after publication of this notice by July 20, 2026.

Dated: June 15, 2026.

J. Matthew DeLesDernier,

Deputy Secretary.

[FR Doc. 2026–12195 Filed 6–16–26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0783]

Agency Information Collection Activities; Proposed Collection; Comment Request; Extension: Rule 31a–4

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520), the Securities and Exchange Commission (''Commission'') is soliciting comments on the collections of information summarized below. The Commission plans to submit these existing collections of information to the Office of Management and Budget for extension.

Rule 2a–5 (17 CFR 270.2a–5) under the Investment Company Act (the ''Act'') provides the requirements for determining in good faith the fair value of the investments of a registered investment company or companies that have elected to be treated as business development companies under the Investment Company Act (''BDCs'' and, collectively, ''funds'') for purposes of section 2(a)(41) of the Investment Company Act and rule 2a–4 thereunder. Under rule 2a–5, fair value as determined in good faith requires assessing and managing material risks associated with fair value determinations; selecting, applying, and testing fair value methodologies; and overseeing and evaluating any pricing services used. Rule 2a–5 also permits a fund's board to designate a ''valuation designee'' to perform fair value determinations. The valuation designee can be the adviser of the fund or an officer of an internally managed fund.[1] When a board designates the performance of determinations of fair value to a valuation designee for some or all of the fund's investments under rule 2a–5, this rule requires the board to oversee the valuation designee's performance of fair value determinations. To facilitate such oversight, rule 2a–5 also includes certain reporting and other requirements.[2]

Rule 31a–4 (17 CFR 270.34a–1) contains the recordkeeping requirements associated with rule 2a–5. Specifically, registered investment companies and BDCs, or their advisers, are required to maintain appropriate documentation to support fair value determinations made pursuant to rule 2a–5.[3] Further, if the board of the fund designates performance of fair value determinations to a valuation designee under rule 2a–5, the fund or adviser needs to maintain certain additional records relating to that designation.[4]

Compliance with rule 31a–4 is mandatory for any fund that needs to determine fair value under the Act. To the extent that records that are required to be created and maintained under this rule are provided to the Commission in connection with examinations or investigations, such information will be kept confidential subject to the provisions of applicable law.

There are approximately 10,047 funds that are required to comply with rule 31a–4. It is estimated that rule 31a–4 imposes an annual time burden of approximately 36 hours with an annual time cost of $15,984 per fund, resulting in total annual time burden (across all 10,047 funds) of 361,692 hours at a cost of $160,591,348. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

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Written comments are invited on: (a) whether this proposed collection of information is necessary for the proper performance of the functions of the SEC, including whether the information will have practical utility; (b) the accuracy of the SEC's estimate of the burden imposed by the proposed collection of information, including the validity of the methodology and the assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated, electronic collection techniques or other forms of information technology.

Please direct your written comments on this 60-Day Collection Notice to Austin Gerig, Director/Chief Data Officer, Securities and Exchange Commission, c/o Tanya Ruttenberg via email to *PaperworkReductionAct@sec.gov* by August 17, 2026. There will be a second opportunity to comment on this SEC request following the **Federal Register** publishing a 30-Day Submission Notice.

Dated: June 15, 2026.

Stephanie J. Fouse,

Assistant Secretary.

[FR Doc. 2026–12176 Filed 6–16–26; 8:45 am]

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[1] Rule 2a–5(e)(4); *see generally* Good Faith Determinations of Fair Value, Investment Company Act Release No. 34128 (Dec. 7, 2020) (''Adopting Release'').

[2] Rule 2a–5(b).

[3] Rule 31a–4(a).

[4] Rule 31a–4(b).

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–105680; File No. 4–757]

Joint Industry Plan; Notice of Filing of the Third Amendment to the Limited Liability Company Agreement of CT Plan LLC To Adopt Revenue Allocation Formula Revisions

June 12, 2026.

Pursuant to section 11A of the Securities Exchange Act of 1934 (''Exchange Act'')[1] and Rule 608 thereunder,[2] notice is hereby given that on June 2, 2026, the Operating Committee[3] of the Limited Liability Company Agreement of the CT Plan LLC (''CT Plan'') filed with the Securities and Exchange Commission (''SEC'' or ''Commission''), a proposal to amend the CT Plan.[4] The amendment represents the Third Amendment to the CT Plan (''Proposed Amendment''). Under the Proposed Amendment, the Operating Committee proposes revisions to the allocation of net revenues under the CT Plan among Members.[5]

[1] 15 U.S.C. 78k–1(a)(3).

[2] 17 CFR 242.608.

[3] *See* Article IV, Sec. 4.1 of the CT Plan.

[4] *See* Letter from Jeff Kimsey, CT Plan Operating Committee Chair, to Vanessa Countryman, Secretary, Commission, dated June 1, 2026 (''CT Plan Letter''). Pursuant to Section 4.3(b) of the CT Plan, certain actions of the Operating Committee require an affirmative vote of not less than two-thirds of all votes eligible to vote on a matter. Long Term Stock Exchange, Inc. (''LTSE'') did not join in the submission of this amendment. *See* CT Plan Letter at n.1.

[5] The Members are: 24X National Exchange LLC, Cboe BYX Exchange, Inc., Cboe BZX Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Cboe Exchange, Inc., Financial Industry Regulatory Authority, Inc., Investors Exchange LLC, LTSE, MEMX LLC, MIAX PEARL, LLC, Nasdaq BX, Inc., Nasdaq ISE, LLC, Nasdaq PHLX LLC, The Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., NYSE National, Inc., and NYSE Texas, Inc.