

Applicant's Address: 1633 Broadway
New York, New York 10019.

PIMCO New York Municipal Income Fund III [File No. 811-21189]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. The applicant has transferred its assets to PIMCO New York Municipal Income Fund II, and on August 1, 2025 made a final distribution to its shareholders based on net asset value. Expenses of \$225,169 incurred in connection with the reorganization were paid by the applicant's investment adviser.

Filing Dates: The application was filed on September 23, 2025, and amended on February 25, 2026.

Applicant's Address: 1633 Broadway
New York, New York 10019.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Sherry R. Haywood,
Assistant Secretary.

[FR Doc. 2026-04229 Filed 3-3-26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0247]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Form N-8B-4

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") this request for extension of the previously approved collection of information discussed below.

Form N-8B-4 (17 CFR 274.14) is the form used by face-amount certificate companies to comply with the filing and disclosure requirements imposed by Section 8(b) of the Investment Company Act of 1940 (15 U.S.C. 80a-8(b)). Among other items, Form N-8B-4 requires disclosure of the following information about the face-amount certificate company: date and form of organization; controlling persons; current business and contemplated changes to the company's business; investment, borrowing, and lending policies, as well

as other fundamental policies; securities issued by the company; investment adviser; depositories; management personnel; compensation paid to directors, officers, and certain employees; and financial statements. The Commission uses the information provided in the collection of information to determine compliance with Section 8(b) of the Investment Company Act of 1940.

Each registrant files Form N-8B-4 for its initial filing and does not file post-effective amendments to Form N-8B-4.¹ There are currently only two existing face-amount certificate companies, and only one face-amount certificate company has filed a Form N-8B-4 since the last OMB information collection approval for this form. Prior to that filing, no face-amount certificate company had filed a Form N-8B-4 in the last ten years. Based on the foregoing, for purposes of estimating the average burden hours and cost of this information collection, Commission staff estimates that one respondent will file Form N-8B-4 each year.

Based on our experience with Form N-8B-4 and disclosure documents in general, we estimate the burden hours for preparing and filing registration statements on Form N-8B-4 is approximately 10 hours per respondent. The number of burden hours may vary depending on, among other things, the complexity of the filing and whether preparation of the registration statement is performed internally or by outside counsel. Based on the foregoing, the Commission staff estimates the total annual burden for this form to be 10 hours (10 burden hours per respondent × 1 respondent × 1 response = 10 total annual burden hours). The total estimated annual cost of the burden hours is \$5,800. This figure is based on an hourly rate figure of \$580/hour. Estimates of the burden hours are made solely for the purposes of the PRA and are not derived from a comprehensive or even a representative survey or study of the costs of SEC rules and forms.

The information provided on Form N-8B-4 is mandatory. The information provided on Form N-8B-4 will not be kept confidential.

An agency may not conduct or sponsor, and a person is not required to

¹ Pursuant to Section 30(b)(1) of the Act (15 U.S.C. 80a-29), each respondent keeps its registration statement current through the filing of periodic reports as required by Section 13 of the Securities Exchange Act of 1934 (15 U.S.C. 78m) and the rules thereunder; post-effective amendments are filed with the Commission on the face-amount certificate company's Form S-1; hence, respondents only file Form N-8B-4 for their initial registration statement and not for post-effective amendments.

respond to, a collection of information unless it displays a currently valid control number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202512-3235-016 or email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice, by April 6, 2026.

Dated: February 27, 2026.

Sherry R. Haywood,
Assistant Secretary.

[FR Doc. 2026-04221 Filed 3-3-26; 8:45 am]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0461]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 602

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (SEC or "Commission") is submitting to the Office of Management and Budget (OMB) this request for extension of the proposed collection of information provided for in Rule 602 of Regulation NMS (17 CFR 240.602), under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*).

Rule 602 of Regulation NMS, Dissemination of Quotations in NMS securities, contains two related collections. The first collection of information is found in Rule 602(a).¹ This third-party disclosure requirement obligates each national securities exchange and national securities association to make available to quotation vendors for dissemination to the public the best bid, best offer, and aggregate quotation size for each "subject security," as defined under the Rule. The second collection of information is found in Rule 602(b).² This disclosure requirement obligates any exchange member and over-the-counter ("OTC") market maker that is a "responsible broker or dealer," as defined under the Rule, to communicate

¹ 17 CFR 242.602(a).

² 17 CFR 242.602(b).

to an exchange or association their best bids, best offers, and quotation sizes for subject securities.³

It is anticipated that 30 respondents, consisting of 29 national securities exchanges and one national securities association, will collectively respond approximately 1,543,667,886,538 times per year pursuant to Rule 602(a) at 16.20 microseconds per response, resulting in a total annual burden of approximately 208,410 hours. It is anticipated that no respondents will have a reporting burden pursuant to Rule 602(b).⁴

Thus, the aggregate third-party disclosure burden under Rule 602 is approximately 208,410 hours annually which is comprised of 208,410 hours relating to Rule 602(a) and 0 hours relating to Rule 602(b).

Compliance with Rule 602 of Regulation NMS is mandatory and the information collected is made available to the public.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202512-3235-023 or email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice, by April 6, 2026.

Dated: February 27, 2026.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026-04232 Filed 3-3-26; 8:45 am]

BILLING CODE 8011-01-P

³ Under Rule 602(b)(5), electronic communications networks (“ECNs”) have the option of reporting to an exchange or association for public dissemination, on behalf of customers that are OTC market makers or exchange market makers, the best-priced orders and the full size for such orders entered by market makers on the ECN, to satisfy such market makers’ reporting obligation under Rule 602(b). Since this reporting requirement is an alternative method of meeting the market makers’ reporting obligation, and because it is directed to nine or fewer persons (ECNs), this collection of information is not subject to OMB review under the Paperwork Reduction Act (“PRA”).

⁴ For the reporting obligation under Rule 602(b), the respondents are exchange members and OTC market makers. The Commission believes that communication of quotations through an exchange’s electronic trading system effectively means that exchange members currently have no reporting burden under Rule 602(b) for these quotations. The Commission also believes that there are presently no OTC market makers that quote other than on an exchange.

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-104905; File No. SR-MIAX-2026-10]

Self-Regulatory Organizations; Miami International Securities Exchange, LLC; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Delay Implementation of the Change To Provide Origin Code in the Liquidity Seeking Event Notification Messages on the Administrative Information Subscriber (“AIS”) Feed

February 27, 2026.

Pursuant to the provisions of Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act” or “Exchange Act”) ¹ and Rule 19b-4 thereunder,² notice is hereby given that on February 20, 2026, Miami International Securities Exchange, LLC (“MIAX” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) a proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange is filing a proposal to delay implementation of the proposed change to provide origin code in the liquidity seeking event notification messages on the Administrative Information Subscriber (“AIS”) feed.

The text of the proposed rule change is available on the Exchange’s website at <https://www.miaxglobal.com/markets/us-options/all-options-exchanges/rule-filings> and at MIAX’s principal office.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

On September 11, 2025, the Exchange filed a proposal to amend the Administrative Information Subscriber market data feed (“AIS Feed”) to include origin code in the liquidity seeking event notification message.³ The proposal indicated that the Exchange would announce the implementation date of the proposal in a Regulatory Circular to be published no later than 90 days after the publication of the approval order in the **Federal Register**. Further, the Exchange proposed that the implementation date would be no later than 90 days following the publication of the Regulatory Circular announcing publication of the approval order in the **Federal Register**.⁴ The Exchange has not issued a Regulatory Circular as described above and now proposes to delay the implementation of this functionality until Q4 2026.

The Exchange proposes this delay in order to allow the Exchange and its Members ⁵ ample time to complete the necessary technical changes prior to the implementation of the change. The Exchange proposes to issue a Regulatory Circular notifying market participants at least 30 days prior to implementing this functionality.

2. Statutory Basis

The Exchange believes that its proposed rule change is consistent with Section 6(b) of the Act ⁶ in general, and furthers the objectives of Section 6(b)(5) of the Act ⁷ in particular, in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to foster cooperation and coordination with persons engaged in regulating, clearing, settling, processing information with respect to, and facilitating transactions in, securities, to remove impediments to and perfect the mechanisms of a free and open market and a national market system and, in

³ See Securities Exchange Act Release No. 1104011 (September 11, 2025), 90 FR 46295 (September 22, 2025) (SR-MIAX-2025-43).

⁴ The original proposal (SR-MIAX-2025-43) was submitted as a 19(b)(3)(A) filing and as such the filing was deemed immediately effective upon filing subject to a 30 day operative delay and would not have received an approval order.

⁵ The term “Member” means an individual or organization approved to exercise the trading rights associated with a Trading Permit. Members are deemed “members” under the Exchange Act. See Exchange Rule 100.

⁶ 15 U.S.C. 78f(b).

⁷ 15 U.S.C. 78f(b)(5).