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Jeff Michael, Associate Administrator, Research and Program Development.

DEPARTMENT OF TRANSPORTATION
Office of the Secretary

Request OMB Clearance for Agency Request for Reinstatement of a Previously Approved Information Collection: Foreign Air Carrier Application for Statement of Authorization

AGENCY: Department of Transportation (DOT), Office of the Secretary (OST).

ACTION: Notice and request for comments.

SUMMARY: In compliance with the Paperwork Reduction Act of 1995, the Department of Transportation, Office of the Secretary invite the general public, industry and other governmental parties to comment on the Foreign Air Carrier Application for Statement of Authorization. The pre-existing information collection request previously approved by the Office of Management and Budget (OMB) expired on May 31, 2017.

DATES: Written comments should be submitted by December 3, 2018.

FOR FURTHER INFORMATION CONTACT: Darren Jaffe, (202) 366–2512, Office of International Aviation, U.S. Department of Transportation, 1200 New Jersey Avenue SE, Room W6–441, Washington, DC 20590. Office hours are from 9 a.m. to 5:30 p.m., Monday through Friday, except Federal holidays.

ADDRESSES: You may submit a comment to Docket No. DOT–OST–2013–0074 through one of the following methods: Website: http://www.regulations.gov. Follow the instructions for submitting comments on the FDMS electronic docket site.


Mail: Docket Management Facility; U.S. Department of Transportation, 1200 New Jersey Avenue SE, West Building, Room W12–140, Washington, DC 20590.

Hand Delivery: Room W12–140 on the ground level of the West Building, 1200 New Jersey Avenue SE, Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal Holidays.

Instructions: All comments must include the agency name and FDMS Docket No. DOT–OST–2013–0074. Note that all comments received will be posted without change to http://www.regulations.gov, including any personal information provided. You should know that anyone is able to search the electronic form of all comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review DOT’s complete Privacy Act Statement in the Federal Register published on February 3, 2006 (71 FR 5780), or you may visit http://www.regulations.gov.

Docket: For access to the docket to read background documents or comments, go to http://www.regulations.gov at any time or to Room W12–140 on the ground level of the West Building, 1200 New Jersey Avenue SE, Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Wednesday and Federal holidays.

If you wish to receive confirmation of receipt of your written comments, please include a self-addressed, stamped postcard with the following statement: “Comments on Docket No. DOT–OST–2013–0074.” The Docket Clerk will date stamp the postcard prior to returning it to you via the U.S. mail. Please note that due to delays in the delivery of U.S. mail to Federal offices in Washington, DC, we recommend that persons consider an alternative method (internet, fax, or professional delivery service) to submit comments to the docket and to ensure their timely receipt at U.S. DOT.

SUPPLEMENTARY INFORMATION:

OMB Control No. 2106–0035. Title: Foreign Air Carrier Application for Statement of Authorization. Form No.: Form OST 4540. Type of Review: Reinstatement of a Previously Approved Information Collection.

Respondents: Foreign Air Carriers.

Number of Respondents: Approximately 100.

Estimated Time per Response: 2.25 hours per application.

Total Annual Burden: 1,000 hours.

Abstract: Applicants use Form OST 4540 to request statements of authorization to conduct numerous types of operations authorized under Title 14, CFR part 212. The form requires basic information regarding the carrier(s) conducting the operation, the party filing the form, the operations being conducted, the number of third- and fourth-freedom flights conducted in the last twelve-month period, and certification of reciprocity from the carrier’s homeland government. DOT analysts will use the information collected to determine if applications for fifth-freedom operations meet the public interest requirements necessary to authorize such applications.

Burden Statement: We estimate that the industry-wide total hour burden for this collection to be approximately 1,000 hours or approximately 2.25 hours per application. Conservatively, we estimate the compilation of background information will require 1.75 hours, and the completion and submission of OST Form 4540 will require thirty (30) minutes. Reporting the number of third- and fourth-freedom operations conducted by an applicant carrier will require collection of flight data, and detailed analysis to determine which flights conducted by the carrier are third- and fourth-freedom. Applicants should be able to use data collected for the Department’s T–100 program to provide this information (under this program, carriers are required periodically to compile and report certain traffic data to the Department, as more fully described in the Docket referenced in footnote 1 below). The Bureau of Transportation Statistics (BTS) provide carriers with a computer program that allows them to compile and monitor, among other things, flight origin and destination data, to be used in making the carriers’ T–100 submissions. We estimated that carriers will require 1.25 hours per application to compile and analyze the data necessary to disclose the number of third- and fourth-freedom flights conducted within the twelve-month period preceding the filing of an application.

Foreign carriers will also have to provide evidence that their homeland government will afford reciprocity to U.S. carriers seeking authority for the similar fifth-, sixth- and seventh-freedom operations. Carriers may cite certifications submitted by carriers from the same homeland if that homeland program can be found on the Federal Docket Management System (FDMS) at http://www.regulations.gov. Information regarding burden hours is on file in the Office of Aviation Analysis (X–50).

1 The rule-making associated with the T–100 program can be found on the Federal Docket Management System (FDMS) at http://www.regulations.gov, in Docket DOT–OST–998–4043. Information regarding burden hours is on file in the Office of Aviation Analysis (X–50).

2 The Office of Aviation Analysis (X–50) estimated that small-carriers would require 1 burden hour per report, and large carriers would require 3 burden hours per report to analyze and report T–100 program data. Considering that the data required in this information collection can be derived from data already collected, we have taken an average of the estimated time required, and conservatively shortened the time by 45 minutes because no new data entry will be required.
DEPARTMENT OF TRANSPORTATION
Office of the Secretary
[Docket No. OST–2018–0128]

Privacy Act of 1974: Department of Transportation, Office of the Secretary of Transportation; DOT/ALL 26; Department of Transportation Insider Threat Program

AGENCY: Office of the Departmental Chief Information Officer, Office of the Secretary of Transportation, DOT.

ACTION: Notice of Privacy Act system of records.

SUMMARY: In accordance with the Privacy Act of 1974, the Department of Transportation (DOT) intends to establish a system of records titled, “DOT/ALL 26, Insider Threat Program.” This system of records will allow DOT to administer an insider threat program, including identification of potential external foreign intelligence risks and insider threats, and to maintain information regarding counterintelligence or insider threat inquiries. This system will be included in the Department of Transportation’s inventory of record systems.

DATES: Written comments should be submitted on or before November 2, 2018. The Department may publish an amended SORN in light of any comments received. This new system will take effect November 2, 2018.

ADDRESSES: You may submit comments, identified by docket number OST-2018–0128 by any of the following methods:

- Mail: Department of Transportation, Office of the Secretary, DOT/ALL 26, Office of the Departmental Chief Information Officer, Ground Floor, Room W12–140, 1200 New Jersey Ave. SE, West Building Ground Floor, Room W12–140, Washington, DC 20590–0001.
- Hand Delivery or Courier: West Building Ground Floor, Room W12–140, 1200 New Jersey Ave. SE, between 9 a.m. and 5 p.m. ET, Monday through Friday, except Federal Holidays.
- Fax: (202) 493–2251.

Instructions: You must include the agency name and docket number OST–2018–0128. All comments received will be posted without change to http://www.regulations.gov, including any personal information provided.

Privacy Act: Anyone is able to search the electronic form of all comments received in any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review DOT’s system of records notice for dockets in the Federal Register notice published on January 17, 2008 (73 FR 3316–3317).

FOR FURTHER INFORMATION CONTACT: For questions, please contact: Claire W. Barrett, Departmental Chief Privacy Officer, Privacy Office, Department of Transportation, Washington, DC 20590; privacy@dot.gov; or (202) 366–8135.

SUPPLEMENTARY INFORMATION:

I. Background

In accordance with the Privacy Act of 1974, 5 U.S.C. 552a, the United States Department of Transportation (DOT) proposes to create a new DOT system of records titled, “DOT/ALL–26 Insider Threat Program.” This system of records is created as a DOT/ALL system because records are maintained for this program by the Office of the Secretary (OST) and the Federal Aviation Administration (FAA), two DOT components. This system of records notice only applies to records maintained by the Office of the Secretary and the Federal Aviation Administration’s Insider Threat Programs. There are no other components within DOT authorized to administer an insider threat program. The term “DOT Insider Threat Program” refers to the insider threat program administered by both OST and the FAA.

Executive Order 13587, Structural Reforms to Improve the Security of Classified Networks and the Responsible Sharing and Safeguarding of Classified Information, directs Federal departments and agencies to establish insider threat programs consistent with guidance and standards developed by the National Insider Threat Task Force, which was established under section 6 of Executive Order 13587. The National Insider Threat Policy and Minimum Standards for Executive Branch Insider Threat Programs were issued in November 2012. As described in Executive Order 13587 and the National Insider Threat Policy and Minimum Standards for Executive Branch Insider Threat Programs, insider threat programs are intended to deter and detect insider threats and mitigate the risks associated with an individual using his or her authorized access to Government information and facilities to do harm to the security of the United States. This insider threat may include espionage, terrorism, unauthorized