

information required by Form SF-3 is mandatory, and Form SF-3 is publicly available on the Commission's Electronic Data Gathering, Analysis, and Retrieval ("EDGAR") system. We estimate that Form SF-3 takes approximately 1,380.50 hours per response and is filed once per year by approximately 19 issuers, for an estimate of 19 total responses annually. We estimate that 25% of the 1,380.50 hours per response (345.12 hours) is carried internally by the issuer for a total annual reporting burden of 6,557 hours (345.12 hours per response $\times$ 19 responses). We estimate that 75% of the 1,380.50 hours per response (1,035.38 hours) is carried externally by outside professionals retained by the issuer at an estimated rate of \$600 per hour for a total annual cost burden of \$11,803,332 ((75% $\times$ 1,380.50 hours per response) $\times$ \$600 per hour $\times$ 19 responses).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202604-3235-020 or send an email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice by September 18, 2026.

Dated: August 14, 2026.

Vanessa A. Countryman,
Secretary.

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0625]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 17g-1 and Form NRSRO

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 350 *et seq.*), the Securities and Exchange Commission (SEC or "Commission") is submitting to the Office of Management and Budget (OMB) this request for extension of the proposed collection of information. Rule 17g-1 and Form NRSRO set forth a

process for registration as an NRSRO and ongoing disclosure. Rule 17g-1 requires the filing of an application on Form NRSRO to register as an NRSRO or to register for an additional class of credit ratings. Form NRSRO lists the information that must be included in the application.

Currently, there are 11 credit rating agencies registered as NRSROs with the Commission. Based on staff experience, the Commission estimates that the ongoing annual burden for respondents to comply with Rule 17g-1 and Form NRSRO would be 2,322 hours. In addition, the Commission estimates an industry-wide annual external cost to NRSROs of \$4,840¹ for postage and handling fees to comply with the requirements.

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The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202604-3235-017 or email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice, by September 18, 2026.

Dated: August 14, 2026.

Vanessa A. Countryman,
Assistant Secretary.

[FR Doc. 2026-16824 Filed 8-17-26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-106134; File No. SR-NYSEAMER-2025-72]

Self-Regulatory Organizations; NYSE American LLC; Notice of Filing of Amendment No. 4 and Order Granting Accelerated Approval of a Proposed Rule Change, as Modified by Amendment No. 4, To Amend Section 1003 of the NYSE American Company Guide

August 14, 2026.

I. Introduction

On December 3, 2025, NYSE American LLC ("NYSE American" or the "Exchange") filed with the Securities and Exchange Commission ("Commission" or "SEC"), pursuant to Section 19(b)(1) of the Securities

Exchange Act of 1934 ("Act")¹ and Rule 19b-4 thereunder,² a proposed rule change to amend Section 1003 of the NYSE American Company Guide ("Company Guide"). The proposed rule change was published in the **Federal Register** on December 17, 2025.³ On January 22, 2026, the Exchange filed Amendment No. 1 to the proposed rule change, which superseded the original proposed rule change in its entirety.⁴ On January 28, 2026, pursuant to Section 19(b)(2) of the Act,⁵ the Commission designated a longer period within which to take action on the proposed rule change.⁶ On February 25, 2026, the Exchange filed Amendment No. 2 to the proposed rule change, which superseded the proposed rule change, as modified by Amendment No. 1, in its entirety.⁷ On March 6, 2026, the Exchange filed Amendment No. 3 to the proposed rule change, which superseded the proposed rule change, as modified by Amendment No. 2, in its entirety.⁸ On March 17, 2026, the Commission published notice of Amendment No. 3 and instituted

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

³ See Securities Exchange Act Release No. 104386 (Dec. 12, 2025), 90 FR 58648 ("Notice").

⁴ In Amendment No. 1, the Exchange clarified the Exchange's authority to suspend or delist a security; specified that an issuer subject to delisting under the proposal, and under Sections 1003(f)(vi) and (vii) of the Company Guide, would not be eligible to follow the procedures in Section 1009 of the Company Guide; provided additional description of certain aspects of the proposal; and made other technical and non-substantive changes to the proposal. The full text of Amendment No. 1 can be found on the Commission's website at <https://www.sec.gov/comments/sr-nyseamer-2025-72/srnyseamer202572-696287-2176995.pdf> ("Amendment No. 1").

⁵ 15 U.S.C. 78s(b)(2).

⁶ See Securities Exchange Act Release No. 104704, 91 FR 4696 (Feb. 2, 2026). The Commission designated March 17, 2026, as the date by which the Commission shall approve, disapprove, or institute proceedings to determine whether to disapprove the proposed rule change. *See id.*

⁷ In Amendment No. 2, the Exchange provided additional explanation of certain aspects of the proposal; and made other technical and non-substantive changes to the proposal. The full text of Amendment No. 2 can be found on the Commission's website at <https://www.sec.gov/comments/sr-nyseamer-2025-72/srnyseamer202572-715787-2239694.pdf> ("Amendment No. 2").

⁸ In Amendment No. 3, the Exchange removed the proposed addition of Section 1003(b)(i)(D) of the Company Guide by which an issuer that is determined to have an average market capitalization over a consecutive 30 trading-day period of less than \$5,000,000 would be subject to immediate suspension and delisting ("Minimum Market Capitalization"); removed a proposed modification to Section 1009 of the Company Guide with regard to the Minimum Market Capitalization criteria; and made other technical and non-substantive changes to the proposal. The full text of Amendment No. 3 can be found on the Commission's website at <https://www.sec.gov/comments/sr-nyseamer-2025-72/srnyseamer202572-719747-2253335.pdf> ("Amendment No. 3").

¹ This cost has been increased by 10 percent since the last renewal of the collection of information to account for inflation.