RAILROAD RETIREMENT BOARD

Proposed Collection; Comment Request

Summary: In accordance with the requirement of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 which provides opportunity for public comment on new or revised data collections, the Railroad Retirement Board (RRB) will publish periodic summaries of proposed data collections.

Comments are invited on: (a) Whether the proposed information collection is necessary for the proper performance of the functions of the agency, including whether the information has practical utility; (b) the accuracy of the RRB’s estimate of the burden of the collection of the information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden related to the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

Title and purpose of information collection: Application for Survivor Death Benefits; OMB 3220–0031.

Under Section 6 of the Railroad Retirement Act (RRA), lump-sum death benefits are payable to surviving widow(er)s, children, and certain other dependents. Lump-sum death benefits are payable after the death of a railroad employee only if there are no qualified survivors of the employee immediately eligible for annuities. With the exception of the residual death benefit, eligibility for survivor benefits depends on whether the deceased employee was “insured” under the RRA at the time of death. If the deceased employee was not insured, jurisdiction of any survivor benefits payable is transferred to the Social Security Administration and survivor benefits are paid by that agency instead of the RRB. The requirements for applying for benefits are prescribed in 20 CFR 217, 219, and 234.

The collection obtains the information required by the RRB to determine entitlement to and amount of the survivor death benefits applied for. To collect the information, the RRB uses Forms AA–21, Application for Lump-Sum Death Payment and Annuities Unpaid at Death; AA–21cert, Application Summary and Certification; G–131, Authorization of Payment and Release of All Claims to a Death Benefit or Accrued Annuity Payment; and G–273a, Funeral Director’s Statement of Burial Charges. One response is requested of each respondent. Completion is required to obtain benefits.

The RRB proposes the following changes to Forms AA–21, AA–21cert, and G–273a:

• Forms AA–21 and AA–21cert—Update the fraud language in the Certification statement to make it consistent with other RRB applications;

• Form G–273a—Add clarifying language above Item 10 to inform a funeral home when to file for a lump-sum death benefit.

The RRB proposes no changes to Form G–131.

ESTIMATE OF ANNUAL RESPONDENT BURDEN

<table>
<thead>
<tr>
<th>Form No.</th>
<th>Annual responses</th>
<th>Time (minutes)</th>
<th>Burden (hours)</th>
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<tr>
<td>AA–21cert with assistance</td>
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<td>1,167</td>
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<tr>
<td>AA–21 without assistance</td>
<td>200</td>
<td>40</td>
<td>133</td>
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<td>G–131</td>
<td>100</td>
<td>5</td>
<td>8</td>
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<tr>
<td>G–273a</td>
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<td>7,800</td>
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Additional Information or Comments:

Written comments should be received within 60 days of this notice.

Brian Foster,
Clearance Officer.

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Cboe EDGX Exchange, Inc.; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change To Allow the Post Only Order Instruction on Complex Orders

November 27, 2018.

On October 1, 2018, Cboe EDGX Exchange, Inc. (the “Exchange”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities
SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Nasdaq BX, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Relocate the Exchange’s Options Exercise and Delivery Rules

November 27, 2018.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”),1 and Rule 19b–4 thereunder,2 notice is hereby given that on November 14, 2018, Nasdaq BX, Inc. (“BX” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to relocate the Exchange’s options exercise and delivery rules, currently in Chapter VIII of the Exchange’s rulebook (the “Rulebook”), to Options 5, Section 100 in the Rulebook’s shell structure.3 The text of the proposed rule change is available on the Exchange’s website at http://nasdaqbx.chicagowallstreet.com/, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to relocate the Exchange’s options exercise and delivery rules, currently in Chapter VIII of the Rulebook, to Options 5, Section 100 in the Rulebook’s shell structure. The relocation of the options exercise and delivery rules is part of the Exchange’s continued effort to promote efficiency and the structural conformity of its processes with those of its Affiliated Exchanges. The Exchange believes that the migration of the options exercise and delivery rules to their new location will facilitate the use of the Rulebook by members of the Exchange who are members of other Affiliated Exchanges. Moreover, the proposed changes are of a non-substantive nature and will not amend the relocated rules other than to update their numbers, redesignate the current “Supplementary Material” as “Commentary”, and make conforming cross-reference changes.

2. Statutory Basis

The Exchange believes that its proposal is consistent with Section 6(b) of the Act, in general, and furthers the objectives of Section 6(b)(5) of the Act, in particular, in that it is designed to promote just and equitable principles of trade, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general to protect investors and the public interest, by promoting efficiency and structural conformity of the Exchange’s processes with those of the Affiliated Exchanges and by making the Exchange’s Rulebook easier to read and more accessible to its members. The Exchange believes that the relocation of the options exercise and delivery rules and the cross-reference updates are of a non-substantive nature.

B. Self-Regulatory Organization’s Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act. The proposed changes do not impose a burden on competition because, as

**Footnotes:**
4. In Amendment No. 1, the Exchange added definitions of “Book Only complex order” and “Post Only complex order,” added rule text that further describes the handling of Post Only complex orders, and provided examples demonstrating the operation of Post Only complex orders. The text of Amendment No. 1 is available at https://www.sec.gov/comments/sr-cboedgdx-2018-043/sr-cboedgdx2018043-4678696-176565.pdf.
8. See footnote 3.