

SECURITIES AND EXCHANGE COMMISSION

Investment Company Act Release No. 36345; File No. 812–16065

Macquarie Wealth Advisers, LLC et al.

September 25, 2026.

AGENCY: Securities and Exchange Commission (“Commission” or “SEC”).

ACTION: Notice.

Notice of an application under section 6(c) of the Investment Company Act of 1940 (the “Act”) for an exemption from sections 18(a)(2), 18(c), 18(i) and 61(a) of the Act.

SUMMARY OF APPLICATION: Applicants request an order to permit certain closed-end investment companies that have elected to be regulated as business development companies to issue multiple classes of shares with varying sales loads and asset-based distribution and/or service fees.

APPLICANTS: Macquarie Wealth Advisers, LLC and Macquarie Infrastructure Income Opportunities Fund.

FILING DATES: The application was filed on July 31, 2026, and amended on September 8, 2026.

HEARING OR NOTIFICATION OF HEARING: An order granting the requested relief will be issued unless the Commission orders a hearing. Interested persons may request a hearing on any application by emailing the SEC’s Secretary at *Secretarys-Office@sec.gov* and serving the Applicants with a copy of the request by email, if an email address is listed for the relevant Applicant below, or personally or by mail, if a physical address is listed for the relevant Applicant below. Hearing requests should be received by the Commission by 5:30 p.m., Eastern time, on October 20, 2026, and should be accompanied by proof of service on the Applicants, in the form of an affidavit, or, for lawyers, a certificate of service. Pursuant to rule 0–5 under the Act, hearing requests should state the nature of the writer’s interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by emailing the Commission’s Secretary at *Secretarys-Office@sec.gov*.

ADDRESSES: *The Commission: Secretarys-Office@sec.gov*; Applicants: William Fink, Macquarie Wealth Advisers, LLC, *bill.fink@macquarie.com*, with copies to: Nicole M. Runyan, P.C., Brad A. Green, P.C., and Lisa Nosal, Esq. at *nicole.runyan@*

kirkland.com, *brad.green@kirkland.com*, and *lisa.nosal@kirkland.com*, respectively.

FOR FURTHER INFORMATION CONTACT: Trace W. Rakestraw, Senior Special Counsel, at (202) 551–6825 (Division of Investment Management, Chief Counsel’s Office).

SUPPLEMENTARY INFORMATION: For Applicants’ representations, legal analysis, and conditions, please refer to Applicants’ amended application, dated September 8, 2026, which may be obtained via the Commission’s website by searching for the file number at the top of this document, or for an Applicant using the Company name search field on the SEC’s EDGAR system. The SEC’s EDGAR system may be searched at <https://www.sec.gov/search-filings>. You may also call the SEC’s Office of Investor Education and Assistance at (202) 551–8090.

For the Commission, by the Division of Investment Management, under delegated authority.

Sherry R. Haywood,
Assistant Secretary.

[FR Doc. 2026–19938 Filed 9–29–26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 36346; File No. 812–16067]

ABX Longevity Growth and Income Fund and ABL Wealth Advisors, LLC

September 25, 2026.

AGENCY: Securities and Exchange Commission (“Commission” or “SEC”).

ACTION: NOTICE.

Notice of an application under section 6(c) of the Investment Company Act of 1940 (the “Act”) for an exemption from sections 18(a)(2), 18(c) and 18(i) of the Act, under sections 6(c) and 23(c) of the Act for an exemption from rule 23c–3 under the Act, and for an order pursuant to section 17(d) of the Act and rule 17d–1 under the Act.

Summary of Application: Applicants request an order to permit certain registered closed-end investment companies to issue multiple classes of shares and to impose asset-based distribution and/or service fees and early withdrawal charges.

Applicants: ABX Longevity Growth and Income Fund and ABL Wealth Advisors, LLC.

Filing Date: The application was filed on August 7, 2026.

Hearing or Notification of Hearing: An order granting the requested relief will

be issued unless the Commission orders a hearing. Interested persons may request a hearing on any application by emailing the SEC’s Secretary at *Secretarys-Office@sec.gov* and serving the Applicants with a copy of the request by email, if an email address is listed for the relevant Applicant below, or personally or by mail, if a physical address is listed for the relevant Applicant below. The email should include the file number referenced above. Hearing requests should be received by the Commission by 5:30 p.m., Eastern time, on October 20, 2026, and should be accompanied by proof of service on the Applicants, in the form of an affidavit, or, for lawyers, a certificate of service. Pursuant to rule 0–5 under the Act, hearing requests should state the nature of the writer’s interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by emailing the Commission’s Secretary.

ADDRESSES:

The Commission: Secretarys-Office@sec.gov.

Applicants: Ralph Milne, 333 South Garland Ave., Suite 1500, Orlando, FL 32801, *ralph@abacusgm.com*, with copies to Gregory Davis, Esq., Ropes & Gray LLP, One Maritime Plaza, 300 Clay St. #1800, San Francisco, CA 94111, *gregory.davis@ropesgray.com*, James McGinnis, Esq., Ropes & Gray LLP, 2099 Pennsylvania Avenue NW, Washington, DC 20006, *james.mcginis@ropesgray.com*.

FOR FURTHER INFORMATION CONTACT: Trace W. Rakestraw, Senior Special Counsel, at (202) 551–6825 (Division of Investment Management, Chief Counsel’s Office).

SUPPLEMENTARY INFORMATION: For Applicants’ representations, legal analysis, and conditions, please refer to Applicants’ application, dated August 7, 2026, which may be obtained via the Commission’s website by searching for the file number at the top of this document, or for an Applicant using the Company name search field, on the SEC’s EDGAR system. The SEC’s EDGAR system may be searched at <https://www.sec.gov/search-filings>. You may also call the SEC’s Office of Investor Education and Assistance at (202) 551–8090.

For the Commission, by the Division of Investment Management, under delegated authority.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–19940 Filed 9–29–26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0788]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 10c–1a

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. § 3501 *et seq.*), the Securities and Exchange Commission (“SEC” or “Commission”) is submitting to the Office of Management and Budget (“OMB”) this request for approval of extension of the previously approved collections of information provided in Rule 10c–1a (17 CFR 240.10c–1a), under the securities Act of 1934 (15 U.S.C. 78a *et seq.*).

To increase the transparency of information available to brokers, dealers, and investors with respect to the loans or borrowing of securities, Rule 10c–1a requires, among other things, covered persons to report (or to rely on a reporting agent to fulfill its reporting obligations under certain conditions) to a registered national securities association (“RNSA”) within certain time periods, specified data elements concerning covered securities loans (“Rule 10c–1a information”). Rule 10c–1a also requires an RNSA to implement rules regarding the format and manner of its collection of Rule 10c–1a information, make publicly available certain data pertaining to reported securities loans, and comply with certain data retention and availability requirements. To implement the required rules, the Financial Industry Regulatory Authority (“FINRA”), currently the only RNSA, adopted its Rule 6500 Series to require the reporting of securities loans and provide for the public dissemination of certain loan information.

Currently, the securities lending market is opaque and has data gaps that create inefficiencies. The information collections are necessary to remediate these issues by giving investors, market participants, and regulators access to the

material terms of securities lending transactions.

Rule 10c–1a will provide market participants with access to pricing and other material information regarding securities lending transactions, which will supplement the publicly available information involving securities lending, close data gaps in the market, and minimize information asymmetries between market participants. The gaps in securities lending data make it difficult for borrowers and lenders to ascertain market conditions and to know whether the terms that they receive for their loans are consistent with market conditions. These gaps also impact the ability of the Commission and other regulators to oversee transactions that are vital to fair, orderly, and efficient markets.

The data collected and made available through the respondents’ compliance with Rule 10c–1a will improve price discovery in the securities lending market and reduce the information asymmetry in the market. Increasing the accessibility of data could lower barriers to entry for would-be participants in the securities lending market, as well as the securities markets more broadly, because all market participants, not just counterparties to a trade or those that subscribe to certain services, will be able to view and analyze transaction that are taking place in the securities lending market. As a result, the disclosure of the specified material terms of securities lending transactions might improve the efficiency and resiliency of the securities market by reducing frictions in the coat of borrowing securities, which may also have effects on the markets for the securities themselves.

Additionally, enhancing the transparency of data on securities lending transactions will allow market participants to determine whether the terms that they receive for their loans are consistent with market conditions. Such benefits would not accrue to the securities lending market or the broader securities markets if the information collections required by Rule 10c–1a are not implemented.

In December 2025, the Commission granted a temporary exemption from compliance with Rule 10c–1a regarding the date by which covered persons must report the applicable Rule 10c–1 information, and from compliance with Rules 10c–1a(g) and (h)(3) regarding the dissemination date. While Rule 10c–1a is effective, at this present time, covered persons are not yet required by Rule 10c–1a to report Rule 10c–1a information to an RNSA until September 28, 2028. An RNSA is not

required to make certain information publicly available until March 29, 2029. During the time period covered by the Commission’s order, covered persons and an RNSA may incur PRA burdens, voluntarily, as part of system testing and other measures to facilitate an orderly implementation of Rule 10c–1a. As such, the estimated burdens below take account of voluntary information collections.

The information collection burdens in Rule 10c–1a are directly related to either (1) covered persons capturing Rule 10c–1a information and reporting it to an RNSA either directly or by using a reporting agent); or (2) an RNSA collecting the Rule 10c–1 information reported to it and subsequently making certain data publicly available. Given the differences in the information collections applicable to the parties, the burdens applicable to covered persons, reporting agents, and RNSAs are separated in the discussion below.

Providing Covered Persons: Systems Development and Monitoring

Initial Burden

The Commission estimates that providing covered persons¹ each will assume 3,000 PRA burden hours in developing and reconfiguring their current systems to capture the required data elements, which is annualized to 1,000 PRA burden hours per entity, for a total estimated initial annual industry burden of 259,000 hours.

Ongoing Annual Burden

The Commission estimates that the ongoing annual PRA burden will be equivalent to the ongoing burden estimated for non-providing OATs reporters in the CAT Approval Order. Thus, the Commission estimates that each providing covered person will assume 1,350 PRA burden hours per year, for a total estimated ongoing annual industry burden of 349,650 hours.

Non-Providing Covered Persons: Systems Development and Monitoring

Initial Burden

The Commission estimates that a non-providing covered person² will assume half of the initial burden hours that a providing covered person will assume to develop and reconfigure their current

¹ The term “providing covered person” refers to a covered person that reports Rule 10c–1a information directly to an RNSA pursuant to Rule 10c–1a(a)(1).

² The term “non-providing covered person” refers to a covered person that relies on a reporting agent to fulfill its reporting obligations under Rule 10c–1a(a)(1), subject to conditions.