

operative for 30 days after the date of the filing, or such shorter time as the Commission may designate, it has become effective pursuant to Section 19(b)(3)(A)(iii) of the Act⁷ and subparagraph (f)(6) of Rule 19b–4 thereunder.⁸

At any time within 60 days of the filing of such proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings under Section 19(b)(2)(B)⁹ of the Act to determine whether the proposed rule change should be approved or disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's internet comment form (<https://www.sec.gov/rules/sro.shtml>); or
- Send an email to rule-comments@sec.gov. Please include file number SR–C2–2026–027 on the subject line.

Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090.
- All submissions should refer to file number SR–C2–2026–027. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<https://www.sec.gov/rules/sro.shtml>). Copies of the filing will be available for inspection and copying at the principal office of the Exchange. Do not include personal identifiable information in submissions; you should

submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection.

All submissions should refer to file number SR–C2–2026–027 and should be submitted on or before October 27, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.¹⁰

Sherry R. Haywood,
Assistant Secretary.

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0780]

Agency Information Collection Activities; Proposed Collection; Comment Request; Extension: Rule 0–5

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the “Commission”) is soliciting comments on the collection of information summarized below. The Commission plans to submit this collection of information to the Office of Management and Budget for extension and approval.

Rule 0–5 (17 CFR 270.0–5) under the Investment Company Act (the “Act”) (15 U.S.C. 80a *et seq.*) entitled “Procedure with Respect to Applications and Other Matters,” sets forth procedure for applications seeking orders for exemptions or other relief under the Investment Company Act. Rule 0–5(e) requires applicants seeking expedited review to include certain information with the application. Rule 0–5(e)(1) requires that the cover page of the application include a notation prominently stating “EXPEDITED REVIEW REQUESTED UNDER 17 CFR 270.0–5(d).” Rule 0–5(e)(2) requires applicants to submit exhibits with marked copies of the application showing changes from the final versions of two precedent applications identified as substantially identical. Rule 0–5(e)(3) requires an accompanying cover letter,

signed, on behalf of the applicant, by the person executing the application (i) identifying two substantially identical applications and explaining why the applicant chose those particular applications, and if more recent applications of the same type have been approved, why the applications chosen, rather than the more recent applications, are appropriate; and (ii) certifying that that the applicant believes the application meets the requirements of rule 0–5(d) and that the marked copies required by rule 0–5(e)(2) are complete and accurate.

Rule 0–5(g) provides that, if an applicant has not responded in writing to a request for clarification or modification of an application filed under standard review within 120 days after the request, the application will be deemed withdrawn. As an oral response would not stop an application from being deemed withdrawn, rule 0–5(g), requires applicants to respond “in writing” and therefore create an additional cost within the meaning of the PRA.

The information collected under rule 0–5(g) and (e) is intended to provide an expedited review procedure for certain applications and establish an internal timeframe for review of applications outside of the expedited procedure. The rule is meant to provide relief as efficiently and timely as possible, while also ensuring that applications continue to be carefully analyzed consistent with the relevant statutory standards.

Applicants for orders under the Act can include investment companies and affiliated persons of investment companies. Applicants file applications as they deem necessary. The Commission receives approximately 188 applications per year under the Act, and of the 188 applications, we estimate to receive approximately 47 applications seeking expedited review under the Act. Although each application is typically submitted on behalf of multiple entities, the entities in the vast majority of cases are related companies and are treated as a single applicant for purposes of this analysis. Each application subject to rules 0–5(e) and 0–5(g) does not impose any ongoing obligations or burdens on the part of an applicant.

Much of the work of preparing an application is performed by outside counsel. Based on conversations with applicants and Staff experience, approximately 20 percent of applications are prepared by in-house counsel.

The mandatory requirements under rule 0–5(e) increase the estimated hour or cost burden for applicants utilizing

⁷ 15 U.S.C. 78s(b)(3)(A)(iii).

⁸ 17 CFR 240.19b–4(f)(6). In addition, Rule 19b–4(f)(6) requires a self-regulatory organization to give the Commission written notice of its intent to file the proposed rule change, along with a brief description and text of the proposed rule change, at least five business days prior to the date of filing of the proposed rule change, or such shorter time as designated by the Commission. The Exchange has satisfied this requirement.

⁹ 15 U.S.C. 78s(b)(2)(B).

¹⁰ 17 CFR 200.30–3(a)(12).

in-house counsel by 7 hours¹ or \$5,418² per application. Therefore, the mandatory requirements under rule 0–5(e) increase the total estimated annual hour burden by approximately 65.80 hours utilizing in-house counsel.³ The total estimated annual cost burden for utilizing in-house counsel is \$50,929.20.⁴

We estimate to receive approximately 141 applications⁵ per year seeking standard review under the Act and of the 141 applications, we estimate that in approximately 10 percent of those, the applicants respond “in writing” to avoid the application being deemed withdrawn pursuant to rule 0–5(g). We believe the “in writing” requirement under rule 0–5(g) increases the burden for applicants utilizing in-house counsel by 2 hours or \$1,548 per application.⁶ Therefore, the “in writing” requirement under rule 0–5(g) increases the total estimated annual hour burden by approximately 5.64 hours utilizing in-house counsel.⁷ The total estimated annual cost burden utilizing in-house counsel is \$4,365.36.⁸

An agency may not conduct or sponsor, and a person is not required to

¹ This estimate is based on the following calculation: 5 hours (estimated hours per application to prepare the marked copies) + 2 hour (estimated hours per application to explain, notate, and certify) = 7 hours.

² This estimate is based on the following calculation: 5 (estimated hours per application to prepare the marked copies) × \$774 (hourly rate for a lawyer) = \$3,870. 2 (estimated hours per application to explain, notate, and certify) × \$774 (hourly rate for a lawyer) = \$1,548. \$3,870 (estimated cost per application to prepare the marked copies) + \$1,548 (estimated cost per application to explain, notate, and certify) = \$5,418.

³ This estimate is based on the following calculations: [5 (estimated hours per application to prepare the marked copies) + 2 (estimated hours per application to explain, notate, and certify)] × 47 (estimated number of applications under expedited review) × 0.20 (approximate percentage of applications prepared by in-house counsel) = 65.80 hours.

⁴ This estimate is based on the following calculation: 65.80 (estimated total hours utilizing in-house counsel) × \$774 (hourly rate for a lawyer) = \$50,929.20.

⁵ This estimate is based on the following calculation: 188 (estimated number of all applications) – 47 (estimated number of applications under expedited review) = 141.

⁶ This estimate is based on the following calculation: 2 (estimated hours to prepare “in writing” response) × \$774 (hourly rate for a lawyer) = \$1,548.

⁷ This estimate is based on the following calculations: 2 (estimated hours to prepare “in writing” response) × 141 (estimated number of applications under standard review) × 0.10 (approximate percentage of application required to respond “in writing”) × 0.20 (approximate percentage of applications prepared by in-house counsel) = 5.64 hours.

⁸ This estimate is based on the following calculation: 5.64 (estimated total hours utilizing in-house counsel) × \$774 (hourly rate for a lawyer) = \$4,365.36.

respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

Written comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information shall have practical utility; (b) the accuracy of the Commission’s estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Please direct your written comments on this 60-Day Collection Notice to Austin Gerig, Director/Chief Data Officer, Securities and Exchange Commission, c/o Tanya Ruttenberg via email to PaperworkReductionAct@sec.gov by December 7, 2026.

Dated: October 1, 2026.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026–20400 Filed 10–5–26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106561; File No. SR–CboeEDGX–2026–063]

Self-Regulatory Organizations; Cboe EDGX Exchange, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Exchange Rule 22.6

October 1, 2026.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”),¹ and Rule 19b–4 thereunder,² notice is hereby given that on September 24, 2026, Cboe EDGX Exchange, Inc. (the “Exchange” or “EDGX”) filed with the Securities and Exchange Commission (the “Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

Cboe EDGX Exchange, Inc. (“EDGX” or the “Exchange”) is filing with the

Securities and Exchange Commission (“Commission” or “SEC”) a proposed rule change to amend Exchange Rule 22.6 (Market Maker Quotations) to provide that the \$5 maximum bid/ask differential will apply to options series expiring in 270 days or less, and a \$15 maximum bid/ask differential will apply to options series expiring in more than 270 days. The text of the proposed rule change is provided in Exhibit 5.

The text of the proposed rule change is also available on the Commission’s website (<https://www.sec.gov/rules/sro.shtml>), the Exchange’s website (https://www.cboe.com/us/equities/regulation/rule_filings/edgx/), and at the principal office of the Exchange.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to amend Rule 22.6(c) to add a time-to-expiration tier to the existing bid/ask differential requirements for Market Maker quotes.

The Exchange previously adopted bid/ask differential requirements for Market Maker quotes under Rule 22.6(c) in a recent rule filing.³ The Prior Filing established a single general maximum bid/ask differential of \$5 regardless of the Market Maker’s bid, applicable to all options series without regard to time to expiration. The Exchange now proposes to amend Rule 22.6(c) to conform to the approach in Cboe Options Rule 5.52(c), under which the \$5 maximum bid/ask differential applies to options series expiring in 270 days or less, and a \$15 maximum applies to options series expiring in more than 270 days.

³ See Securities Exchange Act Release No. 106205 (August 27, 2026), 91 FR 56245 (September 1, 2026) (SR–CboeEDGX–2026–054) (Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Exchange Rule 22.6 (Market Maker Quotations) To Adopt Two-Sided Quote Bid/Ask Differentials) (the “Prior Filing”).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b–4.