

Clearing Members can only include the Item 13 debit where they deposit with CCUS the full amount of margin required for all binary options contracts written or purchased in customer accounts. Further, this condition will ensure the permitted debit under Item 13 will remain limited to the same scope of assets that are permissible to use as collateral delivered to the OCC under Note F to Rule 15c3–3a, to remain consistent with established customer protections under the rule.

The sixth condition states that the rules of CCUS must have been amended, as necessary to reflect the conditions of this order. This condition is designed to require that Clearing Members may only include an Item 13 debit in their reserve computations pursuant to this order where the rules of CCUS have been amended to conform to the conditions of this exemptive order. This will also ensure that the rules of CCUS that are amended in accordance with the conditions of this order adequately implement the customer protection objectives of Rule 15c3–3 and Rule 15c3–3a.

For the reasons discussed above, the Commission finds that granting Clearing Members conditional exemptive relief from the limitation in Item 13 and Note F to Rule 15c3–3a that confines the Item 13 debit to the amount of margin required and on deposit with the OCC for all option contracts written or purchased in customer accounts is appropriate in the public interest, and consistent with the protection of investors.

### III. Conclusion

Accordingly, *it is hereby ordered* pursuant to section 36(a)(1) of the Exchange Act, Clearing Members are exempt from the limitation in Item 13 and Note F of Rule 15c3–3a that confines the Item 13 debit to the amount of margin required and on deposit with the OCC for all option contracts written or purchased in customer accounts, and as such, Clearing Members may include a debit in Item 13 of their customer and PAB reserve computations for margin required and on deposit with CCUS for all binary options contracts written or purchased in customer accounts, subject to the following conditions:

1. *Registration*: CCUS is registered with the Commission as a clearing agency in accordance with section 17A(b) <sup>38</sup> of the Exchange Act and Rule 17ab2–1 <sup>39</sup> thereunder.

2. *Eligibility Limitation*: The relief will apply solely to margin required and on

deposit with CCUS with respect to customer positions in binary options.

3. *Margined Customer Positions*: The binary options that CCUS clears will be margined by CCUS consistent with the requirements of Rule 17Ad–22(e) <sup>40</sup> and Clearing Members will collect such margin from their customers, with any proposed changes to CCUS's margin system subject to Commission review, and, as appropriate, approval pursuant to section 19(b) <sup>41</sup> of the Exchange Act and Rule 19b–4 <sup>42</sup> thereunder.

4. *Segregation of Customer Margin*: For purposes of Rule 15c3–3a, CCUS will maintain margin required and on deposit with CCUS for binary options contracts written or purchased in customer accounts separately and independently from margin required and on deposit for proprietary positions of Clearing Members.

5. The relief would be subject to the same conditions set forth in Note F to Item 13 of Rule 15c3–3a that apply to margin required and on deposit with OCC for all option contracts written or purchased in customer accounts.

6. The rules of CCUS must have been amended to reflect the conditions of this order.

By the Commission.

**J. Matthew DeLesDernier,**  
Deputy Secretary.

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## SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0617]

### Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 433

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget this request for extension of the previously approved collection of information discussed below.

Rule 433 (17 CFR 230.433) governs the use and filing of free writing prospectuses with respect to the securities of an issuer that are the subject of a registration statement that has been filed under the Securities Act of 1933 (15 U.S.C. 77a *et seq.*). A free writing prospectus that satisfies the

conditions pursuant to Rule 433 will be a prospectus under Section 10(b) of the Securities Act and will be deemed to be public without regard to its method of use or distribution. Rule 433 is intended to facilitate issuer communications during a registered offering of securities after a registration statement has been filed with the Commission. The information filed under Rule 433 is mandatory, and filings made pursuant to Rule 433 are publicly available on the Commission's Electronic Data Gathering, Analysis, and Retrieval (“EDGAR”) system. We estimate that there are approximately 20,179 free writing prospectuses filed pursuant to Rule 433 annually, for a total of approximately 20,179 responses annually. We estimate that respondents incur approximately 2.45 burden hours per Rule 433 response, for a total annual reporting burden of 49,439 hours (2.45 hours per response × 20,179 responses). We estimate that respondents incur \$380.03 cost burden per Rule 433 response, for a total annual cost of \$7,668,625 (\$380.03 cost per response × 20,179 responses).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: [https://www.reginfo.gov/public/do/PRAViewICR?ref\\_nbr=202607-3235-025](https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202607-3235-025) or send an email comment to [MBX.OMB.OIRA.SEC\\_desk\\_officer@omb.eop.gov](mailto:MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov) within 30 days of the day after publication of this notice by November 30, 2026.

Dated: October 26, 2026.

**Sherry R. Haywood,**  
Assistant Secretary.

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–106727; File No. SR–MEMX–2026–33]

### Self-Regulatory Organizations; MEMX LLC; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Rule 11.15 (Clearly Erroneous Executions)

October 23, 2026.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”),<sup>1</sup> and Rule 19b–4 thereunder,<sup>2</sup>

<sup>38</sup> 15 U.S.C. 78q–1.

<sup>39</sup> 17 CFR 240.17ab2–1.

<sup>40</sup> 17 CFR 240.17ad–22(e).

<sup>41</sup> 15 U.S.C. 78s.

<sup>42</sup> 17 CFR 240.19b–4.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b–4.