Acting Director, Division of Licensing Projects I, Office of Nuclear Reactor Regulation.

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0783]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 31a–4

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520), the Securities and Exchange Commission (''Commission'') has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

Rule 2a–5 (17 CFR 270.2a–5) under the Investment Company Act (the ''Act'') provides the requirements for determining in good faith the fair value of the investments of a registered investment company or companies that have elected to be treated as business development companies under the Investment Company Act (''BDCs'' and, collectively, ''funds'') for purposes of section 2(a)(41) of the Investment Company Act and rule 2a–4 thereunder. Under rule 2a–5, fair value as determined in good faith requires assessing and managing material risks associated with fair value determinations; selecting, applying, and testing fair value methodologies; and overseeing and evaluating any pricing services used. Rule 2a–5 also permits a fund's board to designate a ''valuation designee'' to perform fair value determinations. The valuation designee can be the adviser of the fund or an officer of an internally managed fund.[1] When a board designates the performance of determinations of fair value to a valuation designee for some or all of the fund's investments under rule 2a–5, this rule requires the board to oversee the valuation designee's performance of fair value determinations. To facilitate such oversight, rule 2a–5 also includes certain reporting and other requirements.[2]

Rule 31a–4 (17 CFR 270.34a–1) contains the recordkeeping requirements associated with rule 2a–5. Specifically, registered investment companies and BDCs, or their advisers, are required to maintain appropriate documentation to support fair value determinations made pursuant to rule 2a–5.[3] Further, if the board of the fund designates performance of fair value determinations to a valuation designee under rule 2a–5, the fund or adviser needs to maintain certain additional records relating to that designation.[4]

Compliance with rule 31a–4 is mandatory for any fund that needs to determine fair value under the Act. To the extent that records that are required to be created and maintained under this rule are provided to the Commission in connection with examinations or investigations, such information will be kept confidential subject to the provisions of applicable law.

There are approximately 10,047 funds that are required to comply with rule 31a–4. It is estimated that rule 31a–4 imposes an annual time burden of approximately 36 hours with an annual time cost of $15,984 per fund, resulting in total annual time burden (across all 10,047 funds) of 361,692 hours at a cost of $160,591,348.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: *https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202606-3235-002* or send an email comment to *MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov* within 30 days of the day after publication of this notice by September 24, 2026.

Dated: August 19, 2026.

Vanessa A. Countryman,

Secretary.

[FR Doc. 2026–17189 Filed 8–21–26; 8:45 am]

BILLING CODE 8011–01–P

[1] Rule 2a–5(e)(4); *see generally* Good Faith Determinations of Fair Value, Investment Company Act Release No. 34128 (Dec. 7, 2020) (''Adopting Release'').

[2] Rule 2a–5(b).

[3] Rule 31a–4(a).

[4] Rule 31a–4(b).

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106151; File No. SR–IEX–2026–28]

Self-Regulatory Organizations; Investors Exchange LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Correct an Internal Cross-Reference Error in Rule 23.150

August 19, 2026.

Pursuant to Section 19(b)(1)[1] of the Securities Exchange Act of 1934 (the ''Act'')[2] and Rule 19b–4 thereunder,[3] notice is hereby given that, on August 13, 2026, the Investors Exchange LLC (''IEX'' or the ''Exchange'') filed with the Securities and Exchange Commission (the ''Commission'') the proposed rule change as described in Items I and II below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

Pursuant to the provisions of Section 19(b)(1) under the Act,[4] and Rule 19b–4 thereunder,[5] the Exchange is filing with the Commission a proposed rule change to correct an internal cross-reference error in Rule 23.150, which was inadvertently introduced by a recent rule change. The Exchange has designated this rule change as ''non-controversial'' under Section 19(b)(3)(A) of the Act[6] and provided the Commission with the notice required by Rule 19b–4(f)(6) thereunder.[7]

The text of the proposed rule change is available at the Exchange's website at *https://www.iexexchange.io/resources/regulation/rule-filings* and at the principal office of the Exchange.

II. Self-Regulatory Organization's Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at

[1] 15 U.S.C. 78s(b)(1).

[2] 15 U.S.C. 78a.

[3] 17 CFR 240.19b–4.

[4] 15 U.S.C. 78s(b)(1).

[5] 17 CFR 240.19b–4.

[6] 15 U.S.C. 78s(b)(3)(A).

[7] 17 CFR 240.19b–4.

the places specified in Item IV below. The self-regulatory organization has prepared summaries, set forth in Sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange makes this filing to correct an internal cross-reference error in IEX 23.150, which was inadvertently introduced by a recent rule change.

Currently, Rule 23.150(h)(1) refers to ''Away Markets (as defined by 22.160(a)(8),'' when the definition of ''Away Markets'' it is cross-referencing is in Rule 22.160(a)(7). Rule 22.160 (Market Opening Procedures) was recently modified to replace IEX's price discovery auction opening process for option series with a simpler deterministic midpoint crossing process opening process.[8] The Opening Process Filing made several changes to Rule 22.160, including changes to the definitions set forth in Rule 22.160(a).[9] Among those changes was a renumbering of the definition of ''Away Market'' from Rule 22.160(a)(8) to 22.160(a)(7). IEX therefore proposes to amend Rule 23.150(h)(1) to cross-reference Rule 22.160(a)(7) instead of Rule 22.160(a)(8).

IEX notes that the proposed change to this internal cross-reference does not substantively modify system functionality or processes on the Exchange, but solely corrects an incorrect internal cross-reference.

2. Statutory Basis

IEX believes that the proposed rule change is consistent with the provisions of Section 6(b)[10] of the Act in general, and furthers the objectives of Section 6(b)(5) of the Act[11] in particular, in that it is designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general, to protect investors and the public interest.

Specifically, IEX believes that the proposed rule change is consistent with Section 6(b)(5) of the Act[12] because by correcting an inadvertent typographical error introduced by the Opening Process Filing, it will eliminate any confusion regarding the definition of ''Away Markets'' as that term is used in Rule 23.150(h), without substantively changing any of IEX's rules.

The Exchange also believes that the proposed rule change is consistent with the public interest and the protection of investors because it will provide increased clarity in the Exchange's rules.

B. Self-Regulatory Organization's Statement on Burden on Competition

IEX does not believe that the proposed rule change will result in any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act. As described in the Purpose and Statutory Basis sections, this rule filing merely proposes to correct one internal cross-reference error introduced by a recent rule filing. The proposed rule change is not intended to address competitive issues but rather would modify Exchange rules to update an internal cross-reference. Since the proposal does not substantively modify system functionality or processes on the Exchange, the proposed changes will not impose any burden on competition.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments were neither solicited nor received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The Exchange has designated this rule filing as non-controversial under Section 19(b)(3)(A)[13] of the Act and Rule 19b–4(f)(6)[14] thereunder. Because the proposed rule change does not: (i) significantly affect the protection of investors or the public interest; (ii) impose any significant burden on competition; and (iii) become operative for 30 days from the date on which it was filed, or such shorter time as the Commission may designate, it has become effective pursuant to Section 19(b)(3)(A) of the Act and Rule 19b–4(f)(6)[15] thereunder.

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings under Section 19(b)(2)(B)[16] of the Act to determine whether the proposed rule change should be approved or disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's internet comment form (*https://www.sec.gov/rules/sro.shtml*); or
- Send an email to *rule-comments@sec.gov.* Please include file number SR–IEX–2026–28 on the subject line.

Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090.

All submissions should refer to file number SR–IEX–2026–28. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (*https://www.sec.gov/rules/sro.shtml*). Copies of the filing will be available for inspection and copying at the principal office of the Exchange. Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR–IEX–2026–28 and should be submitted on or before September 14, 2026.

[8] *See* Securities Exchange Act Release No. 105546 (May 12, 2026), 91 FR 31813 (May 22, 2026) (SR–IEX–2026–15) (''Opening Process Filing'').

[9] *Id.*

[10] 15 U.S.C. 78f(b).

[11] 15 U.S.C. 78f(b)(5).

[12] 15 U.S.C. 78f(b)(5).

[13] 15 U.S.C. 78s(b)(3)(A).

[14] 17 CFR 240.19b–4(f)(6).

[15] 17 CFR 240.19b–4(f)(6). Rule 19b–4(f)(6) requires a self-regulatory organization to give the Commission written notice of its intent to file the proposed rule change, along with a brief description and text of the proposed rule change, at least five business days prior to the date of filing of the proposed rule change, or such shorter time as designated by the Commission. The Exchange has satisfied this requirement.

[16] 15 U.S.C. 78s(b)(2)(B).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[17]

Vanessa A. Countryman,

Secretary.

[FR Doc. 2026–17201 Filed 8–21–26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106161; File No. SR–CBOE–2026–071]

Self-Regulatory Organizations; Cboe Exchange, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Update Its Fees Schedule in Connection With Binary Options That Overlie the Mini-S&P 500 Index

August 19, 2026.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (''Act''),[1] and Rule 19b–4 thereunder,[2] notice is hereby given that on August 12, 2026, Cboe Exchange, Inc. (''Exchange'' or ''Cboe Options'') filed with the Securities and Exchange Commission (''Commission'') the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

Cboe Exchange, Inc. (the ''Exchange'' or ''Cboe Options'') proposes to update its Fees Schedule in connection with binary options that overlie the Mini-S&P 500 Index (''XSP binary options''); specifically, the Exchange proposes to adopt certain standard transaction fees in connection with XSP binary options and exclude XSP binary options from certain fees programs. The text of the proposed rule change is provided in Exhibit 5.

The text of the proposed rule change is also available on the Commission's website (*https://www.sec.gov/rules/sro.shtml*), the Exchange's website (*https://www.cboe.com/us/options/regulation/rule_filings/cone/*), and at the principal office of the Exchange.

[17] 17 CFR 200.30–3(a)(12).

[1] 15 U.S.C. 78s(b)(1).

[2] 17 CFR 240.19b–4.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to update its Fees Schedule in connection with XSP binary options.[3]

First, the Exchange proposes to adopt certain standard transaction fees in connection with XSP binary options (''XSPBX''), as follows:[4]

- Adopts fee code D1, appended to all Customer (capacity ''C'') orders in XSPBX with a premium price less than $0.04 or greater than $0.96 and assesses a fee of $0.04 per contract;
- Adopts fee code D2, appended to all Customer (capacity ''C'') orders in XSPBX with a premium price of $0.04 to $0.09 or $0.91 to $0.96 and assesses a fee of $0.08 per contract;
- Adopts fee code D3, appended to all Customer (capacity ''C'') orders in XSPBX with a premium price of $0.10 to $0.24 or $0.76 to $0.90 and assesses a fee of $0.20 per contract;
- Adopts fee code D4, appended to all Customer (capacity ''C'') orders in XSPBX with a premium price of $0.25 to $0.75 and assesses a fee of $0.30 per contract;
- Adopts fee code N1, appended to all Clearing Trading Permit Holder (''TPH'') (capacity ''F''), Non-Clearing TPH Affiliates (capacity ''L''),[5] Broker-Dealer (capacity ''B''), Joint Back-Office (capacity ''J''), Non-TPH Market-Maker (capacity ''N''), and Professional (capacity ''U'')[6] (collectively, ''Non-Customer, Non-Market-Maker'') orders in XSPBX with a premium price less than $0.04 or greater than $0.96 and assesses a fee of $0.10 per contract;
- Adopts fee code N2, appended to all Non-Customer, Non-Market Maker orders in XSPBX with a premium price of $0.04 to $0.09 or $0.91 to $0.96 and assesses a fee of $0.15 per contract;
- Adopts fee code N3, appended to all Non-Customer, Non-Market Maker orders in XSPBX with a premium price of $0.10 to $0.24 or $0.76 to $0.90 and assesses a fee of $0.25 per contract;

[3] The Exchange initially filed the proposed fee change, among other changes, on June 15, 2026 (SR–CBOE–2026–056). On August 12, 2026, the Exchange withdrew that filing and submitted this proposal.

[4] Under the proposed changes, Footnotes 1, 3, 4, 7, 13, 15, 39, and 24 (proposed Footnote 24 described below), set forth in the Exchange Fees Schedule will apply to Binary Options. As part of the proposed changes, the Exchange proposes to append these footnotes to the proposed Rates Table for Binary Options. Footnote 1 provides that the standard transaction rates set forth in the proposed Rates Table apply per contract side, including FLEX; Footnote 3 provides that Trading Permit Holder transaction fee policies and rebate programs are described in the Trading Permit Holder Transaction Fee Policies and Rebate Programs table; Footnote 4 provides that transaction fees are charged to the Cboe Options executing firm on the input record; Footnote 7 provides that all fees and rebates assessed prior to the three full calendar months before the month in which the Exchange becomes aware of a billing error shall be considered final, and that any dispute concerning fees or rebates billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation; Footnote 13 provides that transaction fees for Market-Makers and other specified participants are capped at $0.00 for merger, short stock interest, reversal, conversion, and jelly roll strategies executed in open outcry on the same trading day in the same option class across equities, ETFs, and ETNs, with each strategy type specifically defined, though strategies tied to QCC orders are ineligible for a strategy rebate and those defined in the footnote are ineligible for an ORS/CORS subsidy; Footnote 15 provides that under Exchange Rule 5.26, when exclusively listed options are traded at a Back-up Exchange, that exchange will apply Cboe Options' per contract fees, while any other Cboe Options listed options traded there will be subject to the Back-up Exchange's fee schedule; conversely, when a Disabled Exchange's exclusively listed options are traded at Cboe Options, Cboe Options will apply the Disabled Exchange's per contract fees, while any other options classes of the Disabled Exchange traded at Cboe Options will be subject to Cboe Options' fee schedule; and Footnote 39 provides that each Trading Permit Holder is responsible for notifying the Exchange of all of its affiliates and is required to inform the Exchange immediately of any event that causes an entity to cease to be an affiliate in a form and manner to be determined by the Exchange; an ''affiliate'' is defined as having at least 75% common ownership between two entities as reflected on each entity's Form BD, Schedule A.

[5] As part of the proposed changes, the Exchange propose to append Footnotes 12 and 16 to the Clearing TPH Proprietary capacity set forth in the proposed Rate Table for Binary Options. Footnote 12 provides for certain pricing changes that will apply if the Cboe Options trading floor becomes inoperable and the Exchange operates in a screen-based only environment during Regular Trading Hours, while Footnote 16 provides that Broker-Dealer transaction fees apply to broker-dealer orders (orders with ''B'' capacity code), non-Trading Permit Holder market-maker orders (orders with ''N'' capacity code) and certain orders with ''F'' or ''L'' capacity codes (*i.e.,* orders from OCC numbers that are not from Cboe Options Trading Permit Holders or are not registered with the Exchange).

[6] As part of the proposed changes, the Exchange propose to append Footnote 16 to the Broker-Dealer and Non-TPH Market Maker capacities set forth in the proposed Rate Table for Binary Options.