submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR–NYSE–2026–44 and should be submitted on or before October 16, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[17]

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–19634 Filed 9–24–26; 8:45 am]

BILLING CODE 8011–01–P

[17] 17 CFR 200.30–3(a)(12).

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0031]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 17f–2(e)

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (''SEC'' or ''Commission'') is submitting to the Office of Management and Budget (''OMB'') this request for extension of the proposed collection of information provided for in Rule 17f–2(e) (17 CFR 240.17f–2(e)), under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*).

Section 17(f)(2) requires, in pertinent part, that every member of a national securities exchange, broker, dealer, registered transfer agent, and registered clearing agency (collectively, ''covered entities'') require that each of their partners, directors, officers, and employees be fingerprinted and submit (or cause to be submitted) such fingerprints to the U.S. Attorney General for identification and appropriate processing.[1] Section 17(f)(2) also authorizes the Commission, by rule, to exempt from the fingerprinting requirements of Section 17(f)(2) upon specified terms, conditions, and periods, any class of partners, directors, officers, and employees of a covered entity, if the Commission finds that such action is not inconsistent with the public interest or the protection of investors. Rule 17f–2 promulgated under Section 17(f)(2) provides for certain exemptions from the fingerprinting requirement of Section 17(f)(2).[2] Under Rule 17f–2, a covered entity may claim an exemption from the fingerprint requirements of Rule 17f–2 provided they make and keep current a statement entitled ''Notice Pursuant to Rule 17f–2'' containing the information specified in Rule 17f–2(e)(1) to support their claim of exemption (''Notice'').[3] Rule 17f–2(e)(2) requires covered entities to keep a copy of the Notice in an easily accessible place at the organization's principal office and at the office employing the persons for whom exemptions are claimed, and to make the Notice available for inspection upon request by the Commission, appropriate regulatory agency (if not the Commission), or other designated examining authority. Notices prepared pursuant to Rule 17f–2(e) must be maintained for different lengths of time depending on the type of entity maintaining the Notice. Under Rule 240.17a–1, every registered clearing agency must keep and preserve at least one copy of all documents made or received by it in the course of its business for a period of not less than five years. Under Rule 240.17a–4 certain members of national securities exchanges, brokers, and dealers must maintain the Notice during the life of their enterprise. Under Rule 240.17Ad–7, registered transfer agents must maintain the Notice in an easily accessible place. The recordkeeping requirement under Rule 17f–2(e) assists the Commission and other regulatory agencies with helping ensure compliance with Rule 17f–2.

Based on the Commission's experience with Rule 17f–2(e), we estimate that approximately 75 respondents will incur an average burden of 30 minutes per year to comply with this rule, which represents the time it takes for a staff person at a covered entity to properly document a claimed exemption from the fingerprinting requirements of Rule 17f–2 in the required Notice (0.4 hours, constituting a reporting type of burden) and to properly retain the Notice according to the entity's record retention policies and procedures (0.1 hours, constituting a recordkeeping type of burden). The estimated aggregate annual burden for all covered entities is approximately 38 hours (75 entities × 0.5 hours, rounded up).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: *https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202607-3235-008* or email comment to *MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov* within 30 days of the day after publication of this notice, by October 26, 2026.

[1] 15 U.S.C. 78q(f)(2).

[2] 17 CFR 240.17f–2.

[3] 17 CFR 240.17f–2(e).

Dated: September 22, 2026.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–19614 Filed 9–24–26; 8:45 am]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106464; File No. SR–NYSETEX–2026–35]

Self-Regulatory Organizations; NYSE Texas, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend NYSE Texas Article 6, Rule 13

September 22, 2026.

Pursuant to Section 19(b)(1)[1] of the Securities Exchange Act of 1934 (''Act'')[2] and Rule 19b-4 thereunder,[3] notice is hereby given that, on September 10, 2026, the NYSE Texas, Inc. (''NYSE Texas'' or the ''Exchange'') filed with the Securities and Exchange Commission (the ''Commission'') the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend NYSE Texas Article 6, Rule 13 (Registration Requirements) applicable to Participants[4] to align with a recent amendment by the Financial Industry Regulatory Authority, Inc. (''FINRA'').

[1] 15 U.S.C. 78s(b)(1).

[2] 15 U.S.C. 78a.

[3] 17 CFR 240.19b–4.

[4] In general, the term ''Participant'' means any Participant Firm that holds a valid Trading Permit and any person associated with a Participant Firm who is registered with the Exchange under Articles 16 and 17 as a Market Maker Authorized Trader or Institutional Broker Representative, respectively. A Participant shall be considered a ''member'' of the Exchange for purposes of the Exchange Act. *See* Article 1, Rule 1(s). For a complete definition of the term ''Participant,'' *see* Article 1, Rule 1(s).