

follow the instructions for submitting comments.

Comments submitted in response to OMB's January 27, 2023, notice are subject to the Freedom of Information Act and may be made available to the public. For this reason, please do not include any information of a confidential nature, such as sensitive personal information or proprietary information. If you submit your email address, it will be automatically captured and included as part of the comment that is placed in the public docket. Please note that responses to this public comment request containing any routine notice about the confidentiality of the communication will be treated as public comments that may be made available to the public notwithstanding the inclusion of the routine notice.

*Electronic Availability:* This document is available on the internet at <http://www.regulations.gov>.

**FOR FURTHER INFORMATION CONTACT:** Bob Sivinski, Chair, Interagency Technical Working Group on Race and Ethnicity Standards, New Executive Office Building, 725 17th St. NW, Washington, DC 20503, phone: 1 (202) 395-1205, email address: [Statistical\\_Directives@omb.eop.gov](mailto:Statistical_Directives@omb.eop.gov).

#### **SUPPLEMENTARY INFORMATION:**

*Rationale.* Based on consideration of requests received from stakeholders, which are available for the public to view in the docket on [www.regulations.gov](http://www.regulations.gov) for OMB's January 27, 2023 notice, OMB is extending the public comment period announced in that notice for an additional 15 days. Therefore, the public comment period will close on April 27, 2023.

*Docket.* OMB has established a docket for the January 27, 2023 notice under Docket ID No. OMB-2023-0001.

*Instructions.* You can submit comments by visiting [www.regulations.gov](http://www.regulations.gov). Type "OMB-2023-0001" in the Comment or Submission search box, click Go, and follow the instructions for submitting comments.

**Richard L. Revesz,**

*Administrator, Office of Information and Regulatory Affairs.*

[FR Doc. 2023-07617 Filed 4-7-23; 8:45 am]

**BILLING CODE 3110-01-P**

## **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION**

[NOTICE: 23-029]

### **Name of Information Collection: Contractor and Subcontractor Compensation Plans**

**AGENCY:** National Aeronautics and Space Administration (NASA).

**ACTION:** Notice of information collection.

**SUMMARY:** The National Aeronautics and Space Administration, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections.

**DATES:** Comments are due by June 12, 2023.

**ADDRESSES:** Written comments and recommendations for this information collection should be sent within 60 days of publication of this notice to [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain). Find this particular information collection by selecting "Currently under 60-day Review—Open for Public Comments" or by using the search function.

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Bill Edwards-Bodmer, NASA Clearance Officer, NASA Headquarters, 300 E Street SW, JF0000, Washington, DC 20546, 757-864-3292, or [b.edwards-bodmer@nasa.gov](mailto:b.edwards-bodmer@nasa.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Abstract**

NASA contracts and subcontracts over \$500,000 may require submission of a total compensation plan explaining proposed salaries, wages, and fringe benefits.

##### **II. Methods of Collection**

NASA uses electronic methods to collect information from collection respondents.

##### **III. Data**

*Title:* Contractor and Subcontractor Compensation Plans.

*OMB Number:* 2700-0077.

*Type of review:* Reinstatement.

*Affected Public:* Individuals.

*Estimated Annual Number of Activities:* 371.

*Estimated Number of Respondents per Activity:* 1.

*Annual Responses:* 371.

*Estimated Time per Response:* 2 hours.

*Estimated Total Annual Burden Hours:* 742.

#### **IV. Request for Comments**

Comments are invited on: (1) Whether the proposed collection of information is necessary for the proper performance of the functions of NASA, including whether the information collected has practical utility; (2) the accuracy of NASA's estimate of the burden (including hours and cost) of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including automated collection techniques or the use of other forms of information technology.

Comments submitted in response to this notice will be summarized and included in the request for OMB approval of this information collection. They will also become a matter of public record.

**William Edwards-Bodmer,**

*NASA PRA Clearance Officer.*

[FR Doc. 2023-07591 Filed 4-10-23; 8:45 am]

**BILLING CODE 7510-13-P**

## **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION**

[Document Number NASA-23-021; Docket Number-NASA-2023-0001]

### **Request for Information on Advancing Racial Equity and Support for Underserved Communities in NASA Procurements and Federal Financial Assistance**

**AGENCY:** National Aeronautics and Space Administration (NASA).

**ACTION:** Request for information (RFI).

**SUMMARY:** The National Aeronautics and Space Administration (NASA) is issuing this Request for Information (RFI) to receive input from the public on the barriers and challenges that prevent members of underserved communities (as defined in Executive Order 13985, Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, and Executive Order 14091, Further Advancing Racial Equity and Support for Underserved Communities Through the Federal Government) from participating in NASA's procurements, grants, and cooperative agreements. With this RFI, NASA is seeking for the public to provide specific feedback on the procurement, grant, and cooperative agreement regulations, policies, practices, and processes that deter

entities from pursuing opportunities for NASA procurements, grants, and cooperative agreements. NASA will review inputs received and may use this information to evaluate, implement, modify, expand, and streamline procurements, grants, cooperative agreements, regulations, policies, practices, and processes to remove systemic inequitable barriers and challenges facing members of underserved communities.

**DATES:** Comments are requested on or before 60 days after publication of this RFI. Comments received after this date will be considered for future advisory, communication, and outreach efforts to the extent practicable.

**ADDRESSES:**

- Comments must be identified with the Agency's name and Docket Number NASA-2023-0001 and may be sent to NASA via the *Federal E-Rulemaking Portal*: <http://www.regulations.gov>. Follow the online instructions for submitting comments. All public comments received are subject to the Freedom of Information Act and will be posted in their entirety at <https://www.regulations.gov>, including any personal and/or business confidential information provided. Do not include any information you would not like to be made publicly available.

- *Mail:* Comments submitted in a manner other than the one listed above, including emails or letters sent to NASA Headquarters Office of Procurement (OP) officials may not be accepted.

- *Hand Delivery:* Please note that NASA cannot accept any comments that are hand-delivered or couriered. In addition, NASA cannot accept comments contained on any form of digital media storage devices, such as CDs/DVDs and USB drives. If you cannot submit your comment by using <http://www.regulations.gov>, please contact (Cheryl Robertson, 202-358-0667 or [hq-op-deia@mail.nasa.gov](mailto:hq-op-deia@mail.nasa.gov)) for alternate instructions.

**FOR FURTHER INFORMATION CONTACT:**

Issues regarding submissions or questions about this RFI should be sent to Cheryl Robertson, 202-358-0667, or [hq-op-deia@mail.nasa.gov](mailto:hq-op-deia@mail.nasa.gov).

**SUPPLEMENTARY INFORMATION:**

**I. Background**

NASA is issuing a second RFI to receive input from the public specifically on NASA's procurement, grant, and cooperative agreement regulations, policies, practices, and processes. This is a follow-up to the first RFI on this subject, RFI 21-038, which was released on June 15, 2021. The intent of this RFI is to (1) to determine

whether any previous conditions have changed in this area; (2) ensure new recipients of NASA procurements, grants, and/or cooperative agreements have an opportunity to comment; and (3) obtain specific suggestions on barriers and challenges that deter underserved communities from participating in NASA competitions for procurement, grant, and cooperative agreement awards. NASA will review this information and may use it to evaluate, implement, modify, expand, and streamline its procurement, grant, and cooperative agreement regulations, policies, practices, and processes to remove any systemic inequitable barriers and challenges facing underserved communities. This effort will enable NASA to further execute the President's Executive Orders 13985 and 14091, entitled "Advancing (and Further Advancing . . .) Racial Equity and Support for Underserved Communities Through the Federal Government" (Equity E.O.s), signed by the President on January 20, 2021, and February 16, 2023, respectively. The Equity E.O.s define the following terms noted below; these terms are used throughout this RFI:

- "*Equity*" means the consistent and systematic treatment of all individuals in a fair, just, and impartial manner, including individuals who belong to communities that often have been denied such treatment, such as Black, Latino, Indigenous and Native American, Asian American, Native Hawaiian, and Pacific Islander persons and other persons of color; members of religious minorities; women and girls; LGBTQI+ persons; persons with disabilities; persons who live in rural areas; persons who live in United States Territories; persons otherwise adversely affected by persistent poverty or inequality; and individuals who belong to multiple such communities.

- "*Underserved communities*" refers to those populations as well as geographic communities that have been systematically denied the opportunity to participate fully in aspects of economic, social, and civic life, as defined in Executive Orders 13985 and 14020.

As required by the Equity EOs, NASA established a 2022 Equity Action Plan (EAP). The NASA EAP outlines and reaffirms the Agency's strategy to successfully mitigate systemic barriers to equity. Click here to see the plan: [Mission Equity | NASA](#). As stated in the EAP, the NASA procurement, grant, cooperative agreement structures, processes, and requirements can be perplexing. NASA's Office of Procurement (OP) continues to make changes and take actions to remove

barriers and challenges that hinder prospective contractors (and contractors) and prospective recipients (and recipients) of grants and cooperative agreements in underserved communities from engaging with NASA. Furthermore, OP is determined to remove any identified barriers and challenges through the efforts outlined in the NASA Equity Action Plan under Focus Area 1, Increase Integration and Utilization of Contractors and Businesses from Underserved Communities to Expand Equity in NASA's Procurement Process, and Focus Area 2, Enhance Grants and Cooperative Agreements to Advance Opportunities, Access and Representation for Underserved Communities, to include studying barriers and challenges to remove or minimize such barriers and increasing outreach efforts to reach members of underserved communities.

The public is encouraged to provide input in response to the questions below to assist in improving the Agency's procurements, grants, cooperative agreements, and associated regulations, policies, practices, and processes. Specifically, members of underserved communities are requested to share their perceived barriers and challenges, suggestions, and ideas, so that they can become a NASA contractor or grant or cooperative agreement recipient that furthers NASA's important mission.

**II. Discussion of Questions**

NASA OP conducts continuous reviews of procurement, grant, and cooperative agreement regulations, policies, practices, and processes. In support of E.O.s 13985 and 14091, input is solicited from the public to better understand and identify the systemic barriers and challenges facing members of underserved communities to access and participate in NASA contracts, grants, and cooperative agreements. The information and input from this RFI will assist OP in addressing any identified gaps in equity and determine how best to advance equity in the procurement and grant-making (including cooperative agreements) process to members of underserved communities. The following list of questions and topic areas are intended to guide the public in this effort:

*Outreach/Engagement/Training*

1. How and where can NASA reach contractors and/or grant and cooperative agreement recipients that are members of underserved communities more effectively? Provide specific sites, points of contact, and/or information to

support NASA outreach to these associations, organizations, or groups.

2. NASA Office of Small Business Program (OSBP) has numerous training opportunities for small businesses. The OSBP Learning Series is provided to share additional training to assist with learning how to do business with NASA. Is your entity aware of these training opportunities? What other type of specific training information does your firm need to help it do business with NASA? Are there barriers for you to attend these training opportunities? Is in-person or virtual training more appropriate or beneficial?

3. NASA uses various platforms to conduct training that will best facilitate information-sharing and the establishment of partnerships between NASA and underserved communities. Please share the names of platforms which work best and are available to reach members of underserved communities. These can be online platforms, organizations, conferences, publications, etc.

4. Provide suggestions how NASA can better collaborate with academic research institutions, particularly Historically Black Colleges and Universities (HBCU) and other Minority Serving Institutions (MSI), to advance outreach and increase the number of contract, grant, and cooperative agreement awards in these underserved communities?

5. How can NASA ensure that there is full equity in the issuance of grant and cooperative agreement awards? Please provide specific examples of NASA grant and cooperative agreement policy, process, systems, practices that may prevent full equity from being achieved in NASA's issuance of grant and cooperative agreement awards.

6. In considering how NASA announces its Notices of Funding Opportunities (NOFOs) for grant and cooperative agreement awards to be made (Via *Grants.gov*, NASA Solicitation and Proposal Integrated Review and Evaluation System [NSPIRES], the Minority Serving Institutions [MSI] exchange newsletter, etc.), where and how can NASA announce NOFOs to ensure full equity in opportunity? Please provide examples of specific websites and communication avenues.

#### *Barrier Analysis*

1. Are there any specific NASA or Federal Government regulations, policies, practices and/or processes that have prevented you from submitting proposals or being awarded a contract, grant, or cooperative agreement with

NASA? Please provide specific examples.

2. If you have received a NASA contract, grant, or cooperative agreement in the past, what barriers does/did your organization experience in working with NASA to implement the grant, cooperative agreement, or contract? Please provide examples of specific regulations, processes, procedures, policies, or systems that could be improved to ensure full equity in opportunity.

3. What resources could NASA provide to better assist underserved communities in identifying new opportunities to be awarded a contract, grant, or cooperative agreement with NASA, or access the Agency's programs or data?

4. What resources could NASA provide to better assist underserved communities in properly managing and executing NASA grant or cooperative agreement projects?

5. What challenges do you face when developing and implementing procedures to advance diversity and inclusion for underserved communities within your research/business?

6. Have you encountered barriers within NASA's procurement process, to include source selection evaluation process, that prevent underserved communities from receiving awards of NASA contracts? Please provide specific examples.

#### *Diversity, Equity, Inclusion and Accessibility (DEIA)*

1. NASA has amended its Federal Acquisition Regulation Supplement (NASA FAR Supplement—NFS) to include a requirement for the contractor to submit DEIA plans under certain NASA contracts.

What other DEIA suggestions (e.g., requirements, training, etc.) should we investigate to ensure our contractors are diligently working to include members of underserved communities in their contract awards?

2. In response to Executive Order 13985, Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, NASA has included a term and condition in its grant and cooperative agreement awards for recipients to obtain at least one quotation from a small and/or minority businesses, women's business enterprises, or labor surplus area firm when acquiring goods or services that exceed the simplified acquisition threshold (currently \$250,000). What other DEIA suggestions (e.g., terms and conditions, requirements, training, etc.) should NASA OP investigate to ensure its

grants and cooperative agreements include members of underserved communities?

### **III. Written Comments**

Written responses should not exceed 10 pages, inclusive of a 1-page cover page as described below. Attachments or linked resources or documents are not included in the 10-page limit. Please respond concisely, in plain language, and in narrative format. You may respond to some or all questions listed in the RFI. Please ensure your response is clear and indicate which question you are responding to. You may also include links to online material or interactive presentations, and ensure all links are publicly available. Each response should include: (1) the name of the individual(s) and/or organization responding; (2) policy suggestions that your submission and materials support; (3) a brief description of the responding individual(s) or organization's mission and/or areas of expertise; and (4) a contact for questions or other follow-up on your response. Please note that this RFI is only a planning document, and should not be construed as policy, a solicitation for proposals, or an obligation on the part of NASA or the Federal Government. Interested parties responding to this RFI may be contacted for a follow-on strategic agency assessment dialogue, discussion, event, crowdsource campaign, or competition.

### **IV. Review of Public Feedback**

NASA may use the feedback received to help initiate strategic plans, consider reforms, and execute reports as required by the Equity E.O.s. NASA may use the public's feedback to consider reduction of administrative burdens more broadly. Again, this RFI is issued solely for information and procurement-planning purposes. Public input provided in response to this notice does not bind NASA to take any further actions, to include publishing a formal response or agreement to initiate a recommended change. NASA will consider the feedback received and may make changes or process improvements at its sole discretion.

NASA will continue to dialogue with industry and stakeholders to stay connected and engaged on barriers and challenges that impact members of underserved communities through periodic issuance of RFIs, and participation in industry and

association meetings, conferences, and other forums.

**Julia B. Wise,**

*Director, Procurement Management and Policy Division, NASA—Headquarters, Office of Procurement.*

[FR Doc. 2023-07489 Filed 4-10-23; 8:45 am]

**BILLING CODE 7510-13-P**

## SECURITIES AND EXCHANGE COMMISSION

### Sunshine Act Meetings

**TIME AND DATE:** 2:00 p.m. on Thursday, April 13, 2023.

**PLACE:** The meeting will be held via remote means and/or at the Commission's headquarters, 100 F Street NE, Washington, DC 20549.

**STATUS:** This meeting will be closed to the public.

**MATTERS TO BE CONSIDERED:**

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the closed meeting. Certain staff members who have an interest in the matters also may be present.

In the event that the time, date, or location of this meeting changes, an announcement of the change, along with the new time, date, and/or place of the meeting will be posted on the Commission's website at <https://www.sec.gov>.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (6), (7), (8), 9(B) and (10) and 17 CFR 200.402(a)(3), (a)(5), (a)(6), (a)(7), (a)(8), (a)(9)(ii) and (a)(10), permit consideration of the scheduled matters at the closed meeting.

The subject matter of the closed meeting will consist of the following topics:

Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings;

Resolution of litigation claims; and

Other matters relating to examinations and enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting agenda items that may consist of adjudicatory, examination, litigation, or regulatory matters.

**CONTACT PERSON FOR MORE INFORMATION:** For further information; please contact Vanessa A. Countryman from the Office of the Secretary at (202) 551-5400.

*Authority:* 5 U.S.C. 552b.

Dated: April 6, 2023.

**J. Matthew DeLesDernier,**

*Deputy Secretary.*

[FR Doc. 2023-07618 Filed 4-7-23; 11:15 am]

**BILLING CODE 8011-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270-485, OMB Control No. 3235-0547]

### Proposed Collection; Comment Request: Extension: "Investor Form"

*Upon Written Request Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) that the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget ("OMB") for extension and approval.

Each year the Commission receives several thousand contacts from investors who have complaints or questions on a wide range of investment-related issues. To make it easier for the public to contact the agency electronically, the Commission's Office of Investor Education and Advocacy ("OIEA") created an electronic form (the Investor Form) that provides drop down options to choose from in order to categorize the investor's complaint or question, and may also provide the investor with automated information about their issue. The Investor Form asks investors to provide information concerning, among other things, their names, how they can be reached, the names of the individuals or entities involved, the nature of their complaint or tip, what documents they can provide, and what, if any, actions they have taken. Use of the Investor Form is voluntary. Absent the forms, the public still has several ways to contact the agency, including telephone, facsimile, letters, and email. Investors can access the Investor Form through the consolidated Investor Complaint and Question web page.

OIEA receives approximately 30,000 contacts each year through the Investor Form. Investors who choose not to use the Investor Form receive the same level of service as those who do. The dual purpose of the form is to make it easier for the public to contact the agency with

complaints, questions, tips, or other feedback and to further streamline the workflow of Commission staff that record, process, and respond to investor contacts.

The Commission uses the information that investors supply on the Investor Form to review and process the contact (which may, in turn, involve responding to questions, processing complaints, or, as appropriate, initiating enforcement investigations), to maintain a record of contacts, to track the volume of investor complaints, and to analyze trends. Use of the Investor Form is voluntary. The Investor Form asks investors to provide information concerning, among other things, their names, how they can be reached, the names of the individuals or entities involved, the nature of their complaint or tip, what documents they can provide, and what, if any, actions they have taken.

The staff of the Commission estimates that the total reporting burden for using the Investor Form is 7,500 hours. The calculation of this estimate depends on the number of investors who use the forms each year and the estimated time it takes to complete the forms: 30,000 respondents × 15 minutes = 7,500 burden hours.

The Commission may not conduct or sponsor a collection of information unless it displays a currently valid control number. No person shall be subject to any penalty for failing to comply with a collection of information subject to the PRA that does not display a valid OMB control number.

Written comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to David Bottom, Chief Information Officer, Securities and Exchange Commission, c/o John R. Pezullo, 100 F St. NE, Washington, DC 20549; or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).