the distribution of millions of scenes each subsequent year, as well as tens of thousands of Landsat users registering with USGS to access the data. In order to continue to provide high quality imagery that meets the needs of users, LRS is collecting current and future user requirements for sensor and satellite attributes. These attributes include spatial resolution, spectral bands, frequency of acquisition, and many others. LRS will use the information from this collection to understand if they are currently meeting the needs of their user community and to help determine the features of future Landsat satellites. Questions will be asked to determine user characteristics, current uses of imagery, preferred attributes of Landsat imagery, and benefits of Landsat imagery. All current Landsat imagery users who are registered with USGS will be invited to take part in the survey.

To protect the confidentiality and privacy of survey respondents, the data from the survey will not be associated with any respondent's email address at any time and will only be analyzed and reported in aggregate. All files containing PII will be password-protected, housed on secure USGS servers, and only accessible to the research team. The data from the survey will be aggregated and statistically analyzed and the results will be published in publically available USGS reports.

**II. Data**

*OMB Control Number:* 1028-NEW.

*Title:* Current and Future Landsat User Requirements.

*Type of Request:* New information collection.

*Affected Public:* General public.

*Respondent's Obligation:* None.

Participation is voluntary.

*Frequency of Collection:* One time only.

*Estimated Annual Number of Respondents:* 11,000.

*Estimated Total Number of Annual Responses:* 11,000.

*Estimated Time per Response:* 20 minutes.

*Estimated Annual Burden Hours:* 3,667.

*Estimated Reporting and Recordkeeping "Non-Hour Cost"* Burden: None.

*Public Disclosure Statement:* The PRA (44 U.S.C. 3501, *et seq.*) provides that an agency may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number and current expiration date.

**III. Request for Comments**

We are soliciting comments as to: (a) Whether the proposed collection of information is necessary for the agency to perform its duties, including whether the information is useful; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, usefulness, and clarity of the information to be collected; and (d) how to minimize the burden on the respondents, including the use of automated collection techniques or other forms of information technology.

Please note that the comments submitted in response to this notice are a matter of public record. Before including your personal mailing address, phone number, email address, or other personally identifiable information in your comment, you should be aware that your entire comment, including your personally identifiable information, may be made publicly available at any time. While you can ask us in your comment to withhold your personally identifiable information from public view, we cannot guarantee that we will be able to do so.

Dated: April 12, 2017.

**Sharon Taylor,**

*Fort Collins Science Center Director.*

[FR Doc. 2017-12726 Filed 6-16-17; 8:45 am]

**BILLING CODE 4338-11-P**

**DEPARTMENT OF THE INTERIOR****Office of Natural Resources Revenue**

**[Docket No. ONRR-2011-0008; DS63644000 DR2000000.CH7000 178D0102R2 ]**

**Agency Information Collection Activities: Suspensions Pending Appeal and Bonding**

**AGENCY:** Office of Natural Resources Revenue (ONRR), Interior.

**ACTION:** Notice.

**SUMMARY:** To comply with the Paperwork Reduction Act of 1995 (PRA), ONRR is inviting comments on the renewal of a collection of information that we will submit to the Office of Management and Budget (OMB) for review and approval. This ICR covers the paperwork requirements in the regulations under 30 CFR 1243.

**DATES:** Submit written comments on or before August 18, 2017.

**ADDRESSES:** You may submit comments on this ICR to ONRR by using one of the following three methods: (Please use "ICR 1012-0006" as an identifier in your comment).

1. Electronically go to <http://www.regulations.gov>. In the entry titled "Enter Keyword or ID," enter "ONRR-2012-0006" and then click "Search." Follow the instructions to submit public comments. ONRR will post all comments.

2. Email comments to Mr. Luis Aguilar, Regulatory Specialist, at [Luis.Aguilar@onrr.gov](mailto:Luis.Aguilar@onrr.gov).

3. Hand-carry or mail comments, using an overnight courier service, to ONRR. Our courier address is Building 53, Entrance E-20, Denver Federal Center, West 6th Ave. and Kipling St., Denver, Colorado 80225. Visitor parking is available near entrance E-20, with a phone to request entry. Call Mr. Armando Salazar at (303) 231-3585 or Ms. Janet Giron at (303) 231-3088 to gain entrance.

**FOR FURTHER INFORMATION CONTACT:** For questions on technical issues, contact Ms. Kimberly Werner, Office of Enforcement (OE), ONRR, at (303) 231-3801 or email to [Kimberly.Werner@onrr.gov](mailto:Kimberly.Werner@onrr.gov). For other questions, contact Mr. Luis Aguilar, at (303) 231-3418, or email to [Luis.Aguilar@onrr.gov](mailto:Luis.Aguilar@onrr.gov). You may also contact Mr. Aguilar to obtain copies (free of charge) of (1) the ICR and (2) the regulations that require the subject collection of information. You may also review the information collection request online at <http://www.reginfo.gov/public/do/PRAMain>.

**SUPPLEMENTARY INFORMATION:**

*Abstract:* The Secretary of the United States Department of the Interior is responsible for mineral resource development on Federal and Indian lands and the Outer Continental Shelf (OCS). Under various laws, the Secretary's responsibility is to manage mineral resources production on Federal and Indian lands and the OCS, collect the royalties and other mineral revenues due, and distribute the funds collected. The Secretary also has a trust responsibility to manage Indian lands and seek advice and information from Indian beneficiaries. ONRR performs the

minerals revenue management functions for the Secretary and assists the Secretary in carrying out the Department's trust responsibility for Indian lands. We have posted those laws pertaining to mineral leases on Federal and Indian lands and the OSC at [http://www.onrr.gov/Laws\\_R\\_D/PubLaws/default.htm](http://www.onrr.gov/Laws_R_D/PubLaws/default.htm).

### I. General Information

When a company or an individual enters into a lease to explore, develop, produce, and dispose of minerals from Federal or Indian lands, that company or individual agrees to pay the lessor a share in an amount or value of production from the leased lands. The lessee is required to report various kinds of information to the lessor relative to the disposition of the minerals, such as developing, transporting, processing, purchasing, or selling such minerals. The information collected includes data necessary to ensure that production is accurately valued and that royalties are appropriately paid.

If ONRR determines that a lessee has not properly reported or paid, we may issue an order to pay, a Notice of Noncompliance, or a Civil Penalty Notice requiring correct reporting or payment. Lessees then have a right to appeal ONRR's determination(s).

### II. Information Collection

Regulations at 30 CFR part 1243 govern the submission of appropriate surety instruments to suspend compliance with orders or decisions and to stay the accrual of civil penalties (if the Office of Hearings and Appeals grants a lessee's petition to stay accrual of civil penalties), pending administrative appeal for Federal and Indian leases. For Federal oil and gas leases, under 30 U.S.C. 1724(l) and its implementing regulations in 30 CFR part 1243, appellants who are requesting a suspension without providing a surety must submit information to demonstrate financial solvency. This ICR covers the burden hours associated with submitting financial statements or surety instruments required to stay an ONRR order, decision, or accrual of civil penalties.

#### *Stay of Payment Pending Appeal*

Title 30 CFR 1243.1 states that lessees or recipients of ONRR orders may suspend compliance with an order if they appeal under 30 CFR part 1290. Pending appeal, ONRR may suspend the payment requirement if the appellant submits a formal agreement of payment in case of default such as a bond or other surety; for Federal oil and gas leases, the appellant may demonstrate

financial solvency. If the Office of Hearings and Appeals grants a lessee's, or other recipient of a Notice of Noncompliance or Civil Penalty Notice, request to stay the accrual of civil penalties under 30 CFR 1241.55(b)(2) and 1241.63(b)(2), the lessee or other recipient must post a bond or other surety; for Federal oil and gas leases, the appellant may demonstrate financial solvency.

ONRR accepts the following surety types: Form ONRR-4435, Administrative Appeal Bond; form ONRR-4436, Letter of Credit; form ONRR-4437, Assignment of Certificate of Deposit; Self-bonding; and U.S. Treasury Securities.

When one of the surety types is selected and put in place, appellants must maintain the surety until completion of the appeal. If the appeal is decided in favor of the appellant, ONRR returns the surety to the appellant. If the appeal is decided in favor of ONRR, then we will take action to collect the total amount due or draw down on the surety. We draw down on a surety if the appellant fails to comply with requirements relating to amount due, timeframe, or surety submission or resubmission. Whenever ONRR must draw down on a surety, we must draw down the total amount due, which is defined as unpaid principal plus the interest accrued to the projected receipt date of the surety payment. Appellants may refer to the Surety Instrument Posting Instructions, which are on our Web site at <http://www.onrr.gov/compliance/appeals.htm>.

#### *Forms and Other Surety Types*

Form ONRR-4435, Administrative Appeal Bond

Appellants may file Form ONRR-4435, Administrative Appeal Bond, which ONRR uses to secure the financial interests of the public and Indian lessors during the entire administrative and judicial appeal process. Under 30 CFR 1243.4, appellants are required to submit their contact and surety amount information on the bond to obtain the benefit of suspension of an obligation to comply with an order. The bond must be issued by a qualified surety company that the U.S. Department of the Treasury approves (see Department of the Treasury Circular No. 570, revised periodically in the **Federal Register**). The Director for ONRR or the delegated bond-approving officer maintains these bonds in a secure facility. After the appeal has concluded, ONRR may release and return the bond to the appellant or collect payment on the

bond. If collection is necessary for a remaining balance, ONRR will issue a demand for payment to the surety company with a notice to the appellant. We will also include all interest accrued on the affected receivable.

Form ONRR-4436, Letter of Credit

Appellants may choose to file form ONRR-4436, Letter of Credit, with no modifications. Requirements at 30 CFR 1243.4 continues to apply. The Director or the delegated bond-approving officer maintains the Letter of Credit (LOC) in a secure facility. The appellant is responsible for verifying that the bank provides a current Fitch rating to ONRR. After the appeal has been concluded, we may release and return the LOC to the appellant or collect payment on the LOC. If collection is necessary for a remaining balance, we will issue a demand for payment, which includes all interest assessed on the affected receivable, to the bank with a notice to the appellant.

Form ONRR-4437, Assignment of Certificate of Deposit

Appellants may choose to secure a debt by requesting to use a Certificate of Deposit (CD) from a bank with the required minimum rating and submitting form ONRR-4437, Assignment of Certificate of Deposit. Requirements at 30 CFR 1243.4 continues to apply. Appellants must file the request with ONRR prior to the invoice due date. We will accept a book-entry CD that explicitly assigns the CD to the Director. If collection of the CD is necessary for an unpaid balance, we will return unused CD funds to the appellant after total settlement of the appealed issues, including applicable interest charges.

Self-Bonding

For Federal oil and gas leases, regulations at 30 CFR 1243.201, provide that no surety instrument is required when a person representing the appellant periodically demonstrates, to the satisfaction of ONRR, that the guarantor or appellant is financially solvent or otherwise able to pay the obligation. Appellants must submit a written request to "self-bond" every time a new appeal is filed. To evaluate the financial solvency and exemption from requirements of appellants to maintain a surety related to an appeal, ONRR requires appellants to submit a consolidated balance sheet, subject to annual audit. In some cases, we also require copies of the most recent tax returns (up to 3 years) filed by appellants.

In addition, appellants must annually submit financial statements, subject to annual audit, to support their net worth. ONRR uses the consolidated balance sheet or business information supplied to evaluate the financial solvency of a lessee, designee, or payor seeking a stay of payment obligation pending review. If appellants do not have a consolidated balance sheet documenting their net worth or if they do not meet the \$300 million net worth requirement, ONRR selects a business information or credit reporting service to provide information concerning an appellant's financial solvency. ONRR charges the appellant a \$50 fee each time we need to review data from a business information or credit reporting service. The fee covers our costs in determining an appellant's financial solvency.

U.S. Treasury Securities

Appellants may choose to secure their debts by requesting to use a U.S.

Treasury Security (TS). Appellants must file the letter of request with ONRR prior to the invoice due date. The TS must be a U.S. Treasury note or bond with maturity equal to or greater than 1 year. The TS must equal 120 percent of the appealed amount plus 1 year of estimated interest (necessary to protect ONRR against interest rate fluctuations). ONRR only accepts book-entry TS.

III. OMB Approval

We are requesting OMB's approval to continue to collect this information. Not collecting this information would limit the Secretary's ability to discharge the duties of the office and also may result in loss of royalty and other payments. Proprietary information submitted to ONRR under this collection is protected, and there are no questions of a sensitive nature included in this information collection. A response is mandatory in order to suspend compliance with an order pending appeal.

IV. Data

Title: Suspensions Pending Appeal and Bonding.

OMB Control Number: 1012-0006.

Bureau Form Numbers: forms ONRR-4435, ONRR-4436, and ONRR-4437.

Frequency: Annually and on occasion.

Estimated Number and Description of Respondents: 105 Federal or Indian appellants.

Estimated Annual Reporting and Recordkeeping "Hour" Burden: 210 hours.

The following table shows the estimated annual burden hours by CFR section and paragraph. We have not included in our estimates certain requirements performed in the normal course of business and considered usual and customary.

RESPONDENTS' ESTIMATED ANNUAL BURDEN HOURS

Citation 30 CFR Part 1243	Reporting and recordkeeping requirement	Hour burden	Average number of annual responses	Annual burden hours
1243.4(a)(1); 1243.6; 1243.7(a); 1243.8(a)(2) and (b)(2); 1243.101(b); 1243.202(c).	How do I suspend compliance with an order? (a) If you timely appeal an order, and if that order or portion of that order: (1) Requires you to make a payment, and you want to suspend compliance with that order, you must post a bond or other surety instrument or demonstrate financial solvency * * *.	2 hours .....	40 (surety instruments: Forms ONRR-4435, ONRR-4436, ONRR-4437, or TS).	80
1243.200(a) and (b); 1243.201(c)(1), (c)(2)(i) and (c)(2)(ii) and (d)(2).	How do I demonstrate financial solvency? ..... (a) To demonstrate financial solvency under this part, you must submit an audited consolidated balance sheet, and, if requested by the ONRR bond-approving officer, up to 3 years of tax returns to the ONRR, * * *. (b) You must submit an audited consolidated balance sheet annually, and, if requested, additional annual tax returns on the date ONRR first determined that you demonstrated financial solvency as long as you have active appeals, or whenever ONRR requests * * *.	2 hours .....	65 self-bonding submissions.	130
Total Burden .....	.....	.....	105 .....	210

Estimated Annual Reporting and Recordkeeping "Non-hour" Cost Burden: There are no additional recordkeeping costs associated with this information collection. However, ONRR estimates 5 appellants per year will pay a \$50 fee to obtain credit data from a business information or credit reporting service, which is a total "non-hour" cost burden of \$250 per year (5 appellants per year x \$50 = \$250).

Public Disclosure Statement: The PRA (44 U.S.C. 3501 et seq.) provides that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it

displays a currently valid OMB control number.

IV. Request for Comments

Comments: Section 3506(c)(2)(A) of the PRA requires each agency to " \* \* \* provide 60-day notice in the Federal Register \* \* \* and otherwise consult with members of the public and affected agencies concerning each proposed collection of information \* \* \*." Agencies must specifically solicit comments to: (1) Evaluate whether the proposed collection of information is necessary for the agency to perform its duties, including whether the information is useful; (2) evaluate the

accuracy of the agency's estimate of the burden of the proposed collection of information; (3) enhance the quality, usefulness, and clarity of the information that ONRR collects; and (4) minimize the burden on the respondents, including the use of automated collection techniques or other forms of information technology.

The PRA also requires agencies to estimate the total annual reporting "non-hour cost" burden to respondents or record-keepers resulting from the collection of information. If you have costs to generate, maintain, and disclose this information, you should comment and provide your total capital and

startup cost components or annual operation, maintenance, and purchase of service components. You should describe the methods that you use to estimate (1) major cost factors, including system and technology acquisition, (2) expected useful life of capital equipment, (3) discount rate(s), and (4) the period over which you incur costs. Capital and startup costs include, among other items, computers and software that you purchase to prepare for collecting information; monitoring, sampling, and testing equipment; and record storage facilities. Generally, your estimates should not include equipment or services purchased: (i) Before October 1, 1995; (ii) to comply with requirements not associated with the information collection; (iii) for reasons other than to provide information or keep records for the Federal government; or (iv) as part of customary and usual business or private practices.

We will summarize written responses to this notice and address them in our ICR submission for OMB approval, including appropriate adjustments to the estimated burden. We will provide a copy of the ICR to you without charge upon request. We also will post the ICR at [http://www.onrr.gov/Laws\\_R\\_D/FRNotices/ICR0122.htm](http://www.onrr.gov/Laws_R_D/FRNotices/ICR0122.htm).

**Public Comment Policy:** ONRR will post all comments, including names and addresses of respondents at <http://www.regulations.gov>. Before including Personally Identifiable Information (PII), such as your address, phone number, email address, or other personal information in your comment(s), you should be aware that your entire comment (including PII) may be made available to the public at any time. While you may ask us, in your comment, to withhold PII from public view, we cannot guarantee that we will be able to do so.

**ONRR Information Collection Coordinator:** Jeffrey Parrillo (202) 208-7072.

#### Authority

The authorities for this action are the Mineral Leasing Act of 1920 (30 U.S.C. 192), Outer Continental Shelf Lands Act (43 U.S.C. 1353), Indian Mineral Development Act of 1982 (Pub. L. 97-382—Dec. 22, 1982), and the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

#### Gregory J. Gould,

Director, Office of Natural Resources Revenue.

[FR Doc. 2017-12596 Filed 6-16-17; 8:45 am]

BILLING CODE 4335-30-P

## INTERNATIONAL TRADE COMMISSION

[Investigation No. 337-TA-1008]

### Certain Carbon Spine Board, Cervical Collar, CPR Masks and Various Medical Training Manikin Devices, and Trademarks, Copyrights of Product Catalogues, Product Inserts and Components Thereof; Issuance of a Limited Exclusion Order Against Three Respondents Found in Default; Issuance of a Cease and Desist Order; Termination of the Investigation

**AGENCY:** U.S. International Trade Commission.

**ACTION:** Notice.

**SUMMARY:** Notice is hereby given that the U.S. International Trade Commission has issued a limited exclusion order (“LEO”) against certain products of Medsource International Co., Ltd.; Medsource Factory, Inc.; and Basic Medical Supply, LLC. The Commission has also issued a cease and desist order (“CDO”) against respondent Basic Medical Supply, LLC. The investigation is terminated.

#### FOR FURTHER INFORMATION CONTACT:

Robert Needham, Office of the General Counsel, U.S. International Trade Commission, 500 E Street SW., Washington, DC 20436, telephone (202) 708-5468. Copies of non-confidential documents filed in connection with this investigation are or will be available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street SW., Washington, DC 20436, telephone (202) 205-2000. General information concerning the Commission may also be obtained by accessing its Internet server (<https://www.usitc.gov>). The public record for this investigation may be viewed on the Commission’s electronic docket (EDIS) at <https://edis.usitc.gov>. Hearing-impaired persons are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal on (202) 205-1810.

**SUPPLEMENTARY INFORMATION:** The Commission instituted this investigation on June 24, 2016, based on an amended complaint, as supplemented, filed by Laerdal Medical Corp. of Wappingers Falls, New York, and Laerdal Medical AS of Stavanger, Norway (together, “Laerdal”). 81 FR 41349-50. The investigation was instituted to determine whether there is a violation of section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 (“section 337”), in the importation into the

United States, the sale for importation, and the sale within the United States after importation of certain carbon spine board, cervical collar, CPR masks, various medical training manikin devices, trademarks, copyrights of product catalogues and products inserts, and components thereof by reason of one or more of: (1) Infringement of claim 1 of U.S. Patent No. 6,090,058 (“the ‘058 patent”); (2) infringement of U.S. Trademark Registration No. 3,476,656 (“the ‘656 mark”); (3) infringement of U.S. Copyright Registration Nos. VA 1-879-023 or VA 1-879-026 (“the ‘023 and ‘026 copyrights”); and (4) infringement and misappropriation of certain Laerdal trade dresses. *Id.* at 41349. The Commission’s notice of investigation named as respondents Shanghai Evenk International Trading Co., Ltd., Shanghai Honglian Medical Instrument Development Co., Ltd., and Shanghai Jolly Medical Education Co., Ltd., all of Shanghai, China; Zhangjiagang Xiehe Medical Apparatus & Instruments Co., Ltd., Zhangjiagang New Fellow Med Co., Ltd., Jiangsu Yongxin Medical Equipment Co., Ltd., and Jiangsu Yongxin Medical-Use Facilities Making, Co., Ltd, all of Zhangjiagang City, China; Jianguyin Everise Medical Devices Co., Ltd., of Jianguyin City, China; Medsource International Co., Ltd. (“Medsource International”) and Medsource Factory, Inc. (“Medsource Factory”), both of PuDong, China; and Basic Medical Supply, LLC (“Basic Medical”) of Richmond, Texas (collectively, “Respondents”). *Id.* at 41350. The Office of Unfair Import Investigations (“OUII”) was also named as a party. *Id.*

On November 7, 2016, the presiding administrative law judge (“ALJ”) ordered all of the respondents to show cause why they should not be held in default for failing to respond to the amended complaint and Notice of Investigation, and set a response deadline of November 14, 2016. Order No. 5. No responses were filed. On November 21, 2016, the ALJ issued an initial determination (Order No. 6) finding all respondents in default pursuant to Commission Rules 210.16 and 210.17. No petitions for review of the ID were filed. On December 20, 2016, the Commission determined not review the ID, and sought submission from the parties and the public on remedy, the public interest, and bonding.

The Commission received responsive submissions from Laerdal and OUII on January 5, 2017, and reply submissions from Laerdal and OUII on January 10, 2017. The submissions agreed that the appropriate remedy is the entry of a

limited exclusion order against all respondents and the entry of a cease and desist order against Basic Medical, that the public interest factors do not weigh against granting these remedial orders, and that bonding should be set at 100 percent of the entered value of the infringing products.

The Commission finds that the statutory requirements of section 337(g)(1) (19 U.S.C. 1337(g)(1)) and Commission Rule 210.16(a)(1) (19 CFR 210.16(a)(1)) are met with respect to all respondents. Pursuant to section 337(g)(1) (19 U.S.C. 1337(g)(1)) and Commission Rule 210.16(c) (19 CFR 210.16(c)), the Commission presumes the facts alleged in the complaint to be true. The Commission finds that Laerdal's amended complaint sufficiently alleged a violation of section 337 by Medsource International, Medsource Factory, and Basic Medical with respect to claim 1 of the '058 patent and the '656 mark. The Commission, however, finds that even when the factual allegations of Laerdal's amended complaint are presumed true, Laerdal has not shown a violation of section 337 with respect to the '023 copyright, the '026 copyright, the trade dresses, or any of the other respondents.

The Commission has determined that the appropriate form of relief in this investigation is: (a) A limited exclusion order against Medsource International, Medsource Factory, and Basic Medical prohibiting the unlicensed entry of cervical collars that infringe claim 1 of the '058 patent and CPR masks that infringe the '656 mark; and (b) an order that Basic Medical cease and desist from importing, selling, offering for sale, marketing, advertising, distributing, offering for sale, transferring (except for exportation), or soliciting U.S. agents or distributors of imported cervical collars that infringe claim 1 of the '058 patent and CPR masks that infringe the '656 mark. The Commission has further determined that the public interest factors enumerated in section 337(g)(1) (19 U.S.C. 1337(g)(1)) do not preclude the issuance of the limited exclusion order and cease and desist order. Finally, the Commission has determined that the bond for importation during the period of Presidential review shall be in the amount of 100 percent of the entered value of the imported subject articles of the respondents. The investigation is terminated.

The Commission's orders and opinion were delivered to the President and the United States Trade Representative on the day of their issuance.

The authority for the Commission's determination is contained in section 337 of the Tariff Act of 1930, as

amended (19 U.S.C. 1337), and in Part 210 of the Commission's Rules of Practice and Procedure (19 CFR part 210).

By order of the Commission.

Issued: June 14, 2017.

**Lisa R. Barton,**

*Secretary to the Commission.*

[FR Doc. 2017-12689 Filed 6-16-17; 8:45 am]

**BILLING CODE 7020-02-P**

## DEPARTMENT OF JUSTICE

[CPCLO Order No. 001-2017]

### Privacy Act of 1974; Systems of Records; Correction

**AGENCY:** United States Department of Justice.

**ACTION:** Notice; correction.

**SUMMARY:** The Department of Justice (Department or DOJ) published a notice in the **Federal Register**, 82 FR 25812, on June 5, 2017, concerning a System of Records Notice (SORN) for a new DOJ system of records titled, "DOJ Insider Threat Program Records (ITPR)," JUSTICE/DOJ-018. The document contains two incorrect SORN reference numbers. References to JUSTICE/DOJ-001 should be replaced by JUSTICE/DOJ-018.

**FOR FURTHER INFORMATION CONTACT:** Beth Zelman, Attorney Advisor, 202-305-9318.

#### Correction:

In the **Federal Register** of June 5, 2017, in FR Doc. 2017-11445, on page 25813, in the SORN title and the "SYSTEM NAME AND NUMBER" section, correct the DOJ SORN reference number to read:

#### JUSTICE/DOJ-018

##### SYSTEM NAME AND NUMBER:

DOJ Insider Threat Program Records (ITPR), JUSTICE/DOJ-018.

Dated: June 12, 2017.

**Peter A. Winn,**

*Acting Chief Privacy and Civil Liberties Officer, United States Department of Justice.*

[FR Doc. 2017-12703 Filed 6-16-17; 8:45 am]

**BILLING CODE 4410-NW-P**

## DEPARTMENT OF JUSTICE

### National Institute of Justice

[OMB Number 1121-NEW]

#### Agency Information Collection

**Activities: Proposed New Information Collection Activity; Comment Request, Proposed Study Entitled "Evaluation of the Bureau of Justice Assistance Sexual Assault Kit Initiative"**

**AGENCY:** National Institute of Justice, U.S. Department of Justice

**ACTION:** 60-day notice.

**SUMMARY:** The Department of Justice (DOJ), Office of Justice Programs, National Institute of Justice, will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995.

**DATES:** Comments are encouraged and will be accepted for 60 days until August 18, 2017.

**FOR FURTHER INFORMATION CONTACT:** If you have additional comments especially on the estimated public burden or associated response time, suggestions, or need a copy of the proposed information collection instrument with instructions or additional information, please contact Christine Crossland, National Institute of Justice, Office of Research & Evaluation, 810 Seventh Street NW., Washington, DC 20531 (overnight 20001) or via email at [christine.crossland@ojp.usdoj.gov](mailto:christine.crossland@ojp.usdoj.gov).

**SUPPLEMENTARY INFORMATION:** This process is conducted in accordance with 5 CFR 1320.10. Written comments and suggestions from the public and affected agencies concerning the proposed collection of information are encouraged. Your comments should address one or more of the following four points:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the National Institute of Justice, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Evaluate whether, and if so how, the quality, utility, and clarity of the information to be collected can be enhanced; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of

appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

Overview of this information collection:

1. *Type of Information Collection:* Site visits, which will include individual and group interviews.

2. *The Title of the Form/Collection:* Evaluation of the Bureau of Justice Assistance Sexual Assault Kit Initiative.

3. *The agency form number, if any, and the applicable component of the Department sponsoring the collection:* The applicable component within the U.S. Department of Justice is the National Institute of Justice in the Office of Justice Programs.

4. *Affected public who will be asked or required to respond, as well as a brief abstract:* Sexual assault kits (SAKs) are invaluable tools used in investigations to collect evidence such as DNA and to document injuries from alleged victims; this evidence in turn is used to identify and prosecute offenders and to exonerate innocent suspects. Despite the importance of SAKs, backlogs of unsubmitted and untested kits have emerged in jurisdictions across the country (e.g., Peterson and Hickman, 2005; Strom et al., 2009). The Bureau of Justice Assistance (BJA) established the Sexual Assault Kit Initiative (SAKI) to provide assistance to jurisdictions who are addressing these issues. In FY 2015, 20 sites were funded through SAKI to engage in reforms intended to improve the national response to sexual assault cases.

The objectives of the current study are to conduct an evaluability assessment of all 20 FY2015 sites to determine their readiness to participate in an evaluation of the SAKI and to develop a comprehensive and rigorous evaluation plan to ultimately determine the extent to which SAKI reforms have resulted in intended (and/or unintended) system changes. The evaluability assessment data collection process will include visits to the 20 sites, which will be comprised of individual and group interviews with a maximum of 20 respondents per site.

The types of respondents who will be asked to respond to requests for interviews will include the SAKI Site Coordinator, representatives from sectors involved in working groups (e.g., law enforcement, forensic medical personnel, forensic laboratory personnel, prosecutors, victim advocates, victim treatment providers), specialized staff (e.g., cold case

detectives, police administrative support, victim compensation staff).

5. *An estimate of the total number of respondents and the amount of time estimated for an average respondent to respond:* The estimated average burden for a respondent completing a site visit interview is approximately 60 minutes. A maximum of 20 respondents will be interviewed, either individually or in groups, at each of the 20 sites. Therefore, the total number of estimated respondents for the entire evaluability site visit data collection is 400 (20 sites × 20 respondents per site).

6. *An estimate of the total public burden (in hours) associated with the collection:* The maximum estimated public burden associated with this collection is 400 hours. It is estimated that each of the 400 site visit interviews will take 60 minutes to complete (400 respondents × 60 minutes = 400 hours).

If additional information is required contact: Melody Braswell, Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Two Constitution Square, 145 N Street NE., 3E.405A, Washington, DC 20530.

Dated: June 14, 2017

**Melody Braswell,**  
Department Clearance Officer for PRA, U.S.  
Department of Justice.

[FR Doc. 2017-12623 Filed 6-16-17; 8:45 am]

**BILLING CODE 4410-18-P**

## DEPARTMENT OF LABOR

### Bureau of Labor Statistics

#### Proposed Collection; Comment Request

**ACTION:** Notice.

**SUMMARY:** The Department of Labor, as part of its continuing effort to reduce paperwork and respondent burden, conducts a pre-clearance consultation program to provide the general public and Federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95). This program helps to ensure that requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed. The Bureau of Labor Statistics (BLS) is soliciting comments concerning the proposed extension of the “Current Population

Survey (CPS).” A copy of the proposed information collection request (ICR) can be obtained by contacting the individual listed below in the **ADDRESSES** section of this notice.

**DATES:** Written comments must be submitted to the office listed in the **ADDRESSES** section of this notice on or before August 18, 2017.

**ADDRESSES:** Send comments to Erin Good, BLS Clearance Officer, Division of Management Systems, Bureau of Labor Statistics, Room 4080, 2 Massachusetts Avenue NE., Washington, DC 20212. Written comments also may be transmitted by fax to 202-691-5111 (this is not a toll-free number).

**FOR FURTHER INFORMATION CONTACT:** Erin Good, BLS Clearance Officer, 202-691-7763 (this is not a toll-free number). (See **ADDRESSES** section.)

#### SUPPLEMENTARY INFORMATION:

##### I. Background

The CPS has been the principal source of the official Government statistics on employment and unemployment for over 75 years. The labor force information gathered through the survey is of paramount importance in keeping track of the economic health of the Nation. The survey is the only source of monthly data on total employment and unemployment. The Employment Situation news release contains data from this survey and is designated as a Principal Federal Economic Indicator (PFEI). Moreover, the survey also yields data on the characteristics of persons not in the labor force. The CPS data are used monthly, in conjunction with data from other sources, to analyze the extent to which, and with what success, the various components of the American population are participating in the economic life of the Nation.

The labor force data gathered through the CPS are provided to users in the greatest detail possible, in conjunction with the demographic information obtained in the survey. In brief, the labor force data can be broken down by sex, age, race, ethnicity, marital status, family composition, educational level, certification and licensing status, disability status, and other characteristics. Through such breakdowns, one can focus on the employment situation of specific population groups as well as on general trends in employment and unemployment. Information of this type can be obtained only through demographically oriented surveys such as the CPS.

The basic CPS data also are used as an important platform on which to base the data derived from the various supplemental questions that are administered in conjunction with the survey. By coupling the basic data from the monthly survey with the special data from the supplements, one can get valuable insights on the behavior of American workers and on the social and economic health of their families.

There is wide interest in the monthly CPS data among Government policymakers, legislators, economists, the media, and the general public. While the data from the CPS are used in conjunction with data from other surveys in assessing the economic health of the Nation, they are unique in various ways. Specifically, they are the basis for much of the monthly Employment Situation report, a PFEI. They provide a monthly, nationally representative measure of total employment, including farm work, self-employment, and unpaid family work; other surveys are generally restricted to the nonagricultural wage and salary sector, or provide less timely information. The CPS provides data on all job seekers, and on all persons outside the labor force, while payroll-based surveys cannot, by definition, cover these sectors of the population. Finally, the CPS data on employment, unemployment, and on persons not in the labor force can be linked to the demographic characteristics of the many groups that make up the Nation's population, while the data from other surveys often have limited demographic information. Many groups, both in the government and in the private sector, are eager to analyze this wealth of demographic and labor force data.

## II. Current Action

Office of Management and Budget clearance is being sought for the Current Population Survey (CPS).

## III. Desired Focus of Comments

The Bureau of Labor Statistics is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.

- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

*Type of Review:* Extension of a currently approved collection.

*Agency:* Bureau of Labor Statistics.

*Title:* Current Population Survey (CPS).

*OMB Number:* 1220-0100.

*Affected Public:* Households.

*Total Respondents:* 53,000 per month.

*Frequency:* Monthly.

*Total Responses:* 636,000.

*Average Time per Response:* 7.6 minutes.

*Estimated Total Burden Hours:* 80,560 hours.

*Total Burden Cost (capital/startup):* \$0.

*Total Burden Cost (operating/maintenance):* \$0.

Comments submitted in response to this notice will be summarized and/or included in the request for Office of Management and Budget approval of the information collection request; they also will become a matter of public record.

Signed at Washington, DC, this 13th day of June, 2017.

**Kimberley Hill,**

*Chief, Division of Management Systems,  
Bureau of Labor Statistics.*

[FR Doc. 2017-12603 Filed 6-16-17; 8:45 am]

**BILLING CODE 4510-24-P**

## DEPARTMENT OF LABOR

### Bureau of Labor Statistics

#### Proposed Collection, Comment Request

**ACTION:** Notice.

**SUMMARY:** The Department of Labor, as part of its continuing effort to reduce paperwork and respondent burden, conducts a pre-clearance consultation program to provide the general public and Federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95). This program helps to ensure that the requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed. The Bureau of

Labor Statistics (BLS) is soliciting comments concerning the proposed revision of the "Report on Current Employment Statistics." A copy of the proposed information collection request (ICR) can be obtained by contacting the individual listed below in the **ADDRESSES** section of this notice.

**DATES:** Written comments must be submitted to the office listed in the **ADDRESSES** section of this notice on or before August 18, 2017.

**ADDRESSES:** Send comments to Carol Rowan, BLS Clearance Officer, Division of Management Systems, Bureau of Labor Statistics, Room 4080, 2 Massachusetts Avenue NE., Washington, DC 20212. Written comments also may be transmitted by fax to 202-691-5111 (this is not a toll free number).

**FOR FURTHER INFORMATION CONTACT:** Carol Rowan, BLS Clearance Officer, 202-691-7628 (this is not a toll free number). (See **ADDRESSES** section.)

#### SUPPLEMENTARY INFORMATION:

##### I. Background

The Current Employment Statistics (CES) program provides current monthly statistics on employment, hours, and earnings, by industry and geography. CES estimates are among the most visible and widely-used Principal Federal Economic Indicators (PFEIs). CES data are also among the timeliest of the PFEIs, with their release each month by the BLS in the *Employment Situation*, typically on the first Friday of each month. The statistics are fundamental inputs in economic decision processes at all levels of government, private enterprise, and organized labor.

The CES monthly estimates of employment, hours, and earnings are based on a sample of U.S. nonagricultural establishments. Information is derived from approximately 297,000 reports (from a sample of 147,000 employers with State Unemployment Insurance (UI) accounts comprised of 634,000 individual worksites), as of February 2017. Each month, firms report their employment, payroll, and hours on forms identified as the BLS-790. The sample is collected under a probability-based design. Puerto Rico and the Virgin Islands collect an additional 7,000 reports.

A list of all form types currently used appears in the table below. Respondents receive variations of the basic collection forms, depending on their industry.

The CES program is a voluntary program under Federal statute. Reporting to the State agencies is voluntary in all but three States



(Oregon, North Carolina, and South Carolina), Puerto Rico, and the Virgin Islands. To our knowledge, the States that do have mandatory reporting rarely exercise their authority. The collection form's confidentiality statement cites the Confidential Information Protection and Statistical Efficiency Act of 2002 and mentions the State mandatory reporting authority.

**II. Current Action**

Office of Management and Budget clearance is being sought for the Report on Current Employment Statistics.

Automated data collection methods are now used for most of the CES sample. Approximately 139,000 reports are received through Electronic Data Interchange as of February 2017. Web data collection accounts for 48,000 reports. Computer Assisted Telephone Interviewing is used to collect 73,000.

Fax is also a significant collection mode, as 8,000 reports are collected via this method. Touchtone Data Entry is used for 7,000 reports.

The balance of the sample is collected through other methods including submission of transcripts, emails, and other special arrangements.

**III. Desired Focus of Comments**

The Bureau of Labor Statistics is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information,

including the validity of the methodology and assumptions used.

- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

*Type of Review:* Revision of a currently approved collection.

*Agency:* Bureau of Labor Statistics.

*Title:* Report on Current Employment Statistics.

*OMB Number:* 1220-0011.

*Affected Public:* State or local governments; Businesses or other for profit; Non-profit institutions.

Form	Reports	Minutes per report	Frequency of response	Annual responses	Annual burden hours
A—Mining and Logging .....	1,283	10	12	15,396	2,566
B—Construction .....	11,503	10	12	138,036	23,006
C—Manufacturing .....	9,837	10	12	118,044	19,674
E—Service Providing Industries .....	195,448	10	12	2,345,376	390,896
G—Public Administration .....	60,382	6	12	724,584	72,458
S—Education .....	11,025	6	12	132,300	13,230
Fax790 A,B,C,E,G,S .....	8,205	10	12	98,460	16,410
Total .....	297,683	.....	.....	3,572,196	538,240

*Total Burden Cost (capital/startup):* \$0

*Total Burden Cost (operating/maintenance):* \$0

Comments submitted in response to this notice will be summarized and/or included in the request for Office of Management and Budget approval of the information collection request; they also will become a matter of public record.

Signed at Washington, DC, this 12th day of June 2017.

**Eric P. Molina,**

*Acting Chief, Division of Management Systems, Bureau of Labor Statistics.*

[FR Doc. 2017-12598 Filed 6-16-17; 8:45 am]

**BILLING CODE 4510-24-P**

**DEPARTMENT OF LABOR**

**Bureau of Labor Statistics**

**Proposed Collection; Comment Request**

**ACTION:** Notice.

**SUMMARY:** The Department of Labor, as part of its continuing effort to reduce paperwork and respondent burden, conducts a pre-clearance consultation

program to provide the general public and Federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95). This program helps to ensure that requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed. The Bureau of Labor Statistics (BLS) is soliciting comments concerning the proposed reinstatement of the "Current Population Survey (CPS) Displaced Worker, Job Tenure, and Occupational Mobility Supplement" to be conducted in January 2018 and January 2020. A copy of the proposed information collection request (ICR) can be obtained by contacting the individual listed below in the Addresses section of this notice.

**DATES:** Written comments must be submitted to the office listed in the **ADDRESSES** section of this notice on or before August 18, 2017.

**ADDRESSES:** Send comments to Erin Good, BLS Clearance Officer, Division of Management Systems, Bureau of Labor Statistics, Room 4080, 2 Massachusetts Avenue NE., Washington, DC 20212. Written comments may also be transmitted by fax to 202-691-5111 (this is not a toll free number).

**FOR FURTHER INFORMATION CONTACT:** Erin Good, BLS Clearance Officer, 202-691-7763 (this is not a toll-free number). (See **ADDRESSES** section.)

**SUPPLEMENTARY INFORMATION:**

**I. Background**

The CPS Displaced Worker, Job Tenure, and Occupational Mobility Supplement is conducted biennially and was last collected in January 2016.

This supplement will gather information on workers who have lost or left their jobs because their plant or company closed or moved, there was insufficient work for them to do, or their position or shift was abolished. Data will be collected on the extent to which displaced workers received advance notice of job cutbacks or the closing of their plant or business. For those workers who have been reemployed, the



supplement will gather data on the types of jobs they found and will compare current earnings with those from the lost job. The incidence and nature of occupational changes in the preceding year will be queried. The survey also probes for the length of time workers (including those who have not been displaced) have been with their current employer. Additional data to be collected include information on the receipt of unemployment compensation, the loss of health insurance coverage, and the length of time spent without a job.

Because this supplement is part of the CPS, the same detailed demographic information collected in the CPS will be available on respondents to the supplement. Comparisons will be possible across characteristics such as sex, race and ethnicity, age, and educational attainment of the respondent.

The information collected by this survey will be used to determine the size and nature of the population affected by job displacements and the needs and scope of programs serving adult displaced workers. It also will be used to assess employment stability by determining the length of time workers have been with their current employer and estimating the incidence of occupational change over the course of a year. Combining the questions on displacement, job tenure, and occupational mobility will enable analysts to obtain a more complete picture of employment stability.

## II. Current Action

Office of Management and Budget clearance is being sought for the CPS Displaced Worker, Job Tenure, and Occupational Mobility Supplement to the CPS. A reinstatement, without change, of this previously approved collection, for which approval has expired, is needed to provide the Nation with timely information about displaced workers, job tenure, and occupational mobility.

## III. Desired Focus of Comments

The Bureau of Labor Statistics is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used.

- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

*Type of Review:* Reinstatement, without change, of a previously approved collection for which approval has expired.

*Agency:* Bureau of Labor Statistics.  
*Title:* CPS Displaced Worker, Job Tenure, and Occupational Mobility Supplement.

*OMB Number:* 1220-0104.  
*Affected Public:* Households.  
*Total Respondents:* 53,000.  
*Frequency:* Biennially.  
*Total Responses:* 53,000.  
*Average Time per Response:* 8 minutes.

*Estimated Total Burden Hours:* 7,067 hours.

*Total Burden Cost (capital/startup):* \$0.

*Total Burden Cost (operating/maintenance):* \$0.

Comments submitted in response to this notice will be summarized and/or included in the request for Office of Management and Budget approval of the information collection request; they also will become a matter of public record.

Signed at Washington, DC, this 13th day of June, 2017.

**Kimberley Hill,**

*Chief, Division of Management Systems,  
Bureau of Labor Statistics.*

[FR Doc. 2017-12602 Filed 6-16-17; 8:45 am]

**BILLING CODE 4510-24-P**

## NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

[NARA-2017-051]

### Records Schedules; Availability and Request for Comments

**AGENCY:** National Archives and Records Administration (NARA).

**ACTION:** Notice of availability of proposed records schedules; request for comments.

**SUMMARY:** The National Archives and Records Administration (NARA) publishes notice at least once monthly of certain Federal agency requests for records disposition authority (records schedules). Once approved by NARA, records schedules provide mandatory

instructions on what happens to records when agencies no longer need them for current Government business. The records schedules authorize agencies to preserve records of continuing value in the National Archives of the United States and to destroy, after a specified period, records lacking administrative, legal, research, or other value. NARA publishes notice in the **Federal Register** for records schedules in which agencies propose to destroy records they no longer need to conduct agency business. NARA invites public comments on such records schedules.

**DATES:** NARA must receive requests for copies in writing by July 19, 2017. Once NARA finishes appraising the records, we will send you a copy of the schedule you requested. We usually prepare appraisal memoranda that contain additional information concerning the records covered by a proposed schedule. You may also request these. If you do, we will also provide them once we have completed the appraisal. You have 30 days after we send to you these requested documents in which to submit comments.

**ADDRESSES:** You may request a copy of any records schedule identified in this notice by contacting Records Appraisal and Agency Assistance (ACRA) using one of the following means:

*Mail:* NARA (ACRA); 8601 Adelphi Road; College Park, MD 20740-6001.  
*Email:* [request.schedule@nara.gov](mailto:request.schedule@nara.gov).  
*FAX:* 301-837-3698.

You must cite the control number, which appears in parentheses after the name of the agency that submitted the schedule, and a mailing address. If you would like an appraisal report, please include that in your request.

**FOR FURTHER INFORMATION CONTACT:** Margaret Hawkins, Director, by mail at Records Appraisal and Agency Assistance (ACRA); National Archives and Records Administration; 8601 Adelphi Road; College Park, MD 20740-6001, by phone at 301-837-1799, or by email at [request.schedule@nara.gov](mailto:request.schedule@nara.gov).

**SUPPLEMENTARY INFORMATION:** NARA publishes notice in the **Federal Register** for records schedules they no longer need to conduct agency business. NARA invites public comments on such records schedules, as required by 44 U.S.C. 3303a(a).

Each year, Federal agencies create billions of records on paper, film, magnetic tape, and other media. To control this accumulation, agency records managers prepare schedules proposing records retention periods and submit these schedules for NARA's approval. These schedules provide for timely transfer into the National

Archives of historically valuable records and authorize the agency to dispose of all other records after the agency no longer needs them to conduct its business. Some schedules are comprehensive and cover all the records of an agency or one of its major subdivisions. Most schedules, however, cover records of only one office or program or a few series of records. Many of these update previously approved schedules, and some include records proposed as permanent.

The schedules listed in this notice are media neutral unless otherwise specified. An item in a schedule is media neutral when an agency may apply the disposition instructions to records regardless of the medium in which it creates or maintains the records. Items included in schedules submitted to NARA on or after December 17, 2007, are media neutral unless the item is expressly limited to a specific medium. (See 36 CFR 1225.12(e).)

Agencies may not destroy Federal records without Archivist of the United States' approval. The Archivist approves destruction only after thoroughly considering the records' administrative use by the agency of origin, the rights of the Government and of private people directly affected by the Government's activities, and whether or not the records have historical or other value.

In addition to identifying the Federal agencies and any subdivisions requesting disposition authority, this notice lists the organizational unit(s) accumulating the records (or notes that the schedule has agency-wide applicability when schedules cover records that may be accumulated throughout an agency); provides the control number assigned to each schedule, the total number of schedule items, and the number of temporary items (the records proposed for destruction); and includes a brief description of the temporary records. The records schedule itself contains a full description of the records at the file unit level as well as their disposition. If NARA staff has prepared an appraisal memorandum for the schedule, it also includes information about the records. You may request additional information about the disposition process at the addresses above.

#### Schedules Pending

1. Department of Agriculture, Federal Crop Insurance Corporation (DAA-0258-2017-0002, 1 item, 1 temporary item). Records related to social media applications, including web publishing, social networking, and media sharing.

2. Department of Agriculture, Office of the Secretary (DAA-0016-2017-0002, 1 item, 1 temporary item). Electronic mail dated prior to 2011 that is no longer readable, for all Department components.

3. Department of Defense, Defense Threat Reduction Agency (DAA-0374-2017-0002, 2 items, 1 temporary item). Records relating to practice exercises for verifying location of weapons systems. Proposed for permanent retention are records generated by the verification process.

4. Department of Energy, Agency-wide (DAA-0434-2017-0005, 2 items, 2 temporary items). Master files of an electronic information system used to track and manage requests for access to facilities by foreign visitors.

5. Department of Homeland Security, United States Citizenship and Immigration Services (DAA-0566-2017-0014, 1 item, 1 temporary item). Master files of an electronic information system used to manage financial transactions with agency customers.

6. Department of Homeland Security, United States Citizenship and Immigration Services (DAA-0566-2017-0016, 3 items, 3 temporary items). Records of agency responses to audits by the Government Accountability Office and Department of Homeland Security Office of Inspector General, including audit files, process tracking files, and confirmation of final decision files.

7. Department of Homeland Security, United States Citizenship and Immigration Services (DAA-0566-2017-0024, 1 item, 1 temporary item). Master files of an electronic information system used to manage international adoption cases.

8. Department of the Navy, United States Marine Corps (DAA-0127-2017-0004, 1 item, 1 temporary item). Master files of an electronic information system used to track, review, and report on actions taken regarding environmental law and policies.

9. Department of the Navy, United States Marine Corps (DAA-0127-2017-0006, 1 item, 1 temporary item). Master files of an electronic information system used for logistics management including inventory, requisition, and fulfillment.

10. Department of the Navy, United States Marine Corps (DAA-0127-2017-0007, 1 item, 1 temporary item). Master files of an electronic information system used to track and manage buildings containing hazardous substances.

11. Department of State, Bureau of South and Central Asian Affairs (DAA-0059-2017-0005, 4 items, 4 temporary items). Records of the Office of Press and Public Diplomacy including

program files related to public diplomacy and outreach activities, and copies of briefing materials.

12. Department of State, Office of Inspector General (DAA-0059-2017-0002, 4 items, 2 temporary items). Records include working files and investigative case files of allegations of waste, fraud, and mismanagement. Proposed for permanent retention are Principal Officer subject files, final reports and associated compliance files.

13. Federal Communications Commission, Wireline Competition Bureau (DAA-0173-2016-0011, 1 item, 1 temporary item). Records include data and statistical reports related to the telecommunications industry.

14. National Aeronautics and Space Administration, Agency-wide (DAA-0255-2017-0008, 1 item, 1 temporary item). Routine documentation related to visitors who use agency health and first aid facilities.

15. National Archives and Records Administration, Government-wide (DAA-GRS-2015-0006, 7 items, 7 temporary items). General Records Schedule for records produced in agency budget formulation, execution, reporting, and administration.

16. National Archives and Records Administration, Research Services (N2-220-17-1, 3 items, 3 temporary items). Records of the National Commission on the Observance of International Women's Year including routine administrative and facilitative documents relating to conferences and records covered by the General Records Schedule. These records were accessioned to the National Archives but lack sufficient historical value to warrant their continued preservation.

17. National Credit Union Administration, Asset Management and Assistance Center (DAA-0413-2017-0001, 7 items, 7 temporary items). Records related to credit union liquidations including acquired liquidation documents, accounting records, financial and management reports, liquidation files, loan and collection files, and real property sales documents.

**Laurence Brewer,**

*Chief Records Officer for the U.S. Government.*

[FR Doc. 2017-12730 Filed 6-16-17; 8:45 am]

**BILLING CODE 7515-01-P**

## NATIONAL CREDIT UNION ADMINISTRATION

### Agency Information Collection Activities: Proposed Collection; Comment Request; Contractor Budget, Representation, and Certification

**AGENCY:** National Credit Union Administration (NCUA).

**ACTION:** Notice and request for comment.

**SUMMARY:** The National Credit Union Administration (NCUA), as part of a continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to comment on the following renewal of a currently approved collection, as required by the Paperwork Reduction Act of 1995 (Pub. L. 104-13, 44 U.S.C. Chapter 35).

**DATES:** Written comments should be received on or before August 18, 2017 to be assured consideration.

**ADDRESSES:** Interested persons are invited to submit written comments on the information collections to Dawn Wolfgang, National Credit Union Administration, 1775 Duke Street, Suite 5067, Alexandria, Virginia 22314; Fax No. 703-519-8579; or Email at [PRAComments@NCUA.gov](mailto:PRAComments@NCUA.gov).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information should be directed to the address above or telephone 703-548-2279.

#### SUPPLEMENTARY INFORMATION:

*OMB Number:* 3133-0189.

*Title:* Contractor Budget, Representation, and Certification.

*Abstract:* Standardized information from prospective outside counsel is essential to the NCUA in carrying out its responsibility as regulator, conservator, and liquidating agent for federally insured credit unions. The information will enable the NCUA to further standardize the data it uses to select outside counsel, consider additional criteria in making its selections, and improve efficiency and recordkeeping related to its selection process.

*Type of Review:* Extension of a previously approved collection.

*Affected Public:* Private Sector: Businesses or other for-profits.

*Estimated No. of Respondents:* 100.

*Estimated Annual Frequency:* 1.

*Estimated Annual No. of Responses:* 100.

*Estimated Burden Hours per Respondent:* 2.

*Estimated Total Annual Burden Hours:* 200.

*Request for Comments:* Comments submitted in response to this notice will be summarized and included in the

request for Office of Management and Budget approval. All comments will become a matter of public record. The public is invited to submit comments concerning: (a) Whether the collection of information is necessary for the proper execution of the function of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of the information on the respondents, including the use of automated collection techniques or other forms of information technology.

By Gerard Poliquin, Secretary of the Board, the National Credit Union Administration, on June 14, 2017.

Dated: June 14, 2017.

**Dawn D. Wolfgang,**  
*NCUA PRA Clearance Officer.*

[FR Doc. 2017-12645 Filed 6-16-17; 8:45 am]

**BILLING CODE 7535-01-P**

## NATIONAL SCIENCE FOUNDATION

### Agency Information Collection Activities: Comment Request

**AGENCY:** National Science Foundation.

**ACTION:** Submission for OMB review; comment request.

**SUMMARY:** The National Science Foundation (NSF) has submitted the following information collection requirement to OMB for review and clearance under the Paperwork Reduction Act of 1995. This is the second notice for public comment; the first was published in the **Federal Register** on February 15, 2017, and no comments were received. NSF is forwarding the proposed renewal submission to the Office of Management and Budget (OMB) for clearance simultaneously with the publication of this second notice. The full submission may be found at: <http://www.reginfo.gov/public/do/PRAMain>.

*Comments:* Comments are invited on (a) whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information shall have practical utility; (b) the accuracy of the Agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information on respondents,

including through the use of automated collection techniques or other forms of information technology; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

**DATES:** Written comments on this notice must be received by July 19, 2017, to be assured consideration. Comments received after that date will be considered to the extent practicable. Send comments to address below.

**FOR ADDITIONAL INFORMATION CONTACT:** Suzanne H. Plimpton, Reports Clearance Officer, National Science Foundation, 4201 Wilson Boulevard, Suite 1265, Arlington, Virginia 22230; telephone (703) 292-7556; or send email to [splimpto@nsf.gov](mailto:splimpto@nsf.gov). Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-800-877-8339, which is accessible 24 hours a day, 7 days a week, 365 days a year (including federal holidays).

NSF may not conduct or sponsor a collection of information unless the collection of information displays a currently valid OMB control number and the agency informs potential persons who are to respond to the collection of information that such persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.

#### SUPPLEMENTARY INFORMATION:

*Title of collection:* NSF Surveys to Measure Customer Service Satisfaction.

*OMB Number:* 3145-0157.

*Type of Request:* Intent to seek approval to renew an information collection.

*Abstract:*

*Proposed Project:* On September 11, 1993, President Clinton issued Executive Order 12862, "Setting Customer Service Standards," which calls for Federal agencies to provide service that matches or exceeds the best service available in the private sector. Section 1(b) of that order requires agencies to "survey customers to determine the kind and quality of services they want and their level of satisfaction with existing services." The National Science Foundation (NSF) has an ongoing need to collect information from its customer community (primarily individuals and organizations engaged in science and engineering research and education) about the quality and kind of services it provides and use that

information to help improve agency operations and services.

*Estimate of Burden:* The burden on the public will change according to the needs of each individual customer satisfaction survey; however, each survey is estimated to take approximately 30 minutes per response.

*Respondents:* Will vary among individuals or households; business or other for-profit; not-for-profit institutions; farms; federal government; state, local or tribal governments.

*Estimated number of responses per survey:* This will vary by survey.

Dated: June 13, 2017.

**Suzanne H. Plimpton,**

*Reports Clearance Officer, National Science Foundation.*

[FR Doc. 2017-12577 Filed 6-16-17; 8:45 am]

**BILLING CODE 7555-01-P**

## NATIONAL SCIENCE FOUNDATION

### Notice of Permits Issued Under the Antarctic Conservation Act of 1978

**AGENCY:** National Science Foundation.

**ACTION:** Notice of permit issued.

**SUMMARY:** The National Science Foundation (NSF) is required to publish notice of permits issued under the Antarctic Conservation Act of 1978. This is the required notice.

**FOR FURTHER INFORMATION CONTACT:** Nature McGinn, ACA Permit Officer, Office of Polar Programs, Rm. 755, National Science Foundation, 4201 Wilson Boulevard, Arlington, VA 22230. Or by email: [ACAPermits@nsf.gov](mailto:ACAPermits@nsf.gov).

**SUPPLEMENTARY INFORMATION:** On April 25, 2017 the National Science Foundation published a notice in the **Federal Register** of a permit application received. The permit was issued on June 14, 2017 to: John H. Postlethwait, Permit No. 2018-001.

**Nadene G. Kennedy,**

*Polar Coordination Specialist, Office of Polar Programs.*

[FR Doc. 2017-12690 Filed 6-16-17; 8:45 am]

**BILLING CODE 7555-01-P**

## NUCLEAR REGULATORY COMMISSION

[NRC-2017-0086]

### Information Collection: 10 CFR Part 81, "Standard Specifications for Granting of Patent Licenses"

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Renewal of existing information collection; request for comment.

**SUMMARY:** The U.S. Nuclear Regulatory Commission (NRC) invites public comment on the renewal of Office of Management and Budget (OMB) approval for an existing collection of information. The information collection is entitled, "Standard Specifications for the Granting of Patent Licenses."

**DATES:** Submit comments by August 18, 2017. Comments received after this date will be considered if it is practical to do so, but the Commission is able to ensure consideration only for comments received on or before this date.

**ADDRESSES:** You may submit comments by any of the following methods:

- *Federal Rulemaking Web site:* Go to <http://www.regulations.gov> and search for Docket ID NRC-2017-0086. Address questions about NRC dockets to Carol Gallagher; telephone: 301-415-3463; email: [Carol.Gallagher@nrc.gov](mailto:Carol.Gallagher@nrc.gov). For technical questions, contact the individual listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- *Mail comments to:* David Cullison, Office of the Chief Information Officer, Mail Stop: T-2 F43, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

For additional direction on obtaining information and submitting comments, see "Obtaining Information and Submitting Comments" in the **SUPPLEMENTARY INFORMATION** section of this document.

**FOR FURTHER INFORMATION CONTACT:** David Cullison, Office of the Chief Information Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-2084; email: [INFOCOLLECTS.Resource@NRC.GOV](mailto:INFOCOLLECTS.Resource@NRC.GOV).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Obtaining Information and Submitting Comments**

###### *A. Obtaining Information*

Please refer to Docket ID NRC-2017-0086 when contacting the NRC about the availability of information for this action. You may obtain publicly-available information related to this action by any of the following methods:

- *Federal Rulemaking Web site:* Go to <http://www.regulations.gov> and search for Docket ID NRC-2017-0086.

- *NRC's Agencywide Documents Access and Management System (ADAMS):* You may obtain publicly-available documents online in the ADAMS Public Documents collection at <http://www.nrc.gov/reading-rm/adams.html>. To begin the search, select "ADAMS Public Documents" and then select "Begin Web-based ADAMS

*Search.*" For problems with ADAMS, please contact the NRC's Public Document Room (PDR) reference staff at 1-800-397-4209, 301-415-4737, or by email to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov). The supporting statement is available in ADAMS under Accession No. ML17088A276.

- *NRC's PDR:* You may examine and purchase copies of public documents at the NRC's PDR, Room O1-F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852.

- *NRC's Clearance Officer:* A copy of the collection of information and related instructions may be obtained without charge by contacting NRC's Clearance Officer, David Cullison, Office of the Chief Information Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-2084; email: [INFOCOLLECTS.Resource@NRC.GOV](mailto:INFOCOLLECTS.Resource@NRC.GOV).

###### *B. Submitting Comments*

Please include Docket ID NRC-2017-0086 in the subject line of your comment submission, in order to ensure that the NRC is able to make your comment submission available to the public in this docket.

The NRC cautions you not to include identifying or contact information that you do not want to be publicly disclosed in your comment submission. The NRC posts all comment submissions at <http://www.regulations.gov> as well as entering the comment submissions into ADAMS. The NRC does not routinely edit comment submissions to remove identifying or contact information.

If you are requesting or aggregating comments from other persons for submission to the NRC, then you should inform those persons not to include identifying or contact information that they do not want to be publicly disclosed in their comment submission. Your request should state that the NRC does not routinely edit comment submissions to remove such information before making the comment submissions available to the public or entering the comment submissions into ADAMS.

##### **II. Background**

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the NRC is requesting public comment on its intention to request the OMB's approval for the information collection summarized below.

1. *The title of the information collection:* 10 CFR part 81, "Standard Specifications for Granting of Patent Licenses."

2. *OMB approval number*: 3150–0121.  
 3. *Type of submission*: Extension.  
 4. *The form number, if applicable*:  
 N/A.

5. *How often the collection is required or requested*: Applications for licenses are submitted once. Other reports are submitted annually or as other events require.

6. *Who will be required or asked to respond*: Applicants for and holders of NRC licenses to NRC inventions.

7. *The estimated number of annual responses*: 1.

8. *The estimated number of annual respondents*: 1.

9. *The estimated number of hours needed annually to comply with the information collection requirement or request*: 37; however, no applications are anticipated during the next three years.

10. *Abstract*: As specified in part 81 of title 10 of the *Code of Federal Regulations* (10 CFR), the NRC may grant nonexclusive licenses or limited exclusive licenses to its patented inventions to responsible applicants. Applicants for licenses to NRC inventions are required to provide information which may provide the basis for granting the requested license. In addition, all license holders must submit periodic reports on efforts to bring the invention to a point of practical application and the extent to which they are making the benefits of the invention reasonably accessible to the public. Exclusive license holders must submit additional information if they seek to extend their licenses, issue sublicenses, or transfer the licenses. In addition, if requested, exclusive license holders must promptly supply to the United States Government copies of all pleadings and other papers filed in any patent infringement lawsuit, as well as evidence from proceedings relating to the licensed patent.

### III. Specific Requests for Comments

The NRC is seeking comments that address the following questions:

1. Is the proposed collection of information necessary for the NRC to properly perform its functions? Does the information have practical utility?
2. Is the estimate of the burden of the information collection accurate?
3. Is there a way to enhance the quality, utility, and clarity of the information to be collected?
4. How can the burden of the information collection on respondents be minimized, including the use of automated collection techniques or other forms of information technology?

Dated at Rockville, Maryland, this 14th day of June, 2017.

For the Nuclear Regulatory Commission.

**David Cullison**,

*NRC Clearance Officer, Office of the Chief Information Officer.*

[FR Doc. 2017–12725 Filed 6–16–17; 8:45 am]

**BILLING CODE 7590–01–P**

## NUCLEAR REGULATORY COMMISSION

[Docket No. 40–3392; NRC–2017–0143]

### Honeywell International, Inc.; Metropolis Works

**AGENCY**: Nuclear Regulatory Commission.

**ACTION**: License renewal application; opportunity to request a hearing and to petition for leave to intervene.

**SUMMARY**: The U.S. Nuclear Regulatory Commission (NRC) is considering an application for the renewal of source materials license, SUB–526, from Honeywell International, Inc. (Honeywell), for its Metropolis Works (MTW) facility, located in Metropolis, Illinois. Honeywell submitted its license renewal application (LRA) by letter dated February 8, 2017. Originally issued on December 17, 1958, the license has been renewed many times, most recently on May 11, 2007. License SUB–526 authorizes Honeywell’s MTW facility to convert uranium ore into pure uranium hexafluoride, via the dry conversion process, for use in enrichment operations. In its February 8, 2017, LRA, Honeywell requests a renewed license term of 40 years.

**DATES**: A request for a hearing or petition for leave to intervene must be filed by August 18, 2017.

**ADDRESSES**: Please refer to Docket ID NRC–2017–0143 when contacting the NRC about the availability of information regarding this document. You may obtain publicly-available information related to this document using any of the following methods:

- *Federal Rulemaking Web site*: Go to <http://www.regulations.gov> and search for Docket ID NRC–2017–0143. Address questions about NRC dockets to Carol Gallagher; telephone: 301–415–3463; email: [Carol.Gallagher@nrc.gov](mailto:Carol.Gallagher@nrc.gov). For technical questions, contact the individual listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- *NRC’s Agencywide Documents Access and Management System (ADAMS)*: You may obtain publicly-available documents online in the ADAMS Public Documents collection at <http://www.nrc.gov/reading-rm/adams.html>. To begin the search, select

“ADAMS Public Documents” and then select “Begin Web-based ADAMS Search.” For problems with ADAMS, please contact the NRC’s Public Document Room (PDR) reference staff at 1–800–397–4209, 301–415–4737, or by email to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov). Honeywell’s February 8, 2017, LRA is available in ADAMS under Package Accession No. ML17048A263; and the NRC staff’s May 2, 2017, acceptance of Honeywell’s LRA and notice of timely renewal status is available in ADAMS under Accession No. ML17111A740.

- *NRC’s PDR*: You may examine and purchase copies of public documents at the NRC’s PDR, Room O1–F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852.

**FOR FURTHER INFORMATION CONTACT**: Tilda Liu, Office of Nuclear Material Safety and Safeguards, U.S. Nuclear Regulatory Commission, Washington, DC 20555–0001; telephone: 404–997–4730; email: [Tilda.Liu@nrc.gov](mailto:Tilda.Liu@nrc.gov).

### SUPPLEMENTARY INFORMATION:

#### I. Introduction

The NRC has received, by letter dated February 8, 2017, a license renewal application (LRA) from Honeywell International Inc. (Honeywell or the applicant) for its Metropolis Works (MTW) facility, located in Metropolis, Illinois. Honeywell is the holder of a source materials license (Number SUB–526), issued by the NRC pursuant to part 40 of title 10 of the *Code of Federal Regulations* (10 CFR). Originally issued on December 17, 1958, the license has been renewed many times, most recently on May 11, 2007. License SUB–526 authorizes Honeywell’s MTW facility to convert uranium ore into pure uranium hexafluoride, via the dry conversion process, for use in enrichment operations. In its LRA, Honeywell requests a renewed license term of 40 years.

An administrative review, documented in a letter to Honeywell dated May 2, 2017, found the LRA acceptable to begin a formal technical review. If the LRA is approved, the NRC will need to make the findings required by the Atomic Energy Act of 1954, as amended (the Act), and the NRC’s regulations. These findings will be documented in a safety evaluation report and an environmental assessment.

#### II. Opportunity To Request a Hearing and Petition for Leave To Intervene

Within 60 days after the date of publication of this notice, any persons (petitioner) whose interest may be affected by this action may file a request

for a hearing and petition for leave to intervene (petition) with respect to the action. Petitions shall be filed in accordance with the Commission's "Agency Rules of Practice and Procedure" in 10 CFR part 2. Interested persons should consult a current copy of 10 CFR 2.309. The NRC's regulations are accessible electronically from the NRC Library on the NRC's Web site at <http://www.nrc.gov/reading-rm/doc-collections/cfr/>. Alternatively, a copy of the regulations is available at the NRC's Public Document Room, located at One White Flint North, Room O1-F21, 11555 Rockville Pike (first floor), Rockville, Maryland 20852. If a petition is filed, the Commission or a presiding officer will rule on the petition and, if appropriate, a notice of a hearing will be issued.

As required by 10 CFR 2.309(d) the petition should specifically explain the reasons why intervention should be permitted with particular reference to the following general requirements for standing: (1) The name, address, and telephone number of the petitioner; (2) the nature of the petitioner's right under the Act to be made a party to the proceeding; (3) the nature and extent of the petitioner's property, financial, or other interest in the proceeding; and (4) the possible effect of any decision or order which may be entered in the proceeding on the petitioner's interest.

In accordance with 10 CFR 2.309(f), the petition must also set forth the specific contentions which the petitioner seeks to have litigated in the proceeding. Each contention must consist of a specific statement of the issue of law or fact to be raised or controverted. In addition, the petitioner must provide a brief explanation of the bases for the contention and a concise statement of the alleged facts or expert opinion which support the contention and on which the petitioner intends to rely in proving the contention at the hearing. The petitioner must also provide references to the specific sources and documents on which the petitioner intends to rely to support its position on the issue. The petition must include sufficient information to show that a genuine dispute exists with the applicant or licensee on a material issue of law or fact. Contentions must be limited to matters within the scope of the proceeding. The contention must be one which, if proven, would entitle the petitioner to relief. A petitioner who fails to satisfy the requirements at 10 CFR 2.309(f) with respect to at least one contention will not be permitted to participate as a party.

Those permitted to intervene become parties to the proceeding, subject to any

limitations in the order granting leave to intervene. Parties have the opportunity to participate fully in the conduct of the hearing with respect to resolution of that party's admitted contentions, including the opportunity to present evidence, consistent with the NRC's regulations, policies, and procedures.

Petitions must be filed no later than 60 days from the date of publication of this notice. Petitions and motions for leave to file new or amended contentions that are filed after the deadline will not be entertained absent a determination by the presiding officer that the filing demonstrates good cause by satisfying the three factors in 10 CFR 2.309(c)(1)(i) through (iii). The petition must be filed in accordance with the filing instructions in the "Electronic Submissions (E-Filing)" section of this document.

A State, local governmental body, Federally-recognized Indian Tribe, or agency thereof, may submit a petition to the Commission to participate as a party under 10 CFR 2.309(h)(1). The petition should state the nature and extent of the petitioner's interest in the proceeding. The petition should be submitted to the Commission by August 18, 2017. The petition must be filed in accordance with the filing instructions in the "Electronic Submissions (E-Filing)" section of this document, and should meet the requirements for petitions set forth in this section. Alternatively, a State, local governmental body, Federally-recognized Indian Tribe, or agency thereof may participate as a non-party under 10 CFR 2.315(c).

If a hearing is granted, any person who is not a party to the proceeding and is not affiliated with or represented by a party may, at the discretion of the presiding officer, be permitted to make a limited appearance pursuant to the provisions of 10 CFR 2.315(a). A person making a limited appearance may make an oral or written statement of his or her position on the issues but may not otherwise participate in the proceeding. A limited appearance may be made at any session of the hearing or at any prehearing conference, subject to the limits and conditions as may be imposed by the presiding officer. Details regarding the opportunity to make a limited appearance will be provided by the presiding officer if such sessions are scheduled.

### III. Electronic Submissions (E-Filing)

All documents filed in NRC adjudicatory proceedings, including a request for hearing and petition for leave to intervene (petition), any motion or other document filed in the proceeding prior to the submission of a

request for hearing or petition to intervene, and documents filed by interested governmental entities that request to participate under 10 CFR 2.315(c), must be filed in accordance with the NRC's E-Filing rule (72 FR 49139; August 28, 2007, as amended at 77 FR 46562, August 3, 2012). The E-Filing process requires participants to submit and serve all adjudicatory documents over the internet, or in some cases to mail copies on electronic storage media. Detailed guidance on making electronic submissions may be found in the Guidance for Electronic Submissions to the NRC and on the NRC Web site at <http://www.nrc.gov/site-help/e-submittals.html>. Participants may not submit paper copies of their filings unless they seek an exemption in accordance with the procedures described below.

To comply with the procedural requirements of E-Filing, at least 10 days prior to the filing deadline, the participant should contact the Office of the Secretary by email at [hearing.docket@nrc.gov](mailto:hearing.docket@nrc.gov), or by telephone at 301-415-1677, to (1) request a digital identification (ID) certificate, which allows the participant (or its counsel or representative) to digitally sign submissions and access the E-Filing system for any proceeding in which it is participating; and (2) advise the Secretary that the participant will be submitting a petition or other adjudicatory document (even in instances in which the participant, or its counsel or representative, already holds an NRC-issued digital ID certificate). Based upon this information, the Secretary will establish an electronic docket for the hearing in this proceeding if the Secretary has not already established an electronic docket.

Information about applying for a digital ID certificate is available on the NRC's public Web site at <http://www.nrc.gov/site-help/e-submittals/getting-started.html>. Once a participant has obtained a digital ID certificate and a docket has been created, the participant can then submit adjudicatory documents. Submissions must be in Portable Document Format (PDF). Additional guidance on PDF submissions is available on the NRC's public Web site at <http://www.nrc.gov/site-help/electronic-sub-ref-mat.html>. A filing is considered complete at the time the document is submitted through the NRC's E Filing system. To be timely, an electronic filing must be submitted to the E-Filing system no later than 11:59 p.m. Eastern Time on the due date. Upon receipt of a transmission, the E Filing system time-stamps the document and sends the submitter an email notice

confirming receipt of the document. The E-Filing system also distributes an email notice that provides access to the document to the NRC's Office of the General Counsel and any others who have advised the Office of the Secretary that they wish to participate in the proceeding, so that the filer need not serve the document on those participants separately. Therefore, applicants and other participants (or their counsel or representative) must apply for and receive a digital ID certificate before adjudicatory documents are filed so that they can obtain access to the documents via the E-Filing system.

A person filing electronically using the NRC's adjudicatory E-Filing system may seek assistance by contacting the NRC's Electronic Filing Help Desk through the "Contact Us" link located on the NRC's public Web site at <http://www.nrc.gov/site-help/e-submittals.html>, by email to [MSHD.Resource@nrc.gov](mailto:MSHD.Resource@nrc.gov), or by a toll-free call at 1-866-672-7640. The NRC Electronic Filing Help Desk is available between 9 a.m. and 6 p.m., Eastern Time, Monday through Friday, excluding government holidays.

Participants who believe that they have a good cause for not submitting documents electronically must file an exemption request, in accordance with 10 CFR 2.302(g), with their initial paper filing stating why there is good cause for not filing electronically and requesting authorization to continue to submit documents in paper format. Such filings must be submitted by: (1) First class mail addressed to the Office of the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, Attention: Rulemaking and Adjudications Staff; or (2) courier, express mail, or expedited delivery service to the Office of the Secretary, 11555 Rockville Pike, Rockville, Maryland, 20852, Attention: Rulemaking and Adjudications Staff. Participants filing adjudicatory documents in this manner are responsible for serving the document on all other participants. Filing is considered complete by first-class mail as of the time of deposit in the mail, or by courier, express mail, or expedited delivery service upon depositing the document with the provider of the service. A presiding officer, having granted an exemption request from using E-Filing, may require a participant or party to use E-Filing if the presiding officer subsequently determines that the reason for granting the exemption from use of E-Filing no longer exists.

Documents submitted in adjudicatory proceedings will appear in the NRC's

electronic hearing docket which is available to the public at <https://adams.nrc.gov/ehd>, unless excluded pursuant to an order of the Commission or the presiding officer. If you do not have an NRC-issued digital ID certificate as described above, click cancel when the link requests certificates and you will be automatically directed to the NRC's electronic hearing dockets where you will be able to access any publicly available documents in a particular hearing docket. Participants are requested not to include personal privacy information, such as social security numbers, home addresses, or personal phone numbers in their filings, unless an NRC regulation or other law requires submission of such information. For example, in some instances, individuals provide home addresses in order to demonstrate proximity to a facility or site. With respect to copyrighted works, except for limited excerpts that serve the purpose of the adjudicatory filings and would constitute a Fair Use application, participants are requested not to include copyrighted materials in their submission.

Dated at Rockville, Maryland, this 12th day of June 2017.

For the Nuclear Regulatory Commission,  
**Jacob Zimmerman**,  
*Chief, Enrichment and Conversion Branch,  
Division of Fuel Cycle Safety, Safeguards,  
and Environmental Review, Office of Nuclear  
Material Safety and Safeguards.*

[FR Doc. 2017-12696 Filed 6-16-17; 8:45 am]

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## NUCLEAR REGULATORY COMMISSION

[NRC-2017-0140]

### Biweekly Notice: Applications and Amendments to Facility Operating Licenses and Combined Licenses Involving No Significant Hazards Considerations

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Biweekly notice.

**SUMMARY:** Pursuant to Section 189a.(2) of the Atomic Energy Act of 1954, as amended (the Act), the U.S. Nuclear Regulatory Commission (NRC) is publishing this regular biweekly notice. The Act requires the Commission to publish notice of any amendments issued, or proposed to be issued, and grants the Commission the authority to issue and make immediately effective any amendment to an operating license or combined license, as applicable, upon a determination by the

Commission that such amendment involves no significant hazards consideration, notwithstanding the pendency before the Commission of a request for a hearing from any person.

This biweekly notice includes all notices of amendments issued, or proposed to be issued, from May 23, 2017, to June 2, 2017. The last biweekly notice was published on June 6, 2017.

**DATES:** Comments must be filed by July 19, 2017. A request for a hearing must be filed by August 18, 2017.

**ADDRESSES:** You may submit comments by any of the following methods (unless this document describes a different method for submitting comments on a specific subject):

- Federal Rulemaking Web site: Go to <http://www.regulations.gov> and search for Docket ID NRC-2017-0140. Address questions about NRC dockets to Carol Gallagher; telephone: 301-415-3463; email: [Carol.Gallagher@nrc.gov](mailto:Carol.Gallagher@nrc.gov). For technical questions, contact the individual listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- Mail comments to: Cindy Bladey, Office of Administration, Mail Stop: TWFN-8-D36M, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

For additional direction on obtaining information and submitting comments, see "Obtaining Information and Submitting Comments" in the **SUPPLEMENTARY INFORMATION** section of this document.

**FOR FURTHER INFORMATION CONTACT:** Lynn Ronewicz, Office of Nuclear Reactor Regulation, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-1927, email: [Lynn.Ronewicz@nrc.gov](mailto:Lynn.Ronewicz@nrc.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Obtaining Information and Submitting Comments

###### A. Obtaining Information

Please refer to Docket ID NRC-2017-0140 facility name, unit number(s), plant docket number, application date, and subject when contacting the NRC about the availability of information for this action. You may obtain publicly available information related to this action by any of the following methods:

- *Federal Rulemaking Web site:* Go to <http://www.regulations.gov> and search for Docket ID NRC-2017-0140.

- *NRC's Agencywide Documents Access and Management System (ADAMS):* You may obtain publicly available documents online in the ADAMS Public Documents collection at <http://www.nrc.gov/reading-rm/>



*adams.html*. To begin the search, select “ADAMS Public Documents” and then select “Begin Web-based ADAMS Search.” For problems with ADAMS, please contact the NRC’s Public Document Room (PDR) reference staff at 1–800–397–4209, 301–415–4737, or by email to *pdr.resource@nrc.gov*. The ADAMS accession number for each document referenced (if it is available in ADAMS) is provided the first time that it is mentioned in this document.

- *NRC’s PDR*: You may examine and purchase copies of public documents at the NRC’s PDR, Room O1–F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852.

#### B. Submitting Comments

Please include Docket ID NRC–2017–0140 facility name, unit number(s), plant docket number, application date, and subject in your comment submission.

The NRC cautions you not to include identifying or contact information that you do not want to be publicly disclosed in your comment submission. The NRC will post all comment submissions at <http://www.regulations.gov> as well as enter the comment submissions into ADAMS.

The NRC does not routinely edit comment submissions to remove identifying or contact information.

If you are requesting or aggregating comments from other persons for submission to the NRC, then you should inform those persons not to include identifying or contact information that they do not want to be publicly disclosed in their comment submission. Your request should state that the NRC does not routinely edit comment submissions to remove such information before making the comment submissions available to the public or entering the comment into ADAMS.

## II. Notice of Consideration of Issuance of Amendments to Facility Operating Licenses and Combined Licenses and Proposed No Significant Hazards Consideration Determination

The Commission has made a proposed determination that the following amendment requests involve no significant hazards consideration. Under the Commission’s regulations in § 50.92 of title 10 of the *Code of Federal Regulations* (10 CFR), this means that operation of the facility in accordance with the proposed amendment would not (1) involve a significant increase in the probability or consequences of an accident previously evaluated, or (2) create the possibility of a new or different kind of accident from any accident previously evaluated; or (3)

involve a significant reduction in a margin of safety. The basis for this proposed determination for each amendment request is shown below.

The Commission is seeking public comments on this proposed determination. Any comments received within 30 days after the date of publication of this notice will be considered in making any final determination.

Normally, the Commission will not issue the amendment until the expiration of 60 days after the date of publication of this notice. The Commission may issue the license amendment before expiration of the 60-day period provided that its final determination is that the amendment involves no significant hazards consideration. In addition, the Commission may issue the amendment prior to the expiration of the 30-day comment period if circumstances change during the 30-day comment period such that failure to act in a timely way would result, for example in derating or shutdown of the facility. If the Commission takes action prior to the expiration of either the comment period or the notice period, it will publish in the **Federal Register** a notice of issuance. If the Commission makes a final no significant hazards consideration determination, any hearing will take place after issuance. The Commission expects that the need to take this action will occur very infrequently.

#### A. Opportunity To Request a Hearing and Petition for Leave To Intervene

Within 60 days after the date of publication of this notice, any persons (petitioner) whose interest may be affected by this action may file a request for a hearing and petition for leave to intervene (petition) with respect to the action. Petitions shall be filed in accordance with the Commission’s “Agency Rules of Practice and Procedure” in 10 CFR part 2. Interested persons should consult a current copy of 10 CFR 2.309. The NRC’s regulations are accessible electronically from the NRC Library on the NRC’s Web site at <http://www.nrc.gov/reading-rm/doc-collections/cfr/>. Alternatively, a copy of the regulations is available at the NRC’s Public Document Room, located at One White Flint North, Room O1–F21, 11555 Rockville Pike (first floor), Rockville, Maryland 20852. If a petition is filed, the Commission or a presiding officer will rule on the petition and, if appropriate, a notice of a hearing will be issued.

As required by 10 CFR 2.309(d) the petition should specifically explain the

reasons why intervention should be permitted with particular reference to the following general requirements for standing: (1) The name, address, and telephone number of the petitioner; (2) the nature of the petitioner’s right under the Act to be made a party to the proceeding; (3) the nature and extent of the petitioner’s property, financial, or other interest in the proceeding; and (4) the possible effect of any decision or order which may be entered in the proceeding on the petitioner’s interest.

In accordance with 10 CFR 2.309(f), the petition must also set forth the specific contentions which the petitioner seeks to have litigated in the proceeding. Each contention must consist of a specific statement of the issue of law or fact to be raised or controverted. In addition, the petitioner must provide a brief explanation of the bases for the contention and a concise statement of the alleged facts or expert opinion which support the contention and on which the petitioner intends to rely in proving the contention at the hearing. The petitioner must also provide references to the specific sources and documents on which the petitioner intends to rely to support its position on the issue. The petition must include sufficient information to show that a genuine dispute exists with the applicant or licensee on a material issue of law or fact. Contentions must be limited to matters within the scope of the proceeding. The contention must be one which, if proven, would entitle the petitioner to relief. A petitioner who fails to satisfy the requirements at 10 CFR 2.309(f) with respect to at least one contention will not be permitted to participate as a party.

Those permitted to intervene become parties to the proceeding, subject to any limitations in the order granting leave to intervene. Parties have the opportunity to participate fully in the conduct of the hearing with respect to resolution of that party’s admitted contentions, including the opportunity to present evidence, consistent with the NRC’s regulations, policies, and procedures.

Petitions must be filed no later than 60 days from the date of publication of this notice. Petitions and motions for leave to file new or amended contentions that are filed after the deadline will not be entertained absent a determination by the presiding officer that the filing demonstrates good cause by satisfying the three factors in 10 CFR 2.309(c)(1)(i) through (iii). The petition must be filed in accordance with the filing instructions in the “Electronic Submissions (E-Filing)” section of this document.



If a hearing is requested, and the Commission has not made a final determination on the issue of no significant hazards consideration, the Commission will make a final determination on the issue of no significant hazards consideration. The final determination will serve to establish when the hearing is held. If the final determination is that the amendment request involves no significant hazards consideration, the Commission may issue the amendment and make it immediately effective, notwithstanding the request for a hearing. Any hearing would take place after issuance of the amendment. If the final determination is that the amendment request involves a significant hazards consideration, then any hearing held would take place before the issuance of the amendment unless the Commission finds an imminent danger to the health or safety of the public, in which case it will issue an appropriate order or rule under 10 CFR part 2.

A State, local governmental body, Federally-recognized Indian Tribe, or agency thereof, may submit a petition to the Commission to participate as a party under 10 CFR 2.309(h)(1). The petition should state the nature and extent of the petitioner's interest in the proceeding. The petition should be submitted to the Commission by August 18, 2017. The petition must be filed in accordance with the filing instructions in the "Electronic Submissions (E-Filing)" section of this document, and should meet the requirements for petitions set forth in this section, except that under 10 CFR 2.309(h)(2) a State, local governmental body, or federally recognized Indian Tribe, or agency thereof does not need to address the standing requirements in 10 CFR 2.309(d) if the facility is located within its boundaries. Alternatively, a State, local governmental body, Federally-recognized Indian Tribe, or agency thereof may participate as a non-party under 10 CFR 2.315(c).

If a hearing is granted, any person who is not a party to the proceeding and is not affiliated with or represented by a party may, at the discretion of the presiding officer, be permitted to make a limited appearance pursuant to the provisions of 10 CFR 2.315(a). A person making a limited appearance may make an oral or written statement of his or her position on the issues but may not otherwise participate in the proceeding. A limited appearance may be made at any session of the hearing or at any prehearing conference, subject to the limits and conditions as may be imposed by the presiding officer. Details

regarding the opportunity to make a limited appearance will be provided by the presiding officer if such sessions are scheduled.

#### *B. Electronic Submissions (E-Filing)*

All documents filed in NRC adjudicatory proceedings, including a request for hearing and petition for leave to intervene (petition), any motion or other document filed in the proceeding prior to the submission of a request for hearing or petition to intervene, and documents filed by interested governmental entities that request to participate under 10 CFR 2.315(c), must be filed in accordance with the NRC's E-Filing rule (72 FR 49139; August 28, 2007, as amended at 77 FR 46562, August 3, 2012). The E-Filing process requires participants to submit and serve all adjudicatory documents over the internet, or in some cases to mail copies on electronic storage media. Detailed guidance on making electronic submissions may be found in the Guidance for Electronic Submissions to the NRC and on the NRC Web site at <http://www.nrc.gov/site-help/e-submittals.html>. Participants may not submit paper copies of their filings unless they seek an exemption in accordance with the procedures described below.

To comply with the procedural requirements of E-Filing, at least 10 days prior to the filing deadline, the participant should contact the Office of the Secretary by email at [hearing.docket@nrc.gov](mailto:hearing.docket@nrc.gov), or by telephone at 301-415-1677, to (1) request a digital identification (ID) certificate, which allows the participant (or its counsel or representative) to digitally sign submissions and access the E-Filing system for any proceeding in which it is participating; and (2) advise the Secretary that the participant will be submitting a petition or other adjudicatory document (even in instances in which the participant, or its counsel or representative, already holds an NRC-issued digital ID certificate). Based upon this information, the Secretary will establish an electronic docket for the hearing in this proceeding if the Secretary has not already established an electronic docket.

Information about applying for a digital ID certificate is available on the NRC's public Web site at <http://www.nrc.gov/site-help/e-submittals/getting-started.html>. Once a participant has obtained a digital ID certificate and a docket has been created, the participant can then submit adjudicatory documents. Submissions must be in Portable Document Format (PDF). Additional guidance on PDF

submissions is available on the NRC's public Web site at <http://www.nrc.gov/site-help/electronic-sub-ref-mat.html>. A filing is considered complete at the time the document is submitted through the NRC's E-Filing system. To be timely, an electronic filing must be submitted to the E-Filing system no later than 11:59 p.m. Eastern Time on the due date. Upon receipt of a transmission, the E-Filing system time-stamps the document and sends the submitter an email notice confirming receipt of the document. The E-Filing system also distributes an email notice that provides access to the document to the NRC's Office of the General Counsel and any others who have advised the Office of the Secretary that they wish to participate in the proceeding, so that the filer need not serve the document on those participants separately. Therefore, applicants and other participants (or their counsel or representative) must apply for and receive a digital ID certificate before adjudicatory documents are filed so that they can obtain access to the documents via the E-Filing system.

A person filing electronically using the NRC's adjudicatory E-Filing system may seek assistance by contacting the NRC's Electronic Filing Help Desk through the "Contact Us" link located on the NRC's public Web site at <http://www.nrc.gov/site-help/e-submittals.html>, by email to [MSHD.Resource@nrc.gov](mailto:MSHD.Resource@nrc.gov), or by a toll-free call at 1-866-672-7640. The NRC Electronic Filing Help Desk is available between 9 a.m. and 6 p.m., Eastern Time, Monday through Friday, excluding government holidays.

Participants who believe that they have a good cause for not submitting documents electronically must file an exemption request, in accordance with 10 CFR 2.302(g), with their initial paper filing stating why there is good cause for not filing electronically and requesting authorization to continue to submit documents in paper format. Such filings must be submitted by: (1) First class mail addressed to the Office of the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, Attention: Rulemaking and Adjudications Staff; or (2) courier, express mail, or expedited delivery service to the Office of the Secretary, 11555 Rockville Pike, Rockville, Maryland, 20852, Attention: Rulemaking and Adjudications Staff. Participants filing adjudicatory documents in this manner are responsible for serving the document on all other participants. Filing is considered complete by first-class mail as of the time of deposit in the mail, or

by courier, express mail, or expedited delivery service upon depositing the document with the provider of the service. A presiding officer, having granted an exemption request from using E-Filing, may require a participant or party to use E-Filing if the presiding officer subsequently determines that the reason for granting the exemption from use of E-Filing no longer exists.

Documents submitted in adjudicatory proceedings will appear in the NRC's electronic hearing docket which is available to the public at <https://adams.nrc.gov/ehd>, unless excluded pursuant to an order of the Commission or the presiding officer. If you do not have an NRC-issued digital ID certificate as described above, click cancel when the link requests certificates and you will be automatically directed to the NRC's electronic hearing dockets where you will be able to access any publicly available documents in a particular hearing docket. Participants are requested not to include personal privacy information, such as social security numbers, home addresses, or personal phone numbers in their filings, unless an NRC regulation or other law requires submission of such information. For example, in some instances, individuals provide home addresses in order to demonstrate proximity to a facility or site. With respect to copyrighted works, except for limited excerpts that serve the purpose of the adjudicatory filings and would constitute a Fair Use application, participants are requested not to include copyrighted materials in their submission.

For further details with respect to these license amendment applications, see the application for amendment which is available for public inspection in ADAMS and at the NRC's PDR. For additional direction on accessing information related to this document, see the "Obtaining Information and Submitting Comments" section of this document.

*Entergy Nuclear Operations, Inc., Docket Nos. 50-247 and 50-286, Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3), Westchester County, New York*

*Date of amendment request:*

December 14, 2016, as supplemented by letter dated April 19, 2017. Publicly available versions are in ADAMS under Package Accession No. ML16355A066 and Accession No. ML17114A467, respectively.

*Description of amendment request:*

The amendments would revise the Appendix C Technical Specifications (TS) Limiting Condition for Operation

(LCO) 3.1.2 for IP2 and IP3 and Appendix A TS LCO 3.7.13 for IP2. These LCOs ensure that the fuel to be loaded into the Shielded Transfer Canister (STC) meets the design basis for the STC and has an acceptable rack location in the IP2 spent fuel pit before the STC is loaded with fuel. The proposed changes to these LCOs would increase the population of IP3 fuel eligible for transfer to the IP2 spent fuel pit and maintain full core offload capability for IP3.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below, with NRC staff's edits in square brackets:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed amendment would modify the IP2 and IP3 Technical Specifications (TS) to incorporate the results of revised criticality, thermal, and shielding and dose analyses and evaluations.

[For IP2.] the proposed amendment was evaluated for impact on the following previously evaluated events and accidents: STC Criticality Accidents, SFP Criticality Accidents, Boron Dilution Accidents, Fuel Handling Accidents, Loss of Spent Fuel Pool [SFP] Cooling, and Natural Events.

[IP2] STC Criticality Accidents

The STC criticality accident considered were: Abnormal temperature, dropped, mislocated, and misloaded fuel assemblies, and misalignment between the active fuel region and the neutron absorber.

The probability of an STC criticality accident will not increase significantly due to the proposed changes because the individual fuel assemblies will be loaded into the STC in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of an STC criticality accident are not changed because the reactivity analysis demonstrates that the same subcriticality criteria and requirements continue to be met for these accidents.

[IP2] SFP Criticality Accidents

The SFP criticality accident of record considered the following accidents (1) a dropped fuel assembly or an assembly placed alongside a rack, (2) a misloaded fuel assembly, and (3) abnormal heat loads. Because the IP2 and IP3 fuel assemblies are identical [with] regards [to] those parameters that are utilized in the design basis criticality analysis (DBA) to qualify fresh fuel these accidents are bounding for IP3 fuel.

The probability of an SFP criticality accident will not increase significantly due to the proposed changes because the individual fuel assemblies will be loaded into the SFP

in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of an SFP criticality accident are not changed because the reactivity analysis demonstrates that the same subcriticality criteria and requirements continue to be met for this accident.

[IP2] STC Thermal Accidents

The thermal analyses demonstrate that the postulated accidents (rupture of the HI-TRAC water jacket, 50-gallon transported fuel tank rupture and fire, simultaneous loss of water from the water jacket and HI-TRAC annulus, fuel misload, hypothetical tipover, and crane malfunction) continue to meet their acceptance criteria.

The probability of an STC thermal accident will not increase significantly because the individual fuel assemblies will be loaded into the SFP in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of an STC thermal accident will not increase significantly because the thermal analysis demonstrates that the same thermal acceptance criteria and requirements continue to be met for this accident.

[IP2] Boron Dilution Accident

The probability of a boron dilution event remains the same because the proposed change does not alter the manner in which the IP2 spent fuel cooling system or any other plant system is operated, or otherwise increase the likelihood of adding significant quantities of unborated water into the spent fuel pit.

The consequences of the boron dilution event remains the same. The reactivity of the STC filled with the most reactive combination of approved fuel assemblies in unborated water results in a  $k_{eff}$  less than 0.95. Thus, even in the unlikely event of a complete dilution of the spent fuel pit water, the STC will remain safely subcritical.

[IP2] Fuel Handling Accident

The probability of an FHA will not increase significantly due to the proposed changes because the individual fuel assemblies will be moved between the STC and the spent fuel pit racks and the STC and HI-TRAC will be moved in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of the existing fuel handling accident remain bounding because the IP3 fuel assembly design is essentially the same as the IP2 design and the IP3 fuel assemblies to be transferred to IP2 will be cooled a minimum of 6 years. This compares with a cooling time of 84 hours used in the existing FHA radiological analysis. The 6-year cooling time results in a significant reduction in the radioactive source term available for release from a damaged fuel assembly compared to the source term considered in the design basis FHA radiological analysis. The consequences of the previously analyzed fuel assembly drop accident, therefore, continue to provide a

bounding estimate of offsite dose for this accident.

#### [IP2] Loss of Spent Fuel Pool Cooling

The probability of a loss of spent fuel pit cooling remains the same because the proposed change does not alter the manner in which the IP2 spent fuel cooling loop is operated, designed or maintained.

The consequences of a loss of spent fuel pit cooling remains the same because the thermal design basis for the spent fuel pit cooling loop provides for all fuel pit rack locations to be filled at the end of a full core discharge and therefore the design basis heat load effectively includes any heat load associated with the assemblies within the STC.

#### [IP2] Natural Events

The natural events considered include the following accidents (1) a seismic event, (2) high winds, tornado and tornado missiles, (3) flooding and (4) a lightning strike.

The probability of natural event will not increase due to the proposed changes because there are no elements of the proposed changes that influence the occurrence of any natural event.

The consequences of a natural event will not increase due to the proposed changes because the structural analyses design limits continue to be met. A lightning strike may cause ignition of the VCT fuel but this event is addressed under STC thermal accidents.

[For IP3,] the proposed amendment was evaluated for impact on the following previously evaluated events and accidents: STC Criticality Accidents, SFP Criticality Accidents, Boron Dilution Accidents, Fuel Handling Accidents, Loss of Spent Fuel Pool Cooling, and Natural Events.

#### [IP3] STC Criticality Accidents

The STC criticality accident considered were: Abnormal temperature, dropped, mislocated, and misloaded fuel assemblies, and misalignment between the active fuel region and the neutron absorber.

The probability of an STC criticality accident will not increase significantly due to the proposed changes because the individual fuel assemblies will be loaded into the STC in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of an STC criticality accident are not changed because the reactivity analysis demonstrates that the same subcriticality criteria and requirements continue to be met for these accidents.

#### [IP3] STC Thermal Accidents

The thermal analyses demonstrate that the postulated accidents (rupture of the HI-TRAC water jacket, 50-gallon transported fuel tank rupture and fire, simultaneous loss of water from the water jacket and HI-TRAC annulus, fuel mislead, hypothetical tipover, and crane malfunction) continue to meet their acceptance criteria. The probability of an STC thermal accident will not increase significantly because the individual fuel assemblies will be loaded into the SFP in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of an STC thermal accident will not increase significantly because the thermal analysis demonstrates that the same thermal acceptance criteria and requirements continue to be met for this accident.

#### [IP3] Boron Dilution Accident

The probability of a boron dilution event remains the same because the proposed change does not alter the manner in which the IP3 spent fuel cooling system or any other plant system is operated, or otherwise increase the likelihood of adding significant quantities of unborated water into the spent fuel pit.

The consequences of the boron dilution event remains the same. The reactivity of the STC filled with the most reactive combination of approved fuel assemblies in unborated water results in a  $k_{eff}$  less than 0.95. Thus, even in the unlikely event of a complete dilution of the spent fuel pit water, the STC will remain safely subcritical.

#### [IP3] Fuel Handling Accident

The probability of an FHA will not increase significantly due to the proposed changes because the individual fuel assemblies will be moved between the STC and the spent fuel pit racks and the STC and HI-TRAC will be moved in the same manner, using the same equipment, procedures, and other administrative controls (*i.e.* fuel move sheets) that are currently used.

The consequences of the existing fuel handling accident remain bounding because only IP3 fuel is moved in the IP3 spent fuel pit. The IP3 fuel assemblies to be transferred to IP2 will be cooled a minimum of 6 years. This compares with a cooling time of 84 hours used in the existing FHA radiological analysis. The 6-year cooling time results in a significant reduction in the radioactive source term available for release from a damaged fuel assembly compared to the source term considered in the design basis FHA radiological analysis. The consequences of the previously analyzed fuel assembly drop accident, therefore, continue to provide a bounding estimate of offsite dose for this accident.

#### [IP3] Loss of Spent Fuel Pool Cooling

The probability of a loss of spent fuel pit cooling remains the same because the proposed change does not alter the manner in which the IP3 spent fuel cooling loop is operated, designed or maintained.

The consequences of a loss of spent fuel pit cooling remains the same because the thermal design basis for the spent fuel pit cooling loop provides for all fuel pit rack locations to be filled at the end of a full core discharge and therefore the design basis heat load effectively includes any heat load associated with the assemblies within the STC.

#### [IP3] Natural Events

The natural events considered include the following accidents (1) a seismic event, (2) high winds, tornado and tornado missiles, (3) flooding and (4) a lightning strike.

The probability of natural event will not increase due to the proposed changes because there are no elements of the

proposed changes that influence the occurrence of any natural event.

The consequences of a natural event will not increase due to the proposed changes because the structural analyses design limits continue to be met. A lightning strike may cause ignition of the VCT fuel but this event is addressed under STC thermal accidents.

Therefore, the proposed change does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed TS changes do not create the possibility of a new or different kind of accident from any accident previously evaluated. No new modes of operation are introduced by the proposed changes. The proposed changes will not create any failure mode not bounded by previously evaluated accidents.

Therefore, the proposed changes do not create the possibility of a new or different kind of accident, from any accident previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The proposed amendment would modify the TS to incorporate the results of revised criticality, thermal and shield and dose analyses. The margin of safety required by 10 CFR 50.58(b)(4) remains unchanged. New criticality evaluations for both the STC [and the IP2 SFP] confirm that operation in accordance with the proposed amendment continues to meet the required subcriticality margins. The thermal analyses demonstrate that the postulated accidents (rupture of the HI-TRAC water jacket, 50-gallon transported fuel tank rupture and fire, simultaneous loss of water from the water jacket and HI-TRAC annulus, fuel misload, hypothetical tipover, and crane malfunction) continue to meet their acceptance criteria without a significant loss of safety margin. The shielding and dose analyses demonstrate that the shielding and radiation protection requirements continue to be met without a significant loss of safety margin.

Therefore, the proposed change does not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* Jeanne Cho, Assistant General Counsel, Entergy Nuclear Operations, Inc., 440 Hamilton Avenue, White Plains, NY 10601.

*NRC Branch Chief:* James G. Danna.

*Exelon Generation Company, LLC, Docket Nos. 50–317 and 50–318, Calvert Cliffs Nuclear Power Plant, Units 1 and 2, Calvert County, Maryland*

*Date of amendment request:* March 28, 2017. A publicly available version is in ADAMS under Accession No. ML17087A374.

*Description of amendment request:* The amendments would revise the Calvert Cliffs Nuclear Power Plant, Units 1 and 2, Technical Specifications (TSs) to change the low level of the refueling water tank (RWT) to reflect a needed increase in the required borated water volume and change the allowable value of the RWT level-low function.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of any accident previously evaluated?

Response: No.

The proposed amendment increases the required volume of water in the RWT to maintain the existing design requirements. The increase is necessary due to an increase in the RWT Level—Low RAS [recirculation actuation signal] setpoint, which allows more water to stay in the tank following a LOCA [loss-of-coolant accident]. The modification to the allowable value of the RWT level-low (function 5a) resolves a non-conservative TS per the guidance of Administrative Letter 98–10 “Dispositioning of Technical Specifications That Are Insufficient to Assure Plant Safety.”

The RWT is not an accident initiator. The RWT is required to supply adequate borated water to perform its mitigation function as assumed in the accident analyses. With the proposed increase in the minimum required water volume, the RWT maintains its design margin for supplying the required amount of borated water to the reactor core and the containment sump.

Therefore, the proposed amendment does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any previously evaluated?

Response: No.

The proposed amendment increases the required volume of water in the RWT to maintain the existing design requirements. The increase is necessary due to an increase in the RWT Level—Low RAS setpoint, which allows more water to stay in the tank following a LOCA. The modification to the allowable value of the RWT level-low (function 5a) resolves a non-conservative TS per the guidance of Administrative Letter 98–10 “Dispositioning of Technical Specifications That Are Insufficient to Assure Plant Safety.”

The proposed amendment does not impose any new or different requirements. The change does not alter assumptions made in the safety analyses. The proposed change is consistent with the safety analyses assumptions and current plant operating practice.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The proposed amendment increases the required volume of water in the RWT to maintain the existing design requirements. The increase is necessary due to an increase in the RWT Level—Low RAS setpoint, which allows more water to stay in the tank following a loss-of-coolant accident. The modification to the allowable value of the RWT level-low (function 5a) resolves a non-conservative TS per the guidance of Administrative Letter 98–10 “Dispositioning of Technical Specifications That Are Insufficient to Assure Plant Safety.”

The proposed amendment does not affect the design, operation, and testing methods for systems, structures and components specified in applicable codes and standards (or alternatives approved for use by the NRC). With the proposed increase in the minimum required water volume, the RWT maintains its design margin for supplying the required amount of borated water to the reactor core and the containment sump. The RWT will continue to meet all of its requirements as described in the plant licensing basis (including the Updated Final Safety Analysis Report and the TS Bases). Similarly, there is no impact to Safety Analysis acceptance criteria as described in the plant licensing basis.

Therefore, the proposed amendment does not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee’s analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* Tamra Domeyer, Associate General Counsel, Exelon Generation Company, LLC, 4300 Winfield Road, Warrenville, IL 60555.

*NRC Branch Chief:* James G. Danna.

*Exelon Generation Company, LLC, Docket No. 50–410, Nine Mile Point Nuclear Station, Unit 2, Oswego County, New York*

*Date of amendment request:* April 5, 2017. A publicly available version is in ADAMS under Accession No. ML17095A081.

*Description of amendment request:* The amendment would revise the Nine Mile Point Nuclear Station, Unit 2, Technical Specifications to allow

greater flexibility in performing surveillance testing in Modes 1, 2, or 3 of emergency diesel generators and Class 1E batteries. The proposed changes are based on Technical Specifications Task Force (TSTF) Traveler TSTF–283–A, Revision 3, “Modify Section 3.8 Mode Restriction Notes.”

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed changes modify Mode restriction Notes to allow performance of the Surveillance in whole or in part to reestablish Emergency Diesel Generator (EDG) Operability, and to allow the crediting of unplanned events that satisfy the Surveillances. The EDGs and their associated emergency loads are accident mitigating features, and are not an initiator of any accident previously evaluated. As a result, the probability of any accident previously evaluated is not significantly increased. To manage any increase in risk, the proposed changes require an assessment to verify that plant safety will be maintained or enhanced by performance of the Surveillance in the current prohibited Modes. The radiological consequences of an accident previously evaluated during the period that the EDG is being tested to reestablish operability are no different from the radiological consequences of an accident previously evaluated while the EDG is inoperable. As a result, the consequences of any accident previously evaluated are not increased.

Therefore, the proposed changes do not involve a significant increase in the probability or consequences of any accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed changes do not involve a physical alteration to the plant (*i.e.*, no new or different type of equipment will be installed) or a change to the methods governing normal plant operation. The changes do not alter the assumptions made in the safety analysis.

Therefore, the proposed changes do not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The purpose of Surveillances is to verify that equipment is capable of performing its assumed safety function. The proposed changes will only allow the performance of the Surveillances to reestablish Operability,

and the proposed changes may not be used to remove an EDG from service. In addition, the proposed changes will potentially shorten the time that an EDG is unavailable because testing to reestablish Operability can be performed without a plant shutdown. The proposed changes also require an assessment to verify that plant safety will be maintained or enhanced by performance of the Surveillance in the normally prohibited Modes.

Therefore, the proposed changes do not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* Tamra Domeyer, Associate General Counsel, Exelon Generation Company, LLC, 4300 Winfield Road, Warrenville, IL 60555.

*NRC Branch Chief:* James G. Danna.

*Exelon Generation Company, LLC, Docket Nos. 50-254 and 50-265, Quad Cities Nuclear Power Station, Units 1 and 2, Rock Island County, Illinois*

*Date of amendment request:* April 27, 2017. A publicly available version is in ADAMS under Accession No. ML17121A449.

*Description of amendment request:* The proposed amendments would revise Technical Specification 5.5.12, "Primary Containment Leakage Rate Testing Program," to allow for the permanent extension of the Type A integrated leak rate testing and Type C leak rate testing frequencies, and would also delete a one-time exception.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed change involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed activity involves revision of the Quad Cities Nuclear Power Station (QCNPS) Technical Specification (TS) 5.5.12, Primary Containment Leakage Rate Testing Program, to allow the extension of the QCNPS, Units 1 and 2, Type A containment integrated leakage rate test interval to 15 years, and the extension of the Type C local leakage rate test interval to 75 months. The current Type A test interval of 120 months (10 years) would be extended on a permanent basis to no longer than 15 years from the last Type A test. The existing Type C test interval of 60 months for selected components would

be extended on a performance basis to no longer than 75 months. Extensions of up to nine months (total maximum interval of 84 months for Type C tests) are permissible only for non-routine emergent conditions.

The proposed extension does not involve either a physical change to the plant or a change in the manner in which the plant is operated or controlled. The containment is designed to provide an essentially leak tight barrier against the uncontrolled release of radioactivity to the environment for postulated accidents. As such, the containment and the testing requirements invoked to periodically demonstrate the integrity of the containment exist to ensure the plant's ability to mitigate the consequences of an accident, and do not involve the prevention or identification of any precursors of an accident.

The change in dose risk for changing the Type A Integrated Leak Rate Test (ILRT) interval from three-per-ten years to once-per-fifteen-years, measured as an increase to the total integrated dose risk for all internal events accident sequences for QCNPS, is 1.0E-02 person-rem/yr (0.31%) using the Electric Power Research Institute (EPRI) guidance with the base case corrosion included. The change in dose risk drops to 2.7E-03 person-rem/yr (0.08%) when using the EPRI Expert Elicitation methodology. The values calculated per the EPRI guidance are all lower than the acceptance criteria of less than or equal to 1.0 person-rem/yr or less than 1.0% person-rem/yr defined in Section 1.3 of Attachment 3 to this LAR. Therefore, this proposed extension does not involve a significant increase in the probability of an accident previously evaluated.

As documented in NUREG-1493, "Performance-Based Containment Leak-Test Program," dated January 1995, Types B and C tests have identified a very large percentage of containment leakage paths, and the percentage of containment leakage paths that are detected only by Type A testing is very small. The QCNPS, Units 1 and 2 Type A test history supports this conclusion.

The integrity of the containment is subject to two types of failure mechanisms that can be categorized as: (1) Activity based, and, (2) time based. Activity based failure mechanisms are defined as degradation due to system and/or component modifications or maintenance. Local leak rate test requirements and administrative controls such as configuration management and procedural requirements for system restoration ensure that containment integrity is not degraded by plant modifications or maintenance activities. The design and construction requirements of the containment combined with the containment inspections performed in accordance with American Society of Mechanical Engineers (ASME) Section XI, and TS requirements serve to provide a high degree of assurance that the containment would not degrade in a manner that is detectable only by a Type A test. Based on the above, the proposed test interval extensions do not significantly increase the consequences of an accident previously evaluated.

The proposed amendment also deletes an exception previously granted in amendments

220 and 214 to allow one-time extensions of the ILRT test frequency for QCNPS, Units 1 and 2, respectively. This exception was for an activity that has already taken place; therefore, this deletion is solely an administrative action that does not result in any change in how QCNPS, Units 1 and 2 are operated.

Therefore, the proposed change does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed change create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed amendment to TS 5.5.12, "Primary Containment Leakage Rate Testing Program," involves the extension of the QCNPS, Units 1 and 2 Type A containment test interval to 15 years and the extension of the Type C test interval to 75 months. The containment and the testing requirements to periodically demonstrate the integrity of the containment exist to ensure the plant's ability to mitigate the consequences of an accident.

The proposed change does not involve a physical modification to the plant (*i.e.*, no new or different type of equipment will be installed), nor does it alter the design, configuration, or change the manner in which the plant is operated or controlled beyond the standard functional capabilities of the equipment.

The proposed amendment also deletes an exception previously granted under TS Amendments 220 and 214 to allow the one-time extension of the ILRT test frequency for QCNPS, Units 1 and 2, respectively. This exception was for an activity that has already taken place; therefore, this deletion is solely an administrative action that does not result in any change in how the QCNPS units are operated.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed change involve a significant reduction in a margin of safety?

Response: No.

The proposed amendment to TS 5.5.12 involves the extension of the QCNPS, Units 1 and 2 Type A containment test interval to 15 years and the extension of the Type C test interval to 75 months for selected components. This amendment does not alter the manner in which safety limits, limiting safety system set points, or limiting conditions for operation are determined. The specific requirements and conditions of the TS Containment Leak Rate Testing Program exist to ensure that the degree of containment structural integrity and leak-tightness that is considered in the plant safety analysis is maintained. The overall containment leak rate limit specified by TS is maintained.

The proposed change involves the extension of the interval between Type A containment leak rate tests and Type C tests for QCNPS, Units 1 and 2. The proposed surveillance interval extension is bounded by the 15-year ILRT interval and the 75-month Type C test interval currently authorized

within NEI 94-01, Revision 3-A. Industry experience supports the conclusion that Types B and C testing detects a large percentage of containment leakage paths and that the percentage of containment leakage paths that are detected only by Type A testing is small. The containment inspections performed in accordance with ASME Section XI and TS serve to provide a high degree of assurance that the containment would not degrade in a manner that is detectable only by Type A testing. The combination of these factors ensures that the margin of safety in the plant safety analysis is maintained. The design, operation, testing methods and acceptance criteria for Types A, B, and C containment leakage tests specified in applicable codes and standards would continue to be met, with the acceptance of this proposed change, since these are not affected by changes to the Type A and Type C test intervals.

The proposed amendment also deletes exceptions previously granted to allow one-time extensions of the ILRT test frequency for QCNPS, Units 1 and 2. This exception was for an activity that has taken place; therefore, the deletion is solely an administrative action and does not change how QCNPS is operated and maintained. Thus, there is no reduction in any margin of safety.

Therefore, the proposed change does not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* Tamra Domeyer, Associate General Counsel, Exelon Nuclear Company, LLC, 4300 Winfield Road, Warrenville, IL 60555.

*NRC Branch Chief:* David J. Wrona.

*Florida Power & Light Company, Docket Nos. 50-250 and 251, Turkey Point Nuclear Generating Unit Nos. 3 and 4, Miami-Dade County, Florida*

*Date of amendment request:* April 9, 2017. A publicly available version is in ADAMS under Accession No. ML17101A637.

*Description of amendment request:* The amendments would modify the Technical Specifications (TSs) to remove various reporting requirements. Specifically, the amendments would remove the requirements to prepare various special reports, the Startup Report, and the Annual Report. In addition, the amendments would revise the TSs to remove the completion time for restoring spent fuel pool water level to address inoperability of one of the two parallel flow paths in the residual heat removal or safety injection headers for the Emergency Core Cooling Systems and to make other administrative

changes, including updating plant staff and responsibilities and correcting a misspelling.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The actions, surveillance requirements, and administrative controls associated with the proposed changes to the technical specifications (TS) are not initiators of any accidents previously evaluated, so the probability of accidents previously evaluated is unaffected by the proposed changes. The proposed changes do not alter the design, function, operation, or configuration of any plant structure, system, or component (SSC). The capability of any operable TS-required SSC to perform its specified safety function is not impacted by the proposed changes. As a result, the outcomes of accidents previously evaluated are unaffected. Therefore, the proposed changes do not result in a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed changes do not challenge the integrity or performance of any safety-related systems. No plant equipment is installed or removed, and the changes do not alter the design, physical configuration, or method of operation of any plant SSC. No physical changes are made to the plant, so no new causal mechanisms are introduced. Therefore, the proposed changes to the TS do not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The ability of any operable SSC to perform its designated safety function is unaffected by the proposed changes. The proposed changes do not alter any safety analyses assumptions, safety limits, limiting safety system settings, or method of operating the plant. The changes do not adversely impact plant operating margins or the reliability of equipment credited in the safety analyses. Therefore, the proposed changes do not involve a significant reduction in the margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* William S. Blair, Managing Attorney—Nuclear, Florida Power & Light Company, 700 Universe Blvd., MS LAW/JB, Juno Beach, FL 33408-0420.

*NRC Branch Chief:* Undine S. Shoop.

*NextEra Energy Duane Arnold, LLC, Docket No. 50-331, Duane Arnold Energy Center, Linn County, Iowa*

*Date of amendment request:* April 20, 2017. A publicly available version is in ADAMS under Accession No. ML17111A631.

*Description of amendment request:* The proposed amendment would revise Technical Specifications (TSs) Section 3.1.2, "Reactivity Anomalies," with a change to the method of calculating core reactivity for the purpose of performing the reactivity anomaly surveillance. The proposed change would allow performance of the reactivity anomaly surveillance on a comparison of monitored to predicted core reactivity. The reactivity anomaly verification is currently determined by a comparison of monitored versus predicted control rod density.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed change involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed change does not affect any plant systems, structures, or components designed for the prevention or mitigation of previously evaluated accidents. The proposed change would only modify how the reactivity anomaly surveillance is performed. Verifying that the core reactivity is consistent with predicted values ensures that accident and transient safety analyses remain valid. This amendment changes the TS requirements such that, rather than performing the surveillance by comparing monitored to predicted control rod density, the surveillance is performed by a direct comparison of core  $k_{eff}$ . Present day on-line core monitoring systems, such as 3D MONICORE and ACUMEN, are capable of performing the direct measurement of reactivity.

Therefore, since the reactivity anomaly surveillance will continue to be performed by a viable method, the proposed change does not involve a significant increase in the probability or consequence of a previously evaluated accident.

2. Does the proposed change create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.



The proposed change does not involve any changes to the operation, testing, or maintenance of any safety-related, or otherwise important to safety systems. All systems important to safety will continue to be operated and maintained within their design bases. The proposed changes to the Reactivity Anomalies TS will only provide a new, more efficient method of detecting an unexpected change in core reactivity.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed change involve a significant reduction in a margin of safety?

Response: No.

The proposed change is to modify the method for performing the reactivity anomaly surveillance from a comparison of monitored to predicted control rod density to a comparison of monitored to predicted core  $k_{\text{eff}}$ . The direct comparison of  $k_{\text{eff}}$  provides a technically superior method of calculating any differences in the expected core reactivity. The reactivity anomaly surveillance will continue to be performed at the same frequency as is currently required by the TS, only the method of performing the surveillance will be changed. Consequently, core reactivity assumptions made in safety analyses will continue to be adequately verified. The proposed change has no impact to the margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* William Blair, P.O. Box 14000, Juno Beach, FL 33408-0420.

*NRC Branch Chief:* David J. Wrona.

*NextEra Energy Point Beach, LLC, Docket Nos. 50-266 and 50-301, Point Beach Nuclear Plant (Point Beach), Units 1 and 2, Town of Two Creeks, Manitowoc County, Wisconsin*

*Date of amendment request:* March 31, 2017. A publicly available version is in ADAMS under Accession No. ML17090A511.

*Description of amendment request:* The amendments would document a risk-informed resolution strategy to resolve low risk, legacy design code non-conformances associated with construction trusses in the containment buildings of Point Beach, Units 1 and 2. The proposed license amendment request (LAR) is a risk-informed licensing basis change. The proposed change is acceptance of the final configuration of the construction trusses, including the attached containment spray piping and ventilation ductwork, and the containment liners/walls adjacent to the

trusses, using a risk-informed resolution. Accordingly, the proposed change meets the criteria set forth in Regulatory Guide (RG) 1.174, "An Approach for Using Probabilistic Risk Assessment [PRA] in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis," and the generic guidance in RG 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities."

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration which is presented below:

1. Does the proposed change involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The probability of an accident previously evaluated is not changed. The containment structures and the containment spray piping and ventilation ducts attached to the construction trusses are accident mitigation equipment. They are not accident initiators.

The acceptance of the final configuration of Point Beach Units 1 and 2 results in a change in core damage frequency and large early release frequency that is within acceptance guidelines and does not involve a significant reduction in the margin of safety. Although failures are postulated in the PRA analysis, the engineering calculations in support of the LAR conclude that the construction trusses and the associated structures/components remain structurally sound in the event of a design basis seismic or thermal event and there is no adverse impact or change to any station SSC's [structure, system, and components] design function and there is no change to accident mitigation response.

This change has no impact on station fire risk caused by a seismic event.

Therefore, the proposed change does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed change create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed change does not install any new or different type of equipment in the plant. The proposed change does not create any new failure modes for existing equipment or any new limiting single failures. Engineering calculations conclude the construction trusses, equipment supported by the trusses, and containment liners remain capable of withstanding design basis seismic and thermal events and remain capable of performing their designated design functions. Additionally, the proposed change does not involve a change in the methods governing normal plant operation, and all safety functions will continue to perform as previously assumed in the accident analyses. Thus, the proposed change does not

adversely affect the design function or operation of any structures, systems and components important to safety.

There are no new accidents identified associated with acceptance of the final modified configuration of Unit 1 and the current configuration of Unit 2.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed change involve a significant reduction in a margin of safety?

Response: No.

The effects of the change,  $\Delta$ CDF [core damage frequency] and  $\Delta$ LERF, [large early release frequency] are within the acceptance guidelines shown in Figures 4 and 5 of Regulatory Guide 1.174. Consequently, the change does not result in a significant reduction in the margin of safety.

The containment structures and liners, construction trusses, and equipment supported by the trusses remain fully capable of performing their specified design functions as concluded by supporting engineering calculations.

Modifications associated with implementation of NFPA [National Fire Protection Association] 805 are planned that will provide protection of the reactor coolant system feed and bleed capability and result in additional safety margin.

The proposed change does not affect the margin of safety associated with confidence in the ability of the fission product barriers (*i.e.*, fuel cladding, reactor coolant system pressure boundary, and containment structure) to limit the level of radiation dose to the public. The proposed change does not alter any safety analyses assumptions, safety limits, limiting safety system settings, or methods of operating the plant. The changes do not adversely impact the reliability of equipment credited in the safety analyses. The proposed change does not adversely affect systems that respond to safely shutdown the plant and to maintain the plant in a safe shutdown condition.

The station will implement new seismic and thermal event limits to ensure the construction trusses and associated equipment are inspected and/or analyzed for any event exceeding elastic stress limits to determine their capability to withstand a subsequent design basis event prior to Unit restart.

Therefore, the proposed change does not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* William Blair, Managing Attorney—Nuclear, Florida Power & Light Company, P.O. Box 14000, 700 Universe Boulevard, Juno Beach, FL 33408-0420.

*NRC Branch Chief:* David J. Wrona.

*Southern Nuclear Operating Company, Docket Nos. 52-025 and 52-026, Vogtle Electric Generating Plant, Units 3 and 4, Burke County, Georgia*

*Date of amendment request:* April 27, 2017. A publicly available version is in ADAMS under Accession No. ML17118A049.

*Description of amendment request:* The requested amendments propose changes to combined license (COL) Appendix C (and plant-specific Tier 1) Table 2.7.2-2 to revise the minimum chilled water flow rates to the supply air handling units serving the Main Control Room and the Class 1E electrical rooms, and the unit coolers serving the normal residual heat removal system and chemical and volume control system pump rooms. The proposed COL Appendix C (and plant-specific Design Control Document (Tier 1) changes require additional changes to corresponding Tier 2 component data information in Updated Final Safety Analysis Report (UFSAR) Chapter 9. Because this proposed change requires a departure from Tier 1 information in the Westinghouse Electric Company's AP1000 Design Control Document, the licensee also requested an exemption from the requirements of the Generic Design Control Document Tier 1 in accordance with 10 CFR 52.63(b)(1).

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed changes to COL Appendix C (and plant-specific Tier 1) Table 2.7.2-2, Updated Final Safety Analysis Report (UFSAR) Table 9.2.7-1, and associated UFSAR design information to identify the revised equipment parameters for the nuclear island nonradioactive ventilation system (VBS) air (VAS) unit coolers and reduced chilled water system (VWS) cooling coil flow rates do not adversely impact the plant response to any accidents which are previously evaluated. The function of the cooling coils to provide chilled water to the VBS AHUs and VAS unit coolers is not credited in the safety analysis.

No safety-related structure, system, component (SSC) or function is adversely affected by this change. The VWS safety-related function of containment isolation is not affected by this change. The change does not involve an interface with any SSC accident initiator or initiating sequence of events, and thus, the probabilities of the accidents evaluated in the plant-specific UFSAR are not affected. The proposed

changes do not involve a change to the predicted radiological releases due to postulated accident conditions, thus, the consequences of the accidents evaluated in the UFSAR are not affected. The proposed changes do not increase the probability or consequences of an accident previously evaluated as the VWS, VBS and VAS do not provide safety-related functions and the functions of each system to support required room environments are not changed.

Therefore, the proposed amendment does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed changes to COL Appendix C (and plant-specific Tier 1) Table 2.7.2-2, UFSAR Table 9.2.7-1, and associated UFSAR design information to identify the revised equipment parameters for VBS AHUs and VAS unit coolers and reduced VWS cooling coil flow rates do not affect any safety-related equipment, and do not add any new interfaces to safety-related SSCs. The VWS function to provide chilled water is not adversely impacted. The function of the VAS to provide ventilation and cooling to maintain the environment of the serviced areas within the design temperature range is not adversely impacted by this change. No system or design function or equipment qualification is affected by these changes as the change does not modify the operation of any SSCs. The changes do not introduce a new failure mode, malfunction or sequence of events that could affect safety or safety-related equipment. Revised equipment parameters, including the reduced cooling coil flow rates, do not adversely impact the function of associated components.

Therefore, the proposed amendment does not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The changes to COL Appendix C (and plant-specific Tier 1) Table 2.7.2-2, UFSAR Table 9.2.7-1, and associated UFSAR design information do not affect any other safety-related equipment or fission product barriers. The requested changes will not adversely affect compliance with any design code, function, design analysis, safety analysis input or result, or design/safety margin. No safety analysis or design basis acceptance limit/criterion is challenged or exceeded by the requested changes as previously evaluated accidents are not impacted.

Therefore, the proposed amendment does not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* M. Stanford Blanton, Balch & Bingham LLP, 1710 Sixth Avenue North, Birmingham, AL 35203-2015.

*NRC Branch Chief:* Jennifer Dixon-Herrity.

*Tennessee Valley Authority, Docket Nos. 50-259, 50-260, 50-296, and 72-052, Browns Ferry Nuclear Plant (BFN), Units 1, 2, and 3, and Independent Spent Fuel Storage Installation (ISFSI), Limestone County, Alabama*

*Tennessee Valley Authority, Docket Nos. 50-327, 50-328, and 72-034, Sequoyah Nuclear Plant (SQN), Units 1 and 2, and ISFSI, Hamilton County, Tennessee*

*Tennessee Valley Authority (TVA), Docket Nos. 50-390, 50-391, and 72-1048, Watts Bar Nuclear Plant (WBN), Units 1 and 2, and ISFSI, Rhea County, Tennessee*

*Date of amendment request:* January 4, 2017. A publicly available version is in ADAMS under Accession No. ML17004A340.

*Description of amendment request:* The amendments would modify the Emergency Plans for BFN, Units 1, 2, and 3, and its ISFSI; SQN, Units 1 and 2, and its ISFSI; and WBN, Units 1 and 2, and its ISFSI, to adopt the Emergency Action Level (EAL) schemes based on Nuclear Energy Institute (NEI) 99-01, Revision 6, which has been endorsed by the NRC as documented in a letter dated March 28, 2013 (ADAMS Accession No. ML12346A463). The proposed changes to TVA's EAL schemes to adopt the guidance in NEI 99-01, Revision 6, do not reduce the capability to meet the emergency planning requirements established in 10 CFR 50.47 and 10 CFR part 50, Appendix E. The proposed changes do not reduce the functionality, performance, or capability of TVA's Emergency Response Organization (ERO) to respond in mitigating the consequences of accidents. The TVA ERO functions will continue to be performed as required.

*Basis for proposed no significant hazards consideration determination:* As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below.

1. Does the proposed amendment involve a significant increase in the probability or consequence of an accident previously evaluated?

Response: No.

The proposed changes to TVA's EAL schemes to adopt the NRC-endorsed guidance in NEI 99-01, Revision 6, "Development of Emergency Action Levels



for Non-Passive Reactors,” do not reduce the capability to meet the emergency planning requirements established in 10 CFR 50.47 and 10 CFR [Part] 50, Appendix E. The proposed changes do not reduce the functionality, performance, or capability of TVA’s ERO to respond in mitigating the consequences of any design basis accident.

The proposed changes do not adversely affect accident initiators or precursors nor alter the design assumptions, conditions, and configuration of the facilities or the manner in which the plants are operated and maintained. The proposed change does not adversely affect the ability of structures, systems, and components (SSC) to perform their intended safety function to mitigate the consequences of an initiating event within the assumed acceptable limits. The proposed changes do not affect the source term, containment isolation, or radiological release assumptions used in evaluating the radiological consequences of any accident previously evaluated. Further, the proposed changes do not increase the types and amounts of radioactive effluent that may be released offsite, nor significantly increase individual or cumulative occupational/public radiation exposure.

Therefore, the proposed changes do not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed changes to TVA’s EAL schemes to adopt the NRC-endorsed guidance in NEI 99–01, Revision 6, do not involve any physical changes to plant systems or equipment. The proposed changes do not involve the addition of any new plant equipment. The proposed changes will not alter the design configuration, or method of operation of plant equipment beyond its normal functional capabilities. All TVA ERO functions will continue to be performed as required. The proposed changes do not create any new credible failure mechanisms, malfunctions, or accident initiators.

Therefore, the proposed changes do not create the possibility of a new or different kind of accident from those that have been previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The proposed changes to TVA’s EAL schemes to adopt the NRC-endorsed guidance in NEI 99–01, Revision 6, do not alter or exceed a design basis or safety limit. There is no change being made to safety analysis assumptions, safety limits, or limiting safety system settings that would adversely affect plant safety as a result of the proposed changes. There are no changes to setpoints or environmental conditions of any SSC or the manner in which any SSC is operated. Margins of safety are unaffected by the proposed changes to adopt the NEI 99–01, Revision 6, EAL scheme guidance. The applicable requirements of 10 CFR 50.47 and 10 CFR [Part] 50, Appendix E will continue to be met.

Therefore, the proposed changes do not involve any reduction in a margin of safety.

The NRC staff has reviewed the licensee’s analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

*Attorney for licensee:* General Counsel, Tennessee Valley Authority, 400 West Summit Hill Drive, 6A West Tower, Knoxville, TN 37902.

*NRC Branch Chief:* Benjamin G. Beasley.

### III. Notice of Issuance of Amendments to Facility Operating Licenses and Combined Licenses

During the period since publication of the last biweekly notice, the Commission has issued the following amendments. The Commission has determined for each of these amendments that the application complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission’s rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission’s rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment.

A notice of consideration of issuance of amendment to facility operating license or combined license, as applicable, proposed no significant hazards consideration determination, and opportunity for a hearing in connection with these actions, was published in the **Federal Register** as indicated.

Unless otherwise indicated, the Commission has determined that these amendments satisfy the criteria for categorical exclusion in accordance with 10 CFR 51.22. Therefore, pursuant to 10 CFR 51.22(b), no environmental impact statement or environmental assessment need be prepared for these amendments. If the Commission has prepared an environmental assessment under the special circumstances provision in 10 CFR 51.22(b) and has made a determination based on that assessment, it is so indicated.

For further details with respect to the action see (1) the applications for amendment, (2) the amendment, and (3) the Commission’s related letter, Safety Evaluation, and/or Environmental Assessment as indicated. All of these items can be accessed as described in the “Obtaining Information and Submitting Comments” section of this document.

*Duke Energy Progress Inc., Docket No. 50–261, H. B. Robinson Steam Electric Plant, Unit No. 2 (Robinson), Darlington County, South Carolina*

*Duke Energy Progress, LLC, Docket No. 50–400, Shearon Harris Nuclear Power Plant, Unit 1 (Harris), Wake and Chatham Counties, North Carolina*

*Date of amendment request:* August 19, 2015, as supplemented by letters dated May 4, October 3, and November 17, 2016.

*Brief description of amendments:* The amendments revised the Robinson Technical Specification (TS) 5.6.5.b and the Harris TS 6.9.1.6.2 to adopt the methodology reports DPC–NE–1008–P, Revision 0, “Nuclear Design Methodology Using CASMO–5/SIMULATE–3 for Westinghouse Reactors”; DPC–NF–2010, Revision 3, “Nuclear Physics Methodology for Reload Design”; and DPC–NE–2011–P, Revision 2, “Nuclear Design Methodology Report for Core Operating Limits of Westinghouse Reactors,” for application specific to Robinson and Harris.

*Date of issuance:* May 18, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 120 days of issuance.

*Amendment Nos.:* 253 (Robinson) and 157 (Harris). A publicly available version is in ADAMS under Accession No. ML17102A923; documents related to these amendments are listed in the Safety Evaluations enclosed with the amendments.

*Renewed Facility Operating License Nos. DPR–23 and NPF–63:* Amendments revised the Renewed Facility Operating Licenses and TSs.

*Date of initial notice in Federal Register:* February 2, 2016 (81 FR 5492). The supplemental letter dated May 4, 2016, provided additional information that expanded the scope of the application as originally noticed, and changed the NRC staff’s original proposed no significant hazards consideration determination as published in the **Federal Register**. Accordingly, the NRC published a second proposed no significant hazards consideration determination in the **Federal Register** on August 2, 2016 (81 FR 50746). This notice superseded the original notice in its entirety. The supplemental letters dated October 3 and November 17, 2016, provided additional information that clarified the application, did not expand the scope beyond the second notice, and did not change the NRC staff’s proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluations of the amendments are contained in the Safety Evaluations dated May 18, 2017.

*No significant hazards consideration comments received:* No.

*Duke Energy Progress, LLC, Docket Nos. 50-325 and 50-324, Brunswick Steam Electric Plant, Units 1 and 2, Brunswick County, North Carolina*

*Date of amendment request:* December 21, 2015, as supplemented by letters dated June 29, July 13, August 15, November 1, November 17, 2016, and February 27, 2017.

*Brief description of amendments:* The amendments adopted the approved changes to Standard Technical Specifications for General Electric (BWR/4) [Boiling Water Reactor] Plants, NUREG-1433, Revision 4, to allow relocation of specific technical specification surveillance frequencies to a licensee-controlled program. The changes are described in Technical Specification Task Force (TSTF) Traveler, TSTF-425, Revision 3, "Relocate Surveillance Frequencies to Licensee Control—RITSTF Initiative 5b" (ADAMS Package Accession No. ML090850642), and are described in the Notice of Availability published in the **Federal Register** on July 6, 2009 (74 FR 31996).

*Date of issuance:* May 24, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 180 days of issuance.

*Amendment Nos.:* 276 (Unit 1) and 304 (Unit 2). A publicly available version is in ADAMS under Accession No. ML17096A129; documents related to these amendments are listed in the Safety Evaluation enclosed with the amendments.

*Facility Operating License Nos. DPR-71 and DPR-62:* Amendments revised the Facility Operating Licenses and Technical Specifications.

*Date of initial notice in Federal Register:* March 29, 2016 (81 FR 17504). The supplemental letters dated June 29, July 13, August 15, November 1, November 17, 2016, and February 27, 2017, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated May 24, 2017.

*No significant hazards consideration comments received:* No.

*Duke Energy Progress, LLC, Docket No. 50-400, Shearon Harris Nuclear Power Plant, Unit 1, Wake and Chatham Counties, North Carolina*

*Date of amendment request:* May 26, 2016, as supplemented by letter dated December 19, 2016.

*Brief description of amendment:* The amendment revised the Technical Specifications (TSs) by adding a new Administrative Controls section to establish, implement, and maintain a Diesel Fuel Oil Testing Program. It also relocated to this program the current TS surveillance requirements (SRs) for evaluating diesel fuel oil, along with the SRs for draining, sediment removal, and cleaning of each main fuel oil storage tank at least once every 10 years. In addition, the licensee took an exception to NRC Regulatory Guide 1.137, Revision 1, "Fuel-Oil Systems for Standby Diesel Generators," to allow for the ability to perform sampling of new fuel oil offsite prior to its addition to the fuel oil storage tanks.

*Date of issuance:* May 24, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 120 days of issuance.

*Amendment No.:* 158. A publicly available version is in ADAMS under Accession No. ML17048A184; documents related to this amendment are listed in the Safety Evaluation enclosed with the amendment.

*Renewed Facility Operating License No. NPF-63:* Amendment revised the Renewed Facility Operating License and TSs.

*Date of initial notice in Federal Register:* October 11, 2016 (81 FR 70178). The supplemental letter dated December 19, 2016, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendment is contained in a Safety Evaluation dated May 24, 2017.

*No significant hazards consideration comments received:* No.

*Duke Energy Progress, LLC, Docket No. 50-400, Shearon Harris Nuclear Power Plant, Unit 1, Wake and Chatham Counties, North Carolina*

*Date of amendment request:* June 29, 2016, as supplemented by letter dated November 4, 2016.

*Brief description of amendment:* The amendment revised the Shearon Harris Nuclear Power Plant, Unit 1, Technical Specification (TS) 3/4.11.1.4, "Liquid

Holdup Tanks"; TS 3/4.11.2.5, "Explosive Gas Mixture"; and TS 6.8.4.j, "Gas Storage Tank Radioactivity Monitoring Program." The amendment deleted TS Definition 1.16, "GASEOUS RADWASTE TREATMENT SYSTEM"; TS 3/4.11.1.4, "Liquid Holdup Tanks"; and TS 3/4.11.2.5, "Explosive Gas Mixture." The amendment relocated the deleted requirements for these TSs to licensee control under TS 6.8.4.j, "Gas Storage Tank Radioactivity Monitoring Program." The description for TS 6.8.4.j, "Gas Storage Tank Radioactivity Monitoring Program," was modified to include the controls for potentially explosive gas mixtures contained in the Gaseous Waste Processing System and the quantity of radioactivity contained in unprotected outdoor liquid storage tanks. The amendment relocated requirements associated with TS 3/4.11.1.4 and TS 3/4.11.2.5 to the licensee-controlled Plant Programs Procedure PLP-114, "Relocated Technical Specifications and Design Basis Requirements."

*Date of issuance:* May 25, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 90 days of issuance.

*Amendment No.:* 159. A publicly available version is in ADAMS under Accession No. ML17074A672; documents related to this amendment are listed in the Safety Evaluation enclosed with the amendment.

*Renewed Facility Operating License No. NPF-63:* The amendment revised the Facility Operating License and TSs.

*Date of initial notice in Federal Register:* October 25, 2016 (81 FR 73433). The supplemental letter dated November 4, 2016, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendment is contained in a Safety Evaluation dated May 25, 2017.

*No significant hazards consideration comments received:* No.

*Entergy Nuclear Operations, Inc., Docket No. 50-255, Palisades Nuclear Plant, Van Buren County, Michigan*

*Date of amendment request:* July 11, 2016.

*Brief description of amendment:* The amendment approved adoption of NRC-approved Technical Specifications Task Force (TSTF) Standard Technical Specifications Change Traveler TSTF-545, Revision 3, "TS [Technical Specification] Inservice Testing Program

Removal & Clarify SR [Surveillance Requirement] Usage Rule Application to Section 5.5 Testing,” dated October 21, 2015. Specifically, the amendment deleted Palisades Nuclear Plant TS 5.5.7, “Inservice Testing Program,” and added a new defined term, “INSERVICE TESTING PROGRAM,” to the TSs. All existing references to the “Inservice Testing Program,” in the Palisades Nuclear Plant TS SRs are replaced with “INSERVICE TESTING PROGRAM” so that the SRs refer to the new definition in lieu of the deleted program.

*Date of issuance:* May 30, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 60 days.

*Amendment No.:* 262. A publicly available version is in ADAMS under Accession No. ML17082A465; documents related to this amendment are listed in the Safety Evaluation enclosed with the amendment.

*Renewed Facility Operating License No. DPR-20:* Amendment revised the Renewed Facility Operating License and TSs.

*Date of initial notice in Federal Register:* August 30, 2016 (81 FR 59663).

The Commission’s related evaluation of the amendment is contained in a Safety Evaluation dated May 30, 2017.

*No significant hazards consideration comments received:* No.

*Exelon Generation Company, LLC, Docket Nos. STN 50-456 and STN 50-457, Braidwood Station, Units 1 and 2, Will County, Illinois*

*Exelon Generation Company, LLC, Docket Nos. STN 50-454 and STN 50-455, Byron Station, Unit Nos. 1 and 2, Ogle County, Illinois*

*Exelon Generation Company, LLC, Docket Nos. 50-317 and 50-318, Calvert Cliffs Nuclear Power Plant, Units 1 and 2, Calvert County, Maryland*

*Exelon Generation Company, LLC, Docket No. 50-461, Clinton Power Station, Unit No. 1, DeWitt County, Illinois*

*Exelon Generation Company, LLC, Docket Nos. 50-237 and 50-249, Dresden Nuclear Power Station, Units 2 and 3, Grundy County, Illinois*

*Exelon Generation Company, LLC, Docket Nos. 50-373 and 50-374, LaSalle County Station, Units 1 and 2, LaSalle County, Illinois*

*Exelon Generation Company, LLC, Docket Nos. 50-220 and 50-410, Nine Mile Point Nuclear Station, Units 1 and 2, Oswego County, New York*

*Exelon Generation Company, LLC and PSEG Nuclear LLC, Docket Nos. 50-277*

*and 50-278, Peach Bottom Atomic Power Station, Units 2 and 3, York and Lancaster Counties, Pennsylvania*

*Exelon Generation Company, LLC, Docket Nos. 50-254 and 50-265, Quad Cities Nuclear Power Station, Units 1 and 2, Rock Island County, Illinois*

*Exelon Generation Company, LLC, Docket No. 50-244, R. E. Ginna Nuclear Power Plant, Wayne County, New York*

*Exelon Generation Company, LLC, Docket No. 50-289, Three Mile Island Nuclear Station, Unit 1, Dauphin County, Pennsylvania*

*Date of amendment request:* July 26, 2016, as supplemented by letter dated October 6, 2016.

*Brief description of amendments:* The amendments revised the Inservice Testing Program requirements in each plant’s technical specifications (TSs). The changes included deleting the current TS requirements for the Inservice Testing Program, adding a new defined term, “INSERVICE TESTING PROGRAM,” to the TSs, and revising other TSs to reference this new defined term instead of the deleted program.

*Date of issuance:* May 26, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 90 days of issuance.

*Amendment Nos.:* 191, 192, 197, 197, 320, 298, 212, 254, 247, 223, 209, 227, 161, 313, 317, 266, 261, 124, and 290.

A publicly available version is in ADAMS under Accession No. ML17073A067. Documents related to these amendments are listed in the Safety Evaluations enclosed with the amendments.

*Facility Operating License Nos.:* NPF-72, NPF-77, NPF-37, NPF-66, DPR-53, DPR-69, NPF-62, DPR-19, DPR-25, NPF-11, NPF-18, DPR-63, NPF-69, DPR-44, DPR-56, DPR-29, DPR-30, DPR-18, and DPR-50. Amendments revised the Facility Operating Licenses and TSs.

*Date of initial notice in Federal Register:* November 8, 2016 (81 FR 78648).

The Commission’s related evaluations of the amendments are contained in Safety Evaluations dated May 26, 2017.

*No significant hazards consideration comments received:* No.

*FirstEnergy Nuclear Operating Company, et al., Docket No. 50-412, Beaver Valley Power Station, Unit 2, Beaver County, Pennsylvania*

*Date of amendment request:* June 24, 2016, as supplemented by letters dated September 13, 2016; December 15, 2016; and March 16, 2017.

*Brief description of amendment:* The amendment modified the Renewed

Facility Operating License to reflect the direct transfer of Toledo Edison Company’s 18.26 percent leased interest in Beaver Valley Power Station, Unit 2, and Ohio Edison Company’s 21.66 percent leased interest in Beaver Valley Power Station, Unit 2, from FirstEnergy Nuclear Operating Company to FirstEnergy Nuclear Generation, LLC.

*Date of issuance:* May 30, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 30 days of issuance.

*Amendment No.:* 187. A publicly available version is in ADAMS under Accession No. ML17115A123.

*Renewed Facility Operating License No. NPF-73:* Amendment revised the Renewed Facility Operating License.

*Date of initial notice in Federal Register:* January 23, 2017 (82 FR 7880). The supplemental letter dated March 16, 2017, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff’s original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission’s related evaluation of the amendment is contained in a Safety Evaluation dated April 14, 2017.

*Indiana Michigan Power Company, Docket Nos. 50-315 and 50-316, Donald C. Cook Nuclear Plant, Units 1 and 2, Berrien County, Michigan*

*Date of amendment request:* July 21, 2016, as supplemented by letter dated September 26, 2016.

*Brief description of amendments:* The amendments revised the Donald C. Cook Nuclear Plant, Units 1 and 2, Technical Specification (TS) Surveillance Requirements (SRs), consistent with the NRC-approved Technical Specifications Task Force (TSTF) Traveler, TSTF-545, Revision 3, “TS Inservice Testing Program Removal & Clarify SR Usage Rule Application to Section 5.5 Testing.” Specifically, the change revised the TSs to eliminate Section 5.5.6, “Inservice Testing Program.” A new defined term, “INSERVICE TESTING PROGRAM,” was added to the TS Definitions section. TS SRs that previously referred to the Inservice Testing Program from Section 5.5.6 were revised to refer to the new defined term, “INSERVICE TESTING PROGRAM.”

*Date of issuance:* May 24, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 120 days of issuance.

*Amendment Nos.:* 335 (Unit 1) and 317 (Unit 2). A publicly available version is in ADAMS under Accession No. ML17103A106; documents related

to these amendments are listed in the Safety Evaluation enclosed with the amendments.

*Renewed Facility Operating License Nos. DPR-58 and DPR-74:* Amendments revised the Renewed Facility Operating Licenses and TSs.

*Date of initial notice in Federal Register:* September 27, 2016 (81 FR 66307). The supplemental letter dated September 26, 2016, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated May 24, 2017.

*No significant hazards consideration comments received:* No.

*Virginia Electric and Power Company, Docket Nos. 50-280 and 50-281, Surry Power Station, Units No. 1 and No. 2, Surry County, Virginia*

*Date of amendment request:* May 18, 2016, as supplemented by letters dated February 10, 2017; March 1, 2017; and March 10, 2017.

*Brief description of amendments:* The amendments revised Technical Specification 3.14 "Circulating and Service Water Systems," to extend the Allowed Outage Time for only one operable Service Water flow path to the Changing Pump Service Water subsystem and to the Main Control Room/Emergency Switchgear Room air conditioning subsystem.

*Date of issuance:* May 31, 2017.

*Effective date:* As of the date of issuance and shall be implemented within 60 days of issuance.

*Amendment Nos.:* 289 (Unit 1) and 289 (Unit 2). A publicly available version is in ADAMS under Accession No. ML17100A253; documents related to these amendments are listed in the Safety Evaluation enclosed with the amendments.

*Facility Operating License Nos. NPF-4 and NPF-7:* Amendments revised the Facility Operating Licenses and Technical Specifications.

*Date of initial notice in Federal Register:* October 25, 2016 (81 FR 73443). The supplemental letters dated February 10, 2017; March 1, 2017; and March 10, 2017, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the NRC staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated May 31, 2017.

*No significant hazards consideration comments received:* No.

Dated at Rockville, Maryland, this 6th day of June 2017.

For the Nuclear Regulatory Commission.

**Eric J. Benner,**

*Deputy Director, Division of Operating Reactor Licensing, Office of Nuclear Reactor Regulation.*

[FR Doc. 2017-12732 Filed 6-16-17; 8:45 am]

**BILLING CODE 7590-01-P**

**OFFICE OF PERSONNEL MANAGEMENT**

**Excepted Service**

**AGENCY:** U.S. Office of Personnel Management (OPM).

**ACTION:** Notice.

**SUMMARY:** This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from January 1, 2017 to January 31, 2017.

**FOR FURTHER INFORMATION CONTACT:** Senior Executive Resources Services, Senior Executive Service and Performance Management, Employee Services, 202-606-2246.

**SUPPLEMENTARY INFORMATION:** In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at [www.gpo.gov/fdsys/](http://www.gpo.gov/fdsys/). OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

**Schedule A**

No schedule A authorities to report during January 2017.

**Schedule B**

No schedule B authorities to report during January 2017.

**Schedule C**

The following Schedule C appointing authorities were approved during January 2017.

Agency name	Organization name	Position title	Authorization no.	Effective date
COMMISSION ON CIVIL RIGHTS	Office of Commissioners .....	Special Assistant (2) .....	CC170001	01/09/2017
			CC170002	01/09/2017
DEPARTMENT OF DEFENSE .....	Office of the Secretary of Defense	Special Assistant (Russia, Ukraine, & Eurasia).	DD170034	01/04/2017
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary (2) .....	Advisor (2) .....	DT170028	01/06/2017
			DT170029	01/06/2017
DEPARTMENT OF THE TREASURY.	Office of the Secretary .....	Special Assistant .....	DY170038	01/04/2017

The following Schedule C appointing authorities were revoked during January 2017.

Agency name	Organization name	Position title	Request no.	Date vacated	
DEPARTMENT OF AGRICULTURE.	Office of the Assistant Secretary for Congressional Relations.	Legislative Analyst .....	DA160002	01/02/2017	
	Farm Service Agency .....	State Executive Director—Washington.	DA120107	01/20/2017	
		State Executive Director—District of Columbia.	DA160091	01/20/2017	
			State Executive Director—Alaska	DA130166	01/20/2017
			State Executive Director—Illinois	DA130172	01/20/2017
			State Executive Director—Kansas	DA130175	01/20/2017
			State Executive Director—Maine	DA130201	01/20/2017
			State Executive Director—Michigan.	DA130198	01/20/2017
			State Executive Director—Ohio ....	DA130203	01/20/2017
			State Executive Director—Wyoming.	DA130191	01/20/2017
			State Executive Director, North Carolina.	DA140021	01/20/2017
		Office of Communications .....	Advance Associate (2) .....	DA160144	01/20/2017
				DA160178	01/20/2017
			Advance Lead .....	DA090125	01/20/2017
			Deputy Director of Scheduling ....	DA160014	01/20/2017
			Press Assistant .....	DA160166	01/20/2017
			Senior Advisor For Strategic Communications.	DA160083	01/20/2017
		Office of the Chief Information Officer.	Senior Advisor .....	DA160092	01/20/2017
		Office of the Secretary .....	Confidential Assistant .....	DA160147	01/20/2017
			Director of the Office of Faith Based and Neighborhood Outreach.	DA140001	01/20/2017
			Senior Advisor .....	DA160032	01/20/2017
			Special Assistant .....	DA160122	01/20/2017
			Special Assistant and Advisor to the Secretary.	DA160071	01/20/2017
			White House Liaison .....	DA160141	01/20/2017
		Office of the Under Secretary Farm and Foreign Agricultural Service.	State Executive Director .....	DA160070	01/20/2017
		Office of the Under Secretary for Rural Development.	Director, Legislative and Public Affairs Staff.	DA120022	01/20/2017
		Risk Management Agency .....	Senior Advisor .....	DA090166	01/20/2017
		Rural Housing Service .....	State Director—Colorado .....	DA130053	01/20/2017
			State Director—Alaska .....	DA130137	01/20/2017
			State Director—California .....	DA160021	01/20/2017
			State Director—Idaho .....	DA130151	01/20/2017
			State Director—Indiana .....	DA130148	01/20/2017
		State Director—Missouri .....	DA130164	01/20/2017	
		State Director—Nebraska .....	DA130138	01/20/2017	
		State Director—Washington .....	DA130134	01/20/2017	
DEPARTMENT OF COMMERCE	Office of Policy and Strategic Planning.	Senior Advisor for Manufacturing Policy.	DC140166	01/03/2017	
	Advocacy Center .....	Policy Advisor .....	DC160162	01/20/2017	
		Special Advisor .....	DC160149	01/20/2017	
	Office of the Assistant Secretary and Director General for United States and Foreign Commercial Service.	Senior Advisor .....	DC160210	01/20/2017	
	Office of the Assistant Secretary for Enforcement and Compliance.	Special Assistant .....	DC160030	01/20/2017	
		Special Assistant .....	DC160075	01/20/2017	
	Office of the Assistant Secretary for Global Markets.	Special Advisor .....	DC130057	01/20/2017	
	Office of the Assistant Secretary for Industry and Analysis.	Director, Office of Advisory Committees and Industry Outreach.	DC170008	01/20/2017	
		Senior Advisor .....	DC170006	01/20/2017	
		Senior Director .....	DC160101	01/20/2017	
		Special Assistant .....	DC160180	01/20/2017	
	Bureau of Industry and Security ...	Chief of Staff .....	DC170007	01/20/2017	
		Congressional Affairs Specialist ...	DC160124	01/20/2017	
		Special Assistant .....	DC160176	01/20/2017	

Agency name	Organization name	Position title	Request no.	Date vacated
	Office of the Director General of the United States and Foreign Commercial Service and Assistant Secretary for Global Markets.	Advisor .....	DC150140	01/20/2017
		Director of Outreach .....	DC130086	01/20/2017
		Special Advisor .....	DC160209	01/20/2017
	Economic Development Administration.	Senior Advisor (3) .....	DC160195	01/20/2017
			DC160166	01/20/2017
			DC160163	01/20/2017
	Immediate Office of the Secretary	Press Secretary .....	DC160146	01/20/2017
		Special Assistant .....	DC150136	01/20/2017
		Executive Assistant to the Secretary.	DC150168	01/20/2017
		Special Advisor to the Secretary and Director of the Immediate Office of the Secretary.	DC160090	01/20/2017
	National Travel and Tourism .....	Special Assistant .....	DC160002	01/20/2017
	Office of Business Liaison .....	Deputy Director .....	DC160186	01/20/2017
		Special Assistant .....	DC160110	01/20/2017
	Office of Executive Secretariat .....	Associate Director, Office of the Executive Secretariat.	DC160184	01/20/2017
		Confidential Assistant .....	DC170009	01/20/2017
		Deputy Director .....	DC170001	01/20/2017
		Special Advisor .....	DC160192	01/20/2017
	Office of Legislative and Intergovernmental Affairs.	Associate Director .....	DC160108	01/20/2017
		Associate Director for Oversight ..	DC150141	01/20/2017
		Confidential Assistant .....	DC160105	01/20/2017
		Director of Intergovernmental Affairs.	DC160161	01/20/2017
	Office of Strategic Planning .....	Confidential Assistant .....	DC150164	01/20/2017
	Office of Policy and Strategic Planning.	Policy Advisor .....	DC150065	01/20/2017
	Office of Public Affairs .....	Deputy Director of Public Affairs and Director of Speechwriting.	DC160106	01/20/2017
		Deputy Director of Speechwriting	DC160107	01/20/2017
		Press Assistant (2) .....	DC160165	01/20/2017
			DC160197	01/20/2017
		Speechwriter and Press Assistant	DC160141	01/20/2017
	Office of Scheduling and Advance	Director of Scheduling and Special Advisor to the Secretary.	DC160196	01/20/2017
		Scheduling and Advance Assistant.	DC160203	01/20/2017
		Senior Advisor .....	DC160185	01/20/2017
	Office of the Assistant Secretary for Economic Development.	Chief of Staff for Economic Development.	DC110094	01/20/2017
		Director of External Affairs .....	DC160151	01/20/2017
		Director of Public Affairs .....	DC160157	01/20/2017
		Senior Advisor .....	DC160038	01/20/2017
		Special Assistant .....	DC160005	01/20/2017
	Office of the Assistant Secretary for Export Administration.	Senior Advisor .....	DC120024	01/20/2017
	Office of the Chief Financial Officer and Assistant Secretary for Administration.	Director, Shared Services Change and Communications Management.	DC160096	01/20/2017
		Senior Advisor and Chief of Staff to the Chief Financial Officer and Assistant Secretary for Administration.	DC160119	01/20/2017
		Special Assistant to the Chief Financial Officer and Assistant Secretary for Administration.	DC160181	01/20/2017
	Office of the Chief Information Officer.	Chief of Staff .....	DC160010	01/20/2017
	Office of the Chief of Staff .....	Advance Assistant .....	DC150146	01/20/2017
		Advance Specialist (2) .....	DC150110	01/20/2017
			DC160088	01/20/2017
		Confidential Assistant .....	DC160190	01/20/2017
		Deputy Director of Advance and Special Assistant to the Secretary.	DC150106	01/20/2017
		Director of Advance and Protocol and Senior Advisor for Strategic Initiatives.	DC150115	01/20/2017
		Director, Office of Faith Based and Neighborhood Partnerships.	DC160034	01/20/2017

Agency name	Organization name	Position title	Request no.	Date vacated
		Senior Advisor to the Secretary ...	DC130066	01/20/2017
		Special Advisor to the Chief of Staff.	DC160191	01/20/2017
	Office of the Deputy Assistant Secretary.	Associate Director of Strategic Partnerships.	DC160100	01/20/2017
		Policy Advisor .....	DC150154	01/20/2017
		Special Advisor .....	DC160116	01/20/2017
	Office of the Deputy Secretary .....	Special Advisor .....	DC160199	01/20/2017
		Special Assistant .....	DC160189	01/20/2017
	Office of the Director .....	Associate Director of Legislative, Education and Intergovernmental Affairs.	DC160033	01/20/2017
		Senior Advisor .....	DC150139	01/20/2017
		Senior Advisor for Minority-Owned Business Enterprise Policy.	DC160031	01/20/2017
		Senior Advisor to the National Director on Business Development.	DC160117	01/20/2017
	Office of the General Counsel .....	Deputy Counsel for Strategic Initiatives.	DC140156	01/20/2017
		Senior Counsel to the General Counsel.	DC160143	01/20/2017
		Special Assistant .....	DC150035	01/20/2017
	Office of the Under Secretary .....	Chief Speechwriter and Senior Advisor.	DC160091	01/20/2017
		Deputy Chief Communications Officer for Strategic Communications.	DC160095	01/20/2017
		Deputy Chief of Staff .....	DC160004	01/20/2017
		Deputy Director, Office of Public Affairs.	DC160171	01/20/2017
		Director of Congressional and Public Affairs.	DC160179	01/20/2017
		Director, Office of Legislative Affairs.	DC130042	01/20/2017
		Senior Advisor (6) .....	DC140104	01/20/2017
			DC150087	01/20/2017
			DC160017	01/20/2017
			DC160003	01/20/2017
			DC160021	01/20/2017
			DC160043	01/20/2017
		Senior Advisor and Director of Public Affairs.	DC090176	01/20/2017
		Senior Advisor for Trade and Strategic Initiatives.	DC150012	01/20/2017
		Senior Advisor to the Under Secretary (2).	DC160011	01/20/2017
			DC140159	01/20/2017
		Senior Policy Advisor .....	DC130077	01/20/2017
		Special Assistant (2) .....	DC160103	01/20/2017
			DC160207	01/20/2017
		Special Advisor .....	DC140137	01/20/2017
	Office of White House Liaison .....	Deputy Director, Office of White House Liaison.	DC150098	01/20/2017
		Director, Office of White House Liaison.	DC160094	01/20/2017
		Special Advisor .....	DC160177	01/20/2017
		Special Assistant .....	DC160122	01/20/2017
COMMISSION ON CIVIL RIGHTS	Office of the Commissioner .....	Special Assistant .....	CC160001	01/03/2017
		Special Assistant to the Commissioner.	CC150001	01/27/2017
OFFICE OF THE SECRETARY OF DEFENSE.	Office of the Assistant Secretary of Defense (Asian and Pacific Security Affairs).	Special Assistant to the Deputy Assistant Secretary of Defense for East Asia.	DD160137	01/20/2017
	Office of Under Secretary of Defense (Intelligence).	Chief of Staff and Assistant for Sensitive Activities.	DD150111	01/20/2017
		Cyber Advisor .....	DD170016	01/20/2017
		Special Assistant to the Under Secretary of Defense (Intelligence).	DD150189	01/20/2017
	Washington Headquarters Services.	Defense Fellow (14) .....	DD150019	01/20/2017
			DD150138	01/20/2017
			DD150173	01/20/2017
			DD150143	01/20/2017
			DD150139	01/20/2017

Agency name	Organization name	Position title	Request no.	Date vacated
			DD150202	01/20/2017
			DD160158	01/20/2017
			DD160170	01/20/2017
			DD160179	01/20/2017
			DD160180	01/20/2017
			DD160171	01/20/2017
			DD160195	01/20/2017
			DD170017	01/20/2017
			DD170009	01/20/2017
FEDERAL COMMUNICATIONS COMMISSION.	Office of Legislative Affairs .....	Director, Office of Legislative Affairs.	FC150010	01/20/2017
FEDERAL MEDIATION AND CONCILIATION SERVICE.	Federal Mediation and Conciliation Service.	Executive Assistant .....	FM150002	01/20/2017
	Office of the Director .....	Senior Advisor .....	FM160002	01/20/2017
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Administration for Children and Families.	Public Affairs Specialist .....	DH170025	01/20/2017
	Centers for Medicare and Medicaid Services.	Special Assistant .....	DH160136	01/20/2017
	Health Resources and Services Administration Office of the Administrator.	Senior Advisor .....	DH160016	01/20/2017
	National Institutes of Health .....	Policy Advisor .....	DH160149	01/20/2017
		Special Assistant .....	DH150142	01/20/2017
	Office for Civil Rights .....	Director for Patient Engagement ..	DH160116	01/20/2017
	Office of the Assistant Secretary for Health.	Policy Analyst .....	DH160140	01/20/2017
	Office of the Assistant Secretary for Public Affairs.	Special Assistant .....	DH150193	01/20/2017
		Director of Communications .....	DH140042	01/20/2017
	Office of the Assistant Secretary for Public Affairs.	Director of Speechwriting .....	DH160163	01/20/2017
		National Press Secretary for Health Care.	DH160157	01/20/2017
	Office of the Deputy Secretary .....	Chief of Staff to the Deputy Secretary.	DH160043	01/20/2017
		Special Assistant .....	DH160120	01/20/2017
	Office of the Secretary .....	Confidential Assistant (2) .....	DH150157	01/20/2017
			DH160145	01/20/2017
		Confidential Assistant to the Secretary.	DH160112	01/20/2017
		Policy Advisor (3) .....	DH130099	01/20/2017
			DH150141	01/20/2017
			DH160155	01/20/2017
		Special Assistant (2) .....	DH160015	01/20/2017
			DH160019	01/20/2017
DEPARTMENT OF HOMELAND SECURITY.	Office of Assistant Secretary for Legislative Affairs.	Associate Director (2) .....	DM160165	01/07/2017
		Legislative Director .....	DM160182	01/20/2017
	Federal Emergency Management Agency.	Counselor to the Administrator .....	DM160164	01/20/2017
			DM160105	01/20/2017
	Office of the Assistant Secretary for Intergovernmental Affairs.	Director of Legislative Affairs .....	DM120132	01/20/2017
	Office of the Assistant Secretary for Public Affairs.	Intergovernmental Affairs Coordinator.	DM160171	01/20/2017
	Office of the Chief of Staff .....	Director of Strategic Engagement	DM160184	01/20/2017
		Speechwriter .....	DM160133	01/20/2017
		Director of Trips of Advance .....	DM160238	01/20/2017
		Special Assistant to the White House Liaison.	DM160276	01/20/2017
	Office of the Executive Secretariat	Senior Advisor .....	DM160148	01/20/2017
	Office of the General Counsel .....	Special Assistant to the General Counsel and Attorney Advisor.	DM160244	01/20/2017
		Special Counsel .....	DM150187	01/20/2017
	Office of the Secretary (2) .....	Confidential Assistant .....	DM160273	01/20/2017
		Counselor .....	DM160036	01/20/2017
	United States Citizenship and Immigration Services.	Advisor .....	DM160255	01/20/2017
	United States Customs and Border Protection.	Special Assistant .....	DM160197	01/20/2017
	United States Immigration and Customs Enforcement.	Senior Advisor for Strategic Communication.	DM160136	01/20/2017
		Deputy Chief of Staff .....	DM160029	01/20/2017
		Senior Advisor to the Director .....	DM150185	01/20/2017
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of Policy Development and Research.	Special Assistant .....	DM160191	01/20/2017
		Deputy Assistant Secretary for International and Philanthropic Innovation.	DU140023	01/07/2017



Agency name	Organization name	Position title	Request no.	Date vacated
DEPARTMENT OF THE INTERIOR.	Office of Congressional and Intergovernmental Relations.	Congressional Relations Specialist.	DU150016	01/20/2017
		Advisor to Intergovernmental Relations.	DU160009	01/20/2017
		Deputy Assistant Secretary for Congressional Relations.	DU090059	01/20/2017
		Deputy Assistant Secretary for Intergovernmental Affairs.	DU120029	01/20/2017
		Deputy Assistant Secretary for Legislative Affairs.	DU150021	01/20/2017
		Senior Advisor .....	DU150079	01/20/2017
	Office of Fair Housing and Equal Opportunity.	Special Policy Advisor .....	DU160021	01/20/2017
		Senior Advisor .....	DU150078	01/20/2017
	Office of Field Policy and Management.	Advisor for Single Family Asset Management.	DU160033	01/20/2017
	Office of Policy Development and Research.	Special Advisor to the Assistant Secretary.	DU160022	01/20/2017
	Office of Public Affairs .....	Deputy Assistant Secretary for Public Affairs (2).	DU150073	01/20/2017
		Deputy Assistant Secretary for Public Engagement.	DU160041	01/20/2017
		Deputy Assistant Secretary for Public Engagement.	DU120028	01/20/2017
		Deputy of Speechwriting .....	DU160043	01/20/2017
		Press Secretary .....	DU160019	01/20/2017
	Office of Public Indian Housing ....	Senior Advisor for Public Engagement.	DU160026	01/20/2017
		Senior Policy Advisor (2) .....	DU150074	01/20/2017
		Special Advisor (2) .....	DU160044	01/20/2017
	Office of the Administration .....	Special Advisor (2) .....	DU160011	01/20/2017
		Advance Coordinator .....	DU160012	01/20/2017
		Director of Advance .....	DU160005	01/20/2017
		Director of Scheduling .....	DU150004	01/20/2017
	Office of the Chief Human Capital Officer.	Director of Scheduling .....	DU160003	01/20/2017
		Director, Office of Executive Scheduling and Operations.	DU130014	01/20/2017
	Office of the Deputy Secretary .....	Special Assistant .....	DU160031	01/20/2017
		Special Assistant to the Deputy Secretary.	DU160036	01/20/2017
	Office of the General Counsel .....	Senior Counsel (2) .....	DU130027	01/20/2017
		Special Assistant .....	DU150050	01/20/2017
		Deputy White House Liaison .....	DU160045	01/20/2017
	Office of the Secretary .....	Executive Assistant to the Secretary.	DU170002	01/20/2017
		Senior Policy Advisor (3) .....	DU140038	01/20/2017
		Special Assistant .....	DU150009	01/20/2017
		Special Assistant .....	DU150063	01/20/2017
		Special Assistant .....	DU160032	01/20/2017
		Special Advisor .....	DU160023	01/20/2017
		White House Liaison .....	DU140035	01/20/2017
	Office of Assistant Secretary—Land and Minerals Management.	Counselor to the Assistant Secretary.	DI160067	01/06/2017
		Senior Advisor .....	DI160070	01/06/2017
		Counselor to the Solicitor .....	DI150084	01/07/2017
		Advisor .....	DI160028	01/20/2017
		Senior Advisor to the Assistant Secretary for Fish, Wildlife and Parks.	DI130041	01/20/2017
		Advisor to the Assistant Secretary Indian Affairs.	DI160013	01/20/2017
Senior Advisor to the Assistant Secretary—Indian Affairs (2).		DI150120	01/20/2017	
Advisor .....		DI160032	01/20/2017	
Advisor .....		DI150122	01/20/2017	
Advisor .....		DI140034	01/20/2017	
Office of Assistant Secretary—In-sular Areas.	Advisor .....	DI140038	01/20/2017	
	Special Assistant to the Assistant Secretary for Policy, Management and Budget.	DI150049	01/20/2017	
Office of Assistant Secretary—Land and Minerals Management.	Special Assistant to the Assistant Secretary for Policy, Management and Budget.	DI150049	01/20/2017	
	Advisor .....	DI140038	01/20/2017	
Bureau of Ocean Energy Management.	Senior Advisor .....	DI160075	01/20/2017	
	Special Assistant .....	DI160004	01/20/2017	

Agency name	Organization name	Position title	Request no.	Date vacated
	Bureau of Reclamation .....	Chief, Congressional and Legislative Affairs Office.	DI150085	01/20/2017
	Bureau of Safety and Environmental Enforcement.	Advisor .....	DI160009	01/20/2017
	National Park Service .....	Advisor .....	DI160024	01/20/2017
		Centennial Campaign Public Affairs Specialist.	DI150006	01/20/2017
	Office of Congressional and Legislative Affairs.	Counsel .....	DI160052	01/20/2017
		Deputy Director for Congressional and Legislative Affairs.	DI150026	01/20/2017
		Deputy Director, Office of Congressional and Legislative Affairs (2).	DI160057	01/20/2017
			DI140008	01/20/2017
		Senior Counsel .....	DI140049	01/20/2017
		Special Assistant, Office of Congressional and Legislative Affairs.	DI150121	01/20/2017
	Office of Special Trustee for American Indians.	Advisor .....	DI150125	01/20/2017
	Secretary's Immediate Office .....	Advance Representative .....	DI150093	01/20/2017
		Advisor .....	DI160026	01/20/2017
		Deputy Communications Director	DI150086	01/20/2017
		Deputy Director .....	DI160003	01/20/2017
		Deputy Director, Intergovernmental Affairs.	DI160002	01/20/2017
		Deputy Press Secretary .....	DI160031	01/20/2017
		Director of Digital Strategy .....	DI150113	01/20/2017
		Director of Scheduling and Advance.	DI150057	01/20/2017
		Senior Advisor and Press Secretary.	DI150092	01/20/2017
		Senior Advisor for Alaskan Affairs	DI150098	01/20/2017
		Senior Advisor to the Secretary ...	DI130043	01/20/2017
		Special Assistant (5) .....	DI150010	01/20/2017
			DI150099	01/20/2017
			DI150130	01/20/2017
			DI160027	01/20/2017
			DI160018	01/20/2017
		Special Assistant to the Secretary	DI150074	01/20/2017
		White House Liaison .....	DI150011	01/20/2017
		Writer .....	DI150104	01/20/2017
	United States Fish and Wildlife Service.	Special Assistant .....	DI150047	01/20/2017
DEPARTMENT OF JUSTICE .....	Office of Legislative Affairs .....	Attorney Advisor .....	DJ150088	01/02/2017
		Chief of Staff and Attorney Advisor.	DJ150072	01/03/2017
DEPARTMENT OF LABOR .....	Office of the Assistant Secretary for Policy.	Chief of Staff .....	DL140030	01/03/2017
	Office of the Secretary .....	Special Assistant .....	DL160092	01/20/2017
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.	Office of Communications .....	Public Affairs Specialist .....	NN140019	01/18/2017
		Media Relations Specialist .....	NN160069	01/19/2017
	Office International and Intergovernmental Relations.	International Affairs Specialist .....	NN150005	01/20/2017
	Office of Legislative and Intergovernmental Affairs.	Executive Officer .....	NN110051	01/20/2017
		Legislative Affairs Specialist (2) ...	NN160006	01/20/2017
			NN160090	01/20/2017
	Office of the Administrator .....	White House Liaison .....	NN150073	01/20/2017
	Office of the Chief Financial Officer/Comptroller.	Policy Analyst .....	NN140010	01/20/2017
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION.	Occupational Safety and Health Review Commission.	Confidential Assistant to the Chairman.	SH150004	01/26/2017
	Office of Commissioners .....	Counsel to A Commissioner .....	SH150005	01/26/2017
OFFICE OF MANAGEMENT AND BUDGET.	Office of Communications .....	Assistant Press Secretary .....	BO160038	01/20/2017
		Deputy Associate Director for Communications.	BO150038	01/20/2017
	Office of General Counsel .....	Confidential Assistant .....	BO150027	01/20/2017
	General Government Programs ...	Confidential Assistant .....	BO160013	01/20/2017
	Health Division .....	Confidential Assistant .....	BO160001	01/20/2017
	Office of Legislative Affairs .....	Confidential Assistant .....	BO150029	01/20/2017
		Deputy to the Associate Director for Legislative Affairs.	BO160025	01/20/2017

Agency name	Organization name	Position title	Request no.	Date vacated	
OFFICE OF PERSONNEL MANAGEMENT.	National Security Programs .....	Legislative Analyst .....	BO150021	01/20/2017	
		Confidential Assistant (2) .....	BO160027	01/20/2017	
	Office of E-Government and Information Technology.	Confidential Assistant .....		BO150024	01/20/2017
				BO160042	01/20/2017
	Office of Information and Regulatory Affairs.	Program Analyst .....	BO150034	01/20/2017	
		Confidential Assistant (2) .....	BO150007	01/20/2017	
	Office of the Director .....	Counselor to the Administrator .....		BO140034	01/20/2017
				BO160036	01/20/2017
		Assistant to the Director .....	BO160041	01/20/2017	
		Confidential Assistant (5) .....	BO140016	01/20/2017	
			BO150025	01/20/2017	
			BO160015	01/20/2017	
			BO160022	01/20/2017	
			BO160040	01/20/2017	
			BO150016	01/20/2017	
			BO160005	01/20/2017	
	Staff Offices .....	Senior Advisor .....	PM160025	01/04/2017	
	Office of the Director .....	Press Secretary .....			
		Executive Assistant .....			
	Office of Congressional, Legislative, and Intergovernmental Affairs.	Deputy Director .....	PM120017	01/20/2017	
	Employee Services .....	Senior Congressional Relations Officer.	PM160005	01/20/2017	
		Senior Advisor for Workforce Planning and Talent Development.	PM160017	01/20/2017	
	Office of Healthcare and Insurance.	Program Analyst .....	PM160015	01/20/2017	
	Office of Communications .....	Chief Speechwriter and Senior Advisor for Communications.	PM140004	01/20/2017	
		Deputy Director of Communication.	PM160019	01/20/2017	
	Office of the Chief Information Officer.	Public Affairs Specialist .....	PM160009	01/20/2017	
Special Assistant to the Chief Information Officer.		PM160004	01/20/2017		
Office of the Director .....	Assistant Director, Office of Public Engagement.	PM140010	01/20/2017		
	Deputy Chief Operating Officer .....	PM140015	01/20/2017		
	Executive Assistant .....	PM160021	01/20/2017		
	Executive Assistant to the Director.	PM160010	01/20/2017		
	Project Manager .....	PM160027	01/20/2017		
	Special Assistant .....	PM160011	01/20/2017		
	Deputy Performance Improvement Officer.	PM120013	01/20/2017		
Office of Planning and Policy Analysis.	Director for Private Sector Engagement.	TN160004	01/20/2017		
Intergovernmental Affairs and Public Liaison.	Special Assistant to the User .....	TN150002	01/20/2017		
	Deputy Assistant United States Trade Representative for Public and Media Affairs.	TN140002	01/20/2017		
Office of the Ambassador .....	Deputy Residence Manager .....	RV150001	01/19/2017		
OFFICIAL RESIDENCE OF THE VICE PRESIDENT.	Official Residence of the Vice President.				
PRESIDENT'S COMMISSION ON WHITE HOUSE FELLOWSHIPS.	Associate Director .....	WH160003	01/20/2017		
	Special Assistant to the Director ..	WH160004	01/20/2017		
SECURITIES AND EXCHANGE COMMISSION.	Director, Office of the Chief Accountant.	SE140004	01/21/2017		
SMALL BUSINESS ADMINISTRATION.	Deputy Associate Administrator for Communications and Public Liaison.	SB160013	01/02/2017		
Office Field Operations .....	Regional Administrator, Region VII.	SB110013	01/03/2017		
	Regional Administrator, Region II	SB140022	01/06/2017		
	Regional Administrator, Region VI	SB090061	01/10/2017		
Office of Capital Access .....	Senior Advisor .....	SB150053	01/20/2017		
	Assistant Administrator for Public Engagement.	SB160028	01/20/2017		
Office of Communications and Public Liaison.	Speech Writer .....	SB160020	01/20/2017		
	Deputy Assistant Administrator For Congressional and Legislative Affairs (2).	SB150031	01/20/2017		
Office of Congressional and Legislative Affairs.	Deputy Assistant Administrator For Congressional and Legislative Affairs (2).	SB150040	01/20/2017		
	Legislative Policy Advisor .....	SB160002	01/20/2017		

Agency name	Organization name	Position title	Request no.	Date vacated
DEPARTMENT OF STATE .....  DEPARTMENT OF TRANSPORTATION.	Office of Field Operations .....	Regional Administrator .....	SB090058	01/20/2017
		Regional Administrator, Region III	SB120037	01/20/2017
		Regional Administrator, Region IX	SB140004	01/20/2017
		Senior Advisor to the Associate Administrator of Field Operations.	SB160021	01/20/2017
	Office of Government Contracting and Business Development.	Senior Advisor .....	SB150057	01/20/2017
		Special Advisor .....	SB160029	01/20/2017
		Office of International Trade .....	Senior Advisor, Office of International Trade.	SB150017
	Office of Investment and Innovation.	Senior Advisor .....	SB150016	01/20/2017
		Special Advisor .....	SB150059	01/20/2017
	Office of Native American Affairs	Assistant Administrator for Native American Affairs.	SB160022	01/20/2017
	Office of the Administrator .....	Confidential Assistant to the Administrator.	SB150012	01/20/2017
		Director of Advance and Operations.	SB160017	01/20/2017
		Senior Advisor .....	SB160018	01/20/2017
		Senior Advisor to the Chief of Staff.	SB160025	01/20/2017
		Senior Advisor to the Deputy Administrator.	SB150042	01/20/2017
		Special Assistant to the Administrator (2).	SB160016	01/20/2017
		White House Liaison .....	SB160019	01/20/2017
		White House Liaison .....	SB150019	01/20/2017
		Deputy General Counsel .....	SB150024	01/20/2017
		Senior Advisor .....	DS130025	01/20/2017
Office of the General Counsel ..... Bureau of International Organizational Affairs.	Office of the Secretary .....	Special Assistant (Scheduling and Advance) (2).	DT150083	01/07/2017
		Advisor to the Chief of Staff (2) ...	DT150084	01/07/2017
		Advisor to the Chief of Staff (2) ...	DT170028	01/20/2017
		Advisor to the Chief of Staff (2) ...	DT170029	01/20/2017
		Counselor to the Deputy Secretary.	DT100061	01/20/2017
		Deputy White House Liaison .....	DT170017	01/20/2017
		Director of Advance .....	DT170027	01/20/2017
		Director of Scheduling .....	DT170023	01/20/2017
		White House Liaison .....	DT160068	01/20/2017

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954-1958 Comp., p. 218.  
U.S. Office of Personnel Management.  
**Kathleen M. McGettigan,**  
*Acting Director.*  
[FR Doc. 2017-12576 Filed 6-16-17; 8:45 am]  
**BILLING CODE 6325-39-P**

**POSTAL REGULATORY COMMISSION**  
[Docket Nos. CP2017-207; CP2017-208]

**New Postal Products**  
**AGENCY:** Postal Regulatory Commission.  
**ACTION:** Notice.

**SUMMARY:** The Commission is noticing a recent Postal Service filing for the Commission's consideration concerning negotiated service agreements. This notice informs the public of the filing, invites public comment, and takes other administrative steps.  
**DATES:** *Comments are due:* June 21, 2017.  
**ADDRESSES:** Submit comments electronically via the Commission's

Filing Online system at <http://www.prc.gov>. Those who cannot submit comments electronically should contact the person identified in the **FOR FURTHER INFORMATION CONTACT** section by telephone for advice on filing alternatives.

**FOR FURTHER INFORMATION CONTACT:** David A. Trissell, General Counsel, at 202-789-6820.

**SUPPLEMENTARY INFORMATION:**  
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- I. Introduction
- II. Docketed Proceeding(s)

**I. Introduction**

The Commission gives notice that the Postal Service filed request(s) for the Commission to consider matters related to negotiated service agreement(s). The request(s) may propose the addition or removal of a negotiated service agreement from the market dominant or the competitive product list, or the modification of an existing product currently appearing on the market

dominant or the competitive product list.

Section II identifies the docket number(s) associated with each Postal Service request, the title of each Postal Service request, the request's acceptance date, and the authority cited by the Postal Service for each request. For each request, the Commission appoints an officer of the Commission to represent the interests of the general public in the proceeding, pursuant to 39 U.S.C. 505 (Public Representative). Section II also establishes comment deadline(s) pertaining to each request.

The public portions of the Postal Service's request(s) can be accessed via the Commission's Web site (<http://www.prc.gov>). Non-public portions of the Postal Service's request(s), if any, can be accessed through compliance with the requirements of 39 CFR 3007.40.

The Commission invites comments on whether the Postal Service's request(s) in the captioned docket(s) are consistent with the policies of title 39. For request(s) that the Postal Service states concern market dominant product(s),

applicable statutory and regulatory requirements include 39 U.S.C. 3622, 39 U.S.C. 3642, 39 CFR part 3010, and 39 CFR part 3020, subpart B. For request(s) that the Postal Service states concern competitive product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3632, 39 U.S.C. 3633, 39 U.S.C. 3642, 39 CFR part 3015, and 39 CFR part 3020, subpart B. Comment deadline(s) for each request appear in section II.

## II. Docketed Proceeding(s)

1. *Docket No(s)*: CP2017–207; *Filing Title*: Notice of United States Postal Service of Filing a Functionally Equivalent Global Expedited Package Services 7 Negotiated Service Agreement and Application for Non-Public Treatment of Materials Filed Under Seal; *Filing Acceptance Date*: June 13, 2017; *Filing Authority*: 39 CFR 3015.5; *Public Representative*: Katalin K. Clendenin; *Comments Due*: June 21, 2017.

2. *Docket No(s)*: CP2017–208; *Filing Title*: Notice of United States Postal Service of Filing a Functionally Equivalent Global Expedited Package Services 3 Negotiated Service Agreement and Application for Non-Public Treatment of Materials Filed Under Seal; *Filing Acceptance Date*: June 13, 2017; *Filing Authority*: 39 CFR 3015.5; *Public Representative*: Katalin K. Clendenin; *Comments Due*: June 21, 2017.

This notice will be published in the **Federal Register**.

Stacy L. Ruble,  
Secretary.

[FR Doc. 2017–12626 Filed 6–16–17; 8:45 am]

BILLING CODE 7710–FW–P

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–80916; File No. SR–CHX–2017–11]

### Self-Regulatory Organizations; Chicago Stock Exchange, Inc.; Notice of Filing of a Proposed Rule Change To Adopt Article 23, Rule 13, Consolidated Audit Trail—Fee Dispute Resolution

June 13, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act” or “Exchange Act”),<sup>1</sup> notice is hereby given that on June 5, 2017, the Chicago Stock Exchange, Inc. (“CHX” or “Exchange”) filed with the Securities and Exchange Commission (the

“Commission” or “SEC”) the proposed rule change as described in Items I and II below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

CHX proposes to amend the Rules of the Exchange (“CHX Rules”) to adopt Article 23, Rule 13 (Consolidated Audit Trail—Fee Dispute Resolution) to establish the procedures for resolving potential disputes related to CAT Fees charged to Industry Members.<sup>2</sup> The text of this proposed rule change is available on the Exchange’s Web site at <http://www.chx.com/regulatory-operations/rule-filings/>, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B and C below, of the most significant parts of such statements.

##### A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

###### 1. Purpose

The Exchange, Bats BYX Exchange, Inc., Bats BZX Exchange, Inc., Bats EDGA Exchange, Inc., Bats EDGX Exchange, Inc., BOX Options Exchange LLC, C2 Options Exchange, Incorporated, Chicago Board Options Exchange, Incorporated, Financial Industry Regulatory Authority, Inc. (“FINRA”), Investors’ Exchange LLC, Miami International Securities Exchange, LLC, MIAx PEARL, LLC, NASDAQ BX, Inc., Nasdaq GEMX, LLC, Nasdaq ISE, LLC, Nasdaq MRX, LLC,<sup>3</sup>

<sup>2</sup> Unless otherwise specified, capitalized terms used in this rule filing are defined as set forth herein, or in the Consolidated Audit Trail Funding Fees Rule, the CAT Compliance Rule Series or in the CAT NMS Plan.

<sup>3</sup> ISE Gemini, LLC, ISE Mercury, LLC and International Securities Exchange, LLC have been renamed Nasdaq GEMX, LLC, Nasdaq MRX, LLC,

NASDAQ PHLX LLC, The NASDAQ Stock Market LLC, New York Stock Exchange LLC, NYSE MKT LLC, NYSE Arca, Inc. and NYSE National, Inc.<sup>4</sup> (collectively, the “Plan Participants”<sup>5</sup>) filed with the Commission, pursuant to Section 11A of the Exchange Act<sup>6</sup> and Rule 608 of Regulation NMS thereunder,<sup>7</sup> the National Market System Plan Governing the Consolidated Audit Trail (the “CAT NMS Plan” or “Plan”).<sup>8</sup> The Plan Participants filed the Plan to comply with Rule 613 of Regulation NMS under the Exchange Act. The Plan was published for comment in the **Federal Register** on May 17, 2016,<sup>9</sup> and approved by the Commission, as modified, on November 15, 2016.<sup>10</sup> The Plan is designed to create, implement and maintain a consolidated audit trail (“CAT”) that would capture customer and order event information for orders in NMS Securities and OTC Equity Securities, across all markets, from the time of order inception through routing, cancellation, modification, or execution in a single consolidated data source. The Plan accomplishes this by creating CAT NMS, LLC (the “Company”), of which each Plan Participant is a member, to operate the CAT.<sup>11</sup> Under the CAT NMS Plan, the Operating Committee of the Company (“Operating Committee”) has discretion to establish funding for the Company to operate the CAT, including establishing fees that the Plan Participants will pay, and establishing fees for Industry Members that will be implemented by the Plan

and Nasdaq ISE, LLC, respectively. See Securities Exchange Act Rel. No. 80248 (Mar. 15, 2017), 82 FR 14547 (Mar. 21, 2017); Securities Exchange Act Rel. No. 80326 (Mar. 29, 2017), 82 FR 16460 (Apr. 4, 2017); and Securities Exchange Act Rel. No. 80325 (Mar. 29, 2017), 82 FR 16445 (Apr. 4, 2017).

<sup>4</sup> National Stock Exchange, Inc. has been renamed NYSE National, Inc. See Securities Exchange Act Rel. No. 79902 (Jan. 30, 2017), 82 FR 9258 (Feb. 3, 2017).

<sup>5</sup> A “Participant” is a “member” of the Exchange for purposes of the Act. See CHX Article 1, Rule 1(s). For the avoidance of confusion, the term “Plan Participant” will be used when referring to Participants of the Plan.

<sup>6</sup> 15 U.S.C. 78k–1.

<sup>7</sup> 17 CFR 242.608.

<sup>8</sup> See Letter from the Plan Participants to Brent J. Fields, Secretary, Commission, dated September 30, 2014; and Letter from Plan Participants to Brent J. Fields, Secretary, Commission, dated February 27, 2015. On December 24, 2015, the Plan Participants submitted an amendment to the CAT NMS Plan. See Letter from Plan Participants to Brent J. Fields, Secretary, Commission, dated December 23, 2015.

<sup>9</sup> Securities Exchange Act Rel. No. 77724 (Apr. 27, 2016), 81 FR 30614 (May 17, 2016).

<sup>10</sup> Securities Exchange Act Rel. No. 79318 (Nov. 15, 2016), 81 FR 84696 (Nov. 23, 2016) (“Approval Order”).

<sup>11</sup> The Plan also serves as the limited liability company agreement for the Company.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

Participants (“CAT Fees”).<sup>12</sup> The Plan Participants are required to file with the SEC under Section 19(b) of the Exchange Act any such CAT Fees applicable to Industry Members that the Operating Committee approves.<sup>13</sup> Accordingly, the Exchange has filed a proposed rule change with the SEC to adopt the Consolidated Audit Trail Funding Fees, which will require Industry Members that are Exchange members to pay the CAT Fees determined by the Operating Committee.<sup>14</sup> The Exchange submits this rule filing to adopt Rule 13 (Consolidated Audit Trail—Fee Dispute Resolution) to establish the procedures for resolving potential disputes related to CAT Fees charged to Industry Members. Proposed Rule 13 is described below.

#### (1) Definitions

Paragraph (a) of Proposed Rule 13 sets forth the definitions for Proposed Rule 13. Paragraph (a)(1) of Proposed Rule 13 states that, for purposes of Rule 13, the terms “CAT NMS Plan”, “Industry Member”, “Operating Committee”, and “Plan Participant” are defined as set forth in the Rule 1 (Consolidated Audit Trail—Definitions), and the term “CAT Fee” is defined as set forth in the Consolidated Audit Trail Funding Fees. In addition, the Exchange proposes to add paragraph (a)(2) to Proposed Rule 13. New paragraph (a)(2) would define the term “Subcommittee” to mean a subcommittee designated by the Operating Committee pursuant to the CAT NMS Plan. This definition is the same substantive definition as set forth in Section 1.1 of the CAT NMS Plan.

#### (2) Fee Dispute Resolution

Section 11.5 of the CAT NMS Plan requires Plan Participants to adopt rules requiring that disputes with respect to fees charged to Industry Members pursuant to the CAT NMS Plan be determined by the Operating Committee or Subcommittee. Section 11.5 of the CAT NMS Plan also states that decisions by the Operating Committee or Subcommittee on such matters shall be binding on Industry Members, without prejudice to the right of any Industry Member to seek redress from the SEC pursuant to SEC Rule 608 or in any other appropriate forum. The Exchange proposes to adopt paragraph (b) of Proposed Rule 13. Paragraph (b) of Proposed Rule 13 states that disputes initiated by an Industry Member with

respect to CAT Fees charged to such Industry Member pursuant to the Consolidated Audit Trail Funding Fees, including disputes related to the designated tier and the fee calculated pursuant to such tier, shall be resolved by the Operating Committee, or a Subcommittee designated by the Operating Committee, of the CAT NMS Plan, pursuant to the Fee Dispute Resolution Procedures adopted pursuant to the CAT NMS Plan and set forth in paragraph (c) of Proposed Rule 13. Decisions on such matters shall be binding on Industry Members, without prejudice to the rights of any such Industry Member to seek redress from the SEC or in any other appropriate forum.

The Operating Committee has adopted “Fee Dispute Resolution Procedures” governing the manner in which disputes regarding CAT Fees charged pursuant to the Consolidated Audit Trail Funding Fees will be addressed. These Fee Dispute Resolution Procedures, as they relate to Industry Members, are set forth in paragraph (c) of Proposed Rule 13. Specifically, the Fee Dispute Resolution Procedures provide the procedure for Industry Members that dispute CAT Fees charged to such Industry Member pursuant to one or more of the Plan Participants’ Consolidated Audit Trail Funding Fees Rules, including disputes related to the designated tier and the fee calculated pursuant to such tier, to apply for an opportunity to be heard and to have the CAT Fees charged to such Industry Member reviewed. The Procedures are modeled after the adverse action procedures adopted by various exchanges,<sup>15</sup> and will be posted on the Web site for the CAT NMS Plan Web site.<sup>16</sup>

Under these Procedures, an Industry Member that disputes CAT Fees charged to such Industry Member and that desires to have an opportunity to be heard with respect to such disputed CAT Fees must file a written application with the Company within 15 business days after being notified of such disputed CAT Fees. The application must identify the disputed CAT Fees, state the specific reasons why the applicant takes exception to such CAT Fees, and set forth the relief sought. In addition, if the applicant intends to submit any additional documents, statements, arguments or other material

in support of the application, the same should be so stated and identified.

The Company will refer applications for hearing and review promptly to the Subcommittee designated by the Operating Committee pursuant to Section 4.12 of the CAT NMS Plan with responsibility for conducting the reviews of CAT Fee disputes pursuant to these Procedures. This Subcommittee will be referred to as the Fee Review Subcommittee. The members of the Fee Review Subcommittee will be subject to the provisions of Section 4.3(d) of the CAT NMS Plan regarding recusal and Conflicts of Interest. The Fee Review Subcommittee will keep a record of the proceedings.

The Fee Review Subcommittee will hold hearings promptly. The Fee Review Subcommittee will set a hearing date. The parties to the hearing shall furnish the Fee Review Subcommittee with all materials relevant to the proceedings at least 72 hours prior to the date of the hearing. Each party will have the right to inspect and copy the other party’s materials prior to the hearing.

The parties to the hearing will consist of the applicant and a representative of the Company who shall present the reasons for the action taken by the Company that allegedly aggrieved the applicant. The applicant is entitled to be accompanied, represented and advised by counsel at all stages of the proceedings.

The Fee Review Subcommittee will determine all questions concerning the admissibility of evidence and will otherwise regulate the conduct of the hearing. Each of the parties will be permitted to make an opening statement, present witnesses and documentary evidence, cross examine opposing witnesses and present closing arguments orally or in writing as determined by the Fee Review Subcommittee. The Fee Review Subcommittee also will have the right to question all parties and witnesses to the proceeding. The Fee Review Subcommittee must keep a record of the hearing. The formal rules of evidence will not apply.

The Fee Review Subcommittee must set forth its decision in writing and send the written decision to the parties to the proceeding. Such decisions will contain the reasons supporting the conclusions of the Fee Review Subcommittee.

The decision of the Fee Review Subcommittee will be subject to review by the Operating Committee either on its own motion within 20 business days after issuance of the decision or upon written request submitted by the applicant within 15 business days after

<sup>12</sup> Section 11.1(b) of the CAT NMS Plan.

<sup>13</sup> *Id.*

<sup>14</sup> Securities Exchange Act Rel. No. 80691 (May 16, 2017), 82 FR 23344 (May 22, 2017) (SR-CHX-2017-08).

<sup>15</sup> See, e.g., Chapter X of BATS BZX Exchange, Inc. (Adverse Action); and Chapter X of NYSE National, Inc. (Adverse Action).

<sup>16</sup> The CAT NMS Plan Web site is [www.catnmsplan.com](http://www.catnmsplan.com).

issuance of the decision. The applicant's petition must be in writing and must specify the findings and conclusions to which the applicant objects, together with the reasons for such objections. Any objection to a decision not specified in writing will be considered to have been abandoned and may be disregarded. Parties may petition to submit a written argument to the Operating Committee and may request an opportunity to make an oral argument before the Operating Committee. The Operating Committee will have sole discretion to grant or deny either request.

The Operating Committee will conduct the review. The review will be made upon the record and will be made after such further proceedings, if any, as the Operating Committee may order. Based upon such record, the Operating Committee may affirm, reverse or modify, in whole or in part, the decision of the Fee Review Subcommittee. The decision of the Operating Committee will be in writing, will be sent to the parties to the proceeding and will be final.

The Procedures state that a final decision regarding the disputed CAT Fees by the Operating Committee, or the Fee Review Subcommittee (if there is no review by the Operating Committee), must be provided within 90 days of the date on which the Industry Member filed a written application regarding disputed CAT Fees with the Company. The Operating Committee may extend the 90-day time limit at its discretion.

In addition, the Procedures state that any notices or other documents may be served upon the applicant either personally or by leaving the same at its, his or her place of business or by deposit in the United States post office, postage prepaid, by registered or certified mail, addressed to the applicant at its, his or her last known business or residence address. The Procedures also state that any time limits imposed under the Procedures for the submission of answers, petitions or other materials may be extended by permission of the Operating Committee. All papers and documents relating to review by the Fee Review Subcommittee or the Operating Committee must be submitted to the Fee Review Subcommittee or Operating Committee, as applicable.

The Procedures also note that decisions on such CAT Fee disputes made pursuant to these Procedures will be binding on Industry Members, without prejudice to the rights of any such Industry Member to seek redress from the SEC or in any other appropriate forum.

Finally, an Industry Member that files a written application with the Company regarding disputed CAT Fees in accordance with these Procedures is not required to pay such disputed CAT Fees until the dispute is resolved in accordance with these Procedures, including any review by the SEC or in any other appropriate forum. For these purposes, the disputed CAT Fees means the amount of the invoiced CAT Fees that the Industry Member has asserted pursuant to these Procedures that such Industry Member does not owe to the Company. The Industry Member must pay any invoiced CAT Fees that are not disputed CAT Fees when due as set forth in the original invoice.

Once the dispute regarding CAT Fees is resolved pursuant to these Procedures, if it is determined that the Industry Member owes any of the disputed CAT Fees, then the Industry Member must pay such disputed CAT Fees that are owed as well as interest on such disputed CAT Fees from the original due date (that is, 30 days after receipt of the original invoice of such CAT Fees) until such disputed CAT Fees are paid at a per annum rate equal to the lesser of (i) the Prime Rate plus 300 basis points, or (ii) the maximum rate permitted by applicable law.

## 2. Statutory Basis

The Exchange believes that the proposed rule change is consistent with the provisions of Section 6(b)(5) of the Act,<sup>17</sup> which require, among other things, that the Exchange rules must be designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, and, in general, to protect investors and the public interest, and not designed to permit unfair discrimination between customers, issuers, brokers and dealer, and Section 6(b)(4) of the Act,<sup>18</sup> which requires that Exchange rules provide for the equitable allocation of reasonable dues, fees, and other charges among members and issuers and other persons using its facilities.

The Exchange believes that this proposal is consistent with the Act because it implements, interprets or clarifies Section 11.5 of the Plan, and is designed to assist the Exchange and its Industry Members in meeting regulatory obligations pursuant to the Plan. In approving the Plan, the SEC noted that the Plan "is necessary and appropriate in the public interest, for the protection of investors and the maintenance of fair and orderly markets, to remove

impediments to, and perfect the mechanism of a national market system, or is otherwise in furtherance of the purposes of the Act."<sup>19</sup> To the extent that this proposal implements, interprets or clarifies the Plan and applies specific requirements to Industry Members, the Exchange believes that this proposal furthers the objectives of the Plan, as identified by the SEC, and is therefore consistent with the Act.

## B. Self-Regulatory Organization's Statement on Burden on Competition

Section 6(b)(8) of the Act<sup>20</sup> require [sic] that Exchange rules not impose any burden on competition that is not necessary or appropriate. The Exchange does not believe that the proposed rule change will result in any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act. The Exchange notes that the proposed rule change implements Section 11.5 of the CAT NMS Plan approved by the Commission, and is designed to assist the Exchange in meeting its regulatory obligations pursuant to the Plan. Similarly, all national securities exchanges and FINRA are proposing this proposed rule to implement the requirements of the CAT NMS Plan. Therefore, this is not a competitive rule filing and, therefore, it does not raise competition issues between and among the exchanges and FINRA.

## C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others

No written comments were solicited or received with respect to the proposed rule change.

## III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

Within 45 days of the date of publication of this notice in the **Federal Register** or within such longer period up to 90 days (i) as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the self-regulatory organization consents, the Commission will:

(A) By order approve or disapprove such proposed rule change, or

(B) institute proceedings to determine whether the proposed rule change should be disapproved.

<sup>17</sup> 15 U.S.C. 78f(b)(5).

<sup>18</sup> 15 U.S.C. 78f(b)(4).

<sup>19</sup> Approval Order at 84697.

<sup>20</sup> 15 U.S.C. 78f(b)(8).

#### IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

##### Electronic Comments

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-CHX-2017-11 on the subject line.

##### Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-CHX-2017-11. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-CHX-2017-11, and should be submitted on or before July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>21</sup>

**Eduardo A. Aleman,**

*Assistant Secretary.*

[FR Doc. 2017-12588 Filed 6-16-17; 8:45 am]

**BILLING CODE 8011-01-P**

#### SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-80913; File No SR-CBOE-2017-048]

#### Self-Regulatory Organizations; Chicago Board Options Exchange, Incorporated; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change Related to Rule 5.5

June 13, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 9, 2017, Chicago Board Options Exchange, Incorporated (the "Exchange" or "CBOE") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Exchange filed the proposal as a "non-controversial" proposed rule change pursuant to Section 19(b)(3)(A)(iii) of the Act<sup>3</sup> and Rule 19b-4(f)(6) thereunder.<sup>4</sup> The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend Rule 5.5. The text of the proposed rule change is provided below.

(additions are *italicized*; deletions are [bracketed])

\* \* \* \* \*

#### Chicago Board Options Exchange, Incorporated Rules

\* \* \* \* \*

#### Rule 5.5. Series of Option Contracts Open for Trading

(a)–(e) No change.

#### . . . Interpretations and Policies:

.01–.07 No change.  
.08

<sup>21</sup> 17 CFR 200.30-3(a)(12).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A)(iii).

<sup>4</sup> 17 CFR 240.19b-4(f)(6).

(a) No change.

(b) Notwithstanding Interpretation and Policy .01 and Interpretation and Policy .08(a) above, the interval between strike prices of series of options on Units of the Standard & Poor's Depository Receipts Trust ("SPY"), *iShares S&P 500 Index ETF* ("IVV"), and The DIAMONDS Trust ("DIA") will be \$1 or greater.

.09–.23 No change.

\* \* \* \* \*

The text of the proposed rule change is also available on the Exchange's Web site (<http://www.cboe.com/AboutCBOE/CBOELegalRegulatoryHome.aspx>), at the Exchange's Office of the Secretary, and at the Commission's Public Reference Room.

#### II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

#### A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes to amend Rule 5.5 (Series of Option Contracts Open for Trading) by modifying the strike setting regime for IVV options. Specifically, the Exchange proposes to modify the interval setting regime for IVV options to allow \$1 strike price intervals above \$200. The Exchange believes that the proposed rule change would make IVV options easier for investors and traders to use and more tailored to their investment needs. Additionally, the interval setting regime the Exchange proposes to apply to IVV options is currently applied to options on units of the Standard & Poor's Depository Receipts Trust ("SPY"),<sup>5</sup> which is an exchange-traded fund ("ETF") that is identical in all material respects to the IVV ETF.

The SPY and IVV ETFs are identical in all material respects. The SPY and IVV ETFs are designed to roughly track the performance of the S&P 500 Index

<sup>5</sup> See Current Rule 5.5.08.



with the price of SPY and IVV designed to roughly approximate 1/10th of the price of the S&P 500 Index.

Accordingly, SPY and IVV strike prices—having a multiplier of \$100—reflect a value roughly equal to 1/10th of the value of the S&P 500 Index. For example, if the S&P 500 Index is at 1972.56, SPY and IVV options might have a value of approximately 197.26 with a notional value of \$19,726. In general, SPY and IVV options provide retail investors and traders with the benefit of trading the broad market in a manageably sized contract. As options with an ETP underlying, SPY and IVV options are listed in the same manner as equity options under the Rules.

However, under current Interpretation and Policy .08(a) to Rule 5.5, the interval between strike prices in series of options on ETPs, including IVV options will be \$1 or greater where the strike price is \$200 or less and \$5.00 or greater where the strike price is greater than \$200.” In addition, under Rule 5.5(d)(5),

Strike Interval. The interval between strike prices on Short Term Option Series may be: (i) \$0.50 or greater where the strike price is less than \$100, and \$1 or greater where the strike price is between \$100 and \$150 for all classes that participate in the Short Term Option Series Program; (ii) \$0.50 or greater for classes that trade in one dollar increments in non-Short Term Options and that participate in the Short Term Option Series Program; or (iii) \$2.50 or greater where the strike price is above \$150. A non-Short Term Option that is on a class that has been selected to participate in the Short Term Option Series Program is referred to as a “Related non-Short Term Option.”

The Exchange’s proposal seeks to narrow the strike price intervals to \$1 for IVV options above \$200, in effect matching the strike setting regime for strike intervals in IVV options below \$200 and matching the strike setting regime applied to SPY options.

Currently, the S&P 500 Index is above 2000. The S&P 500 Index is widely regarded as the best single gauge of large cap U.S. equities and is widely quoted as an indicator of stock prices and investor confidence in the securities market. As a result, individual investors often use S&P 500 Index-related products to diversify their portfolios and benefit from market trends. Accordingly, the Exchange believes that offering a wide range of S&P 500 Index-based options affords traders and investors important hedging and trading opportunities. The Exchange believes that not having the proposed \$1 strike price intervals above \$200 in IVV significantly constricts investors’ hedging and trading possibilities.

The Exchange proposes to amend Interpretation and Policy .08(b) to Rule 5.5 to allow IVV options to trade in \$1 increments above a strike price of \$200. Specifically, the Exchange proposes to amend Interpretation and Policy .08(b) to Rule 5.5 to state that notwithstanding other provisions limiting the ability of the Exchange to list \$1 increment strike prices on equity and ETF options above \$200, the interval between strike prices of series of options on Units of IVV will be \$1 or greater. The Exchange believes that by having smaller strike intervals in IVV, investors would have more efficient hedging and trading opportunities due to the lower \$1 interval ascension. The proposed \$1 intervals, particularly above the \$200 strike price, will result in having at-the-money series based upon the underlying IVV moving less than 1%. The Exchange believes that the proposed strike setting regime is in line with the slower movements of broad-based indices. Furthermore, the proposed \$1 intervals would allow option trading strategies (such as, for example, risk reduction/hedging strategies using IVV weekly options), to remain viable. Considering the fact that \$1 intervals already exist below the \$200 price point and that IVV is above the \$200 level, the Exchange believes that continuing to maintain the artificial \$200 level (above which intervals increase 500% to \$5), would have a negative effect on investing, trading and hedging opportunities, and volume. The Exchange believes that the investing, trading, and hedging opportunities available with IVV options far outweighs any potential negative impact of allowing IVV options to trade in more finely tailored intervals above the \$200 price point.

The proposed strike setting regime would permit strikes to be set to more closely reflect values in the underlying S&P 500 Index and allow investors and traders to roll open positions from a lower strike to a higher strike in conjunction with the price movement of the underlying. Under the current rule, where the next higher available series would be \$5 away above a \$200 strike price, the ability to roll such positions is effectively negated. Accordingly, to move a position from a \$200 strike to a \$205 strike under the current rule, an investor would need for the underlying product to move 2.5%, and would not be able to execute a roll up until such a large movement occurred. With the proposed rule change, however, the investor would be in a significantly safer position of being able to roll his open options position from a \$200 to a \$201 strike price, which is only a 0.5%

move for the underlying. The proposed rule change will allow the Exchange to better respond to customer demand for IVV strike prices more precisely aligned with current S&P 500 Index values. The Exchange believes that the proposed rule change, like the other strike price programs currently offered by the Exchange, will benefit investors by providing investors the flexibility to more closely tailor their investment and hedging decisions using IVV options.

By allowing series of IVV options to be listed in \$1 intervals between strike prices over \$200, the proposal will moderately augment the potential total number of options series available on the Exchange. However, the Exchange believes it and the Options Price Reporting Authority (“OPRA”) have the necessary systems capacity to handle any potential additional traffic associated with this proposed rule change. The Exchange also believes that Trading Permit Holders will not have a capacity issue due to the proposed rule change. In addition, the Exchange represents that it does not believe that this expansion will cause fragmentation of liquidity.

In addition, the interval setting regime the Exchange proposes to apply to IVV options is currently applied to options on SPY,<sup>6</sup> which is an ETF that is identical in all material respects to the IVV ETF.

## 2. Statutory Basis

The Exchange believes the proposed rule change is consistent with the Securities Exchange Act of 1934 (the “Act”) and the rules and regulations thereunder applicable to the Exchange and, in particular, the requirements of Section 6(b) of the Act.<sup>7</sup> Specifically, the Exchange believes the proposed rule change is consistent with the Section 6(b)(5)<sup>8</sup> requirements that the rules of an exchange be designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to foster cooperation and coordination with persons engaged in regulating, clearing, settling, processing information with respect to, and facilitating transactions in securities, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general, to protect investors and the public interest. Additionally, the Exchange believes the proposed rule change is consistent with the Section 6(b)(5)<sup>9</sup> requirement that

<sup>6</sup> See Current Rule 5.5.08.

<sup>7</sup> 15 U.S.C. 78f(b).

<sup>8</sup> 15 U.S.C. 78f(b)(5).

<sup>9</sup> *Id.*

the rules of an exchange not be designed to permit unfair discrimination between customers, issuers, brokers, or dealers.

In particular, the proposed rule change will allow investors to more easily use IVV options. Moreover, the proposed rule change would allow investors to better trade and hedge positions in IVV options where the strike price is greater than \$200, and ensure that IVV options investors are not at a disadvantage simply because of the strike price.

The Exchange also believes the proposed rule change is consistent with Section 6(b)(1) of the Act, which provides that the Exchange be organized and have the capacity to be able to carry out the purposes of the Act and the rules and regulations thereunder, and the rules of the Exchange. The rule change proposal allows the Exchange to respond to customer demand to allow IVV options to trade in \$1 intervals above a \$200 strike price. The Exchange does not believe that the proposed rule would create additional capacity issues or affect market functionality.

As noted above, ETF options trade in wider \$5 intervals above a \$200 strike price, whereby options at or below a \$200 strike price trade in \$1 intervals. This creates a situation where contracts on the same option class effectively may not be able to execute certain strategies such as, for example, rolling to a higher strike price, simply because of the arbitrary \$200 strike price above which options intervals increase by 500%. This proposal remedies the situation by establishing an exception to the current ETF interval regime for IVV options to allow such options to trade in \$1 or greater intervals at all strike prices.

The Exchange believes that the proposed rule change, like other strike price programs currently offered by the Exchange, will benefit investors by giving them increased flexibility to more closely tailor their investment and hedging decisions. Moreover, the proposed rule change is consistent with changes proposed by other exchanges.<sup>10</sup>

With regard to the impact of this proposal on system capacity, the Exchange believes it and OPRA have the necessary systems capacity to handle any potential additional traffic associated with this proposed rule change. The Exchange believes that its members will not have a capacity issue as a result of this proposal.

In addition, the interval setting regime the Exchange proposes to apply to IVV

options is currently applied to options on SPY,<sup>11</sup> which is an ETF that is identical in all material respects to the IVV ETF.

#### *B. Self-Regulatory Organization's Statement on Burden on Competition*

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act. Rather, the Exchange believes that the proposed rule change will result in additional investment options and opportunities to achieve the investment and trading objectives of market participants seeking efficient trading and hedging vehicles, to the benefit of investors, market participants, and the marketplace in general. Specifically, the Exchange believes that IVV options investors and traders will significantly benefit from the availability of finer strike price intervals above a \$200 price point. In addition, the interval setting regime the Exchange proposes to apply to IVV options is currently applied to options on SPY,<sup>12</sup> which is an ETF that is identical in all material respects to the IVV ETF. Thus, applying the same strike setting regime to SPY and IVV options will help level the playing field for options on similar, competing ETFs.

#### *C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

The Exchange neither solicited nor received comments on the proposed rule change.

### **III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

Because the foregoing proposed rule change does not:

A. significantly affect the protection of investors or the public interest;

B. impose any significant burden on competition; and

C. become operative for 30 days from the date on which it was filed, or such shorter time as the Commission may designate, it has become effective pursuant to Section 19(b)(3)(A) of the Act<sup>13</sup> and Rule 19b-4(f)(6)<sup>14</sup> thereunder.

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such

action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission will institute proceedings to determine whether the proposed rule change should be approved or disapproved.

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### *Electronic Comments*

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-CBOE-2017-048 on the subject line.

#### *Paper Comments*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-CBOE-2017-048. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-CBOE-2017-048 and should be submitted on or before July 10, 2017.

<sup>10</sup> See Securities and Exchange Act Release 34-72664 (July 24, 2014), 79 FR 44231 (July 30, 2014) (Notice of Filing of Proposed Rule Change, as Modified by Amendment No. 1, Relating to SPY and DIA Options) (SR-Phlx-2014-046).

<sup>11</sup> See Current Rule 5.5.08.

<sup>12</sup> See Current Rule 5.5.08.

<sup>13</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>14</sup> 17 CFR 240.19b-4(f)(6).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>15</sup>

**Eduardo A. Aleman,**  
Assistant Secretary.

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-80914; File No. SR-PEARL-2017-30]

### Self-Regulatory Organizations; MIAX PEARL, LLC; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend the MIAX PEARL Fee Schedule

June 13, 2017.

Pursuant to the provisions of Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) <sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 7, 2017, MIAX PEARL, LLC (“MIAX PEARL” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) a proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange is filing a proposal to amend the MIAX PEARL Fee Schedule (the “Fee Schedule”).

The Exchange initially filed the proposal on May 31, 2017 (SR-PEARL-2017-27). That filing was withdrawn and replaced with the current filing (SR-PEARL-2017-30).

The text of the proposed rule change is available on the Exchange’s Web site at <http://www.miaxoptions.com/rule-filings/pearl> at MIAX PEARL’s principal office, and at the Commission’s Public Reference Room.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for

the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

#### A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes to amend the Add/Remove Tiered Rebates/Fees set forth in Section 1(a) of the Fee Schedule to decrease the “Taker” fee in all Tiers assessable to all orders submitted by a Member for the account of a Priority Customer <sup>3</sup> in SPY options.

The Exchange currently assesses tiered transaction rebates and fees to all market participants which are based upon the total monthly volume executed by the Member <sup>4</sup> on MIAX PEARL in the relevant, respective origin type (not including Excluded Contracts) <sup>5</sup> expressed as a percentage of TCV. <sup>6</sup> In addition, the per contract

<sup>3</sup> “Priority Customer” means a person or entity that (i) is not a broker or dealer in securities, and (ii) does not place more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s). See Exchange Rule 100, including Interpretations and Policies .01.

<sup>4</sup> “Member” means an individual or organization that is registered with the Exchange pursuant to Chapter II of the Exchange Rules for purposes of trading on the Exchange as an “Electronic Exchange Member” or “Market Maker.” Members are deemed “members” under the Exchange Act. See the Definitions Section of the Fee Schedule and Exchange Rule 100.

<sup>5</sup> “Excluded Contracts” means any contracts routed to an away market for execution. See the Definitions Section of the Fee Schedule.

<sup>6</sup> “TCV” means total consolidated volume calculated as the total national volume in those classes listed on MIAX PEARL for the month for which the fees apply, excluding consolidated volume executed during the period of time in which the Exchange experiences an “Exchange System Disruption” (solely in the option classes of the affected Matching Engine (as defined below)). The term Exchange System Disruption, which is defined in the Definitions section of the Fee Schedule, means an outage of a Matching Engine or collective Matching Engines for a period of two consecutive hours or more, during trading hours. The term Matching Engine, which is also defined in the Definitions section of the Fee Schedule, is a part of the MIAX PEARL electronic system that processes options orders and trades on a symbol-by-symbol basis. Some Matching Engines will process option classes with multiple root symbols, and other Matching Engines may be dedicated to one single option root symbol (for example, options on SPY may be processed by one single Matching Engine that is dedicated only to SPY). A particular

transaction rebates and fees are applied retroactively to all eligible volume for that origin type once the respective threshold tier (“Tier”) has been reached by the Member. The Exchange aggregates the volume of Members and their Affiliates.<sup>7</sup> Members that place resting liquidity, *i.e.*, orders resting on the book of the MIAX PEARL System,<sup>8</sup> are paid the specified “maker” rebate (each a “Maker”), and Members that execute against resting liquidity are assessed the specified “taker” fee (each a “Taker”). For opening transactions and ABBO uncrossing transactions, per contract transaction rebates and fees are waived for all market participants. Finally, Members are assessed lower transaction fees and receive lower rebates for order executions in standard option classes in the Penny Pilot Program <sup>9</sup> (“Penny classes”) than for order executions in standard option classes which are not in the Penny Pilot Program (“Non-Penny classes”), where Members are assessed higher transaction fees and receive higher rebates.

Transaction rebates and fees applicable to orders submitted by a Member for the account of a Priority Customer are assessed according to the following table as of June 1, 2017:

root symbol may only be assigned to a single designated Matching Engine. A particular root symbol may not be assigned to multiple Matching Engines. The Exchange believes that it is reasonable and appropriate to select two consecutive hours as the amount of time necessary to constitute an Exchange System Disruption, as two hours equates to approximately 1.4% of available trading time per month. The Exchange notes that the term “Exchange System Disruption” and its meaning have no applicability outside of the Fee Schedule, as it is used solely for purposes of calculating volume for the threshold tiers in the Fee Schedule. See the Definitions Section of the Fee Schedule.

<sup>7</sup> “Affiliate” means (i) an affiliate of a Member of at least 75% common ownership between the firms as reflected on each firm’s Form BD, Schedule A, or (ii) the Appointed Market Maker of an Appointed EEM (or, conversely, the Appointed EEM of an Appointed Market Maker). An “Appointed Market Maker” is a MIAX PEARL Market Maker (who does not otherwise have a corporate affiliation based upon common ownership with an EEM) that has been appointed by an EEM and an “Appointed EEM” is an EEM (who does not otherwise have a corporate affiliation based upon common ownership with a MIAX PEARL Market Maker) that has been appointed by a MIAX PEARL Market Maker, pursuant to the process described in the Fee Schedule. See the Definitions Section of the Fee Schedule.

<sup>8</sup> The term “System” means the automated trading system used by the Exchange for the trading of securities. See Exchange Rule 100.

<sup>9</sup> See Securities Exchange Act Release Nos. 79778 (January 12, 2017), 82 FR 6662 (January 19, 2017) (SR-PEARL-2016-01); 80758 (May 24, 2017), 82 FR 25022 (May 31, 2017) (SR-PEARL-2017-24).

<sup>15</sup> 17 CFR 200.30-3(a)(12).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

Origin	Tier	Volume criteria	Per contract rebates/fees for penny classes		Per contract rebates/fees for non-penny classes	
			Maker	Taker	Maker	Taker
Priority Customer .....	1	0.00%–0.05% .....	(\$0.25)	\$0.38	(\$0.85)	\$0.87
	2	Above 0.05%–0.35% .....	(0.40)	0.38	(1.05)	0.86
	3	Above 0.35%–0.50% .....	(0.50)	0.38	(1.05)	0.85
	4	Above 0.50%–0.75% .....	(0.53)	0.38	(1.05)	0.84
	5	Above 0.75% .....	(0.54)	0.38	(1.05)	0.84

The Exchange notes that, on May 26, 2017, the Exchange filed a proposed rule change to decrease the Taker fees for Priority Customer orders for options in Penny classes in each Tier to \$0.38.<sup>10</sup> Those changes, which became operative on June 1, 2017, are reflected in the above table.

The Exchange now proposes to decrease the Taker fee in all Tiers assessable to orders submitted by a Member for the account of a Priority Customer<sup>11</sup> solely in SPY options to \$0.35 per contract. Accordingly, the Exchange proposes to add a sentence beneath the Priority Customer table in the Add/Remove Tiered Rebates/Fees (by way of an asterisk to the Taker fee) to state that the Taker fee in the table applies “For all Penny Classes other than SPY. For SPY, the Priority Customer Taker Fee shall be \$0.35 per contract.”

The purpose of decreasing the Taker fee for Priority Customer orders in SPY options is for business and competitive reasons to attract greater Priority Customer SPY order flow to the Exchange, and to match a similar pricing change recently announced by Nasdaq ISE with respect to taker fees for priority customer orders in SPY options on that exchange.<sup>12</sup> The Exchange believes that reducing the Taker fee for Priority Customer orders in SPY options to \$0.35 per contract (regardless of the Tier the Member achieves), will incentivize Members to send greater Priority Customer SPY option order flow to the Exchange due to favorable pricing for this liquidity type.

<sup>10</sup> See SR–PEARL–2017–25, filed May 26, 2017, and posted on the MIAX PEARL Web site: <http://www.miaxoptions.com/rule-filings/pearl>. On June 7, 2017, that filing was withdrawn and replaced with SR–PEARL–2017–29, filed June 7, 2017, and posted on the MIAX PEARL Web site: <http://www.miaxoptions.com/rule-filings/pearl>.

<sup>11</sup> “Priority Customer” means a person or entity that (i) is not a broker or dealer in securities, and (ii) does not place more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s). See Exchange Rule 100, including Interpretations and Policies .01.

<sup>12</sup> See SR–ISE–2017–49 (May 31, 2017) <http://www.cchwallstreet.com/contents/pdf/2017/SR-ISE-2017-49.pdf>.

## 2. Statutory Basis

The Exchange believes that its proposal to amend its Fee Schedule is consistent with Section 6(b) of the Act<sup>13</sup> in general, and furthers the objectives of Section 6(b)(4) of the Act,<sup>14</sup> in that it is an equitable allocation of reasonable fees and other charges among Exchange members and other persons using its facilities, and 6(b)(5) of the Act,<sup>15</sup> in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to foster cooperation and coordination with persons engaged in facilitating transactions in securities, to remove impediments to and perfect the mechanisms of a free and open market and a national market system and, in general, to protect investors and the public interest.

The proposed Taker fee decrease applicable to orders submitted by a Member for the account of a Priority Customer in SPY options is reasonable, equitable and not unfairly discriminatory because all Priority Customer SPY option orders are subject to the same Taker fees and access to the Exchange is offered on terms that are not unfairly discriminatory. The Exchange initially set its Taker fees at the various volume levels based upon business determinations and an analysis of current Taker fees and volume levels at other exchanges. For competitive and business reasons, the Exchange believes that lower Taker fees assessable to Priority Customer transactions in SPY options in all Tiers will encourage Members to execute more volume in SPY options on behalf of Priority Customers since they will be assessed reduced fees in all Tiers for Priority Customer orders in SPY options which remove liquidity. The Exchange believes for these reasons that offering the reduced Taker fees for Priority Customer transactions in SPY options in all Tiers is equitable, reasonable and not unfairly discriminatory, and thus consistent with the Act.

<sup>13</sup> 15 U.S.C. 78f(b).

<sup>14</sup> 15 U.S.C. 78f(b)(4).

<sup>15</sup> 15 U.S.C. 78f(b)(1) and (b)(5).

The Exchange believes that its proposal to reduce Taker fees assessable to transactions solely in SPY options and not to reduce Taker fees for other option classes is consistent with other options markets that also assess different transaction fees for SPY options as compared to other option classes. The Exchange believes that establishing different pricing for SPY options for Priority Customers is reasonable, equitable, and not unfairly discriminatory because SPY options are more liquid than other option classes. Additionally, other competing options exchanges differentiate pricing in a similar manner.<sup>16</sup>

Further, the Exchange believes that it is equitable and not unfairly discriminatory to assess lower fees to Priority Customer orders than to non-Priority Customer orders. A Priority Customer is by definition not a broker or dealer in securities, and does not place more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s). This limitation does not apply to participants on the Exchange whose behavior is substantially similar to that of market professionals, including non-Priority Customers, MIAX PEARL Market Makers, Firms, and Broker-Dealers, who will generally submit a higher number of orders (many of which do not result in executions) than Priority Customers.

Furthermore, the proposed decrease to the Taker fees in SPY options for Priority Customer transactions in all Tiers promotes just and equitable principles of trade, fosters cooperation and coordination with persons engaged in facilitating transactions in securities, and protects investors and the public interest because the proposed decrease in the fees will encourage Members to send more Priority Customer orders in SPY options to the Exchange even if it is an order which takes liquidity since they will be assessed a reduced Taker fee in each Tier. To the extent that Priority Customer order flow in SPY

<sup>16</sup> See *supra* note 12. See also NASDAQ OMX PHLX LLC Pricing Schedule, Section I.

options is increased by the proposal, market participants will increasingly compete for the opportunity to trade on the Exchange, including sending more orders which will have the potential to be assessed lower fees and higher rebates. The resulting increased volume and liquidity will benefit all Exchange participants by providing more trading opportunities and tighter spreads.

#### *B. Self-Regulatory Organization's Statement on Burden on Competition*

MIAX PEARL does not believe that the proposed rule changes will impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act. The proposed Taker fee decrease is intended to encourage liquidity and should enable the Exchange to attract and compete for order flow with other exchanges which assess higher Priority Customer Taker fees for SPY options.

The Exchange notes that it operates in a highly competitive market in which market participants can readily favor competing venues if they deem fee levels at a particular venue to be excessive. In such an environment, the Exchange must continually adjust its rebates and fees to remain competitive with other exchanges and to attract order flow. The Exchange believes that the proposed rule change reflects this competitive environment because it modifies the Exchange's fees in a manner that encourages market participants to send order flow to the Exchange.

#### *C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

Written comments were neither solicited nor received.

### **III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A)(ii) of the Act,<sup>17</sup> and Rule 19b-4(f)(2)<sup>18</sup> thereunder. At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine

whether the proposed rule should be approved or disapproved.

### **IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### *Electronic Comments*

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-PEARL-2017-30 on the subject line.

#### *Paper Comments*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-PEARL-2017-30. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-PEARL-2017-30 and should be submitted on or July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>19</sup>

**Eduardo A. Aleman,**

*Assistant Secretary.*

[FR Doc. 2017-12586 Filed 6-16-17; 8:45 am]

**BILLING CODE 8011-01-P**

## **SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34-80915; File No. SR-PEARL-2017-29]

### **Self-Regulatory Organizations; MIAX PEARL, LLC; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend the MIAX PEARL Fee Schedule**

June 13, 2017.

Pursuant to the provisions of Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 7, 2017, MIAX PEARL, LLC ("MIAX PEARL" or "Exchange") filed with the Securities and Exchange Commission ("Commission") a proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### **I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change**

The Exchange is filing a proposal to amend the MIAX PEARL Fee Schedule (the "Fee Schedule").

The Exchange initially filed the proposal on May 26, 2017 (SR-PEARL-2017-25). That filing was withdrawn and replaced with the current filing (SR-PEARL-2017-29).

The text of the proposed rule change is available on the Exchange's Web site at <http://www.miaxoptions.com/rule-filings/pearl> at MIAX PEARL's principal office, and at the Commission's Public Reference Room.

#### **II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the

<sup>17</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>18</sup> 17 CFR 240.19b-4(f)(2).

<sup>19</sup> 17 CFR 200.30-3(a)(12).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

*A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change*

1. Purpose

The Exchange proposes to amend the Add/Remove Tiered Rebates/Fees set forth in Section 1(a) of the Fee Schedule to decrease the “Taker” fees in all Tiers assessable to all orders submitted by a Member for the account of a Priority Customer<sup>3</sup> for options in Penny classes (as defined below).

The Exchange currently assesses tiered transaction rebates and fees to all market participants which are based upon the total monthly volume executed by the Member<sup>4</sup> on MIAX PEARL in the relevant, respective origin type (not including Excluded Contracts)<sup>5</sup> expressed as a percentage of TCV.<sup>6</sup> In addition, the per contract transaction rebates and fees are applied retroactively to all eligible volume for that origin type once the respective threshold tier (“Tier”) has been reached by the Member. The Exchange aggregates the volume of Members and their Affiliates.<sup>7</sup> Members that place resting liquidity, *i.e.*, orders resting on the book of the MIAX PEARL System,<sup>8</sup> are paid the specified “maker” rebate (each a “Maker”), and Members that execute against resting liquidity are

assessed the specified “taker” fee (each a “Taker”). For opening transactions and ABBO uncrossing transactions, per contract transaction rebates and fees are waived for all market participants. Finally, Members are assessed lower transaction fees and receive lower rebates for order executions in standard option classes in the Penny Pilot Program<sup>9</sup> (“Penny classes”) than for order executions in standard option classes which are not in the Penny Pilot Program (“Non-Penny classes”), where Members are assessed higher transaction fees and receive higher rebates.

Transaction rebates and fees applicable to orders submitted by a Member for the account of a Priority Customer are currently assessed according to the following table:

Origin	Tier	Volume criteria	Per contract rebates/fees for penny classes		Per contract rebates/fees for non-penny classes	
			Maker	Taker	Maker	Taker
Priority Customer	1	0.00%–0.05% .....	(\$0.25)	\$0.49	(\$0.85)	\$0.87
	2	Above 0.05%–0.35% .....	(\$0.40)	\$0.49	(\$1.05)	\$0.86
	3	Above 0.35%–0.50% .....	(\$0.50)	\$0.48	(\$1.05)	\$0.85
	4	Above 0.50%–0.75% .....	(\$0.53)	\$0.48	(\$1.05)	\$0.84
	5	Above 0.75% .....	(\$0.54)	\$0.48	(\$1.05)	\$0.84

The Exchange proposes to decrease the Taker fees for Priority Customer orders for options in Penny classes in each Tier to \$0.38. The purpose of decreasing the Taker fees for Priority Customer orders for options in Penny classes to \$0.38 is for business and competitive reasons to attract greater Priority Customer order flow to the Exchange. The Exchange believes that significantly reducing the Taker fees for

Priority Customer orders for options in Penny classes to \$0.38 per contract fee (regardless of the Tier the Member achieves), will incentivize Members to send greater Priority Customer order flow to the Exchange due to favorable pricing for this liquidity type.

2. Statutory Basis

The Exchange believes that its proposal to amend its Fee Schedule is

consistent with Section 6(b) of the Act<sup>10</sup> in general, and furthers the objectives of Section 6(b)(4) of the Act,<sup>11</sup> in that it is an equitable allocation of reasonable fees and other charges among Exchange members and other persons using its facilities, and 6(b)(5) of the Act,<sup>12</sup> in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to foster cooperation and

<sup>3</sup> “Priority Customer” means a person or entity that (i) is not a broker or dealer in securities, and (ii) does not place more than 390 orders in listed options per day on average during a calendar month for its own beneficial accounts(s). See Exchange Rule 100, including Interpretations and Policies .01.

<sup>4</sup> “Member” means an individual or organization that is registered with the Exchange pursuant to Chapter II of the Exchange Rules for purposes of trading on the Exchange as an “Electronic Exchange Member” or “Market Maker.” Members are deemed “members” under the Exchange Act. See the Definitions Section of the Fee Schedule and Exchange Rule 100.

<sup>5</sup> “Excluded Contracts” means any contracts routed to an away market for execution. See the Definitions Section of the Fee Schedule.

<sup>6</sup> “TCV” means total consolidated volume calculated as the total national volume in those classes listed on MIAX PEARL for the month for which the fees apply, excluding consolidated volume executed during the period time in which the Exchange experiences an “Exchange System Disruption” (solely in the option classes of the affected Matching Engine (as defined below)). The term Exchange System Disruption, which is defined in the Definitions section of the Fee Schedule,

means an outage of a Matching Engine or collective Matching Engines for a period of two consecutive hours or more, during trading hours. The term Matching Engine, which is also defined in the Definitions section of the Fee Schedule, is a part of the MIAX PEARL electronic system that processes options orders and trades on a symbol-by-symbol basis. Some Matching Engines will process option classes with multiple root symbols, and other Matching Engines may be dedicated to one single option root symbol (for example, options on SPY may be processed by one single Matching Engine that is dedicated only to SPY). A particular root symbol may only be assigned to a single designated Matching Engine. A particular root symbol may not be assigned to multiple Matching Engines. The Exchange believes that it is reasonable and appropriate to select two consecutive hours as the amount of time necessary to constitute an Exchange System Disruption, as two hours equates to approximately 1.4% of available trading time per month. The Exchange notes that the term “Exchange System Disruption” and its meaning have no applicability outside of the Fee Schedule, as it is used solely for purposes of calculating volume for the threshold tiers in the Fee Schedule. See the Definitions Section of the Fee Schedule.

<sup>7</sup> “Affiliate” means (i) an affiliate of a Member of at least 75% common ownership between the firms as reflected on each firm’s Form BD, Schedule A, or (ii) the Appointed Market Maker of an Appointed EEM (or, conversely, the Appointed EEM of an Appointed Market Maker). An “Appointed Market Maker” is a MIAX PEARL Market Maker (who does not otherwise have a corporate affiliation based upon common ownership with an EEM) that has been appointed by an EEM and an “Appointed EEM” is an EEM (who does not otherwise have a corporate affiliation based upon common ownership with a MIAX PEARL Market Maker) that has been appointed by a MIAX PEARL Market Maker, pursuant to the process described in the Fee Schedule. See the Definitions Section of the Fee Schedule.

<sup>8</sup> The term “System” means the automated trading system used by the Exchange for the trading of securities. See Exchange Rule 100.

<sup>9</sup> See Securities Exchange Act Release No. 79778 (January 12, 2017), 82 FR 6662 (January 19, 2017) (SR-PEARL-2016-01).

<sup>10</sup> 15 U.S.C. 78f(b).

<sup>11</sup> 15 U.S.C. 78f(b)(4).

<sup>12</sup> 15 U.S.C. 78f(b)(1) and (b)(5).

coordination with persons engaged in facilitating transactions in securities, to remove impediments to and perfect the mechanisms of a free and open market and a national market system and, in general, to protect investors and the public interest.

The proposed Taker fee decreases in Penny classes applicable to orders submitted by a Member for the account of a Priority Customers is reasonable, equitable and not unfairly discriminatory because all Priority Customer orders are subject to the same Taker fees and access to the Exchange is offered on terms that are not unfairly discriminatory. The Exchange initially set its Taker fees at the various volume levels based upon business determinations and an analysis of current Taker fees and volume levels at other exchanges. For competitive and business reasons, the Exchange believes that lower Taker fees assessable to Priority Customer transactions in Penny classes in all Tiers will encourage Members to execute more volume in Penny classes on behalf of Priority Customers since they will be assessed reduced fees in all Tiers for Priority Customer orders for options in Penny classes which remove liquidity. The Exchange believes for these reasons that offering the reduced Taker fees for Priority Customer transactions in Penny classes in all Tiers is equitable, reasonable and not unfairly discriminatory, and thus consistent with the Act.

The Exchange believes that its proposal to reduce Taker fees assessable to transactions in options in Penny classes and not to reduce Taker fees for transactions in options in Non-Penny classes is consistent with other options markets that also assess different transaction fees for options in Non-Penny classes as compared to Penny classes. The Exchange believes that establishing different pricing for options in Non-Penny classes and Penny classes is reasonable, equitable, and not unfairly discriminatory because options in Penny classes are generally more liquid as compared to Non-Penny classes. Additionally, other competing options exchanges differentiate pricing in a similar manner today.<sup>13</sup>

Further, the Exchange believes that it is equitable and not unfairly discriminatory to assess lower fees to Priority Customer orders than to non-Priority Customer orders. A Priority

Customer is by definition not a broker or dealer in securities, and does not place more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s). This limitation does not apply to participants on the Exchange whose behavior is substantially similar to that of market professionals, including non-Priority Customers, MIAX PEARL Market Makers, Firms, and Broker-Dealers, who will generally submit a higher number of orders (many of which do not result in executions) than Priority Customers.

Furthermore, the proposed decrease to the Taker fees in Penny classes for Priority Customer transactions in all Tiers promotes just and equitable principles of trade, fosters cooperation and coordination with persons engaged in facilitating transactions in securities, and protects investors and the public interest because the proposed decrease in the fees will encourage Members to send more orders to the Exchange even if it is an order which takes liquidity since they will be assessed a reduced Taker fee in each Tier. To the extent that Priority Customer order flow in Penny classes is increased by the proposal, market participants will increasingly compete for the opportunity to trade on the Exchange, including sending more orders which will have the potential to be assessed lower fees and higher rebates. The resulting increased volume and liquidity will benefit all Exchange participants by providing more trading opportunities and tighter spreads.

#### *B. Self-Regulatory Organization's Statement on Burden on Competition*

MIAX PEARL does not believe that the proposed rule changes will impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act. The proposed Taker fee decreases are intended to encourage liquidity. Further, the proposed elimination of any Taker fee differential amongst the Tiers should enable the Exchange to attract and compete for order flow with other exchanges which do assess higher Taker fees in the lower Tiers thereby adding liquidity.

The Exchange notes that it operates in a highly competitive market in which market participants can readily favor competing venues if they deem fee levels at a particular venue to be excessive. In such an environment, the Exchange must continually adjust its rebates and fees to remain competitive with other exchanges and to attract order flow. The Exchange believes that the proposed rule change reflects this competitive environment because it

modifies the Exchange's fees in a manner that encourages market participants to send order flow to the Exchange.

#### *C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

Written comments were neither solicited nor received.

### **III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A)(ii) of the Act,<sup>14</sup> and Rule 19b-4(f)(2)<sup>15</sup> thereunder. At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine whether the proposed rule should be approved or disapproved.

### **IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### *Electronic Comments*

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-PEARL-2017-29 on the subject line.

#### *Paper Comments*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-PEARL-2017-29. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent

<sup>13</sup> See NASDAQ OMX PHLX LLC Pricing Schedule, Section II; NYSE Amex Options Fee Schedule, p. 6; Chicago Board Options Exchange, Incorporated, Fee Schedule, p. 1. See also Securities Exchange Act Release No. 68556 (January 2, 2013), 78 FR 1293 (January 8, 2013) (SR-BX-2012-074).

<sup>14</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>15</sup> 17 CFR 240.19b-4(f)(2).



amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-PEARL-2017-29 and should be submitted on or before July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>16</sup>

**Eduardo A. Aleman,**  
Assistant Secretary.

[FR Doc. 2017-12587 Filed 6-16-17; 8:45 am]

**BILLING CODE 8011-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 32679; 812-14435]

### Triloma EIG Energy Income Fund, et al.; Notice of Application

June 13, 2017.

**AGENCY:** Securities and Exchange Commission ("Commission").

**ACTION:** Notice.

Notice of an application under section 6(c) of the Investment Company Act of 1940 (the "Act") for an exemption from sections 18(a)(2), 18(c), and 18(i) of the Act and for an order pursuant to section 17(d) of the Act and rule 17d-1 under the Act.

**SUMMARY OF APPLICATION:** Applicants request an order to permit certain registered closed-end management investment companies to issue multiple classes of shares of beneficial interest ("Shares") with varying sales loads and to impose asset-based service and/or distribution fees, and contingent deferred sales loads ("CDSCs").

**APPLICANTS:** Triloma EIG Energy Income Fund (the "Fund"), Triloma Energy Advisors, LLC (the "Adviser"), and Triloma Securities, LLC (the "Dealer Manager") (together, the "Applicants").

**FILING DATES:** The application was filed on March 20, 2015, and amended on November 29, 2016, April 6, 2017, and June 7, 2017.

**HEARING OR NOTIFICATION OF HEARING:** An order granting the requested relief will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on July 8, 2017, and should be accompanied by proof of service on applicants, in the form of an affidavit or, for lawyers, a certificate of service. Pursuant to rule 0-5 under the Act, hearing requests should state the nature of the writer's interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

**ADDRESSES:** Secretary, U.S. Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090; Applicants, 201 North New York Avenue, Suite 200, Winter Park, FL 32789.

**FOR FURTHER INFORMATION CONTACT:** Jessica Shin, Attorney-Adviser, at (202) 551-5921 or Robert H. Shapiro, Branch Chief, at (202) 551-6821 (Division of Investment Management, Chief Counsel's Office).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained via the Commission's Web site by searching for the file number, or an applicant using the Company name box, at <http://www.sec.gov/search/search.htm> or by calling (202) 551-8090.

#### Applicants' Representations

1. The Fund is a Delaware statutory trust that is registered under the Act as a non-diversified, closed-end management investment company. The Fund's objective is primarily to provide shareholders with current income; as secondary investment objectives, the Fund will seek to provide capital preservation and, to a lesser extent, long-term capital appreciation. The Fund seeks to achieve its investment objectives by investing primarily in a global portfolio of privately originated energy company and project debt.

2. The Adviser, a Florida limited liability company, is registered as an investment adviser under the Investment Advisers Act of 1940. The Adviser serves as investment adviser to the Fund.

3. The Dealer Manager is registered with the Commission as a broker-dealer under the Securities Exchange Act of 1934 (the "1934 Act") and will act as the managing dealer of the Fund. The Dealer Manager is under common control with the Adviser and is an affiliated person, as defined in section 2(a)(3) of the Act, of the Adviser.

4. The applicants seek an order to permit the Fund to issue multiple classes of Shares, each having its own fee and expense structure, and to impose asset-based distribution and/or service fees, and CDSCs.

5. Applicants request that the order also apply to any other continuously offered registered closed-end management investment company existing now or in the future for which the Adviser or the Dealer Manager or any entity controlling, controlled by, or under common control with the Adviser or the Dealer Manager or its successors,<sup>1</sup> acts as investment adviser or distributor, respectively, and which provides periodic liquidity with respect to its Shares through tender offers conducted in compliance with either rule 23c-3 under the Act or rule 13e-4 under the 1934 Act.<sup>2</sup>

6. The Fund currently issues a single class of Shares (the "Initial Class Shares"). Shares are currently being offered on a continuous basis pursuant to a registration statement under the Securities Act of 1933 and the Act at daily closings at their public offering price per share. The Fund, as a closed-end investment company, does not continuously redeem Shares as does an open-end management investment company. Shares of the Fund are not listed on any securities exchange and do not trade on an over-the-counter system such as NASDAQ. Applicants do not expect that any secondary market will ever develop for the Shares.

7. If the requested relief is granted, the Fund intends to offer multiple classes of Shares, such as the Initial Class Shares and additional classes. Because of the different distribution fees, service fees,

<sup>1</sup> A successor in interest is limited to an entity that results from a reorganization into another jurisdiction or a change in the type of business organization.

<sup>2</sup> The Fund and any other investment company relying on the requested relief will do so in a manner consistent with the terms and conditions of the application. Applicants represent that any person presently intending to rely on the requested relief is listed as an applicant.

<sup>16</sup> 17 CFR 200.30-3(a)(12).



and any other class expenses that may be attributable to the different classes, the net income attributable to, and any dividends payable on, each class of Shares may differ from each other from time to time.

8. Applicants state that, from time to time, the Board of the Fund may create additional classes of Shares, or may vary the characteristics described of the Initial Class, including without limitation, in the following respects: (1) The amount of fees permitted by different distribution plans or different service fee arrangements; (2) voting rights with respect to a distribution and service plan of a class; (3) different class designations; (4) the impact of any class expenses directly attributable to a particular class of Shares allocated on a class basis as described in the Application; (5) differences in any dividends and net asset values per Share resulting from differences in fees under a distribution and service plan or in class expenses; (6) any sales load structure; and (7) any conversion features, as permitted under the Act.

9. The Fund will not impose an “early withdrawal charge” or “repurchase fee” on investors who purchase and tender their Shares.

10. Applicants state that, in order to provide a limited degree of liquidity to shareholders, the Fund is structured as an “interval fund” and intends to make quarterly offers to repurchase up to 5% of the weighted average number of Shares outstanding in the prior four calendar quarters (or a portion thereof during the Fund’s first fiscal year) at a price based on the Fund’s net asset value per share, pursuant to rule 23c–3 under the Act. At the discretion of the Fund’s board of trustees, the Fund intends to limit the number of Shares to be repurchased during any calendar year to the number of Shares the Fund can repurchase with cash on hand, cash available from borrowings and cash from the sale of its investments as of the end of the applicable period to repurchase Shares. Repurchases of the Fund’s Shares will be made at such times, in such amounts, and on such terms as may be determined by the Fund’s Board in its sole discretion.

11. Applicants represent that any asset-based service and/or distribution fees will comply with the provisions of Rule 2341 of the Rules of the Financial Industry Regulatory Authority (“FINRA Rule 2341”) as if that rule applied to the Fund.<sup>3</sup> Applicants also represent that

<sup>3</sup> Any references to FINRA Rule 2341 include any successor or replacement rule that may be adopted by the Financial Industry Regulatory Authority (“FINRA”).

the Fund will disclose in its prospectus the fees, expenses and other characteristics of each class of Shares offered for sale by the prospectus, as is required for open-end, multiple class funds under Form N–1A.<sup>4</sup> As is required for open-end funds, the Fund will disclose its expenses in shareholder reports, and describe any arrangements that result in breakpoints in or eliminations of sales loads in its prospectus.<sup>5</sup> In addition, applicants will comply with applicable enhanced fee disclosure requirements for fund of funds, including registered funds of hedge funds.<sup>6</sup>

12. The Fund and the Dealer Manager will comply with any requirements that may be adopted by the Commission or FINRA regarding disclosure at the point of sale and in transaction confirmations about the costs and conflicts of interest arising out of the distribution of open-end investment company shares, and regarding prospectus disclosure of sales loads and revenue sharing arrangements as if those requirements applied to the Fund and the Dealer Manager. The Fund or the Dealer Manager will also contractually require that any other distributor of the Fund’s Shares comply with such requirements in connection with the distribution of Shares of the Fund.

13. The Fund will allocate all expenses incurred by it among the various classes of Shares based on the net assets of the Fund attributable to each class, except that the net asset value and expenses of each class will reflect distribution fees, service fees, and any other incremental expenses of that class. Expenses of the Fund allocated to a particular class of Shares will be borne on a pro rata basis by each outstanding Share of that class. Applicants state that the Fund will comply with the provisions of rule 18f–3 under the Act as if it were an open-end investment company.

14. The Fund does not intend to offer any exchange privilege or conversion

<sup>4</sup> In all respects other than class-by-class disclosure, the Fund will comply with the requirements of Form N–2.

<sup>5</sup> See Shareholder Reports and Quarterly Portfolio Disclosure of Registered Management Investment Companies, Investment Company Act Release No. 26372 (Feb. 27, 2004) (adopting release) (requiring open-end investment companies to disclose fund expenses in shareholder reports); and Disclosure of Breakpoint Discounts by Mutual Funds, Investment Company Act Release No. 26464 (June 7, 2004) (adopting release) (requiring open-end investment companies to provide prospectus disclosure of certain sales load information).

<sup>6</sup> Fund of Funds Investments, Investment Company Act Rel. Nos. 26198 (Oct. 1, 2003) (proposing release) and 27399 (Jun. 20, 2006) (adopting release). See also Rules 12d1–1, *et seq.* of the Act.

feature, but any such privilege or feature introduced in the future will comply with rule 11a–1, rule 11a–3, and rule 18f–3 as if the Fund were an open-end investment company.

## Applicants’ Legal Analysis

### Multiple Classes of Shares

1. Section 18(a)(2)(A) and (B) makes it unlawful for a registered closed-end investment company to issue a senior security that is a stock unless (a) immediately after such issuance it will have an asset coverage of at least 200% and (b) provision is made to prohibit the declaration of any distribution, upon its common stock, or the purchase of any such common stock, unless in every such case such senior security has at the time of the declaration of any such distribution, or at the time of any such purchase, an asset coverage of at least 200% after deducting the amount of such distribution or purchase price, as the case may be. Applicants state that the creation of multiple classes of shares of the Funds may violate section 18(a)(2) because the Funds may not meet such requirements with respect to a class of shares that may be a senior security.

2. Section 18(c) of the Act provides, in relevant part, that a registered closed-end investment company may not issue or sell any senior security if, immediately thereafter, the company has outstanding more than one class of senior security. Applicants state that the creation of multiple classes of Shares of the Fund may be prohibited by section 18(c), as a class may have priority over another class as to payment of dividends because shareholders of different classes would pay different fees and expenses.

3. Section 18(i) of the Act provides that each share of stock issued by a registered management investment company will be a voting stock and have equal voting rights with every other outstanding voting stock. Applicants state that permitting multiple classes of Shares of the Fund may violate section 18(i) of the Act because each class would be entitled to exclusive voting rights with respect to matters solely related to that class.

4. Section 6(c) of the Act provides that the Commission may exempt any person, security or transaction or any class or classes of persons, securities or transactions from any provision of the Act, or from any rule or regulation under the Act, if and to the extent such exemption is necessary or appropriate in the public interest and consistent with the protection of investors and the purposes fairly intended by the policy

and provisions of the Act. Applicants request an exemption under section 6(c) from sections 18(a)(2), 18(c) and 18(i) to permit the Fund to issue multiple classes of Shares.

5. Applicants submit that the proposed allocation of expenses relating to distribution and voting rights among multiple classes is equitable and will not discriminate against any group or class of shareholders. Applicants submit that the proposed arrangements would permit the Fund to facilitate the distribution of its Shares and provide investors with a broader choice of shareholder options. Applicants assert that the proposed closed-end investment company multiple class structure does not raise the concerns underlying section 18 of the Act to any greater degree than open-end investment companies' multiple class structures that are permitted by rule 18f-3 under the Act. Applicants state that the Fund will comply with the provisions of rule 18f-3 as if it were an open-end investment company.

#### *Asset-Based Service and/or Distribution Fees*

1. Section 17(d) of the Act and rule 17d-1 under the Act prohibit an affiliated person of a registered investment company or an affiliated person of such person, acting as principal, from participating in or effecting any transaction in connection with any joint enterprise or joint arrangement in which the investment company participates unless the Commission issues an order permitting the transaction. In reviewing applications submitted under section 17(d) and rule 17d-1, the Commission considers whether the participation of the investment company in a joint enterprise or joint arrangement is consistent with the provisions, policies and purposes of the Act, and the extent to which the participation is on a basis different from or less advantageous than that of other participants.

2. Rule 17d-3 under the Act provides an exemption from section 17(d) and rule 17d-1 to permit open-end investment companies to enter into distribution arrangements pursuant to rule 12b-1 under the Act. Applicants request an order under section 17(d) and rule 17d-1 under the Act to permit the Fund to impose asset-based service and/or distribution fees. Applicants have agreed to comply with rules 12b-1 and 17d-3 as if those rules applied to closed-end investment companies, which they believe will resolve any concerns that might arise in connection with a Fund financing the distribution

of its shares through asset-based service and/or distribution fees.

3. For the reasons stated above, applicants submit that the exemptions requested under section 6(c) are necessary and appropriate in the public interest and are consistent with the protection of investors and the purposes fairly intended by the policy and provisions of the Act. Applicants further submit that the Funds' imposition of asset-based service and/or distribution fees is consistent with the provisions, policies and purposes of the Act and does not involve participation on a basis different from or less advantageous than that of other participants.

#### **Applicants' Condition**

The Fund agrees that any order granting the requested relief will be subject to the following condition:

Applicants will comply with the provisions of rules 6c-10, 12b-1, 17d-3, 18f-3, 22d-1, and where applicable, 11a-3 under the Act, as amended from time to time or replaced, as if those rules applied to closed-end management investment companies, and will comply with FINRA Rule 2341, as amended from time to time, as if that rule applied to all closed-end management investment companies.

For the Commission, by the Division of Investment Management, under delegated authority.

**Eduardo A. Aleman,**  
*Assistant Secretary.*

[FR Doc. 2017-12590 Filed 6-16-17; 8:45 am]

**BILLING CODE 8011-01-P**

## **SECURITIES AND EXCHANGE COMMISSION**

**[Release No. 34-80912; File No. SR-BatsBZX-2017-42]**

### **Self-Regulatory Organizations; Bats BZX Exchange, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change Related to Fees for Use on Bats BZX Exchange, Inc.**

June 13, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 1, 2017, Bats BZX Exchange, Inc. (the "Exchange" or "BZX") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II and III below, which Items have been prepared by the Exchange. The Exchange has designated the proposed rule change as

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

one establishing or changing a member due, fee, or other charge imposed by the Exchange under Section 19(b)(3)(A)(ii) of the Act<sup>3</sup> and Rule 19b-4(f)(2) thereunder,<sup>4</sup> which renders the proposed rule change effective upon filing with the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### **I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change**

The Exchange filed a proposal to amend the fee schedule applicable to Members<sup>5</sup> and non-Members of the Exchange pursuant to BZX Rules 15.1(a) and (c).

The text of the proposed rule change is available at the Exchange's Web site at [www.bats.com](http://www.bats.com), at the principal office of the Exchange, and at the Commission's Public Reference Room.

#### **II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B, and C below, of the most significant parts of such statements.

##### *A. Self-Regulatory Organization's Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change*

###### **1. Purpose**

The Exchange proposes to amend its fee schedule applicable to its equities trading platform ("BZX Equities") to: (i) Modify the rates associated with fee codes AA, BJ and RA; (ii) adopt new fee code IX; and (iii) increase the condition necessary to qualify for the enhanced rebate provided by the Step-Up tier under footnote 2. The Exchange notes that Bats EDGA Exchange, Inc. ("EDGA") is implementing certain pricing changes effective June 1, 2017, including modification of various fees and rebates to add and remove liquidity with a displayed or IOC order to a flat

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>4</sup> 17 CFR 240.19b-4(f)(2).

<sup>5</sup> The term "Member" is defined as "any registered broker or dealer that has been admitted to membership in the Exchange." See Exchange Rule 1.5(n).

fee of \$0.0003 per share to add or remove liquidity with a displayed or IOC order.<sup>6</sup> The proposed changes to AA, BJ, and RA are proposed in light of these changes.

#### Fee Code AA

The Exchange proposes to modify the rate associated with orders yielding fee code AA, which results from an order routed to EDGA using ALLB routing strategy,<sup>7</sup> from a \$0.0002 per share rebate to a fee of \$0.0003 per share for securities priced at or above \$1.00. The Exchange does not propose to modify the rate for orders yielding fee code AA for securities priced below \$1.00, which are currently not charged a fee nor provided a rebate.

#### Fee Code BJ

The Exchange proposes to modify the rate associated with orders yielding fee code BJ, which result from an order routed to EDGA using TRIM or TRIM2 routing strategies,<sup>8</sup> from a rebate of \$0.0002 per share to a fee of \$0.0003 per share for all securities (*i.e.*, those priced at or above \$1.00 and those priced below \$1.00).

#### Fee Code RA

The Exchange proposes to decrease the fee associated with orders yielding fee code RA, which results from an order routed to EDGA which adds liquidity, from a fee of \$0.0005 per share to a fee of \$0.0003 per share for securities priced at or above \$1.00. The Exchange does not propose to modify the rate for orders yielding fee code RA for securities priced below \$1.00, which are currently not charged a fee nor provided a rebate.

#### Fee Code IX

The Exchange proposes to adopt new fee code IX, which would be appended to all orders that are routed to the Investors Exchange, Inc. ("IEX") using the using TRIM or TRIM2 routing strategies. Orders yielding fee code IX will be charged a fee of \$0.0010 per

share for all securities (*i.e.*, those priced at or above \$1.00 and those priced below \$1.00). The Exchange notes that it has not previously included IEX on the routing tables for TRIM and TRIM2 but plans to do so effective June 1, 2017, and thus, that the proposed change is necessary to account for executions at IEX through such routing strategies.

#### Single MPID Investor Tier

The Exchange currently offers two Single MPID Investor Tiers under footnote 4, which provides an enhanced rebate of \$0.0031 or \$0.0027 per share for qualifying orders which yield fee codes B,<sup>9</sup> V,<sup>10</sup> or Y.<sup>11</sup> The distinction between the tiers under footnote 4 and other tiers offered by the Exchange, is that the volume measured to determine whether a Member qualifies is performed on an MPID by MPID basis. The Exchange proposes to modify the criteria necessary to achieve the Step-Up Add Tier as described below.

Currently, under the Step-Up Add Tier a Member may receive an enhanced rebate of \$0.0027 per share where the MPID has a Step-Up ADAV<sup>12</sup> from November 2016, greater than or equal to 500,000 shares. As amended a Member may receive an enhanced rebate of \$0.0027 per share where the MPID has a Step-Up ADAV from November 2016, greater than or equal to 750,000 shares.

#### Implementation Date

The Exchange proposes to implement the above changes to its fee schedule on June 1, 2017.

#### 2. Statutory Basis

The Exchange believes that the proposed rule changes are consistent with the objectives of Section 6 of the Act,<sup>13</sup> in general, and furthers the objectives of Section 6(b)(4),<sup>14</sup> in particular, as it is designed to provide for the equitable allocation of reasonable dues, fees and other charges among its Members and other persons using its facilities. The Exchange also notes that it operates in a highly-competitive market in which market participants can readily direct order flow to competing

venues if they deem fee levels at a particular venue to be excessive or incentives to be insufficient. Furthermore, the Exchange notes that routing through the Exchange's affiliate, Bats Trading, is voluntary.

#### Modification of the MPID Investor Step-Up Add Tier

The Exchange believes that the proposed modification to the tiered pricing structure is reasonable, fair and equitable, and non-discriminatory. The Exchange operates in a highly competitive market in which market participants may readily send order flow to many competing venues if they deem fees at the Exchange to be excessive or incentives provided to be insufficient. The proposed structure remains intended to attract order flow to the Exchange by offering market participants a competitive pricing structure. The Exchange believes it is reasonable to offer and incrementally modify incentives intended to help to contribute to the growth of the Exchange.

Volume-based pricing such as that proposed herein have been widely adopted by exchanges, including the Exchange, and are equitable because they are open to all Members on an equal basis and provide additional benefits or discounts that are reasonably related to: (i) The value to an exchange's market quality; (ii) associated higher levels of market activity, such as higher levels of liquidity provisions and/or growth patterns; and (iii) introduction of higher volumes of orders into the price and volume discovery processes.

The proposed modification of the Single MPID Step-Up Add Tier reinforces the purpose of the Single MPID Investor Tier by incentivizing Members to send additionally higher level of orders to the Exchange than was previously required. By applying the tier on a single MPID rather than across a Member's entire trading activity, the Exchange is also allowing more Members to potentially receive the enhanced rebates for their trading activity related to liquidity provision. Thus, the Exchange believes that the proposed modification to the tiered pricing structure under footnote 4 is a reasonable, equitable, and not an unfairly discriminatory allocation of fees and rebates because it will provide Members with an incentive to reach a higher thresholds on the Exchange by contributing a meaningful amount of order flow. The proposed modification is non-discriminatory because it applies and is available to all Members.

<sup>6</sup> See Press Release, Bats Announces Fee Overhaul of EDGA Equities Exchange (May 30, 2017), available at <http://ir.cboe.com/press-releases/2017/05-30-2017.aspx>.

<sup>7</sup> ALLB is a routing option under which the order checks the System for available shares and is then sent to the Bats BYX Exchange, Inc. ("BYX"), EDGA, and Bats EDGX Exchange, Inc. ("EDGX" collectively with the Exchange, BYX, and EDGA, the "BGM Affiliated Exchanges"). See the Exchange's routing strategies available at [http://cdn.batstrading.com/resources/features/bats\\_exchange\\_routing-strategies.pdf](http://cdn.batstrading.com/resources/features/bats_exchange_routing-strategies.pdf). See also Exchange Rule 11.13(b)(3).

<sup>8</sup> The TRIM and TRIM2 routing strategies focus on seeking execution of orders while minimizing execution costs by routing only to certain low cost execution venues on the Exchange's System routing table. *Id.*

<sup>9</sup> Fee code B is appended to displayed orders which add liquidity to Tape B and is provided a rebate of \$0.0025 per share.

<sup>10</sup> Fee code V is appended to displayed orders which add liquidity to Tape A and is provided a rebate of \$0.0020 per share.

<sup>11</sup> Fee code Y is appended to displayed orders which add liquidity to Tape C and is provided a rebate of \$0.0020 per share.

<sup>12</sup> "Step-Up ADAV" means ADAV in the relevant baseline month subtracted from current ADAV. See the Exchange's fee schedule available at [http://www.bats.com/us/equities/membership/fee\\_schedule/bzx/](http://www.bats.com/us/equities/membership/fee_schedule/bzx/).

<sup>13</sup> 15 U.S.C. 78f.

<sup>14</sup> 15 U.S.C. 78f(b)(4).

#### Fee Codes AA, BJ, and RA

As noted above, EDGA is implementing certain pricing changes effective June 1, 2017, including modification of various fees and rebates to and remove liquidity with a displayed or IOC order to a flat fee of \$0.0003 per share to add or remove liquidity with a displayed or IOC order.<sup>15</sup> The changes to fee codes AA, BJ, and RA are proposed in light of these changes and reflect a pass-through of the pricing provided by EDGA. As the pricing in securities priced at or above \$1.00 reflects the same pricing a Member would receive for participation on EDGA directly and the pricing in securities priced below \$1.00 is based on the current pricing model applied by the Exchange, the Exchange believes the proposed fees are reasonable and equitably allocated. The Exchange further believes the proposed fees are non-discriminatory because they apply uniformly to all Members.

#### Fee Code IX

As of August 19, 2016, IEX began charging a fee of \$0.0009 per share for orders which remove liquidity against non-displayed orders and no fee for orders that remove liquidity against displayed order.<sup>16</sup> Because the Exchange is not be able to control whether the order it routes to IEX executes against displayed or non-displayed liquidity, it therefore, believes it is equitable and reasonable to charge a fee for orders that yield fee code IX based on IEX's rates for removing non-displayed interest. The Exchange further believes that its proposal to charge a fee of \$0.0010 per share is equitable and reasonable because it accounts for the prices charged by IEX plus the additional operation expenses that would be incurred by the Exchange in routing orders to IEX. Furthermore, the Exchange notes that routing through Bats Trading is voluntary and Members may utilize other avenues to route orders to IEX, such as connecting to IEX directly. Lastly, the Exchange also believes that the proposed fee code is non-discriminatory because it applies uniformly to all Members.

#### B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition not

necessary or appropriate in furtherance of the purposes of the Act. The Exchange does not believe that any of the proposed changes to the Exchange's routing pricing burden competition, as they are based on the pricing on other venues. Similarly, the Exchange does not believe that the proposed change to the Exchange's tiered pricing structure burden competition, but instead, that they enhance competition as they are intended to increase the competitiveness of BZX by modifying pricing incentives in order to attract order flow and incentivize participants to increase their participation on the Exchange. The Exchange notes that it operates in a highly competitive market in which market participants can readily direct order flow to competing venues if they deem fee structures to be unreasonable or excessive. The Exchange does not believe the proposed amendments would burden intramarket competition as they would be available to all Members uniformly.

#### C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

The Exchange has not solicited, and does not intend to solicit, comments on this proposed rule change. The Exchange has not received any written comments from Members or other interested parties.

#### III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A) of the Act<sup>17</sup> and paragraph (f) of Rule 19b-4 thereunder.<sup>18</sup> At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

#### IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### Electronic Comments

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File No. SR-BatsBZX-2017-42 on the subject line.

#### Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File No. SR-BatsBZX-2017-42. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File No. SR-BatsBZX-2017-42, and should be submitted on or before July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>19</sup>

**Eduardo A. Aleman,**  
Assistant Secretary.

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**BILLING CODE 8011-01-P**

<sup>15</sup> See *supra*, note 6.

<sup>16</sup> See IEX fee schedule available at <https://iextrading.com/trading/#fee-schedule> (effective August 19, 2016). See also IEX Trading Alert #2016-036, Investors Exchange Fee Schedule Effective August 19, 2016, available at <https://iextrading.com/trading/alerts/2016/036/>.

<sup>17</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>18</sup> 17 CFR 240.19b-4(f).

<sup>19</sup> 17 CFR 200.30-3(a)(12).

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-80917; File No. SR-BOX-2017-20]

### Self-Regulatory Organizations; BOX Options Exchange LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend BOX Rule 7240 (Complex Orders) To Expand the Price Range Within Which Complex Orders Can Trade

June 13, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 2, 2017, BOX Options Exchange LLC (the “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Exchange filed the proposed rule change pursuant to Section 19(b)(3)(A)(iii) of the Act<sup>3</sup> and Rule 19b-4(f)(6) thereunder.<sup>4</sup> The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend BOX Rule 7240 (Complex Orders) to expand the price range within which Complex Orders can trade. The text of the proposed rule change is available from the principal office of the Exchange, at the Commission’s Public Reference Room and also on the Exchange’s Internet Web site at <http://boxexchange.com>.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The self-regulatory organization has prepared summaries, set forth in Sections A, B, and C below, of the most significant aspects of such statements.

#### A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes to amend BOX Rule 7240 (Complex Orders) to expand the price range within which Complex Orders can trade by allowing the execution of Complex Order trades on BOX Market LLC (“BOX”), the options trading facility of the Exchange, at prices equal to or better than the Extended cNBBO, as described below.

##### Background

Currently, on BOX, all inbound Complex Orders are filtered to ensure that each leg of a Complex Order will be executed at a price that is equal to or better than the National Best Bid or Offer (“NBBO”) and the BOX Best Bid or Offer (“BOX BBO”) for each of the component series. Specifically, if an inbound Complex Order is executable (against either opposite side Complex Orders on the Complex Order Book or interest on the BOX Book) on BOX, BOX will determine if the potential execution price is equal to or better than both cNBBO<sup>5</sup> and cBBO.<sup>6</sup> If so, the inbound Complex Order will be executed to the extent possible according to the priority described in Rule 7240(b)(3).

##### Proposal

The Exchange is now proposing that, with an inbound Complex Order on BOX, BOX will determine if the potential execution price is equal to or better than both Extended cNBBO, as described in greater detail below, and cBBO. If so, the inbound Complex Order will be executed to the extent possible according to the priority described in Rule 7240(b)(3). The Exchange notes that the Complex Order Filter was initially established to protect Participants from extreme or erroneous executions.<sup>7</sup> In practice, however, BOX Participants have expressed that the existing Complex Order Filter is too restrictive and prevents executions that would be allowed to execute on competing exchanges. The expanded price range proposed below is designed to support a fair and orderly market

<sup>5</sup> The term “cNBBO” means the best net bid and offer price for a Complex Order Strategy based on the NBBO for the individual options components of such Strategy. See Rule 7240(a)(3).

<sup>6</sup> The term “cBBO” means the best net bid and offer price for a Complex Order Strategy based on the BBO on the BOX Book for the individual options components of such Strategy. See Rule 7240(a)(1).

<sup>7</sup> See Securities Exchange Act Release No. 69419 (April 19, 2013), 78 FR 24449 (April 25, 2013) (Order Approving SR-BOX-2013-01).

addressing these concerns while continuing to mitigate the potential risk of executions at prices that are extreme or potentially erroneous. BOX will also continue to ensure that each leg of a Complex Order be executed at a price that is equal to or better than the BOX BBO for each of the component series but, as a result of the Extended cNBBO, BOX will no longer require that each leg of a Complex Order be executed at a price that is equal to or better than the NBBO.<sup>8</sup>

##### Extended cNBBO

The Extended cNBBO is the maximum net bid and offer execution price for a Complex Order Strategy.<sup>9</sup> The Extended cNBBO is calculated by subtracting the extended cNBBO Limit<sup>10</sup> from the cNBB<sup>11</sup> and adding the Extended cNBBO Limit to the cNBO.<sup>12</sup> In calculating the Extended cNBBO, each side of the Extended cNBBO is rounded to the nearest penny within the Extended cNBBO (*i.e.* the cNBB is rounded up to the nearest penny and the cNBO is rounded down to the nearest penny). The Extended cNBBO Limit is a percentage or an amount, whichever provides the less restrictive range (*i.e.* the widest range) when calculating the Extended cNBBO. The Exchange is proposing to make the parameters configurable, with a minimum percentage of 3% and maximum percentage of 50%; and a minimum amount of \$0.00 and maximum amount of \$1.00. However, the default Extended cNBBO Limit for all classes will be 5% of the cNBB or cNBO as applicable or \$0.05 whichever allows for the greater chance of execution. The default Extended cNBBO was determined based on industry standards and participant feedback.

The Exchange believes the proposed price protection parameters are reasonable and appropriate. The proposed Extended cNBBO filter is comparable to the price protections that are currently in place on other exchanges. The Exchange’s proposal is designed to provide flexibility in determining the acceptable execution range by allowing the acceptable

<sup>8</sup> See proposed Rule 7240(b)(3)(iii). Complex Orders will continue to be execute [sic] in accordance with the priority rules in BOX Rule 7240(b)(2).

<sup>9</sup> See proposed Rule 7240(a)(5).

<sup>10</sup> See proposed Rule 7240(a)(6).

<sup>11</sup> The term “cNBB” means the best net bid price for a Complex Order Strategy based on the NBBO for the individual options components of such Strategy. See Rule 7240(a)(2).

<sup>12</sup> The term “cNBO” means the best net offer price for a Complex Order Strategy based on the NBBO for the individual options components of such Strategy. See Rule 7240(a)(4).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A)(iii).

<sup>4</sup> 17 CFR 240.19b-4(f)(6).

execution range to be calculated using either a percentage amount or a dollar amount.<sup>13</sup> For example, by using a parameter that is a percentage of the cNBBO the Exchange is ensuring that the parameter accurately reflects the market for the Complex Order. However, this is not always an effective protection if the price of the order is relatively low because it results in a restrictive range as seen in Example 2 below.<sup>14</sup> Instead, the BOX Trading Host will determine the ranges associated with both parameters and apply the least restrictive option.

The Exchange may modify, based on market conditions (for example, volatility) and Participant feedback, the Extended cNBBO Limit with prior notice to the Participants via Regulatory Circular, provided that the Exchange provides Participants with at least two weeks notice.

**Complex Order Filter**

Currently, on BOX, all inbound Complex Orders to BOX are filtered to ensure that each leg of a Complex Order will be executed at a price that is equal to or better than the NBBO and the BOX BBO for each component series.

The Exchange proposes now to amend the cNBBO filter for the component series. Specifically, all inbound Complex Orders to BOX will be filtered to ensure that each leg of a Complex Order will be executed at a price that is equal to or better than the BOX BBO for each of the component series and the Extended cNBBO for the Complex Order Strategy.

The execution, exposure and cancellation of Complex Orders being filtered on BOX pursuant to Rule 7240(b)(3)(iii) will remain unchanged from current operations except that the calculation of cNBBO will be replaced with the proposed Extended cNBBO, which provides a wider range to allow executions.

The Exchange notes that amending the Complex Order Filter is a competitive change, and BOX believes that amending this feature will keep the Exchange in line with competing exchanges in the industry that have

<sup>13</sup> See e.g., Nasdaq OMX PHLX (“Phlx”) Rule 1098(h)(i) Acceptable Complex Execution Parameter and Chicago Board Options Exchange (“CBOE”) Rule 6.53C.08(e) Acceptable Range Parameter which both apply a percentage defined on an issue by issue basis; while MIAAX Options Exchange (“MIAAX”) Rule 518(c)(1)(iv) applies a specific dollar amount.

<sup>14</sup> MIAAX discussed this concern when justifying its use of a dollar value parameter for its comparable complex order price protection. See Securities Exchange Act Release No. 80089 (February 22, 2017), 82 FR 12153 (February 28, 2017) (Notice of Filing and Immediate Effectiveness SR-MIAAX-2017-06).

comparable price protections for complex orders.

**Implied Orders**

Currently, on BOX, an Implied Order is a Complex Order at the cNBBO, derived from the orders at the BBO on the BOX Book for each component leg of the Strategy, provided each component leg is at a price equal to the NBBO for that series. When an Implied Order is no longer at the cNBBO, the Implied Order will be removed and a new Implied Order will be generated, provided there is interest on the BOX Book to generate an Implied Order at the new cNBBO, provided each component leg is at a price equal to the NBBO for that series.

The Exchange is now proposing that the generation of an Implied Order will not be limited only to the cNBBO. Specifically, the Exchange is proposing to generate an Implied Order at or within the Extended cNBBO, rather than the cNBBO derived from the orders at the BBO on the BOX Book for each component leg of the Strategy.<sup>15</sup> In order to give effect to the new Extended cNBBO range, the Exchange will no longer require each component leg to be at a price equal to the NBBO for that series.

**Examples**

The following examples illustrate the effect of the proposed change on execution of Complex Orders on BOX.

**Example 1**

Prior to Proposed Change

- cNBBO for A+B is 137.40–137.60
- Order to sell 10 A+B at 144.00 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	144.00		
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10
<b>BOX Book Instrument B</b>			
10	11.00	17.00	10

*Result:* The incoming Complex Order is rejected because it would trade at a price (144.00) that is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53–144.48 (i.e.,  $(137.40 * .95) - (137.60 * 1.05)$ )

<sup>15</sup> See proposed Rule 7240(d).

- Alternative B: The Extended cNBBO for A+B based on amount is 137.35–137.65 (i.e.,  $(137.40 - .05) - (137.60 + .05)$ )
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53–144.48)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	144.00		
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10
<b>BOX Book Instrument B</b>			
10	11.00	17.00	10

*Result:* The incoming Complex Order is accepted because it would trade at a price (144.00) that is less than the maximum price range (144.48). The incoming Complex Order trades completely against the Complex Order to buy 10 at 144.00 on the Complex Order Book.

**Example 2**

Prior to the Proposed Change

- cNBBO for A+B is .07–0.10
- Order to sell 10 A+B at 0.12 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	0.12		
<b>BOX Book Instrument A</b>			
		0.30	10
<b>BOX Book Instrument B</b>			
		0.30	10

*Result:* The incoming Complex Order is rejected because it would trade at a price (0.12) that is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 0.07–0.10 (i.e.,  $(0.07 * .95) - (0.10 * 1.05)$ )
- Alternative B: The Extended cNBBO for A+B based on amount is 0.02–0.15 (i.e.,  $(0.07 - .05) - (0.10 + .05)$ )
- Alternative B’s calculation of the Extended cNBBO for A+B is used (0.02–0.15)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	0.12		

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument A</b>			
		0.30	10

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument B</b>			
		0.30	10

*Result:* The incoming Complex Order is accepted because it trades at a price (0.12) that is less than the maximum price range (0.15). The incoming Complex Order trades completely against the Complex Order to buy 10 at 0.12 on the Complex Order Book.

**Example 3**

Prior to the Proposed Change

- cNBBO for A+B is 137.40–137.60
- Order to buy 10 A+B at 144.48 is received
- NBBO for A is 124.50–124.60
- NBBO for B is 12.90–13.00

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10
<b>BOX Book Instrument B</b>			
10	11.00	16.48	10

*Result:* The incoming Complex Order is exposed by the system at 137.60 pursuant to Rule 7240 (b)(3)(iii)(B). If interest remains after the exposure, it will be posted to the Complex Order Book. If, however, the Participant submitting the Complex Order has elected not to have the Complex Order exposed, the Complex Order will be posted to the Complex Order Book or cancelled in accordance with the Participant’s instructions.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53 – 144.48 (i.e.,  $(137.40 \times .95) - (137.60 \times 1.05)$ )
- Alternative B: The Extended cNBBO for A+B based on amount is 137.35 – 137.65 (i.e.,  $(137.40 - .05) - (137.60 + .05)$ )
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53 – 144.48)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument B</b>			
10	11.00	16.48	10

*Result:* The incoming Complex Order trades at 144.48 against interest on the BOX Book for A and B, specifically against the order to sell 10 A at 128.00 and the order to sell 10 B at 16.48.

**Example 4**

Prior to the Proposed Change

- cNBBO for A+B is 137.40 – 137.60
- Market Order to buy 100 A+B

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
		144.00 145.00	10 10
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10
<b>BOX Book Instrument B</b>			
10	11.00	17.00	10

*Result:* The incoming Market Complex Order is exposed by the system at 137.60. If, however, the Participant submitting the Complex Order elected to not have the Complex Order be exposed, the Complex Order will be cancelled to avoid matching with the 10 A+B at 144 which is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53–144.48 (i.e.,  $(137.40 \times .95) - (137.60 \times 1.05)$ )
- Alternative B: The Extended cNBBO for A+B based on amount is 137.35–137.65 (i.e.,  $(137.40 - .05) - (137.60 + .05)$ )
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53–144.48)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
		144.00 145.00	10 10
<b>BOX Book Instrument A</b>			
10	120.00	128.00	10

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument B</b>			
10	11.00	17.00	10

*Result:* The incoming Market Order to buy 100 first trades against the 10 A+B at 144.00 which is less than the maximum price range (144.48). The remaining 90 quantity of the Market Order is exposed at 144.48, unless the Participant submitting the Complex Order has elected not to have the Complex Order exposed. If interest remains after the exposure, it will be canceled to avoid matching with the 10 A+B at 145 which is outside the Extended cNBBO.

**Example 5**

Prior to the Proposed Change

- cNBBO for A+2B is 150.30–153.70
- Order to sell 10 A+2B at 161.38 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+2B</b>			
10	161.38		
<b>BOX Book Instrument A</b>			
10	120.00	127.70	10
<b>BOX Book Instrument B</b>			
10	11.00	16.80	1

*Result:* The inbound Complex Order is rejected because it would trade at a price (161.38) outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+2B based on percentage is 142.79–161.38 (i.e.,  $(150.30 \times .95) - (153.70 \times 1.05)$ )
- Alternative B: The Extended cNBBO for A+2B based on amount is 150.25–153.75 (i.e.,  $(150.30 - .05) - (153.70 + .05)$ )
- Alternative A’s calculation of the Extended cNBBO for A+2B is used (142.79–161.38)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+2B</b>			
10	161.38		
<b>BOX Book Instrument A</b>			
10	120.00	127.70	10
<b>BOX Book Instrument B</b>			
10	11.00	16.80	1

*Result:* The incoming Complex Order is at the calculated Extended cNBBO (161.38) and therefore is accepted. The incoming Complex Order trades against the resting Complex Order to buy A+2B at 161.38.

**Example 6**

Prior to the Proposed Change

- cNBBO for A+B is 137.40 – 137.60
- Order to sell 10 A+B at 142.48 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	150.00		
<b>BOX Book Instrument A</b>			
<b>BOX Book Instrument B</b>			

*Result:* The incoming Complex Order is rejected because it would trade at a price (142.48) that is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53–144.48 (*i.e.*, (137.40\*.95) – (137.60\*1.05))
- Alternative B: The Extended cNBBO for A+B based on amount is 137.35–137.65 (*i.e.*, (137.40–.05) – (137.60 + .05))
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53–144.48)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	150.00		
<b>BOX Book Instrument A</b>			
<b>BOX Book Instrument B</b>			

*Result:* The incoming Complex Order trades against the resting Complex Order. Specifically, the incoming Complex Order executes against the resting Complex Order at 144.48 which is equal to the Extended cNBBO (144.48).

**Example 7**

Prior to the Proposed Change

- cNBBO for A+B is 137.40 – 137.60
- Order to sell 10 A+B at 145.00 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	150.00		
<b>BOX Book Instrument A</b>			
<b>BOX Book Instrument B</b>			

*Result:* The incoming Complex Order is rejected because it would trade at a price (145.00) that is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53 – 144.48 (*i.e.*, (137.40\*.95) – (137.60\*1.05))
- Alternative B: The Extended cNBBO for A+B based on amount is 137.35 – 137.65 (*i.e.*, (137.40–.05) – (137.60 + .05))
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53 – 144.48)

**BOX BOOK FOR COMPLEX ORDER A+B**

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	150.00		
<b>BOX Book Instrument A</b>			
<b>BOX Book Instrument B</b>			

**RESULT:** The incoming Complex Order is rejected because it would trade against the resting Complex Order at a price (145.00) outside the Extended cNBBO (144.48).

**Example 8**

Prior to the Proposed Change

- cNBBO for A+B is 137.40 – 137.60
- Order to sell 100 A+B at 130.40 is received

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	130.60		
<b>BOX Book Instrument A</b>			

Quantity	Buy	Sell	Quantity
<b>BOX Book Instrument B</b>			

*Result:* The incoming Complex Order would be exposed by the system at 137.40. If the Participant submitting the Complex Order has elected to not have the Complex Order exposed, the Complex Order will be cancelled. If interest remains after the exposure, it will be canceled to avoid matching with the 10 A+B at 130.60 which is outside the cNBBO.

After the Proposed Change

- Alternative A: The Extended cNBBO for A+B based on percentage is 130.53 – 144.48 (*i.e.*, (137.40\*.95) – (137.60\*1.05))
- Alternative B: The Extended cNBBO for A+B based on amount is 137.35 – 137.65 (*i.e.*, (137.40 – .05) – (137.60 + .05))
- Alternative A’s calculation of the Extended cNBBO for A+B is used (130.53 – 144.58)

Quantity	Buy	Sell	Quantity
<b>BOX Book for Complex Order A+B</b>			
10	130.60		
<b>BOX Book Instrument A</b>			
<b>BOX Book Instrument B</b>			

*Result:* The incoming Complex Order first trades against the resting Complex Order for 10 at 130.60 and then the remaining quantity is exposed. Specifically, the remaining 90 A+B is exposed at the Extended cNBBO (130.53). Any remaining quantity that is not executed at the end of the exposure period will be posted to the Complex Order Book.

**2. Statutory Basis**

The Exchange believes that the proposal is consistent with the requirements of Section 6(b) of the Securities Exchange Act of 1934 (the “Act”),<sup>16</sup> in general, and Section 6(b)(5)

<sup>16</sup> 15 U.S.C. 78f(b).



of the Act,<sup>17</sup> in particular, in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to foster cooperation and coordination with persons engaged in facilitating transactions in securities, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general to protect investors and the public interest. In particular, the Exchange proposes to allow Complex Orders to be executed on BOX in a wider range of prices, which will permit additional executions. As is apparent from the examples included in the description of purpose above, a number of Complex Order executions that would otherwise be made between willing buyers and sellers on BOX, but which are now rejected under the Exchange's current rules, will be allowed to execute under this proposed rule change.

The Exchange believes the proposal is an improvement over its current rules regarding Complex Orders and will benefit all market participants submitting Complex Order [sic] to BOX. As discussed above, the Exchange found that in practice, the current Complex Order Filter is too restrictive and prevents executions that Participants would otherwise want. The Exchange believes that the proposed change is designed to support a fair and orderly market by addressing the concerns expressed by BOX Participants, while continuing to mitigate the potential risk of executions at prices that are extreme or potentially erroneous. The Exchange believes that this rule filing is reasonable, equitable and not unfairly discriminatory to customers and Participants because, other than the expanded execution range represented by the Extended cNBBO, it follows the existing mechanics of the Exchange's existing Complex Order filter mechanism and the Exchange's existing Complex Order priority rules, each of which has previously been approved by the Commission. The Exchange further believes the proposal is not unfairly discriminatory because the benefits of the proposal on BOX are equally available to all Participants.

The Exchange believes this proposal will increase opportunities for execution of Complex Orders and orders on the BOX Book. Further, the Exchange believes the proposed Extended cNBBO will provide greater flexibility to Participants trading Complex Orders on BOX. The Exchange also believes the proposal will provide additional

opportunities for Participants to achieve better handling of Complex Orders and result in increased opportunities for execution. As a result, adopting this proposal to allow executions of Complex Orders within the Extended cNBBO will promote just and equitable principles of trade, foster cooperation and coordination with persons engaged in facilitating transactions in securities, and remove impediments to and perfect the mechanism of a free and open market and a national market system.

For the foregoing reasons, the Exchange believes this proposal is a reasonable modification to its rules, designed to facilitate increased interaction of Complex Orders on BOX, and to do so in a manner that maximizes opportunities for trade executions for Complex Orders. The Exchange believes it is appropriate and consistent with the Act to adopt the proposed rule changes.

#### *B. Self-Regulatory Organization's Statement on Burden on Competition*

The Exchange does not believe that the proposed rule change will impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act. Implementation of the proposed rule change will foster additional executions and enable greater competition among other competing exchanges that have comparable complex order filter provisions for the reasons set forth above. Further, the Exchange does not believe the proposed change will impose a burden on intramarket competition as the proposed change will affect all Participants equally.

#### *C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

The Exchange has neither solicited nor received comments on the proposed rule change.

### **III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

(a) This proposed rule change is filed pursuant to paragraph (A) of section 19(b)(3) of the Exchange Act<sup>18</sup> and Rule 19b-4(f)(6) thereunder.<sup>19</sup>

(b) This proposed rule change does not significantly affect the protection of investors or the public interest, does not impose any significant burden on competition, and, by its terms, does not become operative for 30 days after the date of the filing, or such shorter time as the Commission may designate if

consistent with the protection of investors and the public interest.<sup>20</sup>

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine whether the proposed rule should be approved or disapproved.

### **IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### *Electronic Comments*

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-BOX-2017-20 on the subject line.

#### *Paper Comments*

- Send paper comments in triplicate to Brent J. Fields, Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-BOX-2017-20. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public

<sup>20</sup> As required under Rule 19b-4(f)(6)(iii), the Exchange provided the Commission with written notice of its intent to file the proposed rule change, along with a brief description and the text of the proposed rule change, at least five business days prior to the date of filing of the proposed rule change, or such shorter time as designated by the Commission.

<sup>17</sup> 15 U.S.C. 78f(b)(5).

<sup>18</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>19</sup> 17 CFR 240.19b-4(f)(6).

Reference Room, 100 F Street NE., Washington, DC 20549 on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-BOX-2017-20, and should be submitted on or before July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>21</sup>

**Eduardo A. Aleman,**  
Assistant Secretary.

[FR Doc. 2017-12589 Filed 6-16-17; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-80911; File No. SR-BatsBZX-2017-30]

### Self-Regulatory Organizations; Bats BZX Exchange, Inc.; Notice of Filing of a Proposed Rule Change To Permit the Listing and Trading of Managed Portfolio Shares; and To List and Trade Shares of the Following Under Proposed Rule 14.11(k): ClearBridge Appreciation ETF; ClearBridge Large Cap ETF; ClearBridge MidCap Growth ETF; ClearBridge Select ETF; and ClearBridge All Cap Value ETF

June 13, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on June 1, 2017, Bats BZX Exchange, Inc. (the “Exchange” or “BZX”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange is proposing to adopt new Rule 14.11(k) to permit the listing and trading of Managed Portfolio Shares, which are shares of actively

managed exchange-traded funds for which the portfolio is disclosed in accordance with standard mutual fund disclosure rules. In addition, the Exchange proposes to list and trade shares of the following under proposed Rule 14.11(k): ClearBridge Appreciation ETF; ClearBridge Large Cap ETF; ClearBridge MidCap Growth ETF; ClearBridge Select ETF; and ClearBridge All Cap Value ETF.

The text of the proposed rule change is available at the Exchange’s Web site at [www.bats.com](http://www.bats.com), at the principal office of the Exchange, and at the Commission’s Public Reference Room.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B, and C below, of the most significant parts of such statements.

##### (A) Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

###### 1. Purpose

The Exchange proposes to add new Rule 14.11(k) for the purpose of permitting the listing and trading, or trading pursuant to unlisted trading privileges (“UTP”), of Managed Portfolio Shares, which are securities issued by an actively managed open-end investment management company.<sup>3</sup> In addition, the Exchange proposes to list and trade shares (“Shares”) of the following under proposed Rule 14.11(k): ClearBridge Appreciation ETF; ClearBridge Large Cap ETF; ClearBridge MidCap Growth ETF; ClearBridge Select ETF; and ClearBridge All Cap Value

<sup>3</sup> A Managed Portfolio Share is a security that represents an interest in an investment company registered under the Investment Company Act of 1940 (15 U.S.C. 80a-1) (“1940 Act”) organized as an open-end investment company or similar entity that invests in a portfolio of securities selected by its investment adviser consistent with its investment objectives and policies. In contrast, an open-end investment company that issues Index Fund Shares, listed and traded on the Exchange under Rule 14.11(c) (“Index ETFs”), seeks to provide investment results that correspond generally to the price and yield performance of a specific foreign or domestic stock index, fixed income securities index or combination thereof.

ETF (each, a “Fund” and, collectively, the “Funds”).

#### Proposed Listing Rules

Proposed Rule 14.11(k)(1) provides that the Exchange will consider for trading, whether by listing or pursuant to UTP, Managed Portfolio Shares that meet the criteria of Rule 14.11(k).

Proposed Rule 14.11(k)(2) provides that Rule 14.11(k) is applicable only to Managed Portfolio Shares and that, except to the extent inconsistent with Rule 14.11(k), or unless the context otherwise requires, the rules and procedures of the Exchange’s Board of Directors shall be applicable to the trading on the Exchange of such securities. Proposed Rule 14.11(k)(2) provides further that Managed Portfolio Shares are included within the definition of “security” or “securities” as such terms are used in the Rules of the Exchange.

Proposed Rule 14.11(k)(2)(A) provides that the Exchange will file separate proposals under Section 19(b) of the Act before the listing and trading of Managed Portfolio Shares. All statements or representations contained in such rule filing regarding (a) the description of the portfolio or reference asset, (b) limitations on portfolio holdings or reference assets, or (c) the applicability of Exchange listing rules specified in such rule filing will constitute continued listing requirements. An issuer of such securities must notify the Exchange of any failure to comply with such continued listing requirements.

Proposed Rule 14.11(k)(2)(B) provides that transactions in Managed Portfolio Shares will occur only during Regular Trading Hours.<sup>4</sup>

Proposed Rule 14.11(k)(2)(C) provides that the Exchange will implement written surveillance procedures for Managed Portfolio Shares.

Proposed Rule 14.11(k)(2)(D) provides that Authorized Participants (as defined in the Investment Company’s Form N-1A filed with the SEC) redeeming Managed Portfolio Shares will sign an agreement with an agent (“Trusted Agent”) to establish a confidential account for the benefit of such Authorized Participant that will receive all consideration from the issuer in a redemption. A Trusted Agent may not disclose the consideration received in a redemption except as required by law or as provided in the Investment Company’s Form N-1A, as applicable.

Proposed Rule 14.11(k)(2)(E) provides that, if the investment adviser to the

<sup>4</sup> As defined in Rule 1.5(w), the term “Regular Trading Hours” means the time between 9:30 a.m. and 4:00 p.m. Eastern Time.

<sup>21</sup> 17 CFR 200.30-3(a)(12).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

Investment Company issuing Managed Portfolio Shares is affiliated with a broker-dealer, or if any Trusted Agent is registered as a broker-dealer or is affiliated with a broker-dealer, such investment adviser or Trusted Agent will erect and maintain a “fire wall” between the investment adviser or Trusted Agent and (i) personnel of the broker-dealer or broker-dealer affiliate, as applicable, or (ii) the Authorized Participant or non-Authorized Participant market maker, as applicable, with respect to access to information concerning the composition and/or changes to such Investment Company portfolio. Personnel who make decisions on the Investment Company’s portfolio composition must be subject to procedures designed to prevent the use and dissemination of material nonpublic information regarding the applicable Investment Company portfolio.

Proposed Rule 14.11(k)(3)(A) defines the term “Managed Portfolio Share” as a security that (a) is issued by a registered investment company (“Investment Company”) organized as an open-end management investment company or similar entity, that invests in a portfolio of securities selected by the Investment Company’s investment adviser consistent with the Investment Company’s investment objectives and policies; and (b) when aggregated in a number of shares equal to a Redemption Unit or multiples thereof, may be redeemed at the request of an Authorized Participant (as defined in the Investment Company’s Form N-1A filed with the SEC), which Authorized Participant will be paid, through its own separate confidential account established for its benefit, a portfolio of securities and/or cash with a value equal to the next determined net asset value (“NAV”).

Proposed Rule 14.11(k)(3)(B) defines the term “Verified Intraday Indicative Value (“VIIV”) as the estimated indicative value of a Managed Portfolio Share based on all of the issuer’s holdings as of the close of business on the prior business day, priced and disseminated in at least one second intervals, and subject to validation by a pricing verification agent of the Investment Company that is responsible for comparing multiple independent pricing sources to establish the accuracy of the VIIV.

Proposed Rule 14.11(k)(3)(C) defines the term “Redemption Unit” as a specified number of Managed Portfolio Shares.

Proposed Rule 14.11(k)(3)(D) defines the term “Reporting Authority” in respect of a particular series of Managed

Portfolio Shares as a reporting service designated by the issuer as the official source for calculating and reporting information relating to such series, including, but not limited to, the VIIV, NAV, or other information relating to the issuance, redemption or trading of Managed Portfolio Shares. A series of Managed Portfolio Shares may have more than one Reporting Authority, each having different functions.

Proposed Rule 14.11(k)(4) sets forth initial and continued listing criteria applicable to Managed Portfolio Shares. Proposed Rule 14.11(k)(4)(A)(i) provides that, for each series of Managed Portfolio Shares, the Exchange will establish a minimum number of Managed Portfolio Shares required to be outstanding at the time of commencement of trading on the Exchange. In addition, proposed Rule 14.11(k)(4)(A)(ii) provides that the Exchange will obtain a representation from the issuer of each series of Managed Portfolio Shares that the NAV per share for the series will be calculated daily and that the NAV will be made available to all market participants at the same time.<sup>5</sup>

Proposed Rule 14.11(k)(4)(B) provides that each series of Managed Portfolio Shares will be listed and traded subject to application of the following continued listing criteria. Proposed Rule 14.11(k)(4)(B)(i) provides that the VIIV for Managed Portfolio Shares will be widely disseminated by one or more major market data vendors at least every second during Regular Trading Hours. Proposed Rule 14.11(k)(4)(B)(ii) provides that the Exchange will maintain surveillance procedures for securities listed under Rule 14.11(k) and will consider the suspension of trading in, and will commence delisting proceedings under Rule 14.12 of, a series of Managed Portfolio Shares under any of the following circumstances: (a) If, following the initial twelve-month period after commencement of trading on the Exchange of a series of Managed Portfolio Shares, there are fewer than 50 beneficial holders of the series of Managed Portfolio Shares; (b) if the value of the VIIV is no longer calculated or made available to all market participants at the same time; (c) if the Investment Company issuing the Managed Portfolio Shares has failed to file any filings required by the

<sup>5</sup> Proposed Rule 14.11(k)(4) provides that if the Exchange becomes aware that the net asset value with respect to a series of Managed Portfolio Shares is not disseminated to all market participants at the same time, it will halt trading in such series until such time as the net asset value is available to all market participants.

Commission or if the Exchange is aware that the Investment Company is not in compliance with the conditions of any exemptive order or no-action relief granted by the Securities and Exchange Commission to the Investment Company with respect to the series of Managed Portfolio Shares; (d) if any of the continued listing requirements set forth in Rule 14.11(k) are not continuously maintained; (e) if any of the statements or representations in the rule filing submitted by the Exchange pursuant to Section 19(b) of the Act to permit the listing and trading of a series of Managed Portfolio Shares regarding (i) the description of the portfolio or reference asset, (ii) limitations on portfolio holdings or reference assets, or (iii) the applicability of Exchange listing rules specified in such rule filing are not continuously maintained; or (f) if such other event shall occur or condition exists which, in the opinion of the Exchange, makes further dealings on the Exchange inadvisable.

Proposed Rule 14.11(k)(4)(B)(iii) provides that, upon notification to the Exchange by the Investment Company or its agent that (i) the prices from the multiple independent pricing sources to be validated by the Investment Company’s pricing verification agent differ by more than 25 basis points for 60 seconds in connection with pricing of the VIIV, or (ii) that the VIIV of a series of Managed Portfolio Shares is not being priced and disseminated in at least one-second intervals, as required, the Exchange shall halt trading in the Managed Portfolio Shares as soon as practicable. Such halt in trading shall continue until the Investment Company or its agent notifies the Exchange that the prices from the independent pricing sources no longer differ by more than 25 basis points for 60 seconds or that the VIIV is being priced and disseminated as required. The Investment Company or its agent shall be responsible for monitoring that the VIIV is being priced and disseminated as required and whether the prices to be validated from multiple independent pricing sources differ by more than 25 basis points for 60 seconds. With respect to series of Managed Portfolio Shares trading on the Exchange pursuant to unlisted trading privileges, if a temporary interruption occurs in the pricing or dissemination of the applicable Verified Intraday Indicative Value and the listing market halts trading in such series, the Exchange, upon notification by the listing market of such halt due to such temporary interruption, will halt trading in such series. In addition, if the Exchange becomes aware that the NAV

with respect to a series of Managed Portfolio Shares is not disseminated to all market participants at the same time, it will halt trading in such series until such time as the NAV is available to all market participants.

Proposed Rule 14.11(k)(4)(B)(iv) provides that, upon termination of an Investment Company, the Exchange requires that Managed Portfolio Shares issued in connection with such entity be removed from listing on the Exchange.

Proposed Rule 14.11(k)(4)(B)(v) provides that voting rights shall be as set forth in the applicable Investment Company prospectus.

Proposed Rule 14.11(k)(4)(B)(vi), which relates to limitation of Exchange liability, provides that neither the Exchange, the Reporting Authority, nor any agent of the Exchange shall have any liability for damages, claims, losses or expenses caused by any errors, omissions, or delays in calculating or disseminating any current portfolio value; the VIIV; the current value of the portfolio of securities required to be deposited to the open-end management investment company in connection with issuance of Managed Portfolio Shares; the amount of any dividend equivalent payment or cash distribution to holders of Managed Portfolio Shares; NAV; or other information relating to the purchase, redemption, or trading of Managed Portfolio Shares, resulting from any negligent act or omission by the Exchange, the Reporting Authority or any agent of the Exchange, or any act, condition, or cause beyond the reasonable control of the Exchange, its agent, or the Reporting Authority, including, but not limited to, an act of God; fire; flood; extraordinary weather conditions; war; insurrection; riot; strike; accident; action of government; communications or power failure; equipment or software malfunction; or any error, omission, or delay in the reports of transactions in one or more underlying securities.

#### Key Features of Managed Portfolio Shares

While funds issuing Managed Portfolio Shares will be actively-managed and, to that extent, will be similar to Managed Fund Shares, Managed Portfolio Shares differ from Managed Fund Shares in the following important respects. First, in contrast to Managed Fund Shares, which are actively-managed funds listed and traded under Rule 14.11(i)<sup>6</sup> and for

<sup>6</sup> The Commission has previously approved listing and trading on the Exchange of a number of issues of Managed Fund Shares under Rule 14.11(i). See, e.g., Securities Exchange Act Release Nos.

which a “Disclosed Portfolio” is required to be disseminated at least once daily,<sup>7</sup> the portfolio for an issue of Managed Portfolio Shares will be disclosed quarterly in accordance with normal disclosure requirements otherwise applicable to open-end investment companies registered under the 1940 Act.<sup>8</sup> Second, in connection with the redemption of shares in “Redemption Unit” size (as described below), the delivery of any portfolio securities in kind will generally be effected through a “Confidential Account” (as described below) for the benefit of the redeeming “Authorized Participant” (as described below in “Creation and Redemption of Shares”) without disclosing the identity of such securities to the Authorized Participant.

For each series of Managed Portfolio Shares, an estimated value—the VIIV—that reflects an estimated intraday value of a fund’s portfolio will be disseminated.

With respect to the Funds, the VIIV will be based upon all of a Fund’s holdings as of the close of the prior business day and will be widely disseminated by one or more major market data vendors at least every second during Regular Trading Hours. The dissemination of the VIIV will allow investors to determine the estimated intra-day value of the underlying portfolio of a series of Managed Portfolio Shares and will provide a close estimate of that value

74193 (February 3, 2015), 80 FR 7066 (February 9, 2015) (SR-BATS-2014-054) (order approving the listing and trading of the iShares Short Maturity Municipal Bond Fund); 74297 (February 18, 2015), 80 FR 9788 (February 24, 2015) (SR-BATS-2014-056) (order approving the listing and trading of iShares U.S. Fixed Income Balanced Risk Fund). More recently, the Commission approved a proposed rule change to adopt generic listing standards for Managed Fund Shares. See Securities Exchange Act Release No. 78396 (July 22, 2016), 81 FR 49698 (July 28, 2016) (SR-BATS-2015-100) (order approving proposed rule change to amend Rule 14.11(i) to adopt generic listing standards for Managed Fund Shares).

<sup>7</sup> BZX Rule 14.11(i)(3)(B) defines the term “Disclosed Portfolio” as the identities and quantities of the securities and other assets held by the Investment Company that will form the basis for the Investment Company’s calculation of net asset value at the end of the business day. Rule 14.11(i)(4)(B)(ii)(a) requires that the Disclosed Portfolio will be disseminated at least once daily and will be made available to all market participants at the same time.

<sup>8</sup> A mutual fund is required to file with the Commission its complete portfolio schedules for the second and fourth fiscal quarters on Form N-CSR under the 1940 Act, and is required to file its complete portfolio schedules for the first and third fiscal quarters on Form N-Q under the 1940 Act, within 60 days of the end of the quarter. Form N-Q requires funds to file the same schedules of investments that are required in annual and semi-annual reports to shareholders. These forms are available to the public on the Commission’s Web site at [www.sec.gov](http://www.sec.gov).

throughout the trading day. The VIIV should not be viewed as a “real-time” update of the NAV per Share of each Fund because the VIIV may not be calculated in the same manner as the NAV, which will be computed once a day, generally at the end of the business day. Unlike the VIIV, which will be based on consolidated midpoint of the bid ask spread, the NAV per Share will be based on the closing price on the primary market for each portfolio security. If there is no closing price for a particular portfolio security, such as when it is the subject of a trading halt, a Fund will use fair value pricing. That fair value pricing will be carried over to the next day’s VIIV until the first trade in that stock is reported unless the “Adviser” (defined below) deems a particular portfolio security to be illiquid and/or the available ongoing pricing information unlikely to be reliable. In such case, that fact will be immediately disclosed on each Fund’s Web site, including the identity and weighting of that security in a Fund’s portfolio, and the impact of that security on VIIV calculation, including the fair value price for that security being used for the calculation of that day’s VIIV.

The Exchange, after consulting with various Lead Market Makers that trade exchange-traded funds (“ETFs”) on the Exchange, believes that market makers will be able to make efficient and liquid markets priced near the VIIV as long as a VIIV is disseminated at least every second, market makers have knowledge of a Fund’s means of achieving its investment objective, and market makers are permitted to engage in “Bona Fide Arbitrage,” as described below. The Exchange believes that market makers will employ Bona Fide Arbitrage in addition to risk-management techniques such as “statistical arbitrage,” which is currently used throughout the financial services industry, to make efficient markets in exchange-traded products.<sup>9</sup> This ability should permit market makers to make

<sup>9</sup> Statistical arbitrage enables a trader to construct an accurate proxy for another instrument, allowing it to hedge the other instrument or buy or sell the instrument when it is cheap or expensive in relation to the proxy. Statistical analysis permits traders to discover correlations based purely on trading data without regard to other fundamental drivers. These correlations are a function of differentials, over time, between one instrument or group of instruments and one or more other instruments. Once the nature of these price deviations have been quantified, a universe of securities is searched in an effort to, in the case of a hedging strategy, minimize the differential. Once a suitable hedging proxy has been identified, a trader can minimize portfolio risk by executing the hedging basket. The trader then can monitor the performance of this hedge throughout the trade period making correction where warranted.

efficient markets in an issue of Managed Portfolio Shares without precise knowledge of a Fund's underlying portfolio.<sup>10</sup>

To enable market makers to engage in Bona Fide Arbitrage, on each "Business Day" (as defined below), before commencement of trading in Shares on the Exchange, the Funds will provide to a "Trusted Agent" (as described below) of each Authorized Participant or "Non-Authorized Participant Market Maker"<sup>11</sup> the identities and quantities of portfolio securities that will form the basis for a Fund's calculation of NAV per Share at the end of the Business Day, as well as the names and quantities of the instruments comprising a "Creation Basket" and the estimated "Balancing Amount" (if any) (as described below), for that day. This information will permit Authorized Participants to purchase "Creation Units" through an in-kind transaction with a Fund, as described below.

In addition, Authorized Participants will be able to instruct the Trusted Agent to buy or sell portfolio securities during the day and thereby engage in Bona Fide Arbitrage throughout the trading day. For example, if an Authorized Participant believes that Shares of a Fund are trading at a price that is higher than the value of its underlying portfolio based on the VIIV, the Authorized Participant may sell Shares short and instruct the Trusted Agent to buy portfolio securities for its Confidential Account. When the market price of a Fund's Shares falls in line with the value of the portfolio, the Authorized Participant can then close out its positions in both the Shares and the portfolio securities. The Authorized Participant's purchase of the portfolio securities into its Confidential Account, combined with the sale of Shares, may also create downward pressure on the price of Shares and/or upward pressure on the price of the portfolio securities, bringing the market price of Shares and the value of a Fund's portfolio securities closer together. Similarly, an Authorized Participant could buy Shares and instruct the Trusted Agent to sell the underlying portfolio securities from its Confidential Account in an

attempt to profit when a Fund's Shares are trading at a discount to its portfolio. The Authorized Participant's purchase of a Fund's Shares in the secondary market, combined with the sale of the portfolio securities from its Confidential Account, may also create upward pressure on the price of Shares and/or downward pressure on the price of portfolio securities, driving the market price of Shares and the value of a Fund's portfolio securities closer together. The Adviser represents that it understands that, other than the confidential nature of the account, this process is identical to how many Authorized Participants currently arbitrage existing traditional ETFs.

Because other market participants can also engage in arbitrage activity without using the creation or redemption processes described above, the Confidential Account structure will be made available to any Non-Authorized Participant Market Maker that is willing to establish a Confidential Account. In that case, if a market participant believes that a Fund is overvalued relative to its underlying assets, the market participant may sell short Shares and instruct its Trusted Agent to buy portfolio securities in its Confidential Account, wait for the trading prices to move toward parity, and then close out the positions in both the Shares and the portfolio securities to realize a profit from the relative movement of their trading prices. Similarly, a market participant could buy Shares and instruct the Trusted Agent to sell the underlying portfolio securities in an attempt to profit when a Fund's Shares are trading at a discount to a Fund's underlying or reference assets. Any investor that is willing to transact through a broker-dealer that has established a Confidential Account with a Trusted Agent will have the same opportunity to engage in arbitrage activity. As discussed above, the trading of a Fund's Shares and the Fund's portfolio securities may bring the prices of a Fund's Shares and its portfolio assets closer together through market pressure. This type of arbitrage is referred to herein as "Bona Fide Arbitrage."

The Exchange understands that traders use statistical analysis to derive correlations between different sets of instruments to identify opportunities to buy or sell one set of instruments when it is mispriced relative to the others. For Managed Portfolio Shares, market makers, in addition to employing Bona Fide Arbitrage, may use the knowledge of a Fund's means of achieving its investment objective, as described in the applicable Fund registration statement,

to construct a hedging proxy for a Fund to manage a market maker's quoting risk in connection with trading Fund Shares. Market makers can then conduct statistical arbitrage between their hedging proxy (for example, the Russell 1000 Index) and Shares of a Fund, buying and selling one against the other over the course of the trading day. They will evaluate how their proxy performed in comparison to the price of a Fund's Shares, and use that analysis as well as knowledge of risk metrics, such as volatility and turnover, to enhance their proxy calculation to make it a more efficient hedge.

Market makers not intending to utilize Bona Fide Arbitrage have indicated to the Exchange that there will be sufficient data to run a statistical analysis which will lead to spreads being tightened substantially around the VIIV. This is similar to certain other existing exchange traded products (for example, ETFs that invest in foreign securities that do not trade during U.S. trading hours), in which spreads may be generally wider in the early days of trading and then narrow as market makers gain more confidence in their real-time hedges.

#### Description of the Funds and the Trust

The Shares of each Fund will be issued by Precidian ETF Trust II ("Trust"), a statutory trust organized under the laws of the State of Delaware and registered with the Commission as an open-end management investment company.<sup>12</sup> The investment adviser to the Trust will be Precidian Funds LLC (the "Adviser"). The Sub-Adviser to each of the Funds will be ClearBridge Investments, LLC (the "Sub-Adviser" or "ClearBridge") Legg Mason Investor Services, LLC (the "Distributor") will serve as the distributor of each of the Fund's Shares. All statements and representations made in this filing regarding (a) the description of the portfolio or reference asset, (b) limitations on portfolio holdings or reference assets, or (c) the applicability of Exchange listing rules shall constitute continued listing requirements for listing the Shares on the Exchange.

As noted above, proposed Rule 14.11(k)(2)(E) provides that, if the

<sup>10</sup> Authorized Participants and other broker-dealers that enter into their own separate Confidential Accounts shall have enough information to ensure that they are able to comply with applicable regulatory requirements. For example, for purposes of net capital requirements, the maximum Securities Haircut applicable to the securities in a Creation Basket, as determined under Rule 15c3-1, will be disclosed daily on each Fund's Web site.

<sup>11</sup> A Non-Authorized Participant Market Maker is a market participant that makes a market in Shares, but is not an Authorized Participant.

<sup>12</sup> The Trust will be registered under the 1940 Act. On April 4, 2017, the Trust filed a registration statement on Form N-1A relating to the Funds (File No. 811-23246) (the "Registration Statement"). The Shares will not be listed on the Exchange until an order ("Exemptive Order") under the 1940 Act has been issued by the Commission with respect to the Exemptive Application. Investments made by the Funds will comply with the conditions set forth in the Exemptive Order. The description of the operation of the Trust and the Funds herein is based, in part, on the Registration Statement.

investment adviser to the Investment Company issuing Managed Portfolio Shares is affiliated with a broker-dealer, or if any Trusted Agent is registered as a broker-dealer or is affiliated with a broker-dealer, such investment adviser or Trusted Agent will erect and maintain a “fire wall” between the investment adviser or Trusted Agent and (i) personnel of the broker-dealer or broker-dealer affiliate, as applicable, or (ii) the Authorized Participant or non-Authorized Participant market maker, as applicable, with respect to access to information concerning the composition and/or changes to such Investment Company portfolio. Personnel who make decisions on the Investment Company’s portfolio composition must be subject to procedures designed to prevent the use and dissemination of material nonpublic information regarding the applicable Investment Company portfolio.<sup>13</sup> In addition, proposed Rule 14.11(k)(2)(E) further requires that personnel who make decisions on the Investment Company’s portfolio composition must be subject to procedures designed to prevent the use and dissemination of material nonpublic information regarding the open-end fund’s portfolio. Proposed Rule 14.11(k)(2)(E) is nearly identical to Rule 14.11(i)(7), related to Managed Fund Shares, and similar to Rule 14.11(c)(5)(A)(i), related to Index Fund Shares, except that proposed Rule 14.11(k)(2)(E) relates to the establishment of a “fire wall” between the investment adviser and the broker-dealer as applicable to an Investment Company’s portfolio, not an underlying benchmark index, as is the case with index-based funds. The Adviser is not

<sup>13</sup> An investment adviser to an open-end fund is required to be registered under the Investment Advisers Act of 1940 (the “Advisers Act”). As a result, the Adviser and the Sub-Adviser and their respective related personnel will be subject to the provisions of Rule 204A-1 under the Advisers Act relating to codes of ethics. This Rule requires investment advisers to adopt a code of ethics that reflects the fiduciary nature of the relationship to clients as well as compliance with other applicable securities laws. Accordingly, procedures designed to prevent the communication and misuse of non-public information by an investment adviser must be consistent with Rule 204A-1 under the Advisers Act. In addition, Rule 206(4)-7 under the Advisers Act makes it unlawful for an investment adviser to provide investment advice to clients unless such investment adviser has (i) adopted and implemented written policies and procedures reasonably designed to prevent violations, by the investment adviser and its supervised persons, of the Advisers Act and the Commission rules adopted thereunder; (ii) implemented, at a minimum, an annual review regarding the adequacy of the policies and procedures established pursuant to subparagraph (i) above and the effectiveness of their implementation; and (iii) designated an individual (who is a supervised person) responsible for administering the policies and procedures adopted under subparagraph (i) above.

registered as a broker-dealer or affiliated with a broker-dealer. The Sub-Adviser is not registered as a broker-dealer, but is affiliated with a broker-dealer and has implemented a “fire wall” with respect to such broker-dealer regarding access to information concerning the composition and/or changes to a Fund’s portfolio.

In the event (a) the Adviser or Sub-Adviser becomes registered as a broker-dealer or becomes newly affiliated with a broker-dealer, or (b) any new adviser or sub-adviser is a registered broker-dealer or becomes affiliated with a broker-dealer, it will implement a fire wall with respect to its relevant personnel or its broker-dealer affiliate regarding access to information concerning the composition and/or changes to the portfolio, and will be subject to procedures designed to prevent the use and dissemination of material non-public information regarding such portfolio.

The portfolio for each Fund will consist primarily of long and/or short positions in U.S. exchange-listed securities and shares issued by other U.S. exchange-listed ETFs.<sup>14</sup> All exchange-listed equity securities in which the Funds will invest will be listed and traded on U.S. national securities exchanges.

#### Description of the Funds

##### ClearBridge Appreciation ETF

The ClearBridge Appreciation ETF will seek to provide long-term appreciation of shareholders’ capital. The Fund will seek to achieve its investment objective by investing primarily in U.S. exchange-listed equity securities. The fund will typically invest in medium and large capitalization companies, but may also invest in small capitalization companies.

##### ClearBridge Large Cap ETF

The ClearBridge Large Cap ETF will seek long-term capital appreciation. The Fund will seek to achieve its investment objective by taking long and possibly short positions in equity securities or groups of equities that the portfolio managers believe will provide long term capital appreciation. The Fund normally invests at least 80% of its net assets (plus borrowings for investment purposes) in stocks included in the

<sup>14</sup> For purposes of describing the holdings of the Funds, ETFs include Portfolio Depository Receipts (as described in Rule 14.11(b)); Index Fund Shares (as described in Rule 14.11(c)); and Managed Fund Shares (as described in Rule 14.11(i)). The ETFs in which a Fund will invest all will be listed and traded on national securities exchanges. While the Funds may invest in inverse ETFs, the Funds will not invest in leveraged (e.g., 2X, -2X, 3X or -3X) ETFs

Russell 1000 Index and ETFs that primarily invest in stocks in the Russell 1000 Index. The Fund purchases securities that the Sub-Adviser believes are undervalued, and sells short securities that it believes are overvalued.

##### ClearBridge Mid Cap Growth ETF

The ClearBridge Mid Cap Growth ETF will seek long-term growth of capital. The Fund will seek to achieve its investment objective by investing primarily in U.S. exchange-listed, publicly traded equity and equity-related securities of U.S. companies or other instruments with similar economic characteristics. The fund may invest in securities of issuers of any market capitalization.

##### ClearBridge Select ETF

The ClearBridge Select ETF will seek to provide long-term growth of capital. The Fund will seek to achieve its investment objective by investing primarily in U.S. exchange-listed, publicly traded equity and equity-related securities of U.S. companies or other instruments with similar economic characteristics. The fund may invest in securities of issuers of any market capitalization.

##### ClearBridge All Cap Value ETF

The ClearBridge All Cap Value ETF will seeks long-term capital growth with current income as a secondary consideration. The Fund will seek to achieve its investment objective by investing primarily in common stocks and common stock equivalents, such as preferred stocks and securities convertible into common stocks, of companies the Sub-Adviser believes are undervalued in the marketplace. The Fund may invest up to 25% of its net assets in equity securities of foreign issuers through U.S. exchange-listed depositary receipts.

#### Other Investments

While each Fund, under normal market conditions, will invest primarily in U.S. exchange-listed securities, as described above, each Fund may invest its remaining assets in other securities and financial instruments, as described below.

According to the Registration Statement, each Fund may enter into repurchase agreements. It will be the policy of the Trust to enter into repurchase agreements only with recognized securities dealers, banks and Fixed Income Clearing Corporation, a securities clearing agency registered with the Commission.

Each Fund may invest up to 5% of its total assets in warrants, rights and options.

Each Fund may invest a portion of its assets in cash or cash equivalents.<sup>15</sup>

Each Fund may invest in the securities of other investment companies (including money market funds) to the extent allowed by law.

#### Investment Restrictions

Each Fund may invest up to an aggregate amount of 15% of its net assets in illiquid assets (calculated at the time of investment),<sup>16</sup> consistent with Commission guidance. Each Fund will monitor its portfolio liquidity on an ongoing basis to determine whether, in light of current circumstances, an adequate level of liquidity is being maintained, and will consider taking appropriate steps in order to maintain adequate liquidity if, through a change in values, net assets, or other circumstances, more than 15% of a Fund's net assets are invested in illiquid assets. Illiquid assets include securities subject to contractual or other restrictions on resale and other instruments that lack readily available markets as determined in accordance with Commission staff guidance.<sup>17</sup>

<sup>15</sup> For purposes of this filing, cash equivalents include short-term instruments (instruments with maturities of less than 3 months) of the following types: (i) U.S. Government securities, including bills, notes and bonds differing as to maturity and rates of interest, which are either issued or guaranteed by the U.S. Treasury or by U.S. Government agencies or instrumentalities; (ii) certificates of deposit issued against funds deposited in a bank or savings and loan association; (iii) bankers' acceptances, which are short-term credit instruments used to finance commercial transactions; (iv) repurchase agreements and reverse repurchase agreements; (v) bank time deposits, which are monies kept on deposit with banks or savings and loan associations for a stated period of time at a fixed rate of interest; (vi) commercial paper, which are short-term unsecured promissory notes; and (vii) money market funds.

<sup>16</sup> In reaching liquidity decisions, the Adviser may consider the following factors: The frequency of trades and quotes for the security; the number of dealers wishing to purchase or sell the security and the number of other potential purchasers; dealer undertakings to make a market in the security; and the nature of the security and the nature of the marketplace in which it trades (e.g., the time needed to dispose of the security, the method of soliciting offers and the mechanics of transfer).

<sup>17</sup> The Commission has stated that long-standing Commission guidelines have required open-end funds to hold no more than 15% of their net assets in illiquid securities and other illiquid assets. See Investment Company Act Release No. 28193 (March 11, 2008), 73 FR 14618 (March 18, 2008), footnote 34. See also, Investment Company Act Release No. 5847 (October 21, 1969), 35 FR 19989 (December 31, 1970) (Statement Regarding "Restricted Securities"); Investment Company Act Release No. 18612 (March 12, 1992), 57 FR 9828 (March 20, 1992) (Revisions of Guidelines to Form N-1A). A fund's portfolio security is illiquid if it cannot be disposed of in the ordinary course of business within seven days at approximately the value

According to the Registration Statement, each Fund will seek to qualify for treatment as a Regulated Investment Company ("RIC") under the Internal Revenue Code.<sup>18</sup>

The Funds will not invest in securities listed on non-U.S. exchanges.

The Shares of each Fund will conform to the initial and continued listing criteria under proposed Rule 14.11(k). The Funds will not invest in futures, forwards or swaps.

Each Fund's investments will be consistent with its investment objective and will not be used to enhance leverage. While a Fund may invest in inverse ETFs, a Fund will not invest in leveraged (e.g., 2X, -2X, 3X or -3X) ETFs.

#### Creations and Redemptions of Shares

In connection with the creation and redemption of Creation Units (defined below), the delivery or receipt of any portfolio securities in-kind will be required to be effected through a separate confidential brokerage account (i.e., a Confidential Account) with a Trusted Agent,<sup>19</sup> which will be a bank or broker-dealer such as JP Morgan Chase, State Street Bank and Trust, or Bank of New York Mellon, for the benefit of an Authorized Participant.<sup>20</sup> An Authorized Participant will generally be a Depository Trust Company ("DTC") Participant that has executed a "Participant Agreement" with the Distributor with respect to the creation and redemption of Creation Units and formed a Confidential Account for its benefit in accordance with the terms of the Participant Agreement. For purposes of creations or redemptions, all transactions will be effected through the respective Authorized Participant's Confidential Account, for the benefit of the Authorized Participant without disclosing the identity of such securities to the Authorized Participant.

ascribed to it by the fund. See Investment Company Act Release No. 14983 (March 12, 1986), 51 FR 9773 (March 21, 1986) (adopting amendments to Rule 2a-7 under the 1940 Act); Investment Company Act Release No. 17452 (April 23, 1990), 55 FR 17933 (April 30, 1990) (adopting Rule 144A under the Securities Act of 1933). The Commission recently codified this long standing position in Rule 22e-4. See Investment Company Act Release No. 32315 (October 13, 2016), 81 FR 82142 (November 18, 2016) (adopting requirements for investment company liquidity risk management programs).

<sup>18</sup> 26 U.S.C. 851.

<sup>19</sup> Each Authorized Participant shall enter into its own separate Confidential Account with a Trusted Agent.

<sup>20</sup> In the event that a Trusted Agent is a bank, the bank will be required to have an affiliated broker-dealer to accommodate the execution of hedging transactions on behalf of the holder of a Confidential Account.

Each Trusted Agent will be given, before the commencement of trading each Business Day (defined below), both the holdings of a Fund and their relative weightings for that day. This information will permit an Authorized Participant, or other market participant that has established a Confidential Account with a Trusted Agent, to instruct the Trusted Agent to buy and sell positions in the portfolio securities to permit Bona Fide Arbitrage, as defined above.

Shares of each Fund will be issued in Creation Units of 25,000 or more Shares. The Funds will offer and sell Creation Units through the Distributor on a continuous basis at the NAV per Share next determined after receipt of an order in proper form. The NAV per Share of each Fund will be determined as of the close of regular trading on the New York Stock Exchange ("NYSE") on each day that the NYSE is open. A "Business Day" is defined as any day that the Trust is open for business. The Funds will sell and redeem Creation Units only on Business Days. Applicants anticipate that the initial price of a Share will range from \$20 to \$30, and that the price of a Creation Unit will initially range from \$1,000,000 to \$5,000,000.

In order to keep costs low and permit each Fund to be as fully invested as possible, Shares will be purchased and redeemed in Creation Units and generally on an in-kind basis. Accordingly, except where the purchase or redemption will include cash under the circumstances described in the Registration Statement, purchasers will be required to purchase Creation Units by making an in-kind deposit of specified instruments ("Deposit Instruments"), and shareholders redeeming their Shares will receive an in-kind transfer of specified instruments ("Redemption Instruments").<sup>21</sup> On any given Business Day, the names and quantities of the instruments that constitute the Deposit Instruments and the names and quantities of the instruments that constitute the Redemption Instruments will be identical, and these instruments may be referred to, in the case of either a purchase or a redemption, as the "Creation Basket."<sup>22</sup>

<sup>21</sup> The Funds must comply with the federal securities laws in accepting Deposit Instruments and satisfying redemptions with Redemption Instruments, including that the Deposit Instruments and Redemption Instruments are sold in transactions that would be exempt from registration under the 1933 Act.

<sup>22</sup> In determining whether a particular Fund will sell or redeem Creation Units entirely on a cash or in-kind basis, whether for a given day or a given order, the key consideration will be the benefit that would accrue to a Fund and its investors. The



As noted above, each Authorized Participant will be required to establish a Confidential Account with a Trusted Agent and transact with each Fund through that Confidential Account.<sup>23</sup>

Therefore, before the commencement of trading on each Business Day, the Trusted Agent of each Authorized Participant will be provided, on a confidential basis, with a list of the names and quantities of the instruments comprising a Creation Basket, as well as the estimated Balancing Amount (if any), for that day. The published Creation Basket will apply until a new Creation Basket is announced on the following Business Day, and there will be no intra-day changes to the Creation Basket except to correct errors in the published Creation Basket. The instruments and cash that the purchaser is required to deliver in exchange for the Creation Units it is purchasing are referred to as the "Portfolio Deposit."

#### Placement of Purchase Orders

Each Fund will issue Shares through the Distributor on a continuous basis at NAV. The Exchange represents that the issuance of Shares will operate in a manner substantially similar to that of other ETFs.

Each Fund will issue Shares only at the NAV per Share next determined after an order in proper form is received. The Trust will sell and redeem Shares on each such day and will not suspend the right of redemption or postpone the date of payment or satisfaction upon redemption for more than seven days, other than as provided by Section 22(d) of the 1940 Act.

Shares may be purchased from a Fund by an Authorized Participant for its own account or for the benefit of a customer. The Distributor will furnish acknowledgements to those placing such orders that the orders have been accepted, but the Distributor may reject any order which is not submitted in proper form, as described in a Fund's prospectus or Statement of Additional Information ("SAI"). Purchases of Shares will be settled in-kind or cash for an amount equal to the applicable NAV per Share purchased plus applicable "Transaction Fees," as discussed below.

Adviser represents that the Funds do not currently anticipate the need to sell or redeem Creation Units entirely on a cash basis.

<sup>23</sup> The Adviser represents that transacting through a Confidential Account is similar to transacting through any broker-dealer account, except that the Trusted Agent will be bound to keep the names and weights of the portfolio securities confidential. To comply with certain recordkeeping requirements applicable to Authorized Participants, the Trusted Agent will maintain and preserve, and make available to the Commission, certain required records related to the securities held in the Confidential Account.

The NAV of each Fund is expected to be determined once each Business Day at a time determined by the Trust's Board of Directors ("Board"), currently anticipated to be as of the close of the regular trading session on the NYSE (ordinarily 4:00 p.m. E.T.) (the "Valuation Time"). Each Fund will establish a cut-off time ("Order Cut-Off Time") for purchase orders in proper form. To initiate a purchase of Shares, an Authorized Participant must submit to the Distributor an irrevocable order to purchase such Shares after the most recent prior Valuation Time but not later than the Order Cut-Off Time. The Order Cut-Off Time for a Fund may be its Valuation Time, or may be prior to the Valuation Time if the Board determines that an earlier Order Cut-Off Time for purchase of Shares is necessary and is in the best interests of Fund shareholders.

All orders to purchase Creation Units must be received by the Distributor no later than the scheduled closing time of the regular trading session on the NYSE (ordinarily 4:00 p.m. E.T.) in each case on the date such order is placed ("Transmittal Date") in order for the purchaser to receive the NAV per Share determined on the Transmittal Date. In the case of custom orders, the order must be received by the Distributor, no later than 3:00 p.m. E.T., or such earlier time as may be designated by the Funds and disclosed to Authorized Participants.<sup>24</sup> The Distributor will maintain a record of Creation Unit purchases and will send out confirmations of such purchases.<sup>25</sup>

#### Transaction Fees

The Trust may impose purchase or redemption transaction fees ("Transaction Fees") in connection with the purchase or redemption of Shares from the Funds. The exact amounts of any such Transaction Fees will be determined by the Adviser. The purpose of the Transaction Fees is to protect the continuing shareholders against possible dilutive transactional expenses, including operational processing and brokerage costs, associated with establishing and liquidating portfolio positions, including short positions, in connection with the purchase and redemption of Shares.

<sup>24</sup> A "custom order" is any purchase or redemption of Shares made in whole or in part on a cash basis, as provided in the Registration Statement.

<sup>25</sup> A Trusted Agent will provide information related to creations and redemption of Creation Units to the Financial Industry Regulatory Authority ("FINRA") upon request.

#### Purchases of Shares—Secondary Market

Only Authorized Participants and their customers will be able to acquire Shares at NAV directly from a Fund through the Distributor. The required payment must be transferred in the manner set forth in a Fund's SAI by the specified time on the third DTC settlement day following the day it is transmitted (the "Transmittal Date"). These investors and others will also be able to purchase Shares in secondary market transactions at prevailing market prices. Each Fund will reserve the right to reject any purchase order at any time.

#### Redemption

Beneficial Owners may sell their Shares in the secondary market. Alternatively, investors that own enough Shares to constitute a Redemption Unit (currently, 25,000 Shares) or multiples thereof may redeem those Shares through the Distributor, which will act as the Trust's representative for redemption. The size of a Redemption Unit will be subject to change. Redemption orders for Redemption Units or multiples thereof must be placed by or through an Authorized Participant.

#### Authorized Participant Redemption

The Shares may be redeemed to a Fund in Redemption Unit size or multiples thereof as described below. Redemption orders of Redemption Units must be placed by or through an Authorized Participant ("AP Redemption Order"). Each Fund will establish an Order Cut-Off Time for redemption orders of Redemption Units in proper form. Redemption Units of the Fund will be redeemable at their NAV per Share next determined after receipt of a request for redemption by the Trust in the manner specified below before the Order Cut-Off Time. To initiate an AP Redemption Order, an Authorized Participant must submit to the Distributor an irrevocable order to redeem such Redemption Unit after the most recent prior Valuation Time but not later than the Order Cut-Off Time. The Order Cut-Off Time for a Fund may be its Valuation Time, or may be prior to the Valuation Time if the Board determines that an earlier Order Cut-Off Time for redemption of Redemption Units is necessary and is in the best interests of Fund shareholders.

Consistent with the provisions of Section 22(e) of the 1940 Act and Rule 22e-2 thereunder, the right to redeem will not be suspended, nor payment upon redemption delayed, except for: (1) Any period during which the NYSE is closed other than customary weekend



and holiday closings, (2) any period during which trading on the NYSE is restricted, (3) any period during which an emergency exists as a result of which disposal by a Fund of securities owned by it is not reasonably practicable or it is not reasonably practicable for a Fund to determine its NAV, and (4) for such other periods as the Commission may by order permit for the protection of shareholders.

Redemptions will occur primarily in-kind, although redemption payments may also be made partly or wholly in cash.<sup>26</sup> The Participant Agreement signed by each Authorized Participant will require establishment of a Confidential Account to receive distributions of securities in-kind upon redemption.<sup>27</sup> Each Authorized Participant will be required to open a Confidential Account with a Trusted Agent in order to facilitate orderly processing of redemptions. While a Fund will generally distribute securities in-kind, the Adviser may determine from time to time that it is not in a Fund's best interests to distribute securities in-kind, but rather to sell securities and/or distribute cash. For example, the Adviser may distribute cash to facilitate orderly portfolio management in connection with rebalancing or transitioning a portfolio in line with its investment objective, or if there is substantially more creation than redemption activity during the period immediately preceding a redemption request, or as necessary or appropriate in accordance with applicable laws and regulations. In this manner, a Fund can use in-kind redemptions to reduce the unrealized capital gains that may, at times, exist in a Fund by distributing low cost lots of each security that a Fund needs to dispose of to maintain its desired portfolio exposures. Shareholders of a Fund would benefit from the in-kind redemptions through the reduction of the unrealized capital gains in a Fund that would otherwise have to be realized

<sup>26</sup> It is anticipated that any portion of a Fund's NAV attributable to appreciated short positions will be paid in cash, as securities sold short are not susceptible to in-kind settlement. The value of other positions not susceptible to in-kind settlement may also be paid in cash.

<sup>27</sup> The terms of each Confidential Account will be set forth as an exhibit to the applicable Participant Agreement, which will be signed by each Authorized Participant. The terms of the Confidential Account will provide that the trust be formed under applicable state laws; the Custodian may act as Trusted Agent of the Confidential Account; and the Trusted Agent will be paid by the Authorized Participant a fee negotiated directly between the Authorized Participants and the Trusted Agent(s).

and, eventually, distributed to shareholders.

The redemption basket will consist of the same securities for all Authorized Participants on any given day subject to the Adviser's ability to make minor adjustments to address odd lots, fractional shares, tradeable sizes or other situations.

After receipt of a Redemption Order, a Fund's custodian ("Custodian") will typically deliver securities to the Confidential Account on a pro rata basis (which securities are determined by the Adviser) with a value approximately equal to the value of the Shares<sup>28</sup> tendered for redemption at the Cut-Off time. The Custodian will make delivery of the securities by appropriate entries on its books and records transferring ownership of the securities to the Authorized Participant's Confidential Account, subject to delivery of the Shares redeemed. The Trusted Agent of the Confidential Account will in turn liquidate, hedge or otherwise manage the securities based on instructions from the Authorized Participant.<sup>29</sup> If the Trusted Agent is instructed to sell all securities received at the close on the redemption date, the Trusted Agent will pay the liquidation proceeds net of expenses plus or minus any cash balancing amount to the Authorized Participant through DTC.<sup>30</sup> The redemption securities that the Confidential Account receives is expected to mirror the portfolio holdings of a Fund pro rata. To the extent a Fund distributes portfolio securities through an in-kind distribution to more than one Confidential Account for the benefit of that account's Authorized Participant, each Fund expects to distribute a pro rata portion of the portfolio securities

<sup>28</sup> If the NAV of the Shares redeemed differs from the value of the securities delivered to the applicable Confidential Account, the Fund will pay a cash balancing amount to compensate for the difference between the value of the securities delivered and the NAV.

<sup>29</sup> An Authorized Participant will issue execution instructions to the Trusted Agent and be responsible for all associated profit or losses. Like a traditional ETF, the Authorized Participant has the ability to sell the basket securities at any point during normal trading hours.

<sup>30</sup> Under applicable provisions of the Internal Revenue Code, the Authorized Participant is expected to be deemed a "substantial owner" of the Confidential Account because it receives distributions from the Confidential Account. As a result, all income, gain or loss realized by the Confidential Account will be directly attributed to the Authorized Participant. In a redemption, the Authorized Participant will have a basis in the distributed securities equal to the fair market value at the time of the distribution and any gain or loss realized on the sale of those Shares will be taxable income to the Authorized Participant.

selected for distribution to each redeeming Authorized Participant.

If the Authorized Participant would receive a security that it is restricted from receiving, a Fund will deliver cash equal to the value of that security.

To address odd lots, fractional shares, tradeable sizes or other situations where dividing securities is not practical or possible, the Adviser may make minor adjustments to the pro rata portion of portfolio securities selected for distribution to each redeeming Authorized Participant on such Business Day.

The Trust will accept a Redemption Order in proper form. A Redemption Order is subject to acceptance by the Trust and must be preceded or accompanied by an irrevocable commitment to deliver the requisite number of Shares. At the time of settlement, an Authorized Participant will initiate a delivery of the Shares versus subsequent payment against the proceeds, if any, of the sale of portfolio securities distributed to the applicable Confidential Account plus or minus any cash balancing amounts, and less the expenses of liquidation.

#### Net Asset Value

The NAV per Share of a Fund will be computed by dividing the value of the net assets of a Fund (*i.e.* the value of its total assets less total liabilities) by the total number of Shares of a Fund outstanding, rounded to the nearest cent. Expenses and fees, including, without limitation, the management, administration and distribution fees, will be accrued daily and taken into account for purposes of determining NAV. Interest and investment income on the Trust's assets accrue daily and will be included in the Fund's total assets. The NAV per Share for a Fund will be calculated by a Fund's administrator ("Administrator") and determined as of the close of the regular trading session on the NYSE (ordinarily 4:00 p.m., E.T.) on each day that the NYSE is open.

Shares of exchange-listed equity securities and exchange listed options will be valued at market value, which will generally be determined using the last reported official closing or last trading price on the exchange or market on which the securities are primarily traded at the time of valuation. Repurchase agreements will be valued based on price quotations or other equivalent indications of value provided by a third-party pricing service. Money market funds will be valued based on price quotations or other equivalent indications of value provided by a third-party pricing service. Cash equivalents

will generally be valued on the basis of independent pricing services or quotes obtained from brokers and dealers. Options not listed on an exchange, rights and warrants will be valued based on price quotations or other equivalent indications of value provided by a third-party pricing service.

When last sale prices and market quotations are not readily available, are deemed unreliable or do not reflect material events occurring between the close of local markets and the time of valuation, investments will be valued using fair value pricing as determined in good faith by the Adviser under procedures established by and under the general supervision and responsibility of the Trust's Board of Trustees. Investments that may be valued using fair value pricing include, but are not limited to: (1) Securities that are not actively traded; (2) securities of an issuer that becomes bankrupt or enters into a restructuring; and (3) securities whose trading has been halted or suspended.

The frequency with which each Fund's investments will be valued using fair value pricing will primarily be a function of the types of securities and other assets in which the respective Fund will invest pursuant to its investment objective, strategies and limitations. If the Funds invest in open-end management investment companies registered under the 1940 Act (other than ETFs), they may rely on the NAVs of those companies to value the shares they hold of them.

Valuing the Funds' investments using fair value pricing involves the consideration of a number of subjective factors and thus the prices for those investments may differ from current market valuations. Accordingly, fair value pricing could result in a difference between the prices used to calculate NAV and the prices used to determine a Fund's VIIV, which could result in the market prices for Shares deviating from NAV. In cases where the fair value price of the security is materially different from the pricing data provided by the independent pricing sources and the Adviser determined that the ongoing pricing information is not likely to be reliable, the fair value will be used for calculation of the VIIV, and a Fund's Custodian will be instructed to disclose the identity and weight of the fair valued securities, as well as the fair value price being used for the security.

#### Availability of Information

The Funds' Web site ([www.precidianfunds.com](http://www.precidianfunds.com)), which will be publicly available prior to the public

offering of Shares, will include a form of the prospectus for each Fund that may be downloaded. The Funds' Web site will include additional quantitative information updated on a daily basis, including, for each Fund, (1) daily trading volume, the prior Business Day's reported closing price, NAV and mid-point of the bid/ask spread at the time of calculation of such NAV (the "Bid/Ask Price"),<sup>31</sup> and a calculation of the premium and discount of the Bid/Ask Price against the NAV, and (2) data in chart format displaying the frequency distribution of discounts and premiums of the daily Bid/Ask Price against the NAV, within appropriate ranges, for each of the four previous calendar quarters. The Web site and information will be publicly available at no charge.

As noted above, a mutual fund is required to file with the Commission its complete portfolio schedules for the second and fourth fiscal quarters on Form N-CSR under the 1940 Act, and is required to file its complete portfolio schedules for the first and third fiscal quarters on Form N-Q under the 1940 Act, within 60 days of the end of the quarter. Form N-Q requires funds to file the same schedules of investments that are required in annual and semi-annual reports to shareholders. The Trust's SAI and each Fund's shareholder reports will be available free upon request from the Trust. These documents and forms may be viewed on-screen or downloaded from the Commission's Web site at [www.sec.gov](http://www.sec.gov).

Information regarding market price and trading volume of the Shares will be continually available on a real-time basis throughout the day on brokers' computer screens and other electronic services. Information regarding the previous day's closing price and trading volume information for the Shares will be published daily in the financial section of newspapers. Updated price information for U.S. exchange-listed equity securities is available through major market data vendors or securities exchanges trading such securities. The intraday, closing and settlement prices of money market funds, repurchase agreements, reverse repurchase agreements and cash equivalents will be readily available from published or other public sources, or major market data vendors such as Bloomberg and Thomson Reuters. The NAV of any investment company security investment will be readily available on

the Web site of the relevant investment company and from major market data vendors. Quotation and last sale information for the Shares will be available via the Consolidated Tape Association ("CTA") high-speed line. In addition, the VIIV, as defined in proposed Rule 14.11(k)(3)(B) and as described further below, will be widely disseminated by one or more major market data vendors at least every second during Regular Trading Hours.

#### Dissemination of the Verified Intraday Indicative Value

The VIIV, which is approximate value of each Fund's investments on a per Share basis, will be disseminated at least every second during Regular Trading Hours. The VIIV should not be viewed as a "real-time" update of NAV because the VIIV may not be calculated in the same manner as NAV, which is computed once per day.

The Exchange will disseminate the VIIV for each Fund in at least one-second intervals during Regular Trading Hours, through the facilities of the CTA. The VIIV is essentially an intraday NAV calculation at least every second during Regular Trading Hours. Each Fund will adopt procedures governing the calculation of the VIIV and will bear responsibility for the accuracy of its calculation. Pursuant to those procedures, the VIIV will include all accrued income and expenses of a Fund and will assure that any extraordinary expenses booked during the day that would be taken into account in calculating a Fund's NAV for that day are also taken into account in calculating the VIIV. For purposes of the VIIV, securities held by a Fund will be valued throughout the day based on the mid-point between the disseminated current national best bid and offer. The Adviser represents that, by utilizing the mid-point pricing for purposes of VIIV calculation, stale prices are eliminated and more accurate representation of the real time value of the underlying securities is provided to the market. Specifically, quotations based on the mid-point of bid/ask spreads more accurately reflect current market sentiment by providing real time information on where market participants are willing to buy or sell securities at that point in time. Using quotations rather than last sale information addresses concerns regarding the staleness of pricing information of less actively traded securities. Because quotations are updated more frequently than last sale information especially for inactive securities, the VIIV will be based on more current and accurate information.

<sup>31</sup> The Bid/Ask Price of a Fund will be determined using the mid-point of the highest bid and the lowest offer on the Exchange as of the time of calculation of a Fund's NAV. The records relating to Bid/Ask Prices will be retained by each Fund and its service providers.

The use of quotations will also dampen the impact of any momentary spikes in the price of a portfolio security.

Each Fund will utilize two independent pricing sources to provide two independent sources of pricing information. Each Fund will also utilize a "Pricing Verification Agent" and establish a computer-based protocol that will permit the Pricing Verification Agent to continuously compare the two data streams from the independent pricing agents sources on a real time basis.<sup>32</sup> A single VIIV will be disseminated publicly for each Fund; however, the Pricing Verification Agent will continuously compare the public VIIV against a non-public alternative intra-day indicative value to which the Pricing Verification Agent has access. If it becomes apparent that there is a material discrepancy between the two data streams, the Exchange will be notified and have the ability to halt trading in a Fund until the discrepancy is resolved. Each Fund's Board will review the procedures used to calculate the VIIV and maintain its accuracy as appropriate, but not less than annually. The specific methodology for calculating the VIIV will be disclosed on each Fund's Web site.

#### Trading Halts

With respect to trading halts, the Exchange may consider all relevant factors in exercising its discretion to halt or suspend trading in the Shares of the Funds. The Exchange will halt trading in the Shares under the conditions specified in BZX Rule 11.18. Trading may be halted because of market conditions or for reasons that, in the view of the Exchange, make trading in the Shares inadvisable, including whether unusual conditions or circumstances detrimental to the maintenance of a fair and orderly market are present. Trading in the Shares also will be subject to proposed Rule 14.11(k)(4)(B)(iii), which sets forth circumstances under which Shares of the Funds may be halted.

#### Trading Rules

The Exchange deems the Shares to be equity securities, thus rendering trading in the Shares subject to the Exchange's existing rules governing the trading of equity securities. Shares will trade on the Exchange only during Regular Trading Hours as provided in proposed Rule 14.11(k)(2)(B). As provided in BZX Rule 11.11(a), the minimum price variation for quoting and entry of orders

<sup>32</sup> A Fund's Custodian will provide, on a daily basis, the constituent basket file comprised of all securities plus any cash to the independent pricing agent(s) for purposes of pricing.

in securities traded on the Exchange is \$0.01, with the exception of securities that are priced less than \$1.00, for which the minimum price variation for order entry is \$0.0001.

The Shares will conform to the initial and continued listing criteria under Rule 14.11(k). The Exchange represents that, for initial and/or continued listing, each Fund will be in compliance with Rule 10A-3 under the Act.<sup>33</sup> A minimum of 100,000 Shares of each Fund will be outstanding at the commencement of trading on the Exchange. The Exchange will obtain a representation from the issuer of the Shares of each Fund that the NAV per Share of each Fund will be calculated daily and will be made available to all market participants at the same time.

#### Surveillance

The Exchange believes that its surveillance procedures are adequate to properly monitor the trading of the Shares on the Exchange during all trading sessions and to deter and detect violations of Exchange rules and the applicable federal securities laws. Trading of the Shares through the Exchange will be subject to the Exchange's surveillance procedures for derivative products, including Managed Portfolio Shares. The issuer has represented to the Exchange that it will advise the Exchange of any failure by a Fund to comply with the continued listing requirements, and, pursuant to its obligations under Section 19(g)(1) of the Exchange Act, the Exchange will surveil for compliance with the continued listing requirements. If a Fund is not in compliance with the applicable listing requirements, the Exchange will commence delisting procedures under Exchange Rule 14.12.

The Exchange or FINRA, on behalf of the Exchange, or both, will communicate as needed regarding trading in the Shares, underlying stocks, ETFs, and exchange-listed options with other markets and other entities that are members of the Intermarket Surveillance Group ("ISG"), and the Exchange or FINRA, on behalf of the Exchange, or both, may obtain trading information regarding trading such securities from such markets and other entities. In addition, the Exchange may obtain information regarding trading in the Shares, underlying stocks, ETFs, and exchange-listed options from markets and other entities that are members of ISG or with which the Exchange has in

<sup>33</sup> See 17 CFR 240.10A-3.

place a comprehensive surveillance sharing agreement.<sup>34</sup>

The Funds' Adviser will make available daily to FINRA and the Exchange the portfolio holdings of each Fund in order to facilitate the performance of the surveillances referred to above.

In addition, the Exchange also has a general policy prohibiting the distribution of material, non-public information by its employees.

#### Information Circular

Prior to the commencement of trading, the Exchange will inform its members in an Information Circular ("Circular") of the special characteristics and risks associated with trading the Shares. Specifically, the Circular will discuss the following: (1) The procedures for purchases and redemptions of Shares; (2) BZX Rule 3.7, which imposes suitability obligations on Exchange members with respect to recommending transactions in the Shares to customers; (3) how information regarding the VIIV is disseminated; (4) the requirement that members deliver a prospectus to investors purchasing newly issued Shares prior to or concurrently with the confirmation of a transaction; and (5) trading information.

In addition, the Circular will reference that the Funds are subject to various fees and expenses described in the Registration Statement. The Circular will discuss any exemptive, no-action, and interpretive relief granted by the Commission from any rules under the Act. The Circular will also disclose that the NAV for the Shares will be calculated after 4:00 p.m., E.T. each trading day.

#### 2. Statutory Basis

The Exchange believes that the proposal is consistent with Section 6(b) of the Act<sup>35</sup> in general and Section 6(b)(5) of the Act<sup>36</sup> in particular in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general, to protect investors and the public interest.

The Exchange believes that proposed Rule 14.11(k) is designed to prevent fraudulent and manipulative acts and practices in that the proposed rules relating to listing and trading of

<sup>34</sup> For a list of the current members of ISG, see [www.isgportal.org](http://www.isgportal.org).

<sup>35</sup> 15 U.S.C. 78f.

<sup>36</sup> 15 U.S.C. 78f(b)(5).

Managed Portfolio Shares provide specific initial and continued listing criteria required to be met by such securities. Proposed Rule 14.11(k)(4) sets forth initial and continued listing criteria applicable to Managed Portfolio Shares. Proposed Rule 14.11(k)(A) provides that, for each series of Managed Portfolio Shares, the Exchange will establish a minimum number of Managed Portfolio Shares required to be outstanding at the time of commencement of trading. In addition, the Exchange will obtain a representation from the issuer of each series of Managed Portfolio Shares that the NAV per share for the series will be calculated daily and that the NAV will be made available to all market participants at the same time. Proposed Rule 14.11(k)(4)(B) provides that each series of Managed Portfolio Shares will be listed and traded subject to application of the specified continued listing criteria, as described above. Proposed Rule 14.11(k)(4)(B)(i) provides that the VIIV for Managed Portfolio Shares will be widely disseminated by one or more major market data vendors at least every second during Regular Trading Hours. Proposed Rule 14.11(k)(4)(B)(iii) provides that, upon notification to the Exchange by the Investment Company or its agent that (i) the prices from the multiple independent pricing sources to be validated by the Investment Company's pricing verification agent differ by more than 25 basis points for 60 seconds in connection with pricing of the VIIV, or (ii) that the VIIV of a series of Managed Portfolio Shares is not being priced and disseminated in at least one-second intervals, as required, the Exchange shall halt trading in the Managed Portfolio Shares as soon as practicable. Such halt in trading shall continue until the Investment Company or its agent notifies the Exchange that the prices from the independent pricing sources no longer differ by more than 25 basis points for 60 seconds or that the VIIV is being priced and disseminated as required. Proposed Rule 14.11(k)(2)(E) provides that, if the investment adviser to the Investment Company issuing Managed Portfolio Shares is affiliated with a broker-dealer, or if any Trusted Agent is registered as a broker-dealer or is affiliated with a broker-dealer, such investment adviser or Trusted Agent will erect and maintain a "fire wall" between the investment adviser or Trusted Agent and (i) personnel of the broker-dealer or broker-dealer affiliate, as applicable, or (ii) the Authorized Participant or non-Authorized Participant market maker, as applicable,

with respect to access to information concerning the composition and/or changes to such Investment Company portfolio. Personnel who make decisions on the Investment Company's portfolio composition must be subject to procedures designed to prevent the use and dissemination of material nonpublic information regarding the applicable Investment Company portfolio Personnel who make decisions on the Investment Company's portfolio composition must be subject to procedures designed to prevent the use and dissemination of material nonpublic information regarding the applicable Investment Company portfolio.

With respect to the proposed listing and trading of Shares of the Funds, the Exchange believes that the proposed rule change is designed to prevent fraudulent and manipulative acts and practices in that the Shares will be listed and traded on the Exchange pursuant to the initial and continued listing criteria in Rule 14.11(k). Price information for the exchange-listed equity securities held by the Funds will be available through major market data vendors or securities exchanges listing and trading such securities. All exchange-listed equity securities held by the Funds will be listed on U.S. national securities exchanges. The listing and trading of such securities is subject to rules of the exchanges on which they are listed and traded, as approved by the Commission. The Funds will primarily hold U.S. exchange-listed securities or ETFs. Further, the Funds will not invest in futures or swaps. A Fund's investments will be consistent with its respective investment objective and will not be used to enhance leverage. The Funds will not invest in securities listed on non-U.S. exchanges. The Exchange or FINRA, on behalf of the Exchange, or both, will communicate as needed regarding trading in the Shares, underlying stocks, ETFs, and exchange-listed options with other markets and other entities that are members of the ISG, and the Exchange or FINRA, on behalf of the Exchange, or both, may obtain trading information regarding trading such securities from such markets and other entities. In addition, the Exchange may obtain information regarding trading in the Shares, underlying stocks, ETFs, and exchange-listed options from markets and other entities that are members of ISG or with which the Exchange has in place a comprehensive surveillance sharing agreement. A Trusted Agent will provide information related to creations

and redemption of Creation Units to FINRA upon request. The Funds' Adviser will make available daily to FINRA and the Exchange the portfolio holdings of each Fund in order to facilitate the performance of the surveillances referred to above.

The Exchange, after consulting with various Lead Market Makers that trade exchange-traded funds ("ETFs") on the Exchange, believes that market makers will be able to make efficient and liquid markets priced near the VIIV as long as a VIIV is disseminated at least every second, market makers have knowledge of a Fund's means of achieving its investment objective, and market makers are permitted to engage in "Bona Fide Arbitrage," as described below. The Exchange believes that market makers will employ Bona Fide Arbitrage in addition to risk-management techniques such as "statistical arbitrage," which is currently used throughout the financial services industry, to make efficient markets in exchange-traded products.<sup>37</sup> This ability should permit market makers to make efficient markets in shares without precise knowledge of a fund's underlying portfolio.

The Exchange understands that traders, in addition to employing Bona Fide Arbitrage, use statistical analysis to derive correlations between different sets of instruments to identify opportunities to buy or sell one set of instruments when it is mispriced relative to the others. For Managed Portfolio Shares, market makers utilizing statistical arbitrage use the knowledge of a fund's means of achieving its investment objective, as described in the applicable fund registration statement, to construct a hedging proxy for a fund to manage a market maker's quoting risk in connection with trading fund shares. Market makers will then conduct statistical arbitrage between their hedging proxy (for example, the Russell 1000 Index) and shares of a fund, buying and selling one against the other over the course of the trading day. Eventually, at the end of each day, they will evaluate how their proxy performed in comparison to the price of a fund's shares, and use that analysis as well as knowledge of risk metrics, such as volatility and turnover, to enhance their proxy calculation to make it a more efficient hedge.

Market makers not intending to utilize Bona Fide Arbitrage have indicated to the Exchange that there will be sufficient data to run a statistical analysis which will lead to spreads

<sup>37</sup> See note 9, *supra*.

being tightened substantially around the VIIV. This is similar to certain other existing exchange traded products (for example, ETFs that invest in foreign securities that do not trade during U.S. trading hours), in which spreads may be generally wider in the early days of trading and then narrow as market makers gain more confidence in their real-time hedges.

The Lead Market Makers also indicated that, as with some other new exchange-traded products, spreads may be generally wider in the early days of trading and would tend to narrow as market makers gain more confidence in the accuracy of their hedges and their ability to adjust these hedges in real-time relative to the published VIIV and gain an understanding of the applicable market risk metrics such as volatility and turnover, and as natural buyers and sellers enter the market. Other relevant factors cited by Lead Market Makers were that a fund's investment objectives are clearly disclosed in the applicable prospectus, the existence of quarterly portfolio disclosure, the capacity to engage in Bona Fide Arbitrage and the ability to create shares in creation unit size.

The Commission's concept release regarding "Actively Managed Exchange-Traded Funds" highlighted several issues that could impact the Commission's willingness to authorize the operation of an actively-managed ETF, including whether effective arbitrage of the ETF shares exists.<sup>38</sup> The Concept Release identifies the transparency of a fund's portfolio and the liquidity of the securities in a fund's portfolio as central to effective arbitrage. With respect to the Funds, the Funds' use of U.S. exchange-listed securities and the ability of market makers to engage in Bona Fide Arbitrage provide adequate liquidity as well as the ability to engage in riskless arbitrage. Additionally, certain existing ETFs with portfolios of foreign securities have shown their ability to trade efficiently in the secondary market at approximately their NAV even though they do not provide opportunities for riskless arbitrage transactions during much of the trading day.<sup>39</sup> Such ETFs have been

shown to have pricing characteristics very similar to ETFs that can be arbitrated in this manner. For example, Index ETFs containing securities that trade during different trading hours than the ETF, such as Index ETFs that hold Asian stocks, have demonstrated efficient pricing characteristics notwithstanding the inability of market professionals to engage in "riskless arbitrage" with respect to the underlying portfolio for most, or even all, of the U.S. trading day when Asian markets are closed. Pricing for shares of such ETFs is efficient because market professionals are still able to hedge their positions with offsetting, correlated positions in derivative instruments during the entire trading day.

The real-time dissemination of a fund's VIIV and the ability for market makers to engage in riskless arbitrage through the Bona Fide Arbitrage mechanism together with the right of Authorized Participants to create and redeem each day at the NAV will be sufficient for market participants to value and trade shares in a manner that will not lead to significant deviations between the shares' Bid/Ask Price and NAV.

The pricing efficiency with respect to trading a series of Managed Portfolio Shares will generally rest on the ability of market participants to arbitrage between the shares and a fund's portfolio, in addition to the ability of market participants to assess a fund's underlying value accurately enough throughout the trading day in order to hedge positions in shares effectively. Professional traders not employing Bona Fide Arbitrage can buy shares that they perceive to be trading at a price less than that which will be available at a subsequent time, and sell shares they perceive to be trading at a price higher than that which will be available at a subsequent time. It is expected that, as part of their normal day-to-day trading activity, market makers assigned to shares by the Exchange, off-exchange market makers, firms that specialize in electronic trading, hedge funds and other professionals specializing in short-term, non-fundamental trading strategies will assume the risk of being "long" or "short" shares through such trading and will hedge such risk wholly or partly by simultaneously taking positions in correlated assets<sup>40</sup> or by

on the difference. Hedging, on the other hand, involves managing risk by purchasing or selling a security or instrument that will track or offset the value of another security or instrument. Arbitrage and hedging are both used to manage risk; however, they involve different trading strategies.

<sup>40</sup> Price correlation trading is used throughout the financial industry. It is used to discover both

netting the exposure against other, offsetting trading positions—much as such firms do with existing ETFs and other equities. Disclosure of a fund's investment objective and principal investment strategies in its prospectus and SA1, along with the dissemination of the VIIV every second, should permit professional investors to engage easily in this type of hedging activity.<sup>41</sup>

With respect to trading of Shares of the Funds, the ability of market participants to buy and sell Shares at prices near the VIIV is dependent upon their assessment that the VIIV is a reliable, indicative real-time value for a Fund's underlying holdings. Market participants are expected to accept the VIIV as a reliable, indicative real-time value because (1) the VIIV will be calculated and disseminated based on a Fund's actual portfolio holdings, (2) the securities in which the Funds plan to invest are generally highly liquid and actively traded and therefore generally have accurate real time pricing

trading opportunities to be exploited, such as currency pairs and statistical arbitrage, as well as for risk mitigation such as dispersion trading and beta hedging. These correlations are a function of differentials, over time, between one or multiple securities pricing. Once the nature of these price deviations have been quantified, a universe of securities is searched in an effort to, in the case of a hedging strategy, minimize the differential. Once a suitable hedging basket has been identified, a trader can minimize portfolio risk by executing the hedging basket. The trader then can monitor the performance of this hedge throughout the trade period, making corrections where warranted.

<sup>41</sup> With respect to trading in Shares of the Funds, market participants would manage risk in a variety of ways. In addition to Bona Fide Arbitrage, it is expected that market participants will be able to determine how to trade Shares at levels approximating the VIIV without taking undue risk by gaining experience with how various market factors (e.g., general market movements, sensitivity of the VIIV to intraday movements in interest rates or commodity prices, etc.) affect VIIV, and by finding hedges for their long or short positions in Shares using instruments correlated with such factors. The Adviser expects that market participants will initially determine the VIIV's correlation to a major large capitalization equity benchmark with active derivative contracts, such as the Russell 1000 Index, and the degree of sensitivity of the VIIV to changes in that benchmark. For example, using hypothetical numbers for illustrative purposes, market participants should be able to determine quickly that price movements in the Russell 1000 Index predict movements in a Fund's VIIV 95% of the time (an acceptably high correlation) but that the VIIV generally moves approximately half as much as the Russell 1000 Index with each price movement. This information is sufficient for market participants to construct a reasonable hedge—buy or sell an amount of futures, swaps or ETFs that track the Russell 1000 equal to half the opposite exposure taken with respect to Shares. Market participants will also continuously compare the intraday performance of their hedge to a Fund's VIIV. If the intraday performance of the hedge is correlated with the VIIV to the expected degree, market participants will feel comfortable they are appropriately hedged and can rely on the VIIV as appropriately indicative of a Fund's performance.

<sup>38</sup> See Investment Company Act Release No. 25258 (November 8, 2001) (the "Concept Release").

<sup>39</sup> The Adviser represents that the mechanics of arbitrage and hedging differ. Prior Rule 10a-1 and Regulation T under the Act both describe arbitrage as either buying and selling the same security in two different markets or buying and selling two different securities, one of which is convertible into the other. This is also known as a "riskless arbitrage" transaction in that the transaction is risk free since it generally consists of buying an asset at one price and simultaneously selling that same asset at a higher price, thereby generating a profit

available, and (3) market participants will have a daily opportunity to evaluate whether the VIIV at or near the close of trading is indeed predictive of the actual NAV.

The real-time dissemination of a Fund's VIIV, the ability for market makers to engage in riskless arbitrage through the Bona Fide Arbitrage mechanism, together with the ability of Authorized Participants to create and redeem each day at the NAV, will be crucial for market participants to value and trade Shares in a manner that will not lead to significant deviations between the Shares' Bid/Ask Price and NAV.<sup>42</sup>

In a typical Index ETF, it is standard for Authorized Participants to know what securities must be delivered in a creation or will be received in a redemption. For Managed Portfolio Shares, however, Authorized Participants do not need to know the securities comprising the portfolio of a Fund since creations and redemptions are handled through the Confidential Account mechanism. The Adviser represents that the in-kind creations and redemptions through a Confidential Account will preserve the integrity of the active investment strategy and eliminate the potential for "free riding" or "front-running," while still providing investors with the advantages of the ETF structure.

The proposed rule change is designed to promote just and equitable principles of trade and to protect investors and the public interest in that the Exchange will obtain a representation from the issuer of an issue of Managed Portfolio Shares that the NAV per share of a fund will be calculated daily and that the NAV will be made available to all market participants at the same time. Investors can also obtain a fund's SAI, shareholder reports, and its Form N-CSR, Form N-Q and Form N-SAR. A fund's SAI and shareholder reports will be available free upon request from the applicable fund, and those documents and the Form N-CSR, Form N-Q and Form N-SAR may be viewed on-screen or downloaded from the Commission's Web site. In addition, with respect to the Funds, a large amount of information will be publicly available regarding the Funds and the Shares, thereby promoting market transparency. Quotation and last sale information for the Shares will be available via the CTA high-speed line. Information regarding

the VIIV will be widely disseminated at least every second throughout Regular Trading Hours by one or more major market data vendors. The Web site for the Funds will include a form of the prospectus for the Funds that may be downloaded, and additional data relating to NAV and other applicable quantitative information, updated on a daily basis.

Moreover, prior to the commencement of trading, the Exchange will inform its members in a Circular of the special characteristics and risks associated with trading the Shares. The Exchange will halt trading in the Shares under the conditions specified in BZX Rule 11.18, market conditions, or for reasons that, in the view of the Exchange, make trading in the Shares inadvisable. Trading in the Shares will be subject to proposed Rule 14.11(k)(4)(B)(iii), which sets forth circumstances under which Shares of the Funds will be halted. In addition, as noted above, investors will have ready access to the VIIV, and quotation and last sale information for the Shares. The Shares will conform to the initial and continued listing criteria under proposed Rule 14.11(k). The Funds will not invest in futures, forwards or swaps. Each Fund's investments will be consistent with its investment objective and will not be used to enhance leverage. While a Fund may invest in inverse ETFs, a Fund will not invest in leveraged (e.g., 2X, -2X, 3X or -3X) ETFs.

The proposed rule change is designed to perfect the mechanism of a free and open market and, in general, to protect investors and the public interest in that it will facilitate the listing and trading of an additional type of actively-managed exchange-traded product that will enhance competition among market participants, to the benefit of investors and the marketplace. As noted above, the Exchange has in place surveillance procedures relating to trading in the Shares and may obtain information via ISG from other exchanges that are members of ISG or with which the Exchange has entered into a comprehensive surveillance sharing agreement. In addition, as noted above, investors will have ready access to information regarding the VIIV and quotation and last sale information for the Shares.

For the above reasons, the Exchange believes that the proposed rule change is consistent with the requirements of Section 6(b)(5) of the Act.

*(B) Self-Regulatory Organization's Statement on Burden on Competition*

The Exchange does not believe that the proposed rule change will impose

any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act. The Exchange believes the proposed rule change would permit listing and trading of another type of actively-managed ETF that has characteristics different from existing actively-managed and Index ETFs, and would introduce additional competition among various ETF products to the benefit of investors.

*(C) Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others*

The Exchange has neither solicited nor received written comments on the proposed rule change.

**III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

Within 45 days of the date of publication of this notice in the **Federal Register** or within such longer period up to 90 days (i) as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the self-regulatory organization consents, the Commission will:

- (A) By order approve or disapprove such proposed rule change, or
- (B) institute proceedings to determine whether the proposed rule change should be disapproved.

**IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

*Electronic Comments*

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-BatsBZX-2017-30 on the subject line.

*Paper Comments*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-BatsBZX-2017-30. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/>

<sup>42</sup> The statements in the Statutory Basis section of this filing relating to pricing efficiency, arbitrage, and activities of market participants, including market makers and Authorized Participants, are based on representations by the Adviser and review by the Exchange.

rules/sro.shtml). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-BatsBZX-2017-30 and should be submitted on or before July 10, 2017.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>43</sup>

Eduardo A. Aleman, Assistant Secretary.

[FR Doc. 2017-12583 Filed 6-16-17; 8:45 am]

BILLING CODE 8011-01-P

SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #15161 and #15162; New York Disaster #NY 000175]

Administrative Declaration of a Disaster for the State of New York

AGENCY: U.S. Small Business Administration.

ACTION: Notice.

SUMMARY: This is a notice of an Administrative declaration of a disaster for the State of New York dated 06/12/2017.

Incident: Apartment Complex Fire. Incident Period: 04/11/2017.

DATES: Effective 06/12/2017.

Physical Loan Application Deadline Date: 08/11/2017.

Economic Injury (Eidl) Loan Application Deadline Date: 03/12/2018.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416, (202) 205-6734.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the Administrator's disaster declaration, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Queens.

Contiguous Counties:

New York: Bronx, Kings, Nassau, New York.

The Interest Rates are:

Table with 2 columns: Description and Percent. Rows include For Physical Damage (Homeowners With Credit Available Elsewhere, Homeowners Without Credit Available Elsewhere, Businesses With Credit Available Elsewhere, Businesses Without Credit Available Elsewhere, Non-Profit Organizations With Credit Available Elsewhere, Non-Profit Organizations Without Credit Available Elsewhere) and For Economic Injury (Businesses & Small Agricultural Cooperatives Without Credit Available Elsewhere, Non-Profit Organizations Without Credit Available Elsewhere).

The number assigned to this disaster for physical damage is 15161 5 and for economic injury is 15162 0.

The States which received an EIDL Declaration # are New York.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

Dated: June 12, 2017.

Linda E. McMahon, Administrator.

[FR Doc. 2017-12605 Filed 6-16-17; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #15165 and #15166; INDIANA Disaster #IN-00060]

Administrative Declaration of a Disaster for the State of Indiana

AGENCY: U.S. Small Business Administration.

ACTION: Notice.

SUMMARY: This is a notice of an Administrative declaration of a disaster

for the State of Indiana dated 06/12/2017.

Incident: Severe Storms and Flooding. Incident Period: 05/20/2017 through 05/21/2017.

DATES: Effective 06/12/2017.

Physical Loan Application Deadline Date: 08/11/2017.

Economic Injury (EIDL) Loan Application Deadline Date: 03/12/2018.

ADDRESS: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416, (202) 205-6734.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the Administrator's disaster declaration, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Washington

Contiguous Counties:

Indiana: Clark, Crawford, Floyd, Harrison, Jackson, Lawrence, Orange, Scott

The Interest Rates are:

Table with 2 columns: Description and Percent. Rows include For Physical Damage (Homeowners with Credit Available Elsewhere, Homeowners without Credit Available Elsewhere, Businesses with Credit Available Elsewhere, Businesses without Credit Available Elsewhere, Non-Profit Organizations with Credit Available Elsewhere, Non-Profit Organizations without Credit Available Elsewhere) and For Economic Injury (Businesses & Small Agricultural Cooperatives without Credit Available Elsewhere, Non-Profit Organizations without Credit Available Elsewhere).

The number assigned to this disaster for physical damage is 15165 B and for economic injury is 15166 0.

The State which received an EIDL Declaration # is Indiana.

(Catalog of Federal Domestic Assistance Number 59008)

<sup>43</sup> 17 CFR 200.30-3(a)(12).



Dated: June 12, 2017.

**Linda E. McMahon,**  
Administrator.

[FR Doc. 2017-12582 Filed 6-16-17; 8:45 am]

**BILLING CODE 8025-01-P**

**SMALL BUSINESS ADMINISTRATION**

[Disaster Declaration #15159 and #15160;  
Mississippi Disaster #MS-00100]

**Administrative Declaration of a  
Disaster for the State of Mississippi**

**AGENCY:** U.S. Small Business  
Administration.

**ACTION:** Notice.

**SUMMARY:** This is a notice of an  
Administrative declaration of a disaster  
for the State of Mississippi dated 06/12/  
2017.

*Incident:* Severe Weather including  
Tornadoes, Straight-line Winds,  
Torrential Rain, Lightning and Flooding.  
*Incident Period:* 04/30/2017.

**DATES:** Effective 06/12/2017.

*Physical Loan Application Deadline  
Date:* 08/11/2017.

*Economic Injury (EIDL) Loan  
Application Deadline Date:* 03/12/2018.

**ADDRESSES:** Submit completed loan  
applications to: U.S. Small Business  
Administration, Processing and  
Disbursement Center, 14925 Kingsport  
Road, Fort Worth, TX 76155.

**FOR FURTHER INFORMATION CONTACT:** A.  
Escobar, Office of Disaster Assistance,  
U.S. Small Business Administration,  
409 3rd Street SW., Suite 6050,  
Washington, DC 20416, (202) 205-6734.

**SUPPLEMENTARY INFORMATION:** Notice is  
hereby given that as a result of the  
Administrator's disaster declaration,  
applications for disaster loans may be  
filed at the address listed above or other  
locally announced locations.

The following areas have been  
determined to be adversely affected by  
the disaster:

*Primary Counties:* Holmes,  
Montgomery.

*Contiguous Counties:* Mississippi:  
Attala, Carroll, Choctaw, Grenada,  
Humphreys, Leflore, Madison,  
Webster, Yazoo.

*The Interest Rates are:*

	Percent
For Physical Damage:	
Homeowners with Credit Avail- able Elsewhere .....	3.875
Homeowners without Credit Available Elsewhere .....	1.938
Businesses with Credit Avail- able Elsewhere .....	6.430
Businesses without Credit Available Elsewhere .....	3.215

	Percent
Non-Profit Organizations with Credit Available Elsewhere ...	2.500
Non-Profit Organizations with- out Credit Available Else- where .....	2.500
For Economic Injury:	
Businesses & Small Agricultural Cooperatives without Credit Available Elsewhere .....	3.215
Non-Profit Organizations with- out Credit Available Else- where .....	2.500

The number assigned to this disaster  
for physical damage is 15159B and for  
economic injury is 151600.

The State which received an EIDL  
Declaration # is Mississippi.

(Catalog of Federal Domestic Assistance  
Number 59008)

Dated: June 12, 2017.

**Linda E. McMahon,**  
Administrator.

[FR Doc. 2017-12606 Filed 6-16-17; 8:45 am]

**BILLING CODE 8025-01-P**

**SMALL BUSINESS ADMINISTRATION**

**Reporting and Recordkeeping  
Requirements Under OMB Review**

**AGENCY:** Small Business Administration.

**ACTION:** 30-Day notice.

**SUMMARY:** The Small Business  
Administration (SBA) is publishing this  
notice to comply with requirements of  
the Paperwork Reduction Act (PRA),  
which requires agencies to submit  
proposed reporting and recordkeeping  
requirements to OMB for review and  
approval, and to publish a notice in the  
**Federal Register** notifying the public  
that the agency has made such a  
submission. This notice also allows for  
an additional 30 days for public  
comments.

**DATES:** Submit comments on or before  
July 19, 2017.

**ADDRESSES:** Comments should refer to  
the information collection by name and/  
or OMB Control Number and should be  
sent to: *Management Analyst*, Curtis B.  
Rich, Small Business Administration,  
409 3rd Street SW., 5th Floor,  
Washington, DC 20416; and *SBA Desk  
Officer*, Office of Information and  
Regulatory Affairs, Office of  
Management and Budget, New  
Executive Office Building, Washington,  
DC 20503.

**FOR FURTHER INFORMATION CONTACT:**  
Curtis B. Rich, Management Analyst,  
202-205-7030 [Curtis.Rich@sba.gov](mailto:Curtis.Rich@sba.gov).  
*Copies:* A copy of the Form OMB 83-  
1, supporting statement, and other

documents submitted to OMB for  
review may be obtained from the  
Management Analyst.

**SUPPLEMENTARY INFORMATION:** Currently,  
there is minimal data or information  
available that addresses the  
participation of millennial women in  
entrepreneurship and the motivations,  
deterrents, and challenges that they  
face. As such, this collection of  
information is necessary to fill the  
current void in the literature on the  
factors that influence entrepreneurial  
launches and operations among  
millennials, with a focus on millennial  
women and the role of student debt. The  
data collection is required to develop  
specific and actionable  
recommendations to increase  
opportunities for millennials interested  
in entrepreneurship or currently  
pursuing entrepreneurship with an eye  
towards improving the overall United  
States economy. Respondents will be  
prospective millennial women  
entrepreneurs, current millennial  
women entrepreneurs, and current  
millennial men entrepreneurs.

*Solicitation of Public Comments:*  
Comments may be submitted on (a)  
whether the collection of information is  
necessary for the agency to properly  
perform its functions; (b) whether the  
burden estimates are accurate; (c)  
whether there are ways to minimize the  
burden, including through the use of  
automated techniques or other forms of  
information technology; and (d) whether  
there are ways to enhance the quality,  
utility, and clarity of the information.

**Summary of Information Collections**

*Title:* Research on Millennial Women  
Entrepreneurs.

*Description of Respondents:*  
Prospective millennial women  
entrepreneurs, current millennial  
women entrepreneurs, and current  
millennial men entrepreneurs.

*Form Number:* N/A.

*Estimated Annual Respondents:* 108.

*Estimated Annual Responses:* 108.

*Estimated Annual Hour Burden:* 216.

**Curtis B. Rich,**  
*Management Analyst.*

[FR Doc. 2017-12642 Filed 6-16-17; 8:45 am]

**BILLING CODE P**

**SOCIAL SECURITY ADMINISTRATION**

[Docket No: SSA-2017-0031]

**Agency Information Collection  
Activities: Proposed Request and  
Comment Request**

The Social Security Administration  
(SSA) publishes a list of information



collection packages requiring clearance by the Office of Management and Budget (OMB) in compliance with Public Law 104-13, the Paperwork Reduction Act of 1995, effective October 1, 1995. This notice includes revisions of OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Mail, email, or fax your comments and recommendations on the information collection(s) to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses or fax numbers.

(OMB), Office of Management and Budget, Attn: Desk Officer for SSA, Fax: 202-395-6974, Email address: *OIRA\_Submission@omb.eop.gov*. (SSA), Social Security Administration, OLCA, Attn: Reports Clearance Director, 3100 West High Rise, 6401 Security Blvd., Baltimore, MD 21235, Fax: 410-966-2830, Email address: *OR.Reports.Clearance@ssa.gov*.

Or you may submit your comments online through *www.regulations.gov*, referencing Docket ID Number [SSA-2017-0031].

I. The information collection below is pending at SSA. SSA will submit it to OMB within 60 days from the date of this notice. To be sure we consider your comments, we must receive them no later than August 18, 2017. Individuals can obtain copies of the collection instrument by writing to the above email address.

*Application for Search of Census Records for Proof of Age—20 CFR 404.716—0960-0097.* When preferred evidence of age is not available, or the available evidence is not convincing, SSA may ask the U.S. Department of Commerce, Bureau of the Census, to search its records to establish a claimant's date of birth. SSA collects information from claimants using Form SSA-1535-U3 to provide the Census Bureau with sufficient identification information to allow an accurate search of census records. Additionally, the Census Bureau uses a completed, signed SSA-1535-U3 to bill SSA for the search. The respondents are applicants for Social Security benefits who need to establish their date of birth as a factor of entitlement.

*Type of Request:* Revision of an OMB-approved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
SSA-1535-U3 .....	18,030	1	12	3,606

II. SSA submitted the information collections below to OMB for clearance. Your comments regarding these information collections would be most useful if OMB and SSA receive them 30 days from the date of this publication. To be sure we consider your comments, we must receive them no later than July 19, 2017. Individuals can obtain copies of the OMB clearance packages by writing to *OR.Reports.Clearance@ssa.gov*.

*1. Statement Regarding Contributions—20 CFR 404.360-404.366 and 404.736—0960-0020.* SSA uses the SSA-783 to collect information regarding a child's current sources of support when determining the child's entitlement to Social Security benefits. We request this information from adults acting on behalf of the child claimants who can provide SSA with any sources of support or substantial contributions for the child. These adults inform the

claims representative as part of the initial benefits process. If the individual capable of providing the information does not accompany the child claimant, we mail the SSA-783 to the individual for completion, or if the person has access to a computer, we will refer them to SSA's Web site. The respondents are individuals providing information about a child's sources of support.

*Type of Request:* Revision of an OMB-approved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
SSA-783 .....	30,000	1	17	8,500

*2. Request for Waiver of Overpayment Recovery or Change in Repayment Notice—20 CFR 404.502-404.513, 404.515 and 20 CFR 416.550-416.570, 416.572—0960-0037.* When Social Security beneficiaries and Supplemental Security Income (SSI) recipients receive an overpayment, they must return the extra money. These beneficiaries and recipients can use Form SSA-632-BK to

take one of three actions: (1) Request an exemption from repaying, as recovery of the payment would cause financial hardship; (2) inform SSA they want to repay the overpayment at a monthly rate over a period longer than 36 months; or (3) request a different rate of recovery. In the latter two cases, the respondents must also provide financial information to help the agency determine how much

the overpaid person can afford to repay each month. Respondents are overpaid beneficiaries or SSI recipients who are requesting: (1) A waiver of recovery of an overpayment, or (2) a lesser rate of withholding.

*Type of Request:* Revision of an OMB-approved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
Waiver of Overpayment (Completes Whole Paper Form) .....	400,000	1	120	800,000

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
Change in Repayment (Completes Partial Paper Form) .....	100,000	1	45	75,000
Regional Application (New York Debt Management) .....	44,000	1	120	88,000
Internet Instructions .....	500,000	1	5	41,667
Totals .....	1,044,000	.....	.....	1,004,667

3. *Teacher Questionnaire and Request for Administrative Information—20 CFR 404.1513, 416.913, and 416.924a(a)—0960-0646.* When determining the effects of a child’s impairment(s), SSA obtains information about the child’s functioning from teachers; parents; and others who observe the child on a daily basis. SSA obtains results of formal testing, teacher reports, therapy progress

notes, individualized education programs, and other records of a child’s educational aptitude and achievement using Forms SSA-5665-BK and SSA-5666. The respondents are parents, teachers, and other education personnel.  
*Type of Request:* Revision of an OMB-approved information collection.  
**Note:** This is a correction Notice. When we published the first Notice at 82 FR 17494 on April 11, 2017, we

included a line for the paper Form SSA-5665-BK; however, we no longer use the paper forms unless the electronic version is not available (which is why we estimated no use of the form in our previous published Notice). Therefore, we are removing that line from the burden chart here. This change does not change the burden we published in April.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
SSA-5665-BK .....	293,375	1	40	195,583
SSA-5666 .....	111,189	1	30	55,595
Totals .....	404,564	.....	.....	251,178

4. *Electronic Records Express—20 CFR 404.1512 and 416.912—0960-0753.* Electronic Records Express (ERE) is a Web-based SSA program which allows medical and educational providers to electronically submit disability claimant data to SSA. Both medical providers and other third parties with connections to disability applicants or recipients (e.g., teachers and school administrators for child disability applicants) use this system once they complete the

registration process. SSA employees and State agency employees request the medical and educational records collected through the ERE Web site. The agency uses the information collected through ERE to make a determination on an Application for Benefits. We also use the ERE Web site to order and receive consultative examinations when we are unable to collect enough medical records to determine disability findings. The respondents are medical providers

who evaluate or treat disability claimants or recipients, and other third parties with connections to disability applicants or recipients (e.g., Teachers and school administrators for child disability applicants), who voluntarily choose to use ERE for submitting information.  
*Type of Request:* Revision of an OMB-approved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
ERE .....	5,376,998	1	10	896,166

5. *Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery—0960-0788.* SSA, as part of our continuing effort to reduce paperwork and respondent burden, invites the general public to take this opportunity to comment on the “Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery ” for approval under the Paperwork Reduction Act (PRA) (44 U.S.C. 3501 et. seq.). We developed this collection as part of a Federal Government-wide effort to streamline the process for seeking feedback from

the public on service delivery. Under the auspices of Executive Order 12862, Setting Customer Service Standards, SSA conducts multiple satisfaction surveys each year. This proposed information collection activity provides a means to garner qualitative customer and stakeholder feedback in an efficient, timely manner, in accordance with SSA’s commitment to improving service delivery. By qualitative feedback we mean information that provides useful insights on perceptions and opinions, but are not statistical surveys that yield quantitative results that can be

generalized to the population of study. This feedback will provide insights into customer or stakeholder perceptions, experiences and expectations, provide an early warning of issues with service, or focus attention on areas where communication, training or changes in operations might improve delivery of products or services. These collections will allow for ongoing, collaborative, and actionable communications between SSA and our customers and stakeholders.  
 The solicitation of feedback will target areas such as: Timeliness;

appropriateness; accuracy of information; courtesy; efficiency of service delivery; and resolution of issues with service delivery. We will assess responses to plan and inform efforts to improve or maintain the quality of service offered to the public. If we do not collect this information, we would not have access to vital feedback from customers and stakeholders on SSA's services.

We will only submit a collection for approval under this generic clearance if it meets the following conditions: (1) The collections are voluntary; (2) the collections are low-burden for respondents (based on considerations of total burden hours, total number of respondents, or burden-hours per respondent) and are low-cost for both the respondents and the Federal Government; (3) the collections are non-controversial and do not raise issues of concern to other Federal agencies; (4) any collection targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the near future; (5) we collect personally identifiable information (PII) only to the extent necessary and we do not retain it; (6) we will use information gathered only internally for general service improvement and program management purposes and we will not release it outside of the agency; (7) we will not use information we gather for the purpose of substantially informing influential policy decisions; and (8) information we gather will yield qualitative information; the collections will not be designed or expected to yield statistically reliable results or used as though the results are generalizable to the population of study.

Feedback collected under this generic clearance provides useful information, but it does not yield data that can be generalized to the overall population. This type of generic clearance for qualitative information will not be used for quantitative information collections that are designed to yield reliably actionable results, such as monitoring trends over time or documenting program performance. Such data uses require more rigorous designs that address the target population to which generalizations will be made, the sampling frame, the sample design (including stratification and clustering), the precision requirements or power calculations that justify the proposed sample size, the expected response rate, methods for assessing potential non-response bias, the protocols for data collection, and any testing procedures that were or will be undertaken prior to

fielding the study. Depending on the degree of influence the results are likely to have, such collections may still be eligible for submission for other generic mechanisms that are designed to yield quantitative results.

As a general matter, information collections will not result in any new system of records containing privacy information and will not ask questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

The respondents are recipients of SSA services (including most members of the public), professionals, and individuals who work on behalf of SSA beneficiaries.

*Type of Request:* Extension of an OMB-approved information collection.

*Affected Public:* Individuals and households, businesses and organizations, State, Local or Tribal government.

*Total Estimated Number of Respondents:* 205,485.

Below we provide projected average estimates for the next three years:

*Annual Respondents:* 68,495.

*Annual Responses:* 68,495.

*Frequency of Response:* Once per request.

*Average Minutes per Response:* 18 minutes.

*Estimated Annual Burden:* 205,549 hours.

Dated: June 13, 2017.

**Naomi R. Sipple,**

*Reports Clearance Officer, Social Security Administration.*

[FR Doc. 2017-12580 Filed 6-16-17; 8:45 am]

**BILLING CODE 4191-02-P**

## DEPARTMENT OF STATE

### [Public Notice 10030]

#### 30-Day Notice of Proposed Information Collection: Medical History and Examination for Foreign Service

**ACTION:** Notice of request for public comment and submission to OMB of proposed collection of information.

**SUMMARY:** The Department of State has submitted the information collection described below to the Office of Management and Budget (OMB) for approval. In accordance with the Paperwork Reduction Act of 1995 we are requesting comments on this collection from all interested individuals and organizations. The purpose of this Notice is to allow 30 days for public comment.

**DATES:** Submit comments directly to the Office of Management and Budget (OMB) up to July 19, 2017.

**ADDRESSES:** Direct comments to the Department of State Desk Officer in the Office of Information and Regulatory Affairs at the Office of Management and Budget (OMB). You may submit comments by the following methods:

- *Email:* [oir\\_submission@omb.eop.gov](mailto:oir_submission@omb.eop.gov). You must include the DS form number, information collection title, and the OMB control number in the subject line of your message.

- *Fax:* 202-395-5806. Attention: Desk Officer for Department of State.

#### FOR FURTHER INFORMATION CONTACT:

Direct requests for additional information regarding the collection listed in this notice, including requests for copies of the proposed collection instrument and supporting documents, to Joan F. Grew who may be reached on 703-875-5412 or at [GrewJF@state.gov](mailto:GrewJF@state.gov).

#### SUPPLEMENTARY INFORMATION:

- *Title of Information Collection:* Medical History and Examination for Foreign Service.

- *OMB Control Number:* 1405-0068.

- *Type of Request:* Revision of a Currently Approved Collection.

- *Originating Office:* Bureau of Medical Services—Medical Clearances Department.

- *Form Numbers:* DS-1843 and DS-1622.

- *Respondents:* Foreign Service applicants or employees or eligible family members.

- *Estimated Number of Respondents:* 7,814.

- *Estimated Number of Responses:* 7,814.

- *Average Time per Response:* 1 hour.

- *Total Estimated Burden Time:* 7,814 hours.

- *Frequency:* Upon Entry to Foreign Service and then intermittent, as needed.

- *Obligation To Respond:* Required to Obtain or Retain a Benefit.

We are soliciting public comments to permit the Department to:

- Evaluate whether the proposed information collection is necessary for the proper functions of the Department.

- Evaluate the accuracy of our estimate of the time and cost burden for this proposed collection, including the validity of the methodology and assumptions used.

- Enhance the quality, utility, and clarity of the information to be collected.

- Minimize the reporting burden on those who are to respond, including the use of automated collection techniques or other forms of information technology.

Please note that comments submitted in response to this Notice are public record. Before including any detailed personal information, you should be aware that your comments as submitted, including your personal information, will be available for public review.

#### Abstract of Proposed Collection

Forms DS-1843 and DS-1622 collect medical history, lab tests and physical examination for employees and family members for the Foreign Affairs agencies, to include State, USAID, Foreign Commercial Service, Foreign Agricultural Service and Broadcasting Board of Governors. Forms DS-1843 and DS-1622 are designed to collect sufficient and current medical information on the individual in order for a medical provider to make a medical clearance determination for initial appointment to the Foreign Service. They are also used to determine whether a Foreign Service applicant, employee, or eligible family member will have appropriate medical and/or educational resources at a diplomatic mission/host country abroad to maintain the health and safety of the individual or family member.

#### Methodology

The information will be collected through the use of an electronic forms engine or by hand written submission using a pre-printed form.

#### Behzad Shahbazian,

Director of Clinical Services, Bureau of Medical Services, Department of State.

[FR Doc. 2017-12624 Filed 6-16-17; 8:45 am]

BILLING CODE 4710-36-P

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## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: National Flight Data Center Web Portal

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. National Flight Data Center (NFDC) Web Portal forms are used to collect aeronautical information, detailing the

physical description and operational status of all components of the National Airspace System (NAS).

**DATES:** Written comments should be submitted by July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit written comments on the proposed information collection to the Office of Information and Regulatory Affairs, Office of Management and Budget. Comments should be addressed to the attention of the Desk Officer, Department of Transportation/FAA, and sent via electronic mail to [oir\\_submission@omb.eop.gov](mailto:oir_submission@omb.eop.gov), or faxed to (202) 395-6974, or mailed to the Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW., Washington, DC 20503.

**Public Comments Invited:** You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov).

**SUPPLEMENTARY INFORMATION:**

**OMB Control Number:** 2120-0754.  
**Title:** National Flight Data Center Web Portal.

**Form Numbers:** FAA Form 7900-1, 7900-2, 7900-3, 7900-4, 7900-5, 7900-6, 7900-7.

**Type of Review:** Renewal of an information collection.

**Background:** The **Federal Register** Notice with a 60-day comment period soliciting comments on the following collection of information was published on April 5, 2017 (82 FR 16658). There were no comments. The National Flight Data Center (NFDC) is the authoritative government source for collecting, validating, storing, maintaining, and disseminating aeronautical data concerning the United States and its territories to support real-time aviation activities. The information collected ensures the safe and efficient navigation of the national airspace. The information collected is maintained in the National Airspace System Resources (NASR) database which serves as the official repository for NAS data and is provided to government, military, and private producers of aeronautical charts,

publications, and flight management systems.

**Respondents:** Approximately 7,318 representatives of U.S. public airports, U.S. privately-owned instrument landing systems, and non-Federal weather systems.

**Frequency:** Information is collected on occasion.

**Estimated Average Burden per Response:** 25 minutes.

**Estimated Total Annual Burden:** 1,296 hours.

Issued in Washington, DC, on June 13, 2017.

**Ronda L. Thompson,**

FAA Information Collection Clearance Officer, Performance, Policy & Records Management Branch, ASP-110.

[FR Doc. 2017-12681 Filed 6-16-17; 8:45 am]

BILLING CODE 4910-13-P

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## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Passenger Facility Charge (PFC) Application

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. This program requires public agencies and certain members of the aviation industry to prepare and submit applications and reports to the FAA. Through this program the FAA provides additional funding for airport development which is needed now and in the future.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**Public Comments Invited:** You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d)

ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120-0557.

*Title:* Passenger Facility Charge (PFC) Application.

*Form Numbers:* FAA Form 5500-1.

*Type of Review:* Renewal of an information collection.

*Background:* 49 U.S.C. 40117 authorizes airports to impose passenger facility charges (PFC). The final rule (14 CFR part 158) implementing this Act was effective June 28, 1991. The information collected allows the FAA to approve the collection of PFC revenue for projects which preserve or enhance safety, security, or capacity of the national air transportation system, or which reduce noise or mitigate noise impacts resulting from an airport, or which furnish opportunities for enhanced competition between or among air carriers.

*Respondents:* Approximately 450 applicants annually.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 10 hours.

*Estimated Total Annual Burden:* 24,025 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12712 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Aviation Administration**

**Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Associate Administrator for Commercial Space Transportation (AST) Customer Service Survey**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of

Management and Budget (OMB) approval to renew a previously approved information collection. The FAA Office of the Associate Administrator for Commercial Space Transportation (AST) conducts this survey in order to obtain industry input on customer service standards which have been developed and distributed to industry customers.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0611.

*Title:* Associate Administrator for Commercial Space Transportation (AST) Customer Service Survey.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* This information is being collected to obtain feedback from the companies and organizations that utilize the products and services of the Federal Aviation Administration's Office of Commercial Space Transportation (AST). The data collected will be analyzed by AST to determine the quality of services provided by AST to its industry and government customers, and to address any problems or issues found as a result of the data analysis.

*Respondents:* Approximately 50 industry customers.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 1 hour.

*Estimated Total Annual Burden:* 50 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12710 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Aviation Administration**

**Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Verification of Authenticity of Foreign License, Rating, and Medical Certification**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The information is used to identify airmen to allow the agency to verify their foreign license being used to qualify for a US certificate. Respondents are holders of foreign licenses wishing to obtain US certificates.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120-0724.

*Title:* Verification of Authenticity of Foreign License, Rating, and Medical Certification.

*Form Numbers:* FAA Form 8060–71.

*Type of Review:* Renewal of an information collection.

*Background:* The information collected is used to properly identify airmen to allow the agency to verify their foreign license being used to qualify for a U.S. certificate. The respondents are holders of foreign licenses wishing to obtain a U.S. certificate. A person who is applying for a U.S. pilot certificate/rating on the basis of a foreign-pilot license must apply for verification of that license at least 90 days before arriving at the designated FAA FSDO where the applicant intends to receive the U.S. pilot certificate.

*Respondents:* Approximately 8,700 foreign applicants for U.S. certificates annually.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 10 minutes.

*Estimated Total Annual Burden:* 1450 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017–12695 Filed 6–16–17; 8:45 am]

**BILLING CODE 4910–13–P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Certification: Pilots and Flight Instructors

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to reinstate a previously approved information collection. FAA regulations prescribe certification standards for pilots, flight instructors, and ground instructors. The information collected is used to determine compliance with applicant eligibility.

**DATES:** Written comments should be submitted by July 19, 2017.

**ADDRESSES:** Interested persons are invited to submit written comments on

the proposed information collection to the Office of Information and Regulatory Affairs, Office of Management and Budget. Comments should be addressed to the attention of the Desk Officer, Department of Transportation/FAA, and sent via electronic mail to [oir\\_submission@omb.eop.gov](mailto:oir_submission@omb.eop.gov), or faxed to (202) 395–6974, or mailed to the Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW., Washington, DC 20503.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov).

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120–0021.

*Title:* Certification: Pilots and Flight Instructors.

*Form Numbers:* None.

*Type of Review:* Renewal of an information collection.

*Background:* The **Federal Register** Notice with a 60-day comment period soliciting comments on the following collection of information was published on April 7, 2017 (82 FR 17066). Title 14 of the Code of Federal Regulations part 61 (14 CFR part 61) Certification: Pilots, Flight Instructors, and Ground Instructors prescribes minimum standards and requirements for the issuance of airman certificates, and establishes procedures for applying for airman certificates. The Airman Certificate and/or Rating Application form and the required records, logbooks and statements required by the federal regulations are submitted to Federal Aviation Administration (FAA) Flight Standards District Offices or its representatives to determine qualifications of the applicant for issuance of a pilot or instructor certificate, or rating or authorization.

*Respondents:* Approximately 1,196,653 responses.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 25 minutes.

*Estimated Total Annual Burden:* 330,501 hours.

Issued in Washington, DC, on June 13, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy & Records Management Branch, ASP-110.*

[FR Doc. 2017–12682 Filed 6–16–17; 8:45 am]

**BILLING CODE 4910–13–P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Safe Disposition of Life-Limited Aircraft Parts

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. This collection involves response to the Wendall H. Ford Investment and Reform Act for the 21st Century which requires that all persons who remove any life-limited aircraft part have a method to prevent the installation of that part after it has reached its life limit.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202–267–1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120–0665.

*Title:* Safe Disposition of Life-Limited Aircraft Parts.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* 14 CFR part 43 requires a record keeping system to be maintained that will aid aircraft operators in determining the status of the life-limited parts from inadvertently being installed that have reached their life limit. This action reduces the risk of life-limited parts being used beyond their life limits. This action also requires that manufacturers of life-limited parts provide marking instructions, when requested.

*Respondents:* Approximately 8,000 air carriers, repair stations, and mechanics.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 15 minutes.

*Estimated Total Annual Burden:* 104,000 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12702 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Noise Certification Standards for Subsonic Jet Airplanes and Subsonic Transport Category Large Airplanes

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The information collected is needed for applicants' noise certification compliance reports in order to demonstrate compliance with 14 CFR part 36.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800

Independence Ave. SW., Washington, DC 20591.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

#### FOR FURTHER INFORMATION CONTACT:

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

#### SUPPLEMENTARY INFORMATION:

*OMB Control Number:* 2120-0659.

*Title:* Noise Certification Standards for Subsonic Jet Airplanes and Subsonic Transport Category Large Airplanes.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* The information collected is needed for applicants' noise certification compliance reports in order to demonstrate compliance with 14 CFR part 36, which is implemented under the Aircraft Noise Abatement Act of 1968. An applicant's collected information is incorporated into a noise compliance report that is provided to and approved by the FAA. The noise compliance report is used by the FAA in making a finding that the airplane is in compliance with regulations.

*Respondents:* Approximately 10 applicants annually.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 135 hours.

*Estimated Total Annual Burden:* 1350 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12707 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: National Air Tour Safety Standards

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. FAA regulations set safety and oversight rules for a broad variety of sightseeing and commercial air tour flights to improve the overall safety of commercial air tours by requiring all air tour.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

#### FOR FURTHER INFORMATION CONTACT:

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

#### SUPPLEMENTARY INFORMATION:

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0717.

*Title:* National Air Tour Safety Standards.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* FAA regulations set safety and oversight rules for a broad variety of sightseeing and commercial air tour flights to improve the overall safety of commercial air tours by requiring all air tour. The FAA uses the information it collects and reviews to

ensure compliance and adherence to regulations and, if necessary, take enforcement action on violators of the regulations.

*Respondents:* 3,480 pilots and air tour operators.

*Frequency:* On occasion.

*Estimated Average Burden per*

*Response:* 10 minutes.

*Estimated Total Annual Burden:* 30,321 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12699 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection

#### Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Certification: Mechanics, Repairman, Parachute Riggers

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. FAR part 65 prescribes requirements for mechanics, repairmen, parachute riggers, and inspection authorizations. The information collected shows applicant eligibility for certification.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**PUBLIC COMMENTS INVITED:** You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your

comments in the request for OMB's clearance of this information collection.

#### FOR FURTHER INFORMATION CONTACT:

Ronda Thompson email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

#### SUPPLEMENTARY INFORMATION:

OMB Control Number: 2120-0022.

Title: Certification: Mechanics, Repairman, Parachute Riggers.

Form Numbers: FAA Forms 8610-1, 8610-2.

Type of Review: Renewal of an information collection.

Background: FAR Part 65 prescribes, among other things, rules governing the issuance of certificates and associated rating for mechanic, repairman, parachute riggers, and issuance of inspection authorizations. The information collected on the forms submitted for renewal is used for evaluation by the FAA, which is necessary for issuing a certificate and/or rating. Certification is necessary to ensure qualifications of the applicant.

*Respondents:* An estimated 66,153 mechanics, repairmen, and parachute riggers.

*Frequency:* On occasion.

*Estimated Average Burden per*

*Response:* 20 minutes.

*Estimated Total Annual Burden:* 44,841 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12715 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### Agency Information Collection

#### Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Aircraft Noise Certification Documents for International Operations

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. This collection ensures that U.S. operators have proper noise certification information when they fly outside the

U.S., in compliance with ICAO, Annex 16, Volume 1, Amendment 8.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

#### FOR FURTHER INFORMATION CONTACT:

Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

#### SUPPLEMENTARY INFORMATION:

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

OMB Control Number: 2120-0737.

Title: Aircraft Noise Certification Documents for International Operations.

Form Numbers: There are no FAA forms associated with this collection.

Type of Review: Renewal of an information collection.

Background: 14 CFR part 91 requires operators of U.S. registered civil aircraft flying outside the United States to carry aircraft noise certification information on board. This rule is needed to ensure consistent compliance with the ICAO, Annex 16, Volume 1, Amendment 8 that requires certain noise information be carried on board the aircraft. The rule requires that this information be easily accessible to the flight crew and presentable upon request to the appropriate foreign officials.

*Respondents:* Approximately 73 operators of aircraft currently registered to U.S. mainline air carriers.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 25 minutes.

*Estimated Total Annual Burden:* 31 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12686 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**



**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: FAA Safety Briefing Readership Survey**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The survey will help the editors learn more about the target audience and how they elect to improve their safety skills/practices, and what they need to know to improve their safety skills/practices. With this information, the editors can craft FAA Safety Briefing content targeted to its audience to help accomplish the FAA and Department of Transportation's mission of improving safety.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120-0747.

*Title:* FAA Safety Briefing Readership Survey.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* The bimonthly print and online publication FAA Safety Briefing is designed to improve general aviation safety by: (a) Making the community aware of FAA resources, (b) helping readers understand safety and regulatory issues, and (c) encouraging continued training. It is targeted to members of the non-commercial general aviation community, primarily pilots and mechanics. This survey is intended to help the editors of FAA Safety Briefing better understand the target audience.

*Respondents:* Approximately 7,000 pilots, flight instructors, mechanics, and repairmen.

*Frequency:* One time per respondent.

*Estimated Average Burden per Response:* Approximately 10 minutes per survey.

*Estimated Total Annual Burden:* An estimated 1,017 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12684 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Certification Procedures for Products and Parts**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. 14 CFR part 21 prescribes certification standards for aircraft, aircraft engines, propellers appliances and parts. The information collected is used to determine compliance and applicant eligibility. The respondents are aircraft parts designers, manufacturers, and aircraft owners.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800

Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:**

Ronda Thompson email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0018.

*Title:* Certification Procedures for Products and parts.

*Form Numbers:* FAA Forms 8110-12, 8130-1, 8130-6, 8130-9, 8130-12.

*Type of Review:* Renewal of an information collection.

*Background:* 14 CFR part 21 prescribes certification standards for aircraft, aircraft engines, propellers appliances and parts. The information collected is used to determine compliance and applicant eligibility. FAA Airworthiness inspectors, designated inspectors, engineers, and designated engineers review the required data submittals to determine that aviation products and articles and their manufacturing facilities comply with the applicable requirements, and that the products and articles have no unsafe features.

*Respondents:* Approximately 13,339 aircraft parts designers, manufacturers, and aircraft owners.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 30 minutes.

*Estimated Total Annual Burden:* 19,487 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12723 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****Agency Information Collection  
Activities: Requests for Comments;  
Clearance of Renewed Approval of  
Information Collection: Implementation  
to the Equal Access to Justice Act**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The information is needed to determine an applicant's eligibility for an award of attorney's fees and other expenses under the Equal Access to Justice Act.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0539.

*Title:* Implementation to the Equal Access to Justice Act.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* The Equal Access to Justice Act provides for the award of attorney fees and other expenses to eligible individuals and entities who are prevailing parties in administrative proceedings before government agencies. Certain information must be obtained from the applicant in order to

determine such applicant's eligibility for the EAJA award.

*Respondents:* Approximately 17 applicants.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 40 minutes.

*Estimated Total Annual Burden:* 680 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12714 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****Agency Information Collection  
Activities: Requests for Comments;  
Clearance of Renewed Approval of  
Information Collection: Performance  
and Handling Requirements for  
Rotorcraft**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The FAA requires that certain performance information be provided in the Rotorcraft Flight Manual in order to show compliance to the regulatory requirements. The flight manual, by regulation, must be furnished with each aircraft.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to

enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0726.

*Title:* Performance and Handling Requirements for Rotorcraft.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* In order to determine that a rotorcraft is a safe vehicle, an applicant for a type certificate must show compliance to specific minimum requirements. In order to show compliance, an applicant must substantiate the type design through analysis, testing, design limitations, and other acceptable means. This substantiation requires that certain performance information for safe operation of the rotorcraft be presented, in the form of tables, diagrams, or charts, in the flight manual. FAA engineers and designated engineers review the required data submittals to determine that the rotorcraft complies with the applicable minimum safety requirements for rotorcraft performance and that the rotorcraft has no unsafe features.

*Respondents:* Approximately 4 normal or transport category rotorcraft certification applicants.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 5 hours.

*Estimated Total Annual Burden:* 2 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12692 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****Agency Information Collection  
Activities: Requests for Comments;  
Clearance of Renewed Approval of  
Information Collection: Experimental  
Permits for Reusable Suborbital  
Rockets**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The FAA collects information from applicants for experimental permits in order to determine whether they satisfy the requirements for obtaining an experimental permit under 14 CFR part 437.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120-0722.  
*Title:* Experimental Permits for Reusable Suborbital Rockets.

*Form Numbers:* There are no FAA forms associated with this collection.  
*Type of Review:* Renewal of an information collection.

*Background:* 14 CFR part 437 established requirements for the FAA's authority to issue experimental permits for reusable suborbital rockets to authorize launches for the purpose of research and development, crew training and showing compliance with the regulations. The information collected includes data required for performing a safety review, which includes a technical assessment to determine if the applicant can launch a reusable suborbital rocket without jeopardizing public health and safety and the safety of property. This information collection requirement is intended for incorporating acquired data into the experimental permit, which then becomes binding on the launch or reentry operator. The applicant is

required to submit information that enables FAA to determine, before issuing a permit, if issuance of the experimental permit would jeopardize the foreign policy or national security interests of the U.S.

*Respondents:* Approximately 10 applicants for experimental permits.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 18.6 hours.

*Estimated Total Annual Burden:* 2,567 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12697 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### **Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Competition Plans, Passenger Facility Charges**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. Title 49, United States Code, Sections 40117(k) and 47106(f) require that a covered airport submit a written competition plan to the Secretary/Administrator in order to receive approval to impose a Passenger Facility Charge (PFC) or to receive a grant under the Airport Improvement Program (AIP).

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a)

Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0661.

*Title:* Competition Plans, Passenger Facility Charges.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* The DOT/FAA will use any information submitted in response to this requirement to carry out the intent of Title 49, Sections 40117(k) and 47106(f), which is to assure that a covered airport has, and implements, a plan that affects its business practices to provide opportunities for competitive access by new entrant carriers or carriers seeking to expand. The affected public includes public agencies controlling medium or large hub airports.

*Respondents:* 5 affected airports annually.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 136 hours.

*Estimated Total Annual Burden:* 680 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12705 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### **Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Office of Dispute Resolution Procedures for Protests and Contact Disputes**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB)

approval to renew a previously approved information collection. 14 CFR part 17 sets forth procedures for filing solicitation protests and contract claims in the FAA's Office of Dispute Resolution for Acquisition. The regulations seek factual and legal information from protesters or claimants primarily through written submissions.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0632.

*Title:* Office of Dispute Resolution Procedures for Protests and Contact Disputes.

*Form Numbers:* There are no FAA forms associated with this collection.

*Type of Review:* Renewal of an information collection.

*Background:* 14 CFR 17.15 and 17.25 provide the procedures for filing protests and contract claims with the Office of Dispute Resolution for Acquisition. The regulations seek factual and legal information from protesters or claimants primarily through written submissions. The information sought by the regulations is used by the ODRA, as well as the opposing parties: (1) To gain a clear understanding as to the facts and the law underlying the dispute; and (2) to provide a basis for applying dispute resolution techniques.

*Respondents:* Approximately 45 protesters or claimants.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 20.5 hours.

*Estimated Total Annual Burden:* 923 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12709 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Aviation Administration**

**Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: NAS Data Release Request**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew a previously approved information collection. The information enables the FAA to evaluate the validity of the user's request for National Airspace (NAS) data from FAA systems and equipment.

**DATES:** Written comments should be submitted by August 18, 2017.

**ADDRESSES:** Send comments to the FAA at the following address: Ronda Thompson, Federal Aviation Administration, ASP-110, 800 Independence Ave. SW., Washington, DC 20591.

**PUBLIC COMMENTS INVITED:** You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**FOR FURTHER INFORMATION CONTACT:** Ronda Thompson by email at: [Ronda.Thompson@faa.gov](mailto:Ronda.Thompson@faa.gov); phone: 202-267-1416.

**SUPPLEMENTARY INFORMATION:**

*OMB Control Number:* 2120-0668.

*Title:* NAS Data Release Request.

*Form Numbers:* FAA Form 1200-5.

*Type of Review:* Renewal of an information collection.

*Background:* This data collection is the genesis for granting approval to release filtered NAS data. The information provided sets the criteria for the FAA Data Release Request Committee (DRRC) to approve or disapprove individual requests for NAS data. The information submitted by the requestor determines the requestor's eligibility to use FAA NAS data. The agency currently uses the collected information to determine suitability for procuring NAS data for use in various evaluations.

*Respondents:* Approximately 9 data requestors annually.

*Frequency:* On occasion.

*Estimated Average Burden per Response:* 3 hours.

*Estimated Total Annual Burden:* 27 hours.

Issued in Washington, DC, on June 5, 2017.

**Ronda L. Thompson,**

*FAA Information Collection Clearance Officer, Performance, Policy, and Records Management Branch, ASP-110.*

[FR Doc. 2017-12701 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Highway Administration**

[Docket No. FHWA-2017-0016]

**Agency Information Collection Activities: Request for Comments for a New Information Collection**

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** FHWA invites public comments about our intention to request the Office of Management and Budget's (OMB) approval for a new information collection, which is summarized below under **SUPPLEMENTARY INFORMATION**. We are required to publish this notice in the **Federal Register** by the Paperwork Reduction Act of 1995.

**DATES:** Please submit comments by August 18, 2017.

**ADDRESSES:** You may submit comments identified by DOT Docket ID 2017-0016 by any of the following methods:

*Web site:* For access to the docket to read background documents or comments received; go to the Federal eRulemaking Portal: Go to <http://www.regulations.gov>. Follow the online instructions for submitting comments.

*Fax:* 1-202-493-2251.

*Mail:* Docket Management Facility, U.S. Department of Transportation, West Building Ground Floor, Room

W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590-0001.

*Hand Delivery or Courier:* U.S.

Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m. and 5 p.m. ET, Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:** John Moulden, 202-493-3470, Turner-Fairbank Highway Research Center, Office of Corporate Research, Technology, and Innovation Management, Federal Highway Administration, Department of Transportation, 6300 Georgetown Pike, McLean, VA 22101. Office hours are from 8 a.m. to 5 p.m., Monday through Friday, except Federal holidays.

**SUPPLEMENTARY INFORMATION:**

*Title:* Federal Highway Administration Research, Development and Technology Agenda Web site.

*Background:* Title 23, United States Code, Section 502(a)(5) requires that Federal surface transportation research and development activities address the needs of stakeholders, including "States, metropolitan planning organizations, local governments, the private sector, researchers, research sponsors, and other affected parties, including public interest groups." As part of its effort to ensure that Federal research, development and technology (RD&T) activities are addressing the most critical national challenges, the Federal Highway Administration (FHWA) is developing the RD&T Agenda Web site. This Web site will communicate FHWA's RD&T goals, objectives and strategies to its stakeholders and highlight notable initiatives or projects that illustrate FHWA's RD&T approach. The Web site will include an electronic mechanism for stakeholders to provide feedback on the overall RD&T Agenda, FHWA's approach to addressing national transportation challenges, and potential opportunities for FHWA to collaborate with stakeholders to address them.

*Respondents:* Approximately 1,000 annual respondents.

*Frequency:* Annually.

*Estimated Average Burden per*

*Response:* Approximately 10 minutes per respondent per year.

*Estimated Total Annual Burden*

*Hours:* Approximately 167 hours per year.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection is necessary for the FHWA's performance; (2) the accuracy of the estimated

burden; (3) ways for the FHWA to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be minimized, including the use of computer technology, without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.48.

Issued On: June 13, 2017.

**Michael Howell,**

*Information Collection Coordinator.*

[FR Doc. 2017-12639 Filed 6-16-17; 8:45 am]

**BILLING CODE P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Highway Administration**

[Docket No. FHWA-2017-0022]

**Agency Information Collection Activities: Notice of Request for Approval of a New Information Collection**

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice of Request for Approval of a New Information Collection.

**SUMMARY:** The FHWA invites public comments about our intention to request the Office of Management and Budget's (OMB) approval of a new information collection that is summarized below. We are required to publish this notice in the **Federal Register** by the Paperwork Reduction Act of 1995.

**DATES:** Please submit comments by August 18, 2017.

**ADDRESSES:** You may submit comments identified by DOT Docket ID Number 2017-0022 by any of the following methods:

*Web site:* For access to the docket to read background documents or comments received, go to the Federal eRulemaking Portal: <http://www.regulations.gov>. Follow the online instructions for submitting comments.

*Fax:* 1-202-493-2251.

*Mail:* Docket Management Facility, U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590.

*Hand Delivery or Courier:* U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m.

and 5 p.m. ET, Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:**

Keith Williams, 202-366-9212, Highway Safety Specialist, Office of Safety Programs, Federal Highway Administration, Department of Transportation, 1200 New Jersey Avenue SE., Room E71-119, Washington, DC 20590, Monday through Friday, except Federal holidays.

**SUPPLEMENTARY INFORMATION:**

*Title:* Inventory of State Compliance on Serious Injury Reporting Using the Model Minimum Uniform Crash Criteria 4th Edition

*Type of request:* New information collection requirement.

*Background:* The Federal Highway Administration (FHWA) Office of Safety's mission is to exercise leadership throughout the highway community to make the Nation's roadways safer by developing, evaluating, and deploying life-saving countermeasures; advancing the use of scientific methods and data-driven decisions, fostering a safety culture, and promoting an integrated, multidisciplinary 4 E's (Engineering, Education, Enforcement, Education) approach to safety. The mission is carried out through the Highway Safety Improvement Program (HSIP), a data driven strategic approach to improving highway safety on all public roads that focuses on performance. The goal of the program is to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands.

In keeping with that mission, the United States Congress on June 29, 2012 passed the Moving Ahead for Progress in the 21st Century Act (MAP-21), which was signed into law (Pub. L. 112-141) on July 6, 2012 by President Barack Obama and continued in the Fixing America's Surface Transportation Act (FAST Act). MAP-21 is a milestone for the U.S. economy and the Nation's surface transportation program as it transformed the policy and programmatic framework for investments to guide the system's growth and development and created a streamlined performance-based surface transportation program. The FHWA defines Transportation Performance Management (TPM) as a strategic approach that uses system information to make investment and policy decisions to achieve national performance goals.

MAP-21 required the Secretary of Transportation to establish performance measures for States to use to assess

serious injuries and fatalities per vehicle mile traveled; and the number of serious injuries and fatalities, for the purposes of carrying out the HSIP under 23 U.S.C. 148. The HSIP is applicable to all public roads and therefore requires crash reporting by law enforcement agencies that have jurisdiction over them. In defining performance measures for serious injuries, FHWA requires national reporting by States using a uniform definition for national reporting in this performance area, as required by MAP-21. An established standard for defining serious injuries as a result of motor vehicle related crashes has been developed in the 4th edition of the Model Minimum Uniform Crash Criteria (MMUCC). MMUCC represents a voluntary and collaborative effort to generate uniform crash data that are accurate, reliable and credible for data-driven highway safety decisions within a State, between States, and at the national level. The MMUCC defines a serious injury resulting from traffic crashes as “Suspected Serious Injury (A)” whose attributes are: Any injury, other than fatal, which results in one or more of the following: Severe laceration resulting in exposure of underlying tissues, muscle, organs, or resulting in significant loss of blood; broken or distorted extremity (arm or leg); crush injuries; suspected skull, chest, or abdominal injury other than bruises or minor lacerations; significant burns (second and third degree burns over 10 percent or more of the body); unconsciousness when taken from the crash scene; or paralysis.

As part of the national requirement to report serious injuries using the MMUCC 4th Edition definition, the FHWA seeks to determine if States have adopted the MMUCC 4th edition definition, attribute and coding convention by the required April 15, 2019 date. Specifically, States will be considered compliant with the serious injury definition requirement if it: Maintains a statewide crash database capable of accurately aggregating the MMUCC 4th Edition injury status attribute for “Suspected Serious Injury (A)”; Ensures the State crash database, data dictionary and crash report user manual employs the verbatim terminology and definitions for the MMUCC 4th Edition injury status attribute Suspected Serious Injury (A); Ensures the police crash form employs the verbatim MMUCC 4th Edition injury status attribute for Suspected Serious Injury (A); Ensures that the seven serious injury types specified in the Suspected Serious Injury (A) attribute are not included in any of the other

attributes listed in the States’ injury status data elements are MMUCC compliant.

The purpose of the information collection is to assess each States’ ability to report serious injuries using the new Federal definition. This assessment will require consultation with the State database owner, State law enforcement agency and possibly county and municipal law enforcement agencies that don’t use the State form.

*Respondents:* State, the District of Columbia, Puerto Rico, tribal and local traffic records management agencies and law enforcement. (75 total).

*Frequency:* One time collection  
*Estimated Average Burden per Response:* It will take approximately 30 minutes per participant.

*Estimated Total Annual Burden Hours:* Approximately 37 hours for a one-time collection.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection of information is necessary for the U.S. DOT’s performance, including whether the information will have practical utility; (2) the accuracy of the U.S. DOT’s estimate of the burden of the proposed information collection; (3) ways to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be minimized, including the use of electronic technology, without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB’s clearance of this information collection.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.48.

Issued On: June 8, 2017.

**Michael Howell,**

*Information Collection Officer.*

[FR Doc. 2017-12636 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-22-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Highway Administration

[Docket No. FHWA-2014-0015]

#### Agency Information Collection Activities: Request for Comments for a New Information Collection

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** The FHWA invites public comments about our intention to request

the Office of Management and Budget’s (OMB) approval for a new information collection, which is summarized below under **SUPPLEMENTARY INFORMATION**. We are required to publish this notice in the **Federal Register** by the Paperwork Reduction Act of 1995.

**DATES:** Please submit comments by August 18, 2017.

**ADDRESSES:** You may submit comments identified by DOT Docket ID 2017-0015 by any of the following methods:

*Web site:* For access to the docket to read background documents or comments received go to the Federal eRulemaking Portal: Go to <http://www.regulations.gov>. Follow the online instructions for submitting comments.

*Fax:* 1-202-493-2251.

*Mail:* Docket Management Facility, U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590-0001.

*Hand Delivery or Courier:* U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m. and 5 p.m. ET, Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:**

Bruce Bradley, 202-493-0564, Department of Transportation, Federal Highway Administration, Office of Real Estate Services, 1200 New Jersey Avenue SE., Washington, DC 20590. Office hours are from 8 a.m. to 5 p.m., Monday through Friday, except Federal holidays.

**SUPPLEMENTARY INFORMATION:**

*Title:* FHWA Excellence in Right-of-Way Awards and Utility Relocation and Accommodation Awards.

*Background:* In 1995, the Federal Highway Administration established the biennial Excellence in Right-of-Way Awards Program to recognize partners, projects, and processes that use FHWA funding sources to go beyond regulatory compliance and achieve right-of-way excellence. Excellence in Right-of-Way awardees have contributed to outstanding innovations that enhance the right-of-way professional’s ability to meet the challenges associated with acquiring real property for Federal-aid projects. Similarly, FHWA established the Excellence in Utility Relocation and Accommodation Awards Program to honor the use of innovative practices and outstanding achievements in reducing the cost or shortening the time required to accommodate or relocate utilities associated with highway improvement projects. The goal of the program is to showcase exemplary and innovative projects, programs,

initiatives, and practices that successfully integrate the consideration of utilities in the planning, design, construction, and maintenance of transportation facilities.

*Award:* Anyone can nominate a project, process, person or group that has used Federal Highway Administration funding sources to make an outstanding contribution to transportation and the right-of-way or utility fields. The nominator is responsible for submitting via email, fax, or mail an application form that summarizes the outstanding accomplishments of the entry. FHWA will use the collected information to evaluate, showcase, and enhance the public's knowledge on addressing right-of-way challenges on transportation projects and on relocating and accommodating utilities associated with highway improvement projects. Nominations will be reviewed by an independent panel of judges from varying backgrounds. It is anticipated that awards will be given every two years. The winners are presented plaques at an awards ceremony.

*Respondents:* Anyone who has used Federal Highway funding sources in the fifty states, the District of Columbia and Puerto Rico.

*Frequency:* The information will be collected biennially.

*Estimated Average Burden per Response:* 6 hours per respondent per application.

*Estimated Total Annual Burden Hours:* It is expected that the respondents will complete approximately 50 applications for an estimated total of 600 annual burden hours.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection is necessary for the FHWA's performance; (2) the accuracy of the estimated burdens; (3) ways for the FHWA to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be minimized, including the use of electronic technology, without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.48.

Issued on: June 13, 2017.

**Michael Howell,**

*Information Collection Officer.*

[FR Doc. 2017-12640 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-22-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Highway Administration

[Docket No. FHWA-2017-0014]

#### Agency Information Collection Activities: Notice of Request for Extension of Currently Approved Information Collection

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice of request for extension of currently approved information collection.

**SUMMARY:** The FHWA invites public comments about our intention to request the Office of Management and Budget's (OMB) approval for renewal of an existing information collection that is summarized below under **SUPPLEMENTARY INFORMATION.** We are required to publish this notice in the **Federal Register** by the Paperwork Reduction Act of 1995.

**DATES:** Please submit comments by August 18, 2017.

**ADDRESSES:** You may submit comments identified by DOT Docket ID Number 2017-0014 by any of the following methods:

*Web site:* For access to the docket to read background documents or comments received go to the Federal eRulemaking Portal: Go to <http://www.regulations.gov>.

Follow the online instructions for submitting comments.

*Fax:* 1-202-493-2251.

*Mail:* Docket Management Facility, U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590-0001.

*Hand Delivery or Courier:* U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m. and 5 p.m. ET, Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:** Michael Dougherty 202-366-9234, Department of Transportation, Federal Highway Administration, Office of Highway Policy Information, 1200 New Jersey Avenue SE., Washington, DC 20590, Monday through Friday, except Federal holidays.

**SUPPLEMENTARY INFORMATION:**

*Title:* Certification of Enforcement of the Heavy Vehicle Use Tax.

*OMB Control #:* 2125-0541.

*Background:* Title 23 United States Code, Section 141(c), provides that a State's apportionment of funds under 23 U.S.C. 104(b)(1) shall be reduced in an amount up to 8 percent of the amount to be apportioned during any fiscal year beginning after September 30, 1984, if vehicles subject to the Federal heavy vehicle use tax are lawfully registered in the State without having presented proof of payment of the tax. The annual certification by the State Governor or designated official regarding the collection of the heavy vehicle use tax serves as the FHWA's primary means of determining State compliance. The FHWA has determined that an annual certification of compliance by each State is the least obtrusive means of administering the provisions of the legislative mandate. In addition, States are required to retain for 1 year a Schedule 1, IRS Form 2290, Heavy Vehicle Use Tax Return (or other suitable alternative provided by regulation). The FHWA conducts compliance reviews at least once every 3 years to determine if the annual certification is adequate to ensure effective administration of 23 U.S.C. 141(c).

The estimated annual reporting burden is 102 hours; the estimated recordkeeping burden is 510 hours for a total of 612 hours. The 50 States and the District of Columbia share this burden. Preparing and processing the annual certification is estimated to require 2 hours per State. Recordkeeping is estimated to require an average of 10 hours per State.

*Respondents:* 50 State Transportation Departments, and the District of Columbia for a total of 51 respondents.

*Frequency:* Annually.

*Estimated Average Annual Burden per Response:* The average burden to submit the certification and to retain required records is 12 hours per respondent.

*Estimated Total Annual Burden Hours:* Total estimated average annual burden is 612 hours.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection of information is necessary for the U.S. DOT's performance, including whether the information will have practical utility; (2) the accuracy of the U.S. DOT's estimate of the burden of the proposed information collection; (3) ways to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be



minimized, including the use of electronic technology, without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.48.

Issued On: June 13, 2017.

**Michael Howell,**

*Information Collection Officer.*

[FR Doc. 2017-12638 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-22-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Highway Administration

[Docket No. FHWA-2017-0017]

#### Agency Information Collection Activities: Request for Comments for a New Information Collection

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice and request for comments.

**SUMMARY:** The FHWA invites public comments about our intention to request the Office of Management and Budget's (OMB) approval for a new information collection, which is summarized below under **SUPPLEMENTARY INFORMATION**. We are required to publish this notice in the **Federal Register** by the Paperwork Reduction Act of 1995.

**DATES:** Please submit comments by August 18, 2017.

**ADDRESSES:** You may submit comments identified by DOT Docket ID 2017-0017 by any of the following methods:

*Web site:* For access to the docket to read background documents or comments received go to the Federal eRulemaking Portal: Go to <http://www.regulations.gov>. Follow the online instructions for submitting comments.

*Fax:* 1-202-493-2251.

*Mail:* Docket Management Facility, U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590-0001.

*Hand Delivery or Courier:* U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m. and 5 p.m. ET, Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:** Mark Ferroni, 202-366-3233, Office of Planning, Environment, and Realty, Federal Highway Administration,

Department of Transportation, 1200 New Jersey Avenue SE., Washington, DC 20590. Office hours are from 6:00 a.m. to 3:30 p.m., Monday through Friday, except Federal holidays.

#### SUPPLEMENTARY INFORMATION:

*Title:* Noise Barrier Inventory.

*Background:* The basis of the Federal-aid highway program is a strong federal-state partnership. At the core of that partnership is a philosophy of trust and flexibility, and a belief that the states are in the best position to make investment decisions and that states base these decisions on the needs and priorities of their citizens. The FHWA noise regulation (23 CFR 772) gives each state department of transportation (SDOT) flexibility to determine the feasibility and reasonableness of noise abatement by balancing of the benefits of noise abatement against the overall adverse social, economic, and environmental effects and costs of the noise abatement measures. The SDOT must base its determination on the interest of the overall public good, keeping in mind all the elements of the highway program (need, funding, environmental impacts, public involvement, etc.).

Reduction of highway traffic noise should occur through a program of shared responsibility with the most effective strategy being implementation of noise compatible planning and land use control strategies by state and local governments. Local governments can use their power to regulate land development to prohibit noise-sensitive land use development adjacent to a highway, or to require that developers plan, design, and construct development in ways that minimize noise impacts. The FHWA noise regulations limit Federal participation in the construction of noise barriers along existing highways to those projects proposed along lands where land development or substantial construction predated the existence of any highway.

The data reflects the flexibility in noise abatement decision-making. Some states have built many noise barriers while a few have built none. Through the end of 2010, 47 SDOTs and the Commonwealth of Puerto Rico have constructed over 2,748 linear miles of barriers at a cost of over \$4.05 billion (\$5.44 billion in 2010 dollars). Three states and the District of Columbia have not constructed noise barriers. Ten SDOTs account for approximately sixty-two percent (62%) of total barrier length and sixty-nine percent (69%) of total barrier cost. The type of information requested can be found in 23CFR772.13(f).

The previously distributed listing can be found at [http://www.fhwa.dot.gov/environment/noise/noise\\_barriers/inventory/summary/sintro7.cfm](http://www.fhwa.dot.gov/environment/noise/noise_barriers/inventory/summary/sintro7.cfm).

This listing continues to be extremely useful in the management of the highway traffic noise program, in our technical assistance efforts for State highway agencies, and in responding to inquiries from congressional sources, Federal, State, and local agencies, and the general public. An updated listing of noise barriers will be distributed nationally for use in the highway traffic noise program. It is anticipated that this information will be requested in 2014 (for noise barriers constructed in 2011, 2012 and 2013) and then again in 2017 (for noise barriers constructed in 2014, 2015 and 2016). After review of the "Summary of Noise Barriers Constructed by December 31, 2004" document, a SDOT may request to delete, modify or add information to any calendar year.

*Respondents:* Each of the 50 SDOTs, the District of Columbia, and the Commonwealth of Puerto Rico.

*Frequency:* Every 3 years.

*Estimated Average Burden per Response:* It is estimated that on average it would take 8 hours to respond to this request.

*Estimated Total Annual Burden Hours:* It is estimated that the estimated total annual burden is 139 hours.

*Public Comments Invited:* You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection is necessary for the FHWA's performance; (2) the accuracy of the estimated burdens; (3) ways for the FHWA to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be minimized, including the use of electronic technology, without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.48.

Issued On: June 13, 2017.

**Michael Howell,**

*Information Collection Officer.*

[FR Doc. 2017-12637 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-22-P**



**DEPARTMENT OF TRANSPORTATION****Federal Transit Administration**

[FTA Docket No. FTA 2017-0015]

**Agency Information Collection Activity Under OMB Review****AGENCY:** Federal Transit Administration, DOT.**ACTION:** Notice of request for comments.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995, this notice announces that the Information Collection Requirements (ICRs) abstracted below have been forwarded to the Office of Management and Budget (OMB) for review and comment. The ICR describe the nature of the information collection and their expected burdens. The **Federal Register** notice with a 60-day comment period soliciting comments on the following collections of information was published on March 15, 2017 (82 FR 13923).

**DATES:** Comments must be submitted on or before July 19, 2017.

**ADDRESSES:** All written comments must refer to the docket number that appears at the top of this document and be submitted to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725-17th Street NW., Washington, DC 20503, Attention: FTA Desk Officer. Alternatively, comments may be sent via email to the Office of Information and Regulatory Affairs (OIRA), Office of Management and Budget, at the following address: [oira\\_submissions@omb.eop.gov](mailto:oira_submissions@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Tia Swain, Office of Administration, Management Planning Division, 1200 New Jersey Avenue SE., Mail Stop TAD-10, Washington, DC 20590 (202) 366-0354 or [tia.swain@dot.gov](mailto:tia.swain@dot.gov).

**SUPPLEMENTARY INFORMATION:**

The Paperwork Reduction Act of 1995 (PRA), Public Law 104-13, Section 2, 109 Stat. 163 (1995) (codified as revised at 44 U.S.C. 3501-3520), and its implementing regulations, 5 CFR part 1320, require Federal agencies to issue two notices seeking public comment on information collection activities before OMB may approve paperwork packages. 44 U.S.C. 3506, 3507; 5 CFR 1320.5, 1320.8(d)(1), 1320.12. On March 15, 2017, published a 60-day notice (82 FR 13923) in the **Federal Register** soliciting comments on the ICR that the agency was seeking OMB approval. FTA received no comments after issuing this 60-day notice. Accordingly, DOT announces that these information

collection activities have been re-evaluated and certified under 5 CFR 1320.5(a) and forwarded to OMB for review and approval pursuant to 5 CFR 1320.12(c).

Before OMB decides whether to approve these proposed collections of information, it must provide 30 days for public comment. 44 U.S.C. 3507(b); 5 CFR 1320.12(d). Federal law requires OMB to approve or disapprove paperwork packages between 30 and 60 days after the 30 day notice is published. 44 U.S.C. 3507 (b)-(c); 5 CFR 1320.12(d); *see also* 60 FR 44978, 44983, Aug. 29, 1995. OMB believes that the 30 day notice informs the regulated community to file relevant comments and affords the agency adequate time to digest public comments before it renders a decision. 60 FR 44983, Aug. 29, 1995. Therefore, respondents should submit their respective comments to OMB within 30 days of publication to best ensure having their full effect. 5 CFR 1320.12(c); *see also* 60 FR 44983, Aug. 29, 1995.

The summaries below describe the nature of the information collection requirements (ICRs) and the expected burden. The requirements are being submitted for clearance by OMB as required by the PRA.

*Title:* Nondiscrimination as It Applies to FTA Grant Programs.

*OMB Control Number:* 2132-0542.

*Type of Request:* Revision of a currently approved information collection.

*Abstract:* The Federal Transit Laws, 49 U.S.C. 5332(b), provide that “no person in the United States shall on the grounds of race, color, religion, national origin, sex, or age be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any project, program or activity funded in whole or in part through financial assistance under this Act.” This applies to employment and business opportunities and is in addition to the provisions of Title VI of the Civil Rights Act of 1964. Any FTA applicant, recipient, sub-recipient, and contractor who employ 100 or more transit-related employees and requests or receives capital or operating assistance in excess of \$1 million in the previous Federal fiscal year, or requests or receives planning assistance in excess of \$250,000 in the previous Federal fiscal year must implement all of the EEO Program elements. Agencies that have between 50-99 transit-related employees are required to prepare and maintain an EEO Program that includes the statement of policy, dissemination plan, designation of personnel, assessment of employment practices,

and a monitoring and reporting system. These smaller agencies are not required to conduct a utilization analysis with goals and timetables or to submit the EEO Program to FTA every four years. Instead, these agencies will be required to provide the EEO Program to FTA if requested by the Office of Civil Rights or for a State Management Review or Triennial Review.

*Annual Estimated Total Burden Hours:* 1,575 hours.

*Comments are Invited On:* Whether the proposed collection of information is necessary for the proper performance of the functions of the Department, including whether the information will have practical utility; the accuracy of the Department’s estimate of the burden of the proposed information collection; ways to enhance the quality, utility, and clarity of the information to be collected; and ways to minimize the burden of the collection of information on respondents, including the use of automated collection techniques or other forms of information technology. A comment to OMB is best assured of having its full effect if OMB receives it within 30 days of publication of this notice in the **Federal Register**.

**William Hyre,***Deputy Associate Administrator for Administration.*

[FR Doc. 2017-12632 Filed 6-16-17; 8:45 am]

**BILLING CODE P****DEPARTMENT OF TRANSPORTATION****Federal Transit Administration**

[FTA Docket No. 2017-0018]

**Notice of Request for Revisions of an Information Collection****AGENCY:** Federal Transit Administration, DOT.**ACTION:** Notice of request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the intention of the Federal Transit Administration (FTA) to request the Office of Management and Budget (OMB) to approve a renewal without revisions to the following information: Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery.

**DATES:** Comments must be submitted before August 18, 2017.

**ADDRESSES:** To ensure that your comments are not entered more than once into the docket, submit comments identified by the docket number by only one of the following methods:

1. *Web site:* [www.regulations.gov](http://www.regulations.gov). Follow the instructions for submitting comments on the U.S. Government electronic docket site. (**Note:** The U.S. Department of Transportation's (DOT's) electronic docket is no longer accepting electronic comments.) All electronic submissions must be made to the U.S. Government electronic docket site at [www.regulations.gov](http://www.regulations.gov). Commenters should follow the directions below for mailed and hand-delivered comments.
2. *Fax:* 202-366-7951.
3. *Mail:* U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001.
4. *Hand Delivery:* U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays.

*Instructions:* You must include the agency name and docket number for this notice at the beginning of your comments. Submit two copies of your comments if you submit them by mail. For confirmation that FTA has received your comments, include a self-addressed stamped postcard. Note that all comments received, including any personal information, will be posted and will be available to Internet users, without change, to [www.regulations.gov](http://www.regulations.gov). You may review DOT's complete Privacy Act Statement in the **Federal Register** published April 11, 2000, (65 FR 19477), or you may visit [www.regulations.gov](http://www.regulations.gov).

*Docket:* For access to the docket to read background documents and comments received, go to [www.regulations.gov](http://www.regulations.gov) at any time. Background documents and comments received may also be viewed at the U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays.

**FOR FURTHER INFORMATION CONTACT:** David Longo, Office of Communications and Congressional Affairs, (202) 366-0608, or email at [David.Longo@dot.gov](mailto:David.Longo@dot.gov).

**SUPPLEMENTARY INFORMATION:** Interested parties are invited to send comments regarding any aspect of this information collection, including: (1) The necessity

and utility of the information collection for the proper performance of the functions of the FTA; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the collected information; and (4) ways to minimize the collection burden without reducing the quality of the collected information. Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection.

*Title:* Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery.

(OMB Number: 2132-0572).  
*Background:* The information collection activity will garner qualitative customer and stakeholder feedback in an efficient, timely manner, in accordance with the Administration's commitment to improving service delivery. By qualitative feedback we mean information that provides useful insights on perceptions and opinions, but are not statistical surveys that yield quantitative results that can be generalized to the population of study. This feedback will provide insights into customer or stakeholder perceptions, experiences and expectations, provide an early warning of issues with service, or focus attention on areas where communication, training or changes in operations might improve delivery of products or services. These collections will allow for ongoing, collaborative and actionable communications between the Federal Transit Administration and its customers and stakeholders. It will also allow feedback to contribute directly to the improvement of program management. Feedback collected under this generic clearance will provide useful information, but it will not yield data that can be generalized to the overall population. This type of generic clearance for qualitative information will not be used for quantitative information collections that are designed to yield reliably actionable results, such as monitoring trends over time or documenting program performance. Such data uses require more rigorous designs that address: the target population to which generalizations will be made, the sampling frame, the sample design (including stratification and clustering), the precision requirements or power calculations that justify the proposed sample size, the expected response rate, methods for assessing potential nonresponse bias, the protocols for data collection, and any testing procedures that were or will be undertaken prior to fielding the study. Depending on the degree of influence the results are likely

to have, such collections may still be eligible for sub mission for other generic mechanisms that are designed to yield quantitative results.

*Affected Public:* Individuals and Households, Businesses and Organizations, State, Local or Tribal Government.

Below we provide the Federal Transit Administration's projected average estimates for the next three years:

*Respondents:* 2,700.

*Annual Responses:* 2,700.

*Frequency of Response:* Once per request.

*Burden Hours:* 592 annually.

**William Hyre,**

*Deputy Associate Administrator for Administration.*

[FR Doc. 2017-12634 Filed 6-16-17; 8:45 am]

**BILLING CODE P**

## DEPARTMENT OF TRANSPORTATION

### Federal Transit Administration

[FTA Docket No. FTA 2017-0016]

#### Agency Information Collection Activity Under OMB Review

**AGENCY:** Federal Transit Administration, DOT.

**ACTION:** Notice of request for comments.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995, this notice announces that the Information Collection Requirements (ICRs) abstracted below have been forwarded to the Office of Management and Budget (OMB) for review and comment. The ICR describe the nature of the information collection and their expected burdens. The **Federal Register** notice with a 60-day comment period soliciting comments on the following collections of information was published on March 14, 2017 (82 FR 13923).

**DATES:** Comments must be submitted on or before July 19, 2017.

**ADDRESSES:** All written comments must refer to the docket number that appears at the top of this document and be submitted to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725-17th Street NW., Washington, DC 20503, Attention: FTA Desk Officer. Alternatively, comments may be sent via email to the Office of Information and Regulatory Affairs (OIRA), Office of Management and Budget, at the following address: [oira\\_submissions@omb.eop.gov](mailto:oira_submissions@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Tia Swain, Office of Administration,

Management Planning Division, 1200 New Jersey Avenue SE., Mail Stop TAD-10, Washington, DC 20590, (202) 366-0354 or [tia.swain@dot.gov](mailto:tia.swain@dot.gov).

**SUPPLEMENTARY INFORMATION:**

The Paperwork Reduction Act of 1995 (PRA), Public Law 104-13, Section 2, 109 Stat. 163 (1995) (codified as revised at 44 U.S.C. 3501-3520), and its implementing regulations, 5 CFR part 1320, require Federal agencies to issue two notices seeking public comment on information collection activities before OMB may approve paperwork packages. 44 U.S.C. 3506, 3507; 5 CFR 1320.5, 1320.8(d)(1), 1320.12. On March 14, 2017, published a 60-day notice (82 FR 13725) in the **Federal Register** soliciting comments on the ICR that the agency was seeking OMB approval. FTA received no comments after issuing this 60-day notice. Accordingly, DOT announces that these information collection activities have been re-evaluated and certified under 5 CFR 1320.5(a) and forwarded to OMB for review and approval pursuant to 5 CFR 1320.12(c).

Before OMB decides whether to approve these proposed collections of information, it must provide 30 days for public comment. 44 U.S.C. 3507(b); 5 CFR 1320.12(d). Federal law requires OMB to approve or disapprove paperwork packages between 30 and 60 days after the 30 day notice is published. 44 U.S.C. 3507 (b)-(c); 5 CFR 1320.12(d); *see also* 60 FR 44978, 44983, Aug. 29, 1995. OMB believes that the 30 day notice informs the regulated community to file relevant comments and affords the agency adequate time to digest public comments before it renders a decision. 60 FR 44983, Aug. 29, 1995. Therefore, respondents should submit their respective comments to OMB within 30 days of publication to best ensure having their full effect. 5 CFR 1320.12(c); *see also* 60 FR 44983, Aug. 29, 1995.

The summaries below describe the nature of the information collection requirements (ICRs) and the expected burden. The requirements are being submitted for clearance by OMB as required by the PRA.

*Title:* Title VI As It Applies to Federal Transit Administration Grant Programs.  
*OMB Control Number:* 2132-0540.

*Type of Request:* Revision of a currently approved information collection.

*Abstract:* Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) states: "No person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be

subjected to discrimination under any program or activity receiving Federal financial assistance."

To achieve this purpose, each Federal department and agency which provides financial assistance for any program or activity is authorized and directed by the Department of Justice (DOJ) to effectuate provisions of Title VI for each program or activity by issuing generally applicable regulations or requirements. The Department of Transportation (DOT) has issued its regulation implementing this DOJ mandate. In this regard, the responsibility of the FTA is to ensure that Federally-supported transit services and benefits are distributed by applicants, recipients, and sub-recipients of FTA assistance in a manner consistent with Title VI. The employment practices of a grant applicant, recipient, or sub-recipient are also covered under Title VI if the primary purpose of the FTA-supported program is to provide employment or if those employment practices would result in discrimination against beneficiaries of FTA-assisted services and benefits. FTA policies and requirements are designed to clarify and strengthen Title VI (service equity) procedures for FTA grant recipients by requiring submission of written plans and approval of such plans by the agency. All project sponsors receiving financial assistance pursuant to an FTA-funded project shall not discriminate in the provision of services because of race, color, or national origin. Experience has demonstrated that a program requirement at the application stage is necessary to assure that benefits and services are equitably distributed by grant recipients. The requirements prescribed by the Office of Civil Rights are designed to accomplish this objective and diminish possible vestiges of discrimination among FTA grant recipients. FTA's assessment of the requirements indicated that the formulation and implementation of the Title VI Program should occur with a decrease in costs to such applicants and recipients.

*Annual Estimated Total Burden Hours:* 4,684 hours.

*Annual Estimated Number of Respondents:* 284.

*Comments are Invited On:* Whether the proposed collection of information is necessary for the proper performance of the functions of the Department, including whether the information will have practical utility; the accuracy of the Department's estimate of the burden of the proposed information collection; ways to enhance the quality, utility, and clarity of the information to be collected; and ways to minimize the

burden of the collection of information on respondents, including the use of automated collection techniques or other forms of information technology. A comment to OMB is best assured of having its full effect if OMB receives it within 30 days of publication of this notice in the **Federal Register**.

**William Hyre,**

*Deputy Associate Administrator for Administration.*

[FR Doc. 2017-12633 Filed 6-16-17; 8:45 am]

**BILLING CODE P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Transit Administration**

[FTA Docket No. 2017-0019]

**Notice of Request for Revisions of an Information Collection**

**AGENCY:** Federal Transit Administration, DOT.

**ACTION:** Notice of request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the intention of the Federal Transit Administration (FTA) to request the Office of Management and Budget (OMB) to approve the revisions of the following information collection: Survey of FTA Stakeholders.

**DATES:** Comments must be submitted before August 18, 2017.

**ADDRESSES:** To ensure that your comments are not entered more than once into the docket, submit comments identified by the docket number by only one of the following methods:

1. *Web site:* [www.regulations.gov](http://www.regulations.gov). Follow the instructions for submitting comments on the U.S. Government electronic docket site. (**Note:** The U.S. Department of Transportation's (DOT's) electronic docket is no longer accepting electronic comments.) All electronic submissions must be made to the U.S. Government electronic docket site at [www.regulations.gov](http://www.regulations.gov). Commenters should follow the directions below for mailed and hand-delivered comments.

2. *Fax:* 202-366-7951.

3. *Mail:* U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001.

4. *Hand Delivery:* U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays.

**Instructions:** You must include the agency name and docket number for this notice at the beginning of your comments. Submit two copies of your comments if you submit them by mail. For confirmation that FTA has received your comments, include a self-addressed stamped postcard. Note that all comments received, including any personal information, will be posted and will be available to Internet users, without change, to [www.regulations.gov](http://www.regulations.gov). You may review DOT's complete Privacy Act Statement in the **Federal Register** published April 11, 2000, (65 FR 19477), or you may visit [www.regulations.gov](http://www.regulations.gov). Docket: For access to the docket to read background documents and comments received, go to [www.regulations.gov](http://www.regulations.gov) at any time. Background documents and comments received may also be viewed at the U.S. Department of Transportation, 1200 New Jersey Avenue SE., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, DC 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays. **FOR FURTHER INFORMATION CONTACT:** Mr. David Long, Office of Communications & Congressional Affairs (202) 366-0608 or email: [David.Longo@dot.gov](mailto:David.Longo@dot.gov).

**SUPPLEMENTARY INFORMATION:** Interested parties are invited to send comments regarding any aspect of this information collection, including: (1) The necessity and utility of the information collection for the proper performance of the functions of the FTA; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the collected information; and (4) ways to minimize the collection burden without reducing the quality of the collected information. Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection.

**Title:** Survey of FTA Stakeholders.

**OMB Number:** 2132-0564.

**Background:** Executive Order 12862, "Streamlining Service Delivery and Improving Customer Service," requires FTA to identify its stakeholders and address how the agency will provide services in a manner that seeks to streamline service delivery and improve the experience of its customers. The survey covered in this request will provide FTA with a means to gather data directly from its stakeholders. The information obtained from the survey will be used to assess how FTA's services are perceived by stakeholders, determine opportunities for improvement and establish goals to

measure results. The survey will be limited to data collections that solicit voluntary opinions and will not involve information that is required by regulations.

**Estimated Annual Burden on Respondents:** 1 hour for each of the 1,200 respondents.

**Estimated Total Annual Burden:** 1,200 hours.

**Frequency:** Every two years.

**William Hyre,**

*Deputy Associate Administrator for Administration.*

[FR Doc. 2017-12635 Filed 6-16-17; 8:45 am]

**BILLING CODE P**

## DEPARTMENT OF TRANSPORTATION

### National Highway Traffic Safety Administration

[U.S. DOT Docket No. NHTSA-2016-0119]

#### Reports, Forms, and Record Keeping Requirements

**AGENCY:** National Highway Traffic Safety Administration (NHTSA), U.S. Department of Transportation.

**ACTION:** 30-day notice.

**SUMMARY:** Before a Federal agency can collect certain information from the public, it must receive approval from the Office of Management and Budget (OMB). Under procedures established by the Paperwork Reduction Act of 1995, before seeking OMB approval, Federal agencies must solicit public comment on proposed collections of information. This document describes one collection of information for which NHTSA intends to seek OMB approval.

This notice announces that the Information Collection Request (ICR) abstracted below will be forwarded to OMB for review and comment and describes the nature of information collection and the expected burden. The **Federal Register** Notice with a 60-day comment period was published on January 3, 2017. No public comments were received before the closing date of March 6, 2017.

**DATES:** Comments must be submitted on or before July 19, 2017.

**ADDRESSES:** You may submit comments, identified by the docket number in the heading of this document, by any of the following methods:

- **Federal eRulemaking Portal:** Go to <http://www.regulations.gov>. Follow the instructions for submitting comments on the electronic docket site by clicking on "Help" or "FAQ."

- **Hand Delivery:** 1200 New Jersey Avenue SE., West Building Ground

Floor, Room W12-140, between 9 a.m. and 5 p.m. Eastern Time, Monday through Friday, except Federal holidays.

- **Fax:** 202-493-2251.

Regardless of how you submit comments, you should mention the docket number of this document.

You may call the Docket Management Facility at 202-366-9826.

**Instructions:** For detailed instructions on submitting comments and additional information on the rulemaking process, see the Public Participation heading of the Supplementary Information section of this document. Note that all comments received will be posted without change to <http://www.regulations.gov>, including any personal information provided.

**Privacy Act:** Anyone is able to search the electronic form of all comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review DOT's complete Privacy Act Statement in the **Federal Register** published on April 11, 2000 (65 FR 19477-78) or you may visit <https://www.transportation.gov/privacy>.

**Docket:** For access to the docket to read background documents or comments received, go to <http://www.regulations.gov>, or the street address listed above. Follow the online instructions for accessing the dockets.

**FOR FURTHER INFORMATION CONTACT:** Mike Joyce, Marketing Specialist, Office of Communications and Consumer Information (NCO-0200), National Highway Traffic Safety Administration, 1200 New Jersey Ave SE., W52-238, Washington, DC, 20590. Mike Joyce's phone number is 202-366-5600 and his email address is [Mike.Joyce@dot.gov](mailto:Mike.Joyce@dot.gov).

**SUPPLEMENTARY INFORMATION:** In compliance with the Paperwork Reduction Act of 1995, NHTSA published a 60-day notice for public comment on January 3, 2017 announcing the intent to conduct consumer research. No public comments were received before the closing date of March 6, 2017. This notice announces that NHTSA will be forwarding the ICR to OMB for review and comment. NHTSA is seeking approval of this new collection.

**Title:** Safety Ratings and Advanced Crash Avoidance Technologies Consumer Research.

**OMB Control Number:** 2127-new.

**Form Number:** None.

**Type of Request:** New collection.

**Affected Public:** For this collection, NHTSA plans to conduct an online survey with 1,500 panel member

respondents that will take approximately 20 minutes to complete. In order to identify 1,500 qualified respondents, approximately 18,520 respondents will be needed to complete a 1.5-minute screener. NHTSA plans to administer this study one time, amounting to 963 burden hours.

Prior to administering the online survey, NHTSA will administer a cognitive test of the survey instrument. For the cognitive test, a total of eight to 12 potential participants will be recruited via dialed telephone screening calls, which are estimated to take 5 minutes per response. The recruitment calls will utilize the screening section of the survey document to determine qualified respondents. NHTSA anticipates needing 55 minutes to allow respondents to navigate the survey while also discussing their feedback on survey questions. The Agency will conduct interviews with one respondent at a time.

Based on experience, it is prudent to recruit up to 12 people in order to help achieve at least eight participants showing up for the cognitive tests. Approximately 600 potential participants will complete a 1.5-minute pre-screen in order to identify a pool of potentially qualified respondents. Among the 12 selected qualified recruits, the total burden per participant is estimated to be 60 minutes (5 minutes for the screening/recruiting telephone call, plus 55 minutes for the interview). Therefore, the total annual estimated burden imposed by this collection of information is approximately 990 hours.

*Estimated Total Annual Burden:* 990 hours.

*Number of Respondents:* 1,512 (12 for cognitive interviews and 1,500 for online survey).

*Abstract:* The National Highway Traffic Safety Administration (NHTSA) was established by the Highway Safety Act of 1970 (23 U.S.C. 101) to carry out a Congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from motor vehicle crashes on the Nation's highways. In support of this mission, NHTSA previously conducted two comprehensive consumer research studies in 2012 and 2014 to better understand (1) the type of information consumers seek during their vehicle purchase decisions, (2) consumer comprehension of vehicle safety ratings, and (3) consumer knowledge and interest in advanced crash avoidance technologies in order to guide NHTSA communications. Building on that research, NHTSA proposes to conduct a quantitative online survey that draws from findings in the previous qualitative research studies to further explore consumer perception, interest and understanding of the 5-star safety ratings (including response to half-stars), overall vehicle scores, and advanced crash avoidance technologies information to support the development of consumer communications.

*Summary of the Collection of Information:* In this collection of information, NHTSA is seeking approval to conduct an online survey with 1,500 consumer respondents. The survey will be used to further support findings from previous qualitative research studies and will achieve the following objectives:

- (1) Confirm qualitative research findings with regard to vehicle purchase decision-making criteria;
- (2) Identify and evaluate sources of vehicle safety information to help

inform the development of a consumer education program;

(3) Understand consumer knowledge and interest in communications around safety ratings;

(4) Explore consumer knowledge, interest and engagement with advanced crash avoidance technologies;

(5) Assess consumer response to overall vehicle score; and,

(6) Evaluate consumer perception of the 5-Star Safety Ratings and its components (including potential incorporation of half-star ratings).

*Description of the Need for the Information and the Proposed Use of the Information:* NHTSA will obtain critical information that will fulfill a congressional mandate to improve highway traffic safety. Specifically, the data from this collection will be used to enhance consumer understanding of NHTSA's safety ratings and advanced crash avoidance technologies and guide the development of communication materials that will help consumers as they factor this information into their vehicle purchase decisions. In addition, this data will be used to substantiate the effectiveness of communications approaches.

The results of this research will be used to inform communications for the New Car Assessment Program's Government 5-Star Safety Ratings program.

*Requested Expiration Date of Approval:* Three years from approval date.

Issued in Washington, DC on: June 14, 2017.

**Susan Gorcowski,**  
Associate Administrator, NHTSA NCO-010.

[FR Doc. 2017-12694 Filed 6-16-17; 8:45 am]

**BILLING CODE 4910-59-P**



# FEDERAL REGISTER

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Vol. 82

Monday,

No. 116

June 19, 2017

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Part II

The President

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Proclamation 9623—Flag Day and National Flag Week, 2017

Memorandum of June 14, 2017—Effective Date in Executive Order 13780



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# Presidential Documents

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Title 3—

Proclamation 9623 of June 14, 2017

The President

Flag Day and National Flag Week, 2017

**By the President of the United States of America****A Proclamation**

On Flag Day, we honor the symbol that reminds us that we are one Nation under God, united in our pursuit of liberty and justice for all. Today, we celebrate and recognize June 14 as the day in 1777 when the Continental Congress formally adopted the Stars and Stripes as the official flag of the Republic.

Our flag is a source of inspiration and strength to all Americans. Wherever Old Glory flies, we remember the six United States Marines raising the flag atop Mount Suribachi during the Battle of Iwo Jima, astronauts Neil Armstrong and Buzz Aldrin planting it on the surface of the moon, and our firefighters elevating it above Ground Zero following the terrorist attacks of September 11. At the White House, at our homes, churches, offices, and schools, in our town squares and military installations at home and abroad, our flag celebrates our independence and highlights our resolve to defend and protect the country and the values that we hold dear.

By honoring our flag, we pay due respect to the patriots and heroes who have laid down their lives in defense of the liberty it represents. As we raise the flag, we stand and salute or place our hands on our hearts, and we recall the fundamental truths upon which this Nation was founded: that we are all created equal and that just government derives its power from the people.

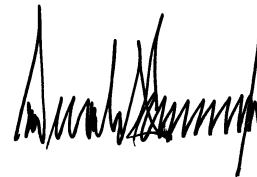
I am blessed to have shared my birthday with the Star Spangled Banner and the U.S. Army for 71 years now. Again, on Flag Day, I am deeply grateful to live under the red, white, and blue, and all for which it stands.

To commemorate the adoption of our flag, in 1949, the Congress requested the President recognize, by proclamation, that June 14 is “Flag Day” and requested the American flag be displayed on all Federal Government buildings. The Congress also requested, in 1966, that the President annually issue a proclamation designating the week in which June 14 occurs as “National Flag Week” and call upon citizens of the United States to display the flag during that week.

NOW, THEREFORE, I, DONALD J. TRUMP, President of the United States of America, do hereby proclaim June 14, 2017, as Flag Day, and this week as National Flag Week. I direct the appropriate officials to display the flag on all Federal Government buildings during this week, and I urge all Americans to observe Flag Day and National Flag Week by displaying the flag. I also encourage the people of the United States to observe with pride and all due ceremony those days from Flag Day through Independence Day, set aside by the Congress (89 Stat. 211), as a time to honor America, to celebrate our heritage in public gatherings and activities, and to publicly recite the Pledge of Allegiance to the Flag of the United States of America.



IN WITNESS WHEREOF, I have hereunto set my hand this fourteenth day of June, in the year of our Lord two thousand seventeen, and of the Independence of the United States of America the two hundred and forty-first.

A handwritten signature in black ink, appearing to be the name of Donald Trump, written in a cursive style.

[FR Doc. 2017-12900  
Filed 6-16-17; 11:15 am]  
Billing code 3295-F7-P

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## Presidential Documents

Memorandum of June 14, 2017

### Effective Date in Executive Order 13780

**Memorandum for the Secretary of State[,] the Attorney General[,] the Secretary of Homeland Security[, and] the Director of National Intelligence**

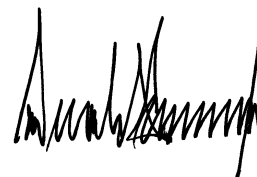
This memorandum provides guidance for the Secretary of State, the Attorney General, the Secretary of Homeland Security, and the Director of National Intelligence in light of two preliminary injunctions that bar enforcement of certain provisions of Executive Order 13780, “Protecting the Nation from Foreign Terrorist Entry into the United States” (Mar. 6, 2017). The preliminary injunction entered by the United States District Court for the District of Maryland, and affirmed in substantial part by the United States Court of Appeals for the Fourth Circuit, bars enforcement of section 2(c) of the Executive Order. The portions of the preliminary injunction entered by the United States District Court for the District of Hawaii that were affirmed by the recent decision of the United States Court of Appeals for the Ninth Circuit bar enforcement of certain provisions of sections 2 and 6 of the Executive Order.

Various provisions of sections 2 and 6 of the Executive Order (as well as sections 3 and 12(c), which delineate the scope of the suspension contained in section 2(c)), refer to the Order’s effective date. Section 14 of the Executive Order provides that the Order was effective at 12:01 a.m., eastern daylight time on March 16, 2017. Sections 2 and 6, however, were enjoined before that effective date, and the courts of appeals have affirmed the injunctions with respect to certain provisions of sections 2 and 6. As a result, under the terms of the Executive Order, the effective date of the enjoined provisions (as well as related provisions of sections 3 and 12(c)) is delayed or tolled until those injunctions are lifted or stayed.

In light of questions in litigation about the effective date of the enjoined provisions and in the interest of clarity, I hereby declare the effective date of each enjoined provision to be the date and time at which the referenced injunctions are lifted or stayed with respect to that provision. To the extent it is necessary, this memorandum should be construed to amend the Executive Order.

Because the injunctions have delayed the effective date of section 12(c), no immigrant or nonimmigrant visa issued before the effective date of section 2(c) shall be revoked pursuant to the Executive Order.

I hereby direct the Secretary of State, the Attorney General, the Secretary of Homeland Security, and the Director of National Intelligence to jointly begin implementation of each relevant provision of sections 2 and 6 of the Executive Order 72 hours after all applicable injunctions are lifted or stayed with respect to that provision, to ensure an orderly and proper implementation of those provisions. Prior to that time, consular officers may issue valid visas to, and the Secretary of Homeland Security may admit, otherwise eligible aliens without regard to sections 2 and 6. If not otherwise revoked, visas and other travel documents issued during this period remain valid for travel as if they were issued prior to the effective date.



THE WHITE HOUSE,  
*Washington, June 14, 2017*

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**Note:** No public bills which have become law were received by the Office of the Federal Register for inclusion

in today's **List of Public Laws**.

Last List June 16, 2017

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