

There are no capital costs or operating and maintenance costs associated with this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: October 19, 2026.

Robert Sidman,

Deputy Secretary of the Commission.

[FR Doc. 2026–21579 Filed 10–21–26; 8:45 am]

BILLING CODE 6351–01–P

COMMODITY FUTURES TRADING COMMISSION

Agency Information Collection Activities Under OMB Review

AGENCY: Commodity Futures Trading Commission.

ACTION: Notice.

SUMMARY: In compliance with the Paperwork Reduction Act of 1995 (“PRA”), this notice announces that the Information Collection Request (“ICR”) abstracted below has been forwarded to the Office of Information and Regulatory Affairs (“OIRA”), of the Office of Management and Budget (“OMB”), for review and comment. The ICR describes the nature of the information collection and its expected costs and burden.

DATES: Comments must be submitted on or before November 23, 2026.

ADDRESSES: Written comments and recommendations for the proposed information collection should be submitted within 30 days of this notice’s publication to OIRA, at <https://www.reginfo.gov/public/do/PRAMain>. Please find this particular information collection by selecting “Currently under 30-day Review—Open for Public Comments” or by using the website’s search function. Comments can be entered electronically by clicking on the “comment” button next to the information collection on the “OIRA Information Collections Under Review” page, or the “View ICR—Agency Submission” page. A copy of the supporting statement for the collection of information discussed herein may be obtained by visiting <https://www.reginfo.gov/public/do/PRAMain>.

In addition to the submission of comments to <https://reginfo.gov> as indicated above, a copy of all comments submitted to OIRA may also be submitted to the Commodity Futures Trading Commission (the “Commission” or “CFTC”) by any of the following methods:

- *Regulations.gov:* Go to <https://www.regulations.gov> and press the “Search” button, then proceed as follows:

1. Under “Refine Documents Results”—check the box to “Only show documents open for comment”;

2. Under “Agency”—select “See More” and check the box for “Commodity Futures Trading Commission,” then press the “Apply” button;

3. Identify this notice in the list of CFTC documents open for comment, press the “Comment” button to open the submission form, and follow the instructions on the form.

Alternatively, if you are viewing this notice on www.federalregister.gov, click the “Submit A Public Comment” button at the top of the page to open the comment form. Follow the instructions on the form to submit your comment to *Regulations.gov*.

- *Mail:* Send to—Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.

- *Hand Delivery/Courier:* Address to—CFTC Comment Submission, Attn: Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.

Please submit your comments using only one of these methods. To avoid possible delays with mail or in-person deliveries, submissions through *Regulations.gov* are encouraged.

All comments must be submitted in English, or if not, accompanied by an English translation. Do not include in your comment text or attachments any personal identifying information or business information that you do not want published online. Comments (regardless of submission method) will be published without review for, and without removal of, any personal identifying information or information your business may consider confidential.

If you wish to submit confidential information for the Commission’s consideration, please contact the CFTC personnel listed in this Notice under **FOR FURTHER INFORMATION CONTACT** before making any submission. Please also carefully review the Commission’s procedures in 17 CFR 145.9 for requesting confidential treatment under the Freedom of Information Act (“FOIA”) of information submitted to the Commission.

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, or redact all or any part of your comment submission. The CFTC also reserves the right, without further notification, to refuse to publish

or to remove from public view all or any part of your submission to the extent it contains content inappropriate for publication in a comment file, such as—without limitation—obscene language, threats of violence, solicitations for commercial sales or illegal activity, or obvious spam. If a submission that is refused for or withdrawn from publication because of inappropriate content also contains comments on the merits of this notice, such submission will be retained in the record for the matter and will be considered as required under the Administrative Procedure Act, the PRA, and other applicable laws, and may be accessible under the FOIA.

FOR FURTHER INFORMATION CONTACT: Dina Moussa, Special Counsel, (202) 418–5696 or dmoussa@cftc.gov; or Catherine Brescia, Attorney Advisor (202) 418–6236 or cbrescia@cftc.gov, Market Participants Division, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581, and refer to OMB Control No. 3038–0092.

SUPPLEMENTARY INFORMATION:

Title: Customer Clearing Documentation and Timing of Acceptance for Clearing (OMB Control No. 3038–0092). This is a request for an extension of a currently approved information collection.

Abstract: Section 4d(c) of the Commodity Exchange Act (“CEA”), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”), directs the Commission to require futures commission merchants (“FCMs”) to implement conflict of interest procedures that address such issues as the Commission determines to be appropriate.¹ Similarly, section 4s(j)(5) of the CEA, as added by the Dodd-Frank Act, requires swap dealers (“SDs”) and major swap participants (“MSPs”) to implement conflict of interest procedures that address such issues as the Commission determines to be appropriate.² Section 4s(j)(5) also requires SDs and MSPs to ensure that any persons providing clearing activities or making determinations as to accepting clearing customers are separated by appropriate informational partitions from persons whose involvement in pricing, trading, or clearing activities might bias their judgment or contravene the core principle of open access. Section 4s(j)(6) of the CEA prohibits an SD or MSP from adopting any process or taking any

¹ 7 U.S.C. 6d(c).

² 7 U.S.C. 6s(j)(5).

action that results in any unreasonable restraint on trade or imposes any material anticompetitive burden on trading or clearing, unless necessary or appropriate to achieve the purposes of the CEA.³ Section 2(h)(1)(B)(ii) of the CEA requires that derivatives clearing organization (“DCO”) rules provide for the nondiscriminatory clearing of swaps executed bilaterally or through an unaffiliated designated contract market or swap execution facility.⁴

To address these provisions, the Commission promulgated regulations that prohibit arrangements involving FCMs, SDs, MSPs, and DCOs that would (a) disclose to an FCM, SD, or MSP the identity of a customer’s original executing counterparty;⁵ (b) limit the number of counterparties with whom a customer may enter into a trade;⁶ (c) restrict the size of the position a customer may take with any individual counterparty, apart from an overall credit limit for all positions held by the customer at the FCM;⁷ (d) impair a customer’s access to execution of a trade on terms that have a reasonable relationship to the best terms available;⁸ or (e) prevent compliance with specified time frames for acceptance of trades into clearing set forth in Regulations 1.74(b), 23.610(b), or 39.12(b)(7).⁹ Additionally, the Commission requires, through Regulation 39.12(b)(7)(i)(B), DCOs to coordinate with clearing members to establish prompt processing of trades.¹⁰ Regulations 1.74(a) and 23.610(a) require reciprocal coordination by FCMs, SDs, and MSPs that are clearing members.¹¹

Under the above regulations, SDs, MSPs, FCMs, and DCOs are required to develop and maintain written customer clearing documentation and trade processing procedures. Maintenance of contracts, policies, and procedures is prudent business practice. All SDs, MSPs, FCMs, and DCOs maintain documentation consistent with these regulations. The regulations are crucial both for effective risk management and for the efficient operation of trading venues among SDs, MSPs, FCMs, and DCOs. Each of these entities has a general recordkeeping obligation for these requirements under the

Regulations 39.20 for DCOs,¹² 23.606 for SDs and MSPs,¹³ and 1.73 for FCMs.¹⁴

The information collection burden arising from the regulations primarily is restricted to the costs associated with the affected registrants’ obligation to maintain records related to clearing documentation between the customer and the customer’s clearing member, and trade processing procedures between DCOs and FCMs, SDs, and MSPs. The information collection obligations are necessary to implement certain provisions of the CEA, including ensuring that registrants exercise effective risk management, and are also appropriate for the efficient operation of trading venues among SDs, MSPs, FCMs, and DCOs.

As indicated below, the information collection burden arising from the regulations primarily is restricted to the costs associated with the affected registrants’ obligation to maintain records related to clearing documentation between the customer and the customer’s clearing member, and trade processing procedures between DCOs and FCMs, SDs, and MSPs. The information collection obligations are necessary to implement certain provisions of the CEA, including ensuring that registrants exercise effective risk management and for the efficient operation of trading venues among SDs, MSPs, FCMs, and DCOs.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.¹⁵ On August 6, 2026, the Commission published in the **Federal Register** notice of the proposed extension of this information collection and provided 60 days for public comment on the proposed extension, 91 FR 50814 (“60-Day Notice”). The Commission received no relevant comments on the 60-Day Notice.

Burden Statement: The respondent burden for this collection is estimated to be as follows:

Estimated Number of Respondents: 204.

Estimated Average Burden Hours per Respondent: 40.

Estimated Total Annual Burden Hours: 8,160.

Frequency of Collection: As needed.

There are no capital costs or operating and maintenance costs associated with this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

¹² 17 CFR 39.20.

¹³ 17 CFR 23.606.

¹⁴ 17 CFR 1.73.

¹⁵ 44 U.S.C. 3512, 5 CFR 1320.5(b)(2)(i) and 1320.8 (b)(3)(vi).

Dated: October 19, 2026.

Robert Sidman,

Deputy Secretary of the Commission.

[FR Doc. 2026–21581 Filed 10–21–26; 8:45 am]

BILLING CODE 6351–01–P

DEPARTMENT OF DEFENSE

Office of the Secretary

Notice of Federal Advisory Committee Meeting—Science, Technology and Innovation Board

AGENCY: Under Secretary of Defense for Research and Engineering, Science, Technology and Innovation Board (STIB), Department of Defense (DoD).

ACTION: Notice of Federal Advisory Committee meeting.

SUMMARY: The DoD (referred to herein as “the Department of War” or “DoW”) is publishing this notice to announce that the following Federal Advisory Committee meeting of the STIB will take place.

DATES: Closed to the public Wednesday, November 4, 2026, from 9:00 a.m. to 4:30 p.m. Eastern time.

ADDRESSES: The address of the closed meeting is 1400 Defense Pentagon, Washington, DC 20301–1400.

FOR FURTHER INFORMATION CONTACT: Ms. Elizabeth J. Kowalski, Designated Federal Officer (DFO): (703) 571–0082 (Voice), (Email) osd.pentagon.ousd-r-e.mbx.stib-office@mail.mil. Mailing address is Science, Technology, and Innovation Board, 4800 Mark Center Dr., Suite 15D08, Alexandria, VA 22311. Website: <https://stib.cto.mil/>.

SUPPLEMENTARY INFORMATION: This meeting is being held under the provisions of chapter 10 of title 5 United States Code (U.S.C.) (commonly known as the “Federal Advisory Committee Act” or “FACA”), 5 U.S.C. 552(b)(c); and 41, Code of Federal Regulations CFR 102–3.140 and 102–3.150.

Purpose of the Meetings: The STIB’s mission is to provide independent advice and recommendations on matters supporting DoW’s scientific, technological, and innovative enterprises. The objective of the meeting is to obtain, review, and evaluate classified information related to the STIB’s mission. The STIB will meet with DoW Leadership to receive classified remarks regarding current and future objectives of the DoW and will meet for plenary sessions where the STIB members will have classified discussions regarding a potential Digital Solutions study and a potential Difficult Target Defeat study that may be tasked

³ 7 U.S.C. 6s(j)(6).

⁴ 7 U.S.C. 2(h)(1)(B)(ii).

⁵ 17 CFR 1.72(a), 23.608(a), and 39.12(a)(1)(vi).

⁶ 17 CFR 1.72(b), 23.608(b), and 39.12(a)(1)(vi).

⁷ 17 CFR 1.72(c), 23.608(c), and 39.12(a)(1)(vi).

⁸ 17 CFR 1.72(d), 23.608(d), and 39.12(a)(1)(vi).

⁹ 17 CFR 1.72(e), 23.608(e), and 39.12(a)(1)(vi).

¹⁰ 17 CFR 39.12(b)(7)(i)(B).

¹¹ 17 CFR 1.74(a) and 23.610(a).