

controls including access controls, terminal and transaction logging, and file management software. Access is controlled by logon ID and password. Online data transmissions are protected by encryption.

RECORD ACCESS PROCEDURES:

Requests for access must be made in accordance with the Notification Procedure above and USPS Privacy Act regulations regarding access to records and verification of identity under 39 CFR 266.5.

CONTESTING RECORD ACCESS PROCEDURES:

See Notification Procedure and Record Access Procedures.

NOTIFICATION PROCEDURES:

Individuals wanting to know if information about them is maintained in this system of records must address inquiries to the system manager in writing. Inquiries should include name, address, email address and other identifying information that confirms the requestor's identity.

EXEMPTIONS PROMULGATED FOR THE SYSTEM:

None.

HISTORY:

None.

Kevin Rayburn,
Attorney, Ethics and Legal Compliance.
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RAILROAD RETIREMENT BOARD

Proposed Collection; Comment Request

In accordance with the requirement of Section 3506 (c)(2)(A) of the Paperwork Reduction Act of 1995 which provides opportunity for public comment on new or revised data collections, the Railroad Retirement Board (RRB) will publish periodic summaries of proposed data collections.

Comments are invited on: (a) Whether the proposed information collection is necessary for the proper performance of the functions of the agency, including whether the information has practical utility; (b) the accuracy of the RRB's estimate of the burden of the collection of the information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden related to the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

1. Title and purpose of information collection: Certification Regarding Rights to Unemployment Benefits; OMB 3220-0079.

Under Section 4 of the Railroad Unemployment Insurance Act (RUIA) (45 U.S.C. 354), an employee who leaves work voluntarily is disqualified for unemployment benefits unless the employee left work for good cause and is not qualified for unemployment benefits under any other law. RRB Form UI-45, *Claimant's Statement—Voluntary Leaving of Work*, is used by the RRB to obtain the claimant's

statement when the claimant, the claimant's employer, or another source indicates that the claimant has voluntarily left work.

Completion of Form UI-45 is required to obtain or retain benefits. One response is received from each respondent. The RRB proposes the following changes to Form UI-45:

- In Paperwork Reduction Act/Privacy Act Notices, changed last sentence to "If you wish, send comments regarding the accuracy of our estimate, or any other aspect of this form, including suggestions for reducing completion time, to: Railroad Retirement Board, ATTN: Bureau of Information Services/Policy & Compliance, 844 N Rush Street, Chicago, IL 60611-1275."

- Below Paperwork Reduction Act/Privacy Act Notices, changed to "Print all answers in ink."

- In Section 1.C., added new sentence "Include attempts you made to address issues or grievances with the employer prior to leaving."

- In Section 3.A., changed to "Have you applied for and/or claimed any state unemployment benefits of unemployment benefits under any law other than the Railroad Unemployment Insurance Act? If "Yes," show the name, address, and telephone number of the office/agency where you applied. If "No," go to section 4."

- In Section 3.B, changed to "Have you been denied any such benefits? If "Yes," attach a copy of the notice or decision from the unemployment office at which you applied."

ESTIMATE OF ANNUAL RESPONDENT BURDEN

Form No.	Annual responses	Time (minutes)	Burden (hours)
UI-45	176	15	44
Total	176		44

2. Title and purpose of information collection: Self-Employment and Substantial Service Questionnaire; OMB 3220-0138.

Section 2 of the Railroad Retirement Act (RRA) (45 U.S.C. 231a) provides for payment of annuities to qualified employees and their spouses. In order to receive an age and service annuity, Section 2(e)(3) states that an applicant must stop all railroad work and give up any rights to return to such work. However, applicants are not required to stop nonrailroad work or self-employment.

The RRB considers some work claimed as "self-employment" to actually be employment for an employer. Whether the RRB classifies a particular activity as self-employment or as work for an employer depends upon the circumstances of each case. These circumstances are prescribed in 20 CFR 216.

Under the 1988 amendments to the RRA, an applicant is no longer required to stop work for a "Last Pre-Retirement Nonrailroad Employer" (LPE). However, Section 2(f)(6) of the RRA requires that a portion of the employee's Tier II

benefit and supplemental annuity be deducted for earnings from the "LPE."

The "LPE" is defined as the last person, company, or institution with whom the employee or spouse applicant was employed concurrently with, or after, the applicant's last railroad employment and before their annuity beginning date. If a spouse never worked for a railroad, the LPE is the last person for whom he or she worked.

The RRB utilizes Form AA-4, *Self-Employment and Substantial Service Questionnaire*, to obtain information needed to determine if the work the applicant claims is self-employment is

really self-employment or work for an LPE or railroad service. If the work is self-employment, the questionnaire identifies any month in which the applicant did not perform substantial service. One response is requested of each respondent.

Completion is voluntary. However, failure to complete the form could result in the nonpayment of benefits. The RRB proposes the following changes to the AA-4:

- On Page 1, changed grey section at the top of the page to “DO NOT WRITE IN THIS SPACE—RRB Official Use Only”.

- On Page 1, Paperwork Reduction Act/Privacy Act Notices, (1) changed 2nd sentence to “This information is needed to determine whether your self-employment or business activity (which includes all forms of work or investment regardless of profit or passive income) will affect your railroad retirement benefits under the RRA.”, (2) added new 4th sentence “If there are self-employment, business or any other type of earnings reported to the RRB that are miscategorized or incorrectly associated to you, then please inform an RRB

representative so that you are given instructions on how to correct your earnings record.”, and (3) changed last sentence to “Railroad Retirement Board, ATTN: Bureau of Information Services/Policy & Compliance, 844 N Rush Street, Chicago, IL 60611–1275.”

- In Section 1, changed year for example date to “June 6, 2026.”
- In Section 2, removed instructions below item 4.
- In Section 2, removed instructions below item 5.
- In Section 3, changed section title to “Information About Your Self-Employment Or Business Activity” and item 7a to “Enter the name and address of your business or business activity.”
- In Section 3, item 7b, added “Gig Work (ex. ride-share) and “Limited Liability Company (LLC)” business or business activity options.
- In Section 3, added item 7c “Enter the name of the government Agency where your business or business activity is registered/incorporated.”
- In Section 3, item 8a, added “Director/Board Member”, “Farmer” and “Landlord” to job title options.

- In Section 3, item 8c, added “phone number(s)” for persons or organizations for whom you perform service.

- In Section 3, item 12, changed to “Do you advertise your services?”

- In Section 4, inserted “*did not*” in first sentence between “you” and “perform”.

- In Section 5, item 23, added “Note: If you need to report your earnings for any additional year(s), then provide the information in Section 6.”

- In Section 5, item 27a, inserted “agreement” between “written” and “in accordance”.

- In Section 5, item 28, changed to “Do you risk personal financial loss in your business or business activity?”

- In Section 7, item 31, changed certification to “I certify that all the information I gave the Railroad Retirement Board (RRB) on this form is true to the best of my knowledge. I know that if I have made a false or fraudulent statement on this form or withhold information in order to receive benefits from the RRB, I am committing a crime which is punishable under Federal law by fine or imprisonment or both.”

ESTIMATE OF ANNUAL RESPONDENT BURDEN

Form No.	Annual responses	Time (minutes)	Burden (hours)
AA-4 (With assistance)	968	40	645
AA-4 (Without assistance)	51	70	60
Total	1,019		705

3. *Title and purpose of information collection: Vocational Report; OMB 3220-0141.*

Under Section 2 of the Railroad Retirement Act (RRA) (45 U.S.C. 231a) provides for payment of disability annuities to qualified employees and widow(er)s. The establishment of permanent disability for work in the applicant’s “regular occupation” or for work in any regular employment is prescribed in 20 CFR 220.12 and 220.13, respectively.

To enable the Railroad Retirement Board (RRB) to determine the effect of a disability on an applicant’s ability to work, the RRB needs the applicant’s work history. The RRB utilizes Form G-251, Vocational Report, to obtain this information.

Form G-251 is provided to all applicants for employee disability annuities and to those applicants for a widow(er)’s disability annuity who indicate that they have been employed at some time. Form G-251 is designed for use with the RRB’s disability benefit

application forms. Form G-251 is similar to Form SSA-3369-BK, OMB 0960-0578. The RRB proposes the following changes to Form G-251:

- In Section 3, item 7, added “including any self-employment” at the end of the first sentence, added “NOTE 3: If you are listing any self-employment or work for a business that you own, then also complete form AA-4, Self-Employment and Substantial Service Questionnaire.” and added “Name of Employer” column.

- In Section 3, items 12, 16 and 20, added “the interactions you have with coworkers or the public” and “vision requirements” to the list of essential duties that may be described of the position or occupation named in Item’s 7a, 7b and 7c and made other minor editorial changes to make questions simpler and clearer.

- In Section 3, items 14b, 18b and 22b, replaced “Descriptive Comments” with “Description of Physical Activity” in the 2nd sentence and added

“Description of Physical Activity” column.

- In Section 3, items 14b, 18b and 22b, added “³ Constantly ($\frac{2}{3}$ to $\frac{3}{4}$)” in the Amount of Time column.

- In Section 3, items 14b(4), 18b(4) and 22b(4), replaced “Crouching/Squatting/Stooping” with “Crouching/Squatting”.

- In Section 3, items 14b(5), 18b(5) and 22b(6), added “Stooping” and renumbered subsequent physical activity action options.

- In Section 3, added “³ Constantly means occurring over two-thirds (approx. 5 hours) of an 8-hour workday; cumulative, not continuous.” for footnotes related to the Amount of Time column for items 14b, 18b and 22b.

- In Paperwork Reduction Act/Privacy Act Notices, changed last sentence to “If you wish, send comments regarding the accuracy of our estimate, or any other aspect of this form, including suggestions for reducing completion time, to: Railroad Retirement Board, ATTN: Bureau of

Information Services/Policy &

Compliance, 844 N Rush Street,
Chicago, IL 60611–1275.”

ESTIMATE OF ANNUAL RESPONDENT BURDEN

Form No.	Annual responses	Time (minutes)	Burden (hours)
G–251 (with assistance)	2,561	40	1,707
G–251 (without assistance)	117	50	98
Total	2,678		1,805

4. *Title and purpose of information collection:* Designation of Contact Officials; 3220–0200.

Under Section 7(b)(6) of the Railroad Retirement Act (RRA) (45 U.S.C. 231) gives the Railroad Retirement Board (RRB) the authority to require railroad employers to furnish information and records that are necessary for the administration of the Act. Coordination between railroad employers and the RRB is essential to properly administer the payment of benefits under the Railroad Retirement Act (RRA) and the Railroad Unemployment Insurance Act (RUIA). In order to enhance timely coordination activity, the RRB utilizes Form G–117a, *Designation of Contact Officials*. Form G–117a is used by railroad employers to designate employees who are to act as point of contact with the RRB on a variety of RRA and RUIA-related matters.

Completion is voluntary. One response is requested from each

respondent. The RRB proposes the following changes to Forms G–117a (Paper) and G–117a (Internet):

Form G–117a (Paper):

- Changed the layout of the form to accommodate remove the “Items/Contact Official and/or Descriptions” section in the form instructions and move each Contact Official section of the form as applicable.
- Added “(4) SUPPLEMENTAL ANNUITY” Designation of Contact Official.
- Added item “(7) SECTION 2(f) BILL PAYMENT—RUIA” Designation of Contact Official.
- Added “(9) SECTION 12(o) BILL PAYMENT—RUIA” Designation of Contact Official.
- Removed “(11) STREET ADDRESS”.
- Added “(14) VERIFICATION OF EMPLOYER GROUP HEALTH PLAN” Designation of Contact Official.
- Added “(15) CERTIFICATION”.

- Renumbered items to account added Contact Officials, as applicable.
- In Paperwork Reduction Act/Privacy Act Notices, changed last sentence to “If you wish, send comments regarding the accuracy of our estimate, or any other aspect of this form, including suggestions for reducing completion time, to: Railroad Retirement Board, ATTN: Bureau of Information Services/Policy & Compliance, 844 N Rush Street, Chicago, IL 60611–1275.”

Form G–117a (Internet):

- Updated the Manage Contact Officials screen to add new contact official types to match Form G–117 (Paper).
- Updated the New Contact Official and Edit Contact Official screens to add new contact official types in the Areas of Responsibility section to match Form G–117 (Paper).

ESTIMATE OF ANNUAL RESPONDENT BURDEN

Form No.	Annual responses	Time (minutes)	Burden (hours)
G–117a (Paper)	20	15	4
G–117a (Internet)	75	5	6
Total	95		11

Additional Information or Comments:

To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material or comments regarding the information collection should be addressed to Brian Foster, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611–1275 or emailed to Brian.Foster@rrb.gov. Written comments should be received within 60 days of this notice.

Brian Foster,
Clearance Officer.

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–105910; File No. SR–PEARL–2026–30]

Self-Regulatory Organizations; MIAX PEARL, LLC; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Rule 100, Definitions, and Rule 204. Members and Persons Associated With a Member Who Are or Become Subject to a Statutory Disqualification

July 14, 2026.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”

or “Exchange Act”) ¹ and Rule 19b–4 thereunder,² notice is hereby given that on July 6, 2026, MIAX PEARL, LLC (“MIAX Pearl” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

¹ 15 U.S.C. 78s(b)(1).
² 17 CFR 240.19b–4.