

Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's internet comment form <https://www.sec.gov/rules-regulations/self-regulatory-organization-rulemaking>; or
- Send an email to rule-comments@sec.gov. Please include File Number SR-CMESC-2026-011 on the subject line.

Paper Comments

Send paper comments in triplicate to Secretary, Securities and Exchange Commission, Station Place, 100 F Street NE, Washington, DC 20549.

All submissions should refer to File Number SR-CMESC-2026-011. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<https://www.sec.gov/rules-regulations/self-regulatory-organization-rulemaking>). Copies of the filing also will be available for inspection and copying at the principal office of CMESC and on CMESC's website (<https://www.cmegroup.com/market-regulation/rule-filings.html>).

Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to File Number SR-CMESC-2026-011 and should be submitted on or before November 12, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.²⁰

J. Matthew DeLesDernier,

Deputy Secretary.

[FR Doc. 2026-21482 Filed 10-20-26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0026]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Form N-2

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (SEC or "Commission") is submitting to the Office of Management and Budget (OMB) this request for extension of the proposed collection of information described below.

Form N-2 (17 CFR 239.14 and 274.11a-1) is the form used by closed-end management investment companies ("registered closed-end funds") to register under the Investment Company Act of 1940 (15 U.S.C. 80a-1 *et seq.*) ("Investment Company Act"), and to register their securities under the Securities Act of 1933 (15 U.S.C. 77a *et seq.*) ("Securities Act"). Form N-2 is also used by business development companies ("BDCs"), which are closed-end management investment companies that do not register under the Investment Company Act (but instead elect to be subject to certain provisions of the Investment Company Act (15 U.S.C. 80a-2(a)(48)) to register and offer their securities under the Securities Act.

Section 5 of the Securities Act (15 U.S.C. 77e) requires the filing of a registration statement prior to the offer of securities to the public and that the statement be effective before any securities are sold. The primary purpose of the registration process is to provide disclosure of financial and other information to current and potential investors for the purpose of evaluating an investment in a security. Section 5(b) of the Securities Act requires that investors be provided with a prospectus containing the information required in a registration statement prior to the sale, or at the time of confirmation or delivery, of the securities. A closed-end management investment company (that is not a BDC) is required to register as an investment company under Section 8(a) of the Investment Company Act (15 U.S.C. 80a-8(a)). Form N-2 permits a registered closed end fund and a BDC to provide investors with a prospectus covering essential information about the fund when the fund makes an initial or additional offering of its securities. More-detailed information is available to interested investors in the Statement of Additional Information, which is provided to investors upon request and without charge.

The purpose of Form N-2 is to meet the filing and disclosure requirements of the Securities Act and the Investment Company Act and to enable funds to provide investors with information necessary to evaluate an investment in a registered closed-end fund or BDC. The information filed with the Commission permits the verification of

compliance with securities law requirements and assures the public availability and dissemination of the information. A registered closed-end fund or BDC must file a registration statement on Form N-2 only when making initial or additional offerings of securities under the Securities Act, or when amending its registration statement. Compliance with the disclosure requirements of Form N-2 is mandatory. Responses to the disclosure requirements will not be kept confidential.

We estimate that approximately 427 respondents file Form N-2 annually, with 1 response annually per respondent at 344 hours per response, including the time and the cost of preparing and reviewing disclosure, filing documents, and retaining records, for a total annual time burden of 146,888 hours. In addition, we estimate the total annual external cost burden associated with Form N-2 to be \$9,914,940, based on 30 hours of outside services at a wage rate of \$774 per hour for an attorney in the securities industry (\$23,220 per response times 427 respondents).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202608-3235-004 or email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice, by November 23, 2026.

Dated: October 19, 2026.

Vanessa A. Countryman,
Secretary.

[FR Doc. 2026-21495 Filed 10-20-26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-106682; File No. SR-NYSETEX-2026-30]

Self-Regulatory Organizations; NYSE Texas, Inc.; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change, as Modified by Amendment No. 1, To Offer Complimentary Products and Services

October 16, 2026.

On August 13, 2026, NYSE Texas, Inc. ("NYSE Texas" or the "Exchange") filed with the Securities and Exchange

²⁰ 17 CFR 200.30-3(a)(12).

Commission (the “Commission”), pursuant to Section 19(b)(1)¹ of the Securities Exchange Act of 1934 (“Act”)² and Rule 19b-4 thereunder,³ a proposed rule change to adopt new Rule 24 under Article 22 of the NYSE Texas Rule Book to offer certain issuers complimentary products and services from the Exchange. The proposed rule change was published for comment in the **Federal Register** on September 1, 2026.⁴ On October 5, 2026, the Exchange filed Amendment No. 1 to the proposed rule change, which superseded the original proposed rule change in its entirety.⁵ The Commission has not received any comments on the proposed rule change.

Section 19(b)(2) of the Act⁶ provides that within 45 days of the publication of notice of the filing of a proposed rule change, or within such longer period up to 90 days as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding or as to which the self-regulatory organization consents, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. The 45th day after publication of the Notice for this proposed rule change is October 16, 2026. The Commission is extending this 45-day time period.

The Commission finds it appropriate to designate a longer period within which to take action on the proposed rule change, as modified by Amendment No. 1, so that it has sufficient time to consider the proposed rule change, as modified by Amendment No. 1, and the issues raised therein. Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act,⁷ designates November 30, 2026, as the date by which the Commission shall either approve or disapprove, or institute proceedings to determine whether to disapprove, the proposed rule change, as modified by Amendment No. 1 (File No. SR-NYSETEX-2026-30).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁸

J. Matthew DeLesDernier,

Deputy Secretary.

[FR Doc. 2026-21479 Filed 10-20-26; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 33-11447; File No. 4-933]

Potential Designation of Chartered Financial Analyst Designation as Qualifying Natural Persons for Accredited Investor Status

In notice document 2026-20311 beginning on page 63314 in the issue of Monday, October 5, 2026, make the following correction:

1. On page 63314, in the third column, the **ADDRESSES** section is corrected to read as follows:

“**ADDRESSES:** Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission’s internet comment form (<https://www.sec.gov/comments/4-933/potential-designation-chartered-financial-analyst-designation-qualifying-natural-persons-accredited>); or
- Send an email to rule-comments@sec.gov. Please include File Number 4-933 on the subject line. To submit a comment to more than one file, please include each file number on the subject line.

Paper Comments

- Send paper comments to Vanessa A. Countryman, Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090. All submissions should refer to File Number 4-933. To submit a comment to more than one file, please refer to each file number. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method of submission. The Commission will post all submitted comments on its website (<https://www.sec.gov/rules-regulations/public-comments/4-933>). Do not include personally identifiable information in submissions; you should submit only information that you wish to make available publicly. The

Commission may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection.”

[FR Doc. C1-2026-20311 Filed 10-20-26; 8:45 am]

BILLING CODE 0099-10-D

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-106680; File No. SR-CBOE-2026-080]

Self-Regulatory Organizations; Cboe Exchange, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Its Fees Schedule

October 16, 2026.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”),¹ and Rule 19b-4 thereunder,² notice is hereby given that on October 8, 2026, Cboe Exchange, Inc. (the “Exchange” or “Cboe Options”) filed with the Securities and Exchange Commission (the “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

Cboe Exchange, Inc. (the “Exchange” or “Cboe Options”) proposes to amend its Fees Schedule to (i) replace the fixed, premium-based transaction fees applicable to Customer, Clearing Trading Permit Holder Proprietary, Broker-Dealer (including Joint Back-Office, Non-Trading Permit Holder Market Maker, and Professional), and Cboe Options Market-Maker/DPM/LMM orders executed electronically and removing liquidity in Mini-S&P 500 Index (“XSPBX”) binary options with a tier-based constant transaction fee methodology applicable to Index Binaries, as defined in new footnote 55, while retaining the existing per-contract transaction fees for Cboe Options Market-Maker/DPM/LMM liquidity-adding and manual orders, and (ii) add Investors Exchange LLC (“IEX”) to fee code RD. The text of the proposed rule change is provided in Exhibit 5.

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

¹ 15 U.S.C. 78s(b)(1).

² 15 U.S.C. 78a.

³ 17 CFR 240.19b-4.

⁴ See Securities Exchange Act Release No. 106204 (Aug. 27, 2026), 91 FR 56247 (Sept. 1, 2026) (“Notice”).

⁵ The full text of Amendment No. 1 can be found on the Commission’s website at <https://www.sec.gov/comments/SR-NYSETEX-2026-30/srnysetex202630-1084479-3778147.pdf>.

⁶ 15 U.S.C. 78s(b)(2).

⁷ *Id.*

⁸ 17 CFR 200.30-3(a)(31).