B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

Intramarket Competition. The Exchange believes the proposed change would not impose an undue burden on intramarket competition because the proposed revisions to the description of the new ORF methodology are intended only to conform the language in the Fee Schedule with that used in other options exchanges' fee schedules, to help reduce potential confusion among market participants. The proposed change to the ORF rate also would not impose an undue burden on intramarket competition because, pursuant to the new ORF methodology, the ORF will be collected by OCC on behalf of the Exchange from ATP Holders and non-ATP Holders for all Customer transactions executed on the Exchange, and the proposed ORF rate is designed to help ensure that collections from the ORF do not exceed a material portion of the Exchange's ORF Costs. Because the ORF is charged to all ATP Holders and non-ATP Holders on all of their transactions that clear in the Customer range at the OCC, the amount of ORF imposed is based on the amount of Customer volume transacted.

Intermarket Competition. The proposed change is not designed to address any competitive issues. Rather, the proposed change with respect to the ORF rate is designed to help the Exchange adequately fund its regulatory activities while seeking to ensure that total collections from regulatory fees do not exceed total regulatory costs, and the proposed change with respect to the Fee Schedule language describing the new ORF methodology is intended to promote consistency among the fee schedules of the various options exchanges.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

No written comments were solicited or received with respect to the proposed rule change.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A) of the Act [14] and paragraph (f) of Rule 19b–4 [15] thereunder. At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine whether the proposed rule change should be approved or disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's internet comment form (*https://www.sec.gov/rules/sro.shtml*); or
- Send an email to *rule-comments@sec.gov*. Please include file number SR–NYSEAMER–2026–69 on the subject line.

Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090.

All submissions should refer to file number SR–NYSEAMER–2026–69. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (*https://www.sec.gov/rules/sro.shtml*). Copies of the filing will be available for inspection and copying at the principal office of the Exchange. Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR–NYSEAMER–2026–69 and should be submitted on or before August 27, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[16]

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–15926 Filed 8–5–26; 8:45 am]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0134]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 15c1–7

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (''SEC'' or ''Commission'') is submitting to the Office of Management and Budget (''OMB'') this request for extension of the proposed collection of information provided for in Rule 15c1–7 (17 CFR 240.15c1–7) under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (''Exchange Act'').

Rule 15c1–7 states that any act of a broker-dealer designed to effect securities transactions with or for a customer account over which the broker-dealer (directly or through an agent or employee) has discretion will be considered a fraudulent, manipulative, or deceptive practice under the federal securities laws, unless a record is made of the transaction immediately by the broker-dealer. The record must include: (1) the name of the customer, (2) the name, amount, and price of the security, and (3) the date and time when such transaction took place.

The Commission estimates that approximately 325 registered broker-dealers would need to comply with Rule 151–7. The Commission estimates that it takes approximately 5 minutes per transaction to comply with the rule. The Commission estimates that approximately 400,000 transactions are effected in discretionary accounts annually, or approximately 1,231 transactions per respondent (400,000 transactions/325 respondents = 1,230.77 rounded up to 1,231). Thus, the Commission estimates that respondents incur an aggregate annual time burden of approximately 33,333 hours per year

[14] 15 U.S.C. 78s(b)(3)(A).

[15] 17 CFR 240.19b–4(f).

[16] 17 CFR 200.30–3(a)(12).

(400,000 transactions per year × 5 minutes per transaction × 1 hour per 60 minutes) or 103 hours per respondent per year (33,333 total hours/325 respondents) to comply with the rule.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: *https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202605-3235-024* or email comment to *MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov* within 30 days of the day after publication of this notice, by September 8, 2026.

Dated: August 3, 2026.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–15933 Filed 8–5–26; 8:45 am]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106029; File No. SR–NYSEARCA–2026–80]

Self-Regulatory Organizations; NYSE Arca, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Modify the NYSE Arca Options Fee Schedule To Implement a Market Maker/Lead Market Maker Posting Incentive Program for Certain Non-Penny Issues

August 3, 2026.

Pursuant to Section 19(b)(1) [1] of the Securities Exchange Act of 1934 (''Act''),[2] and Rule 19b–4 thereunder,[3] notice is hereby given that on July 28, 2026, NYSE Arca, Inc. (''NYSE Arca'' or the ''Exchange'') filed with the Securities and Exchange Commission (the ''Commission'') the proposed rule change as described in Items I and II below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to modify the NYSE Arca Options Fee Schedule (''Fee Schedule'') to implement a Market Maker (''MM'')/Lead Market Maker (''LMM'') (collectively ''Market Makers'') Posting Incentive Program for certain non-Penny Issues. In addition, the Exchange proposes to eliminate the Customer Against LMM Non-Penny Take Discount on electronic transactions and remove MSCI related Index Options from certain tier discounts and incentive programs.[4] The Exchange proposes to implement the fee changes effective July 28, 2026. The proposed rule change is available on the Exchange's website at *www.nyse.com* and at the principal office of the Exchange.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to modify the Fee Schedule to: (i) implement a Market Maker Posting Incentive Program for electronic non-Penny Issues; (ii) eliminate the Customer Against LMM Non-Penny Take Discount for electronic transactions; and (iii) remove MSCI related Index Options from certain tier discounts and incentive programs.

The Exchange proposes to implement the fee changes effective July 28, 2026.[5]

Market Maker Posting Incentive Program

Currently, LMMs and MMs receive a credit of $0.40 and $0.05, respectively, for posting liquidity in non-penny issues.[6] In addition, OTP Holders and OTP Firms receive a credit on all executions of non-customer posted interest in non-penny issues. The amount of such credit is outlined in a tier table ($0.32 to $0.82) based on the average number of electronic executions per day.[7]

The Exchange proposes to enhance these credits by adopting the ''Market Maker Posting Incentive Program for Designated Non-Penny Issues.'' [8] Under the program, the Exchange proposes to provide OTP Holders and OTP Firms, acting as a Market Maker, an additional credit on executions on their posted interest in each Designated Non-Penny Issue. The credit will be $0.40 per contract if, when added to the credits received for posting liquidity in non-penny issues, noted above, it exceeds the applicable per contract credit it would receive as an OTP Holder or OTP Firm under the Non-Customer, Non-Penny Posting Credit Tiers. If not, Market Makers will receive a credit equal to the latter. The credit will be in effect until the Designated Non-Penny Issue is added to the Penny Interval Program. The Exchange will provide advance notice to Market Makers of additions to the Penny Interval Program via Trader Update.

The credit is intended to address the fact that, while there will be Market Maker engagement in eligible Designated Non-Penny Issues, that engagement is not evenly distributed across trading venues. The Exchange has experienced instances in which its share of trading in a Designated Non-Penny Issue was significantly lower than its overall market share calling into question its competitiveness with rebates and credits offered by other exchanges in newly listed, non-Penny symbols. The proposed program is intended to address this disparity and encourage tighter markets and greater trading interest on the Exchange during this period. The credit will no longer be necessary once the Designated Non-Penny Issue has been moved to the Penny Interval Program, since the

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[1] 15 U.S.C. 78s(b)(1).

[2] 15 U.S.C. 78a.

[3] 17 CFR 240.19b–4.

[4] In addition, the Exchange proposes non-substantive changes to: (i) remove reference to Endnote 14 on the section title ''NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS;'' and (ii) amend Endnote 8 to include the definition of ''Exchange System Disruption,'' which is being deleted as a result of the elimination of the Customer Against LMM Non-Penny Take Discount.

[5] The Exchange originally filed to amend the Fee Schedule on July 1, 2026 (SR–NYSEARCA–2026–74). SR–NYSEARCA–2026–74 was withdrawn on July 14, 2026 and replaced by SR–NYSEARCA–2026–78. SR–NYSEARCA–2026–78 was withdrawn on July 28, 2026, and replaced by this filing.

[6] *See* Fee Schedule, ''NYSE Arca Options: Trade-Related Charges For Standard Options, Transaction Fee For Electronic Executions—Per Contract.''

[7] *See* Fee Schedule, ''NYSE Arca Options: Trade-Related Charges For Standard Options, Non-Customer, Non-Penny Posting Credit Tiers.''

[8] *See* Fee Schedule, proposed Market Maker Posting Incentive Program for Designated Non-Penny Issues. Per proposed Endnote 14, ''Designated Non-Penny Issues'' include all non-penny issues that trade greater than 1 million contracts in industry volume, as reported by the Options Clearing Corporation (''OCC'') on their first day of listing. For example, Space Exploration Technologies Corp. (symbol: SPCX) would be eligible for the program, having traded 1,727,086 contracts on June 16, 2026, its first day of listing.